

A new prognostic index for overall survival in malignant pleural mesothelioma: the
rPHS (regimen, PS, Histology, or Stage) index

Yuki Kataoka^{1,3}, Yosuke Yamamoto¹, Taiichiro Otsuki², Mariko Shinomiya³, Takayuki
Terada⁴, Shingo Fukuma^{1,5}, Shin Yamazaki¹, Masataka Hirabayashi³, Takashi Nakano⁴,
Shunichi Fukuhara^{1,5,*}

1 Department of Healthcare Epidemiology, Graduate School of Medicine and Public
Health, Kyoto University, Yoshida Konoe-cho, Sakyo-ku, Kyoto, 2 Cancer center,
Hyogo College of Medicine, Mukogawa-cho, Nishinomiya, Hyogo, 3 Department of
Respiratory Medicine, Hyogo Prefectural Amagasaki Hospital, Higashi-Daimotsu-Cho,
Amagasaki, Hyogo 4 Division of Respiratory Medicine, Hyogo College of Medicine,
Hyogo, Japan, Hyogo College of Medicine, Mukogawa-cho, Nishinomiya, Hyogo, and
5 Center for Innovative Research in Clinical Evaluative Science (CiRCLE), Fukushima
Medical University, Hikarigaoka, Fukushima

*For reprints and all correspondence:

Shunichi Fukuhara, MD, DMSc, Department of Healthcare Epidemiology, Graduate

19 School of Medicine and Public Health, Kyoto University, Yoshida Konoe-cho, Sakyo-ku,

20 Kyoto 606-8501, Japan.

21 Tel: +81-75-753-4646 Fax: +81-75-753-4644

22 Email: fukuhara.shunichi.6m@kyoto-u.ac.jp

23

24 Running head:

25 A prognostic index in malignant mesothelioma

26

27

28 **ABSTRACT**

29 **Background**

30 Existing prognostic indices (PI) for malignant pleural mesothelioma (MPM) do not
31 incorporate the recent advances in oncology care. The purpose of this study was to
32 provide a PI for overall survival (OS) in MPM patients treated with chemotherapy with
33 pemetrexed (PEM) or best supportive care (BSC) in the recent clinical setting.

34 **Methods**

35 A retrospective cohort study was performed in two hospitals in Japan (2007 - 2013).
36 The primary outcomes were OS. The Cox proportional hazards model was used for
37 multivariable analyses to identify prognostic factors. A final model was chosen based on
38 both clinical and statistical significance.

39 **Results**

40 A total of 283 patients (CTx: n=228, BSC: n=55) were enrolled in the study. On
41 multivariate analysis, regimen including platinum plus PEM, a performance status > 0,
42 non-epithelial histological type, and stage IV disease predicted poor OS in CTx patients.
43 As hazard ratios of individual risk factors were approximately similar, a prognostic
44 index for OS was constructed by counting the risk factors. Median OS in CTx patients
45 decreased by each 1-point increase in this count: 1030 days for zero; 658 days for one;

373 days for two; 327 days for three; 125 days for four. Internal validation using the bootstrapping technique showed robustness of the model (c-index, 0.677; 95% Confidence Interval [CI], 0.624-0.729). Further, the discrimination was consistent in BSC patients (c-index, 0.799; 95% CI, 0.725-0.874).

Conclusions

This novel index can provide clinicians and MPM patients with a better framework for discussing prognosis at the time of diagnosis.

A mini-abstract:

We developed a new prognostic index for malignant pleural mesothelioma. The index reflects the recent real-world data. The index showed better discrimination than previous index.

Keywords:

Malignant pleural mesothelioma – pemetrexed - best supportive care - prognostic index - palliative care.

INTRODUCTION

Malignant pleural mesothelioma (MPM) used to be a rare malignancy of the mesothelium. In recent years, the incidence of this disease has increased, and this trend will likely continue worldwide over the next decade (1).

Despite recent advancements in treatment, surgery, radiotherapy and chemotherapy or multimodality therapy has not been proven to be curative (2–4). For the majority of patients, treatment options are limited to palliative chemotherapy and best supportive care (BSC) (5).

In oncologic palliative care, early determinations of prognosis play an important role in guiding end-of-life care and efforts designed to improve patients' quality of life (6, 7).

To determine the prognosis of patients with MPM, four prognostic indices (PI) have been developed; one by the Cancer and Leukaemia Group B (CALGB) (8), and three by the European Organization for Research and Treatment of Cancer (EORTC) (9–11).

While the first two PIs from EORTC can indicate either a favorable or an unfavorable outcome, neither can predict the duration of survival, which means both are impractical when discussing life expectancy with a patient. The CALGB PI is complex to use, because it has various cutoffs to consider. Above all, these PIs are based on clinical trial data and may not be applicable to the clinical setting. Further, they do not incorporate

information regarding pemetrexed, which can improve overall survival (OS), and does not incorporate recent advancements in supportive care (3, 12–14). Therefore, while existing PIs might be useful for researchers in deciding which patients to include in clinical trials, these systems are less useful for clinicians who need to discuss prognoses with their MPM patients.

The purpose of this study was to provide a new PI for OS in MPM patients who underwent treatment with pemetrexed or best supportive care in a recent clinical setting.

Materials and methods

Study design and patients

A retrospective cohort study was performed, covering the period between April 1st, 2007 and March 31st, 2013. The cohort was defined as all patients with histologically proven (15) MPM at either one of two tertiary hospitals that serve the South Hanshin medical region, which is an area of high MPM incidence area in Japan (16).

Patients who had more than one cancer, underwent autopsy, or who received palliative chemotherapy without pemetrexed were excluded, Because our purpose is to develop a new PI in MPM patients who underwent treatment with pemetrexed which is the “standard of care” (5). Patients who had received chemotherapy or radiotherapy before

diagnosis, trimodal therapy, or surgical therapy extra-pleural pneumonectomy or
pleurectomy or decortication) were excluded to avoid confounding influences (17).

Definitions of prognostic variables

Potential prognostic factors that were analyzed included: histological subtypes (15),
International Mesothelioma Interest Group stage (18), chemotherapy regimen, age,
gender, Eastern Cooperative Oncology Group performance status (PS) (19), subjective
symptoms, smoking history, asbestos exposure history, comorbidities (Charlson score
(20)) and baseline blood or effusion parameters at the time of diagnosis.

Primary outcomes measurement

The primary outcome endpoint was OS, as defined by the length of time from the date
of diagnosis to death. Patients who had not died or who were lost to follow-up were
censored when they were last known to be alive before September 1st, 2013.

Statistical analyses

We developed the PI in those who were treated with chemotherapy to minimize the bias due to confounding by indication (21). We also evaluated the applicability of the PI in those that received BSC.

In derivation, step continuous and nominal prognostic variables were dichotomized according to previous studies (8, 9, 11, 21–27). OS was estimated using the Kaplan–Meier method. The log-rank tests for each prognostic factor were used for univariate analyses. The Cox proportional hazards model was used for multivariate analyses. The Akaike’s information criterion (AIC), Schwartz’s Bayesian information criterion (BIC), and Harrell’s c index (c-index) were used for the discrimination of the model. A final model was chosen based on both clinical and statistical significance. We compared the discrimination of our index with the EORTC prognostic index (9) and the progression-free index of EORTC (11).

Calibration curves showing agreement between observed and predicted outcomes over a range of predicted probabilities were drawn. We also drew Cox-Snell residuals and measured Moreau, O’Quigley, and Lellouch statistics (28). We drew log-log hazards curves and tested the proportional hazard assumption. The bootstrapping technique was used for the internal validation (for 500 replications (29)).

We carried out sensitivity analysis using multiple imputation for variants with clinically significance. Two-sided p values < 0.05 were considered to indicate statistical significance. We used Stata® ver. 13.0 (Stata Corp., College Station, TX).

Ethical considerations

This study was performed according to the Declaration of Helsinki and the Ethical Guidelines for Epidemiological Research by the Japanese Ministry of Health, Labour and Welfare. The protocol for the study was approved by the Ethics Committee of Kyoto University Graduate School and Faculty of Medicine (E1883). The protocol was registered in the University Hospital Medical Information Network Clinical Trials Registry with the number: UMIN000011733.

Results

This study included 228 patients who were treated with chemotherapy with pemetrexed and 55 patients who received BSC (Figure 1). Patient characteristics are shown in Table 1. Survival curves for each group are shown in the Figure 2.

The median lengths of follow-up were 345.5 days for the chemotherapy group and 250 days for the BSC group. During the follow-up period, 161 patients (70.6%) died in chemotherapy group, and 40 patients (72.7%) died in the BSC group, respectively.

Univariate survival analyses are also shown in Table 1. Fifteen parameters were significantly correlated with OS according to univariate analyses: asbestos exposure, PS, dyspnea, anorexia, chest pain, body weight (BW) loss, fever, histological type, Stage, Regimen, white blood cell (WBC), platelet (Plt) count, C-reactive protein (CRP), Lactate dehydrogenase (LDH), and cytokeratin-19 fragment (CYFRA).

Because of the theoretical collinearity of symptom variables, we chose only PS with respect to clinical relevance. We repeated the multivariate analysis while analyzing WBC, Plt, and CRP, separately, because of the collinearity of inflammatory variables.

The discrimination for PS, Asbestos Exposure, Histology, Stage, Regimen, LDH, and CYFRA were 823 (AIC), 844 (BIC), and 0.714 (c-index). The discrimination for seven variables with WBC were 821 (AIC), 845 (BIC), and 0.726 (c-index). The discrimination for six variables with CRP were 825 (AIC), 849 (BIC), and 0.715 (c-index). The discrimination for six variables with Plt were 824 (AIC), 848 (BIC), and 0.711 (c-index). We entered WBC into a stepwise backward Cox proportional hazards model (Table 2). PS, histology, stage, and regimen remained significant after the

multivariate analysis. Hazard ratios of individual risk factors were 1.82-2.25. Therefore, a PI for the OS was constructed using a simple count of the number of risk factors (Table 3). The median OS of each category is shown in Table 4.

We calculated the discrimination of the rPHS (regimen, PS, Histology, or Stage) index.

The c-index was 0.677. After 500 bootstrap replications from the original patients, the 95% confidence interval (CI) of the c-index of the PHS score was 0.624-0.729.

We calculated the c-index for the EORTC prognostic index (9), which was 0.569. The difference between the two indices persisted after bootstrap replications (0.108; 95%CI, 0.053-0.163). We also calculated the c-index for the progression-free index of the EORTC (11), which was 0.552. The difference between the two indices persisted after bootstrap replications (0.125, 95%CI, 0.082-0.166).

There was good calibration of the model, with close agreement between observed and predicted OS (Figure S1), and also with close agreement between Cox-Snell residuals and the 45-degree slope (Figure S2). The Moreau, O'Quigley, and Lellouch test showed that the model fit of the Cox regression model was adequate ($p = 0.38$).

We drew log-log hazards curves for the CTx group which were parallel (Figure S3). The p value of the test for the proportional hazard assumption was 0.07.

We carried out sensitivity analysis using multiple imputation to create and analyze 10 multiply imputed datasets. We imputed only PS with regards to clinical significance. These estimates and their standard errors were combined using Rubin's rules (30). The results showed consistency (Table 4). The discrimination was also consistent in the BSC group (c-index, 0.799; 95%CI, 0.725-0.874).

Discussion

We developed a new PI for patients with MPM that predicts median OS, incorporates pemetrexed information, and incorporates recent advancements in supportive care in the normal clinical setting. The rPHS index is obtained by a simple count of the risk factors (regimen including platinum plus PEM, PS>0, non-epithelial histology, and stage>3).

The index can stratify patients into four different prognostic groups with different median survivals. The index has good discrimination for those treated with pemetrexed group as well as those treated with BSC.

Patients with advanced cancer often want to know their prognosis (31). One study (32) reported that patients with advanced cancer have an overwhelming preference for an opportunity to prepare for the end of life. They want to know that their families are prepared for their death, which often includes having finances in order, and for patients,

201 having funeral arrangements planned. They want to have the opportunity to resolve
202 unfinished business, remember personal accomplishments, and to say goodbye to
203 important people. In order to allow these patients to direct their energies to these matters,
204 it is important to provide them with accurate information regarding their prognosis. In
205 fact, early palliative care, including early accurate perceptions of prognosis, has
206 improved the quality of life and possibly the OS of patients with advanced cancer (6).

207 We believe that the present findings will influence the usual care of MPM patients for
208 several reasons. When one patient diagnosed with MPM and decided to treat with
209 pemetrexed-regimen, the patient and their physician can discuss based on the
210 median OS of the rPHS index. Without the index we discussed the prognosis based
211 on the median survival time from the trial or the cohort study. Our PI consists of
212 variables frequently used in usual care of MPM patients. Indeed, PS, histology, and
213 stage are well-known prognostic factors in previous studies (8–11, 33) and are
214 components of the evaluation at the time of initial diagnosis (34). Further, our PI can be
215 calculated easily by simple counting; calculators are not necessary, and our PI has more
216 discriminatory power than the EORTC PI (9), which is one of the best-known clinical
217 PIs. We note that the distribution of median age and OS were different when comparing
218 previous reports (8–11) and our CTx cohort; our study included more elderly patients

(67.7 versus 58-62 years), and our study included patients with relatively better prognoses (11.5 versus 5-12.6 months). The cohort of our study ensures the generalizability of the findings, because the two hospitals cover the South Hanshin medical region and any patients with MPM in this region will visit one of these two hospitals. So, the participants in the present study are a good representation of patients with MPM. We included only patients with histologically proven MPM and not those with only cytologically proven MPM. Because there is morphologic overlap between benign reactive mesothelial cells and malignant cells of mesothelioma (15), it is not recommended to make a diagnosis of mesothelioma based on cytology alone (34). We think that this restriction ensured our study result.

Our cohort consisted of patients treated with BSC. For the small number of BSC participants we didn't develop another index for BSC patients, but validated PHS index. The discrimination was good (c-index, 0.799; 95% CI, 0.725-0.874). No previous study has validated a PI in patients treated with BSC. This information will be useful for discussions regarding prognosis between clinicians and their patients.

Since 1998, several PIs have been described. In contrast to our PHS index, other PIs were based on clinical trial data. Therefore, in the context of usual care, our PHS index might be more widely applicable than other PIs. We cannot compare our PI with the PI

of Bottomley (23) because we did not evaluate patients with the EORTC LC13 or QLQ-C30. Their PI's c-index was 0.66. The point estimation was similar to that of our PI. Pass (27) reported stage, histology, sex, age, treatment, adjuvant treatment, platelets and WBC are clinical prognostic factor except for PS. We think this discrepancy may reflect the difference of target population. We excluded those received surgery, but Pass's target population is those received either palliative or potentially curative surgery. There are several limitations in the study. First, this was a retrospective study with a substantial number of missing PS data, so we performed sensitivity analysis using multiple imputation. The result confirms the robustness of our model. Second, we were not able to know the reason why each patient treated with the modality because this is a retrospective study and treatment allocations were not protocol based. To clarify the preferences for treatment in MPM patients prospective qualitative and quantitative studies will be needed (36). But this limitation reflects the normal clinical setting. Third, we assessed internal validation with the bootstrap method, but the sample size of this study did not allow for external validation, so validation studies are needed. We developed a new PI using PS, histology, and stage for MPM patients treated with chemotherapy or BSC. This PI will allow better discussion between clinicians and

patients with regards to prognosis. Further prospective studies using this PI are warranted.

Acknowledgements

This study was partially supported by the Pfizer Health Research Foundation without restriction of publication. We thank the following individuals for collecting data: Naoya Ito, Makio Kondo, and Nobuko Maehashi.

Conflict of interest statement

None declared.

References

1. Robinson BM. Malignant pleural mesothelioma: an epidemiological perspective. *Ann. Cardiothorac. Surg.* 2012; 1(4):491–6.
2. Cao CQ, Yan TD, Bannon PG, McCaughan BC. A systematic review of extrapleural pneumonectomy for malignant pleural mesothelioma. *J. Thorac. Oncol.* 2010; 5(10):1692–703.
3. Van Schil PE, Opitz I, Weder W et al. Multimodal management of malignant pleural mesothelioma: where are we today? *Eur. Respir. J.* 2014; 44(3):754–64.
4. Bovolato P, Casadio C, Billè A et al. Does surgery improve survival of patients with malignant pleural mesothelioma?: a multicenter retrospective analysis of 1365 consecutive patients. *J. Thorac. Oncol.* 2014; 9(3):390–6.

- 275 5. Boons CCLM, VAN Tulder MW, Burgers JA et al. The value of pemetrexed for
276 the treatment of malignant pleural mesothelioma: a comprehensive review.
277 Anticancer Res. 2013; 33(9):3553–61.
- 278 6. Temel JS, Greer JA, Muzikansky A et al. Early palliative care for patients with
279 metastatic non-small-cell lung cancer. N. Engl. J. Med. 2010; 363(8):733–42.
- 280 7. Temel JS, Greer J a, Admane S et al. Longitudinal perceptions of prognosis and
281 goals of therapy in patients with metastatic non-small-cell lung cancer: results of
282 a randomized study of early palliative care. J. Clin. Oncol. 2011;
283 29(17):2319–26.
- 284 8. Herndon JE, Green MR, Chahinian a P et al. Factors predictive of survival
285 among 337 patients with mesothelioma treated between 1984 and 1994 by the
286 Cancer and Leukemia Group B. Chest 1998; 113(3):723–31.
- 287 9. Curran D, Sahmoud T, Therasse P et al. Prognostic factors in patients with
288 pleural mesothelioma: the European Organization for Research and Treatment of
289 Cancer experience. J. Clin. Oncol. 1998; 16(1):145–52.
- 290 10. Maione P, Perrone F, Gallo C et al. Pretreatment quality of life and functional
291 status assessment significantly predict survival of elderly patients with advanced
292 non-small-cell lung cancer receiving chemotherapy: a prognostic analysis of the
293 multicenter Italian lung cancer in the elderly s. J. Clin. Oncol. 2005;
294 23(28):6865–72.
- 295 11. Francart J, Vaes E, Henrard S et al. A prognostic index for progression-free
296 survival in malignant mesothelioma with application to the design of phase II
297 trials: A combined analysis of 10 EORTC trials. Eur. J. Cancer 2009;
298 45(13):2304–2311.
- 299 12. Vogelzang NJ, Rusthoven JJ, Symanowski J et al. Phase III study of pemetrexed
300 in combination with cisplatin versus cisplatin alone in patients with malignant
301 pleural mesothelioma. J. Clin. Oncol. 2003; 21(14):2636–44.
- 302 13. Musk a W, Olsen N, Alfonso H et al. Predicting survival in malignant
303 mesothelioma. Eur. Respir. J. Off. J. Eur. Soc. Clin. Respir. Physiol. 2011;
304 38(6):1420–4.

- 305 14. Gemba K, Fujimoto N, Aoe K et al. Treatment and survival analyses of
306 malignant mesothelioma in Japan. *Acta Oncol. (Madr)*. 2013; 52(4):803–808.
- 307 15. Husain AN, Colby T, Ordonez N et al. Guidelines for pathologic diagnosis of
308 malignant mesothelioma: 2012 update of the consensus statement from the
309 International Mesothelioma Interest Group. *Arch. Pathol. Lab. Med.* 2013;
310 137(5):647–67.
- 311 16. Kurumatani N, Kumagai S. Mapping the risk of mesothelioma due to
312 neighborhood asbestos exposure. *Am. J. Respir. Crit. Care Med.* 2008;
313 178(6):624–9.
- 314 17. Salas M, Hofman A, Stricker BH. Confounding by indication: an example of
315 variation in the use of epidemiologic terminology. *Am. J. Epidemiol.* 1999;
316 149(11):981–3.
- 317 18. Rusch VW. A proposed new international TNM staging system for malignant
318 pleural mesothelioma. From the International Mesothelioma Interest Group.
319 *Chest* 1995; 108(4):1122–8.
- 320 19. Oken MM, Creech RH, Tormey DC et al. Toxicity and response criteria of the
321 Eastern Cooperative Oncology Group. *Am. J. Clin. Oncol.* 1982; 5(6):649–55.
- 322 20. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying
323 prognostic comorbidity in longitudinal studies: development and validation. *J.*
324 *Chronic Dis.* 1987; 40(5):373–83.
- 325 21. Psaty BM, Siscovick DS. Minimizing bias due to confounding by indication in
326 comparative effectiveness research: the importance of restriction. *JAMA* 2010;
327 304:897–898.
- 328 22. Ryan CW, Herndon J, Vogelzang NJ. A review of chemotherapy trials for
329 malignant mesothelioma. *Chest* 1998; 113(1 SUPPL.):66S–73S.
- 330 23. Metintas M, Metintas S, Ucgun I et al. Prognostic factors in diffuse malignant
331 pleural mesothelioma: Effects of pretreatment clinical and laboratory
332 characteristics. *Respir. Med.* 2001; 95(10):829–835.

- 333 24. Bottomley A, Coens C, Efficace F et al. Symptoms and patient-reported
334 well-being: Do they predict survival in malignant pleural mesothelioma? A
335 prognostic factor analysis of EORTC-NCIC 08983: Randomized phase III study
336 of cisplatin with or without raltitrexed in patients with malignant pleural. *J. Clin.*
337 *Oncol.* 2007; 25(36):5770–5776.
- 338 25. Tanrikulu AC, Abakay A, Kaplan MA et al. A clinical, radiographic and
339 laboratory evaluation of prognostic factors in 363 patients with malignant pleural
340 mesothelioma. *Respiration.* 2010; 80(6):480–7.
- 341 26. Kao SCH, Pavlakis N, Harvie R et al. High blood neutrophil-to-lymphocyte ratio
342 is an indicator of poor prognosis in malignant mesothelioma patients undergoing
343 systemic therapy. *Clin. Cancer Res.* 2010; 16(23):5805–5813.
- 344 27. Pinato DJ, Mauri FA, Ramakrishnan R et al. Inflammation-based prognostic
345 indices in malignant pleural mesothelioma. *J. Thorac. Oncol.* 2012;
346 7(3):587–594.
- 347 28. Pass HI, Giroux D, Kennedy C et al. Supplementary prognostic variables for
348 pleural mesothelioma: a report from the IASLC staging committee. *J. Thorac.*
349 *Oncol.* 2014; 9(6):856–64.
- 350 29. A Global Goodness-of-Fit Statistic for the Proportional Hazards Model. *J. R. Stat.*
351 *Soc. Ser. C* 1985; 34(3):212–218.
- 352 30. Steyerberg EW, Bleeker SE, Moll H a et al. Internal and external validation of
353 predictive models: A simulation study of bias and precision in small samples. *J.*
354 *Clin. Epidemiol.* 2003; 56(5):441–447.
- 355 31. Rubin. *Multiple Imputation for Nonresponse in Surveys*, Hoboken, NJ, USA:
356 John Wiley & Sons, Inc., 1987.
- 357 32. Degner LF, Kristjanson LJ, Bowman D et al. Information needs and decisional
358 preferences in women with breast cancer. *JAMA* 1997; 277(18):1485–92.
- 359 33. Steinhauser KE, Christakis N a., Clipp EC et al. Preparing for the end of life:
360 Preferences of patients, families, physicians, and other care providers. *J. Pain*
361 *Symptom Manage.* 2001; 22(3):727–737.

- 362 34. Pass HI, Giroux D, Kennedy C et al. Supplementary Prognostic Variables for
363 Pleural Mesothelioma: A Report from the IASLC Staging Committee. *J. Thorac.*
364 *Oncol.* 2014; 9(6):856–64.
- 365 35. Scherpereel A, Astoul P, Baas P et al. Guidelines of the European Respiratory
366 Society and the European Society of Thoracic Surgeons for the management of
367 malignant pleural mesothelioma. *Eur. Respir. J.* 2010; 35(3):479–495.
- 368 36. Muhlbacher AC, Bethge S. Patients' preferences : a discrete-choice experiment
369 for treatment of non-small-cell lung cancer. *Eur. J. Heal. Econ.* 2014; Aug
370 19.:Epub ahead of print.

Table 1 Patient characteristics and results of univariate analyses of OS

| Clinical factors | Chemotherapy (n = 228), N (%) | Median OS (days) | 95% CI | p value | BSC (n=55), n (%) |
|---------------------------------------|----------------------------------|------------------------|----------|---------|----------------------|
| Age (years) mean±SD | 67.7±8.2 | | | | 74.5±9.6 |
| Age (years) | | | | | |
| 75> | 181 (79.4) | 512 | 375-562 | 0.2000 | 24 (43.6) |
| 75≤ | 47 (20.6) | 366 | 190-441 | | 31 (56.4) |
| Gender | | | | | |
| Female | 39 (17.1) | 514 | 314-699 | 0.4700 | 13 (23.6) |
| Male | 189 (82.9) | 432 | 359-524 | | 42 (76.4) |
| Smoke | | | | | |
| Never | 65 (30.0) | 524 | 366-624 | 0.4100 | 19 (38.0) |
| Current / Ever | 152 (70.0) | 425 | 327-524 | | 31 (62.0) |
| Missing | 11 | | | | 5 |
| Charlson comorbidity index | | | | | |
| <2 | 205 (89.9) | 461 | 372-533 | 0.5100 | 42 (76.4) |
| 2≤ | 23 (10.1) | 366 | 224-1213 | | 13 (23.6) |
| Asbestos exposure | | | | | |
| No | 28 (12.4) | 710 | 327-1213 | 0.0480 | |
| Yes | 197 (87.6) | 397 | 353-511 | | 14 (26.4) |
| Missing | 3 | | | | 39 (73.6) |
| PS | | | | | 2 |
| 0 | 37 (22.4) | 926 | 524-1372 | 0.0014 | |
| 1≤ | 128 (77.6) | 434 | 362-562 | | 19 (41.3) |
| Missing | 63 | | | | 37 (58.7) |
| Dyspnea | | | | | 9 |
| No | 52 (33.8) | 658 | 524-1030 | 0.0003 | 13 (31.7) |
| Yes | 102 (66.2) | 425 | 319-512 | | 28 (68.3) |
| Missing | 74 | | | | 14 |
| Anorexia | | | | | |
| No | 145 (82.4) | 524 | 432-654 | 0.0001 | 29 (55.8) |

| | | | | | |
|--------------------------|------------|-----|---------|--------|-----------|
| Yes | 31 (17.6) | 296 | 166-373 | | 23 (44.2) |
| Missing | 52 | | | | 3 |
| Chest pain | | | | | |
| No | 58 (39.2) | 648 | 511-926 | 0.0007 | 16 (43.2) |
| Yes | 90 (60.8) | 353 | 263-432 | | 21 (56.8) |
| Missing | 80 | | | | 18 |
| BW loss | | | | | |
| No | 96 (70.7) | 566 | 512-804 | 0.0001 | 20 (60.6) |
| Yes | 41 (30.0) | 299 | 177-425 | | 13 (39.4) |
| Missing | 91 | | | | 22 |
| Fever | | | | | |
| No | 92 (76.7) | 524 | 397-648 | 0.0280 | 38 (92.7) |
| Yes | 28 (23.3) | 353 | 223-518 | | 3 (7.3) |
| Missing | 108 | | | | 14 |
| Histological type | | | | | |
| Epithelial | 149 (65.4) | 545 | 493-640 | 0.0000 | 17 (30.9) |
| Non-epithelial | 79 (34.7) | 277 | 221-330 | | 38 (69.1) |
| Stage | | | | | |
| I-III | 133 (58.3) | 549 | 461-658 | 0.0000 | 30 (54.5) |
| IV | 95 (41.7) | 327 | 242-375 | | 25 (45.5) |
| Regimen | | | | | |
| Platinum plus PEM | 205 (89.9) | 221 | 373-547 | 0.0007 | |
| PEM monotherapy | 23 (10.1) | 499 | 86-425 | | |
| WBC (/μl) | | | | | |
| 8300> | 160 (70.5) | 512 | 391-598 | 0.0400 | 36 (65.5) |
| 8300≤ | 67 (29.5) | 359 | 238-501 | | 19 (34.5) |
| Missing | 1 | | | | |
| Neutro/lymph | | | | | |
| 5> | 182 (82.4) | 445 | 368-549 | 0.0600 | 35 (64.8) |
| 5≤ | 39 (17.7) | 362 | 188-514 | | 19 (35.2) |
| Missing | 7 | | | | 1 |
| Hb (g/dL) | | | | | |
| 10≤ | 218 (96) | 445 | 372-544 | 0.0700 | 45 (81.8) |
| 10> | 9 (4.0) | 224 | 66-526 | | 10 (18.2) |
| Missing | 1 | | | | |

| | | | | | | |
|--------------------------------|------------|-----|---------|--------|------------|--|
| Plt (10⁵/μl) | | | | | | |
| 40> | 188 (82.8) | 461 | 373-549 | 0.0100 | 42 (76.4) | |
| 40≤ | 39 (17.2) | 327 | 176-526 | | 13 (23.6) | |
| Missing | 1 | | | | | |
| ALP (IU/l) | | | | | | |
| Abnormal | 32 (14.9) | 397 | 228-562 | 0.93 | 0 (0.0) | |
| Normal | 183 (85.1) | 441 | 362-544 | | 53 (100.0) | |
| Missing | 13 | | | | 2 | |
| LDH (IU/L) | | | | | | |
| Abnormal | 26 (11.6) | 493 | 375-544 | 0.011 | 11 (20.4) | |
| Normal | 198 (88.4) | 242 | 87-603 | | 43 (79.6) | |
| Missing | 4 | | | | 1 | |
| CRP (mg/dl) | | | | | | |
| 5> | 189 (83.3) | 461 | 373-549 | 0.0076 | 40 (72.7) | |
| 5≤ | 38 (16.7) | 359 | 167-518 | | 15 (27.3) | |
| Missing | 1 | | | | | |
| CEA (ng/ml) | | | | | | |
| 5> | 200 (94.3) | 338 | 156-NE | 0.7800 | 45 (95.7) | |
| 5≤ | 12 (5.7) | 441 | 366-526 | | 2 (4.3) | |
| Missing | 16 | | | | 8 | |
| CYFRA (ng/ml) | | | | | | |
| 3.5> | 162 (75) | 512 | 375-598 | 0.0090 | 22 (48.9) | |
| 3.5≤ | 54 (25) | 368 | 242-445 | | 23 (51.1) | |
| Missing | 12 | | | | 10 | |
| Pleural glucose (mg/dl) | | | | | | |
| 40> | 21(22.3) | 511 | 156-710 | 0.2200 | 10 (30.3) | |
| 40≤ | 73(77.7) | 373 | 319-547 | | 23 (69.7) | |
| Missing | 134 | | | | 22 | |

Abbreviations: N, number; OS, overall survival; SD, standard deviation; CI, confidence interval; BSC, best supportive care; PS, Eastern Cooperative Oncology Group performance status; BW, body weight; PEM, pemetrexed; WBC, white blood cell; Neutro, neutrocyte; Lymph, lymphocyte; Hb, hemoglobin; Plt, platelet; ALP, alkaly phosphatase; LDH, lactate dehydrogenase; CRP, C-reactive protein; CEA, carcinoembryonic antigen; CYFRA, cytokeratin-19 fragment.

Table 2 Backward Cox proportional hazards model

| Clinical factors | HR | 95%CI |
|--------------------------|------|-----------|
| PS | | |
| 0 | 1 | |
| 1≤ | 2.40 | 1.36-4.23 |
| Asbestos exposure | | |
| no | 1 | |
| yes | 1.64 | 0.75-3.58 |
| Histological type | | |
| Epithelial | 1 | |
| Non-epithelial | 2.16 | 1.40-3.32 |
| Regimen | | |
| Platinum doublet | 1 | |
| Pemetrexed only | 3.18 | 1.59-6.39 |
| Stage | | |
| I-III | 1 | |
| IV | 1.57 | 1.03-2.39 |
| LDH | | |
| Normal | 1 | |
| Abnormal | 1.46 | 0.71-2.99 |
| CYFRA (ng/ml) | | |
| 3.5> | 1 | |
| 3.5≤ | 1.10 | 0.69-1.76 |
| WBC (/μl) | | |
| 8300> | 1 | |
| 8300≤ | 1.56 | 0.99-2.45 |

Abbreviations: HR, hazard ratio; CI, confidence interval; PS, Eastern Cooperative Oncology Group performance status; LDH, lactate dehydrogenase; CYFRA, cytokeratin-19 fragment; WBC, white blood cell.

Table 3 Final model

| Clinical factors | HR | 95% CI | Score |
|--------------------------|------|-----------|-------|
| PS | | | |
| 0 | 1 | | 1 |
| 1≤ | 2.06 | 1.22-3.44 | |
| Histological type | | | |
| Epithelial | 1 | | 1 |
| Non-epithelial | 2.15 | 1.41-3.26 | |
| Stage | | | |
| I-III | 1 | | 1 |
| IV | 1.82 | 1.23-2.69 | |
| Regimen | | | |
| Platinum plus PEM | 1 | | 1 |
| PEM monotherapy | 2.25 | 1.16-4.36 | |

Abbreviations: HR, hazard ratio; CI, confidence interval; PS, Eastern

Cooperative Oncology Group performance status; PEM, pemetrexed.

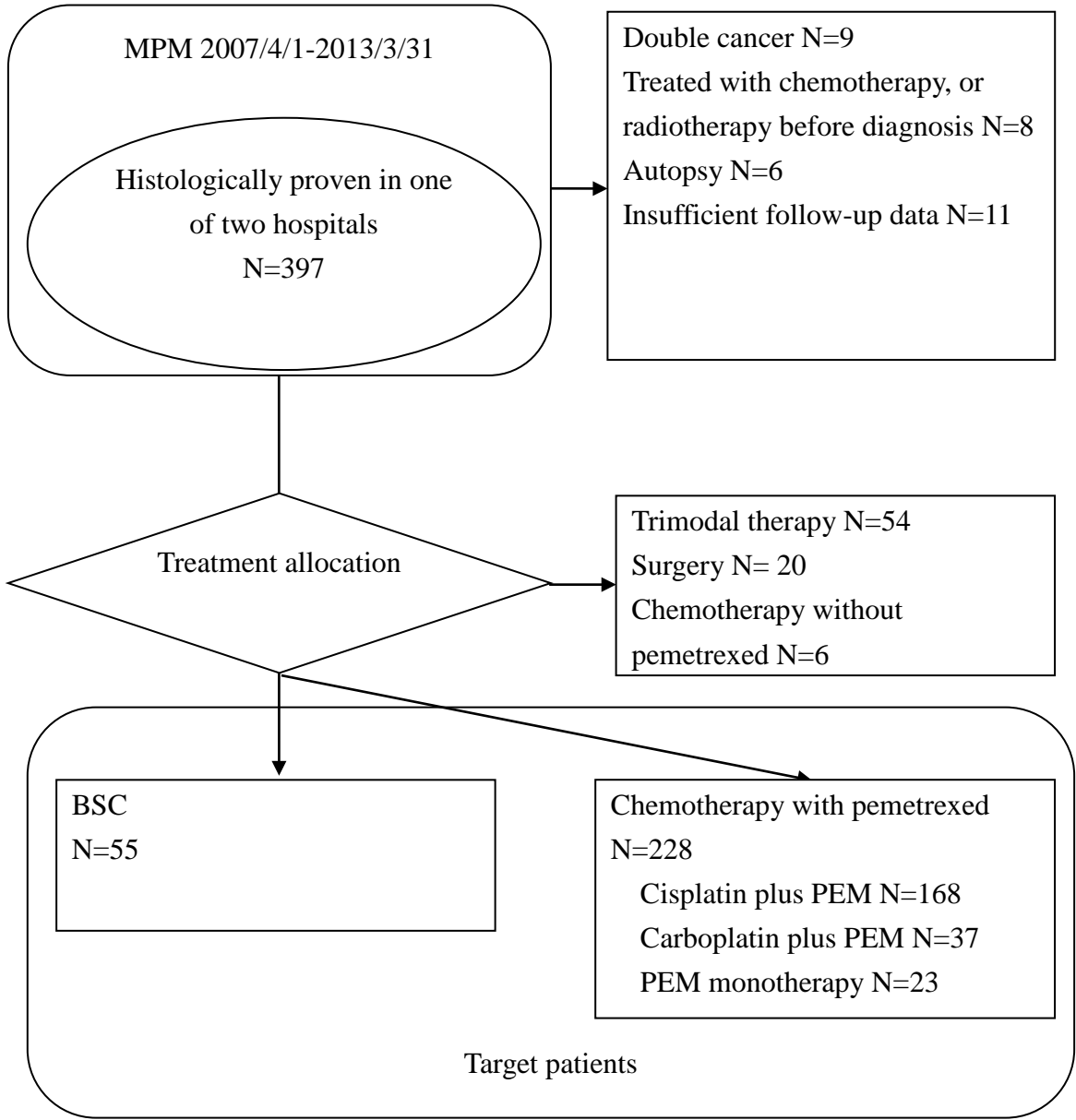
Table 4 The rPHS index for overall survival (sensitivity analysis)

| Chemotherapy | | | | Best supportive care | | |
|--------------|---------|---------------------|--------------------|----------------------|---------------------|-------------------|
| Score | N | Median OS (days) | 95% CI | N | Median OS (days) | 95%CI |
| 0 | 24 (28) | 1030 (926) | 661-1399(598-1253) | | | |
| 1 | 57 (76) | 658 (603) | 444-872 (458-678) | 6 (7) | 573 (573) | 530-616 (477-669) |
| 2 | 56 (79) | 373 (367) | 223-522 (305-429) | 15 (20) | 408 (402) | 178-638 (221-583) |
| 3 | 22 (39) | 327 (240) | 189-465 (133-347) | 11 (20) | 250 (94) | 11-489 (0-228) |
| 4 | 5(6) | 125(48) | 16-234(0-184) | 6 (8) | 26 (34) | 0-103 (0-126) |

rPHS index = (if platinum + PEM 0, otherwise 1) + (if PS 0<, otherwise 0) + (if Histology non-epithelial, otherwise 0) + (if Stage=4, otherwise 0)

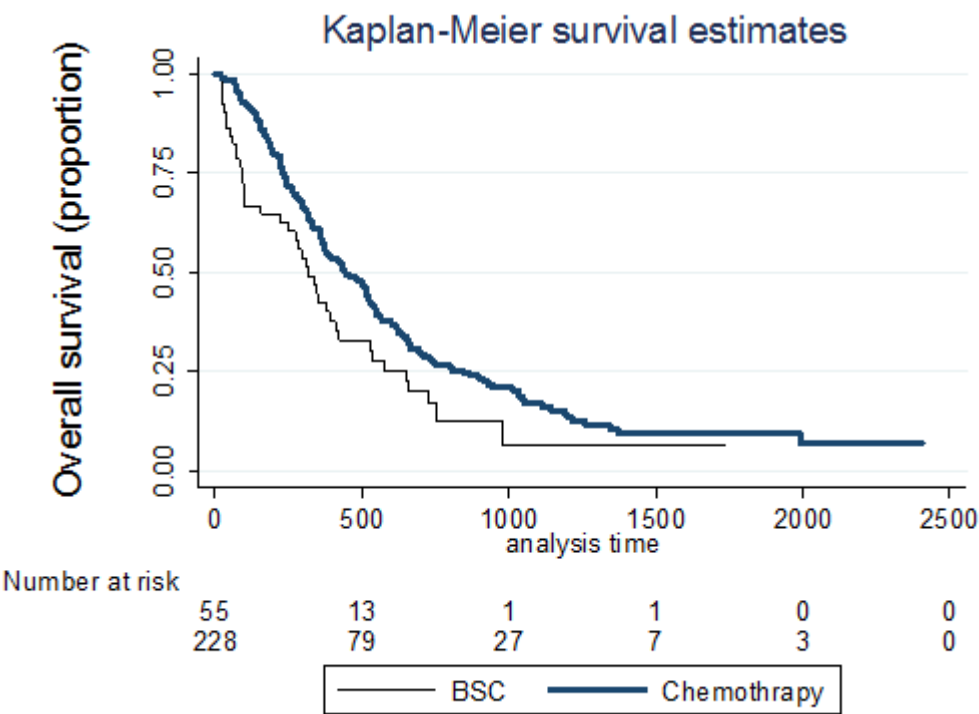
Abbreviations: N, number; OS, overall survival; CI, confidence interval; NE, not estimable; PS, Eastern Cooperative Oncology Group performance status; PEM, pemetrexed.

Figure 1 Patient flow diagram



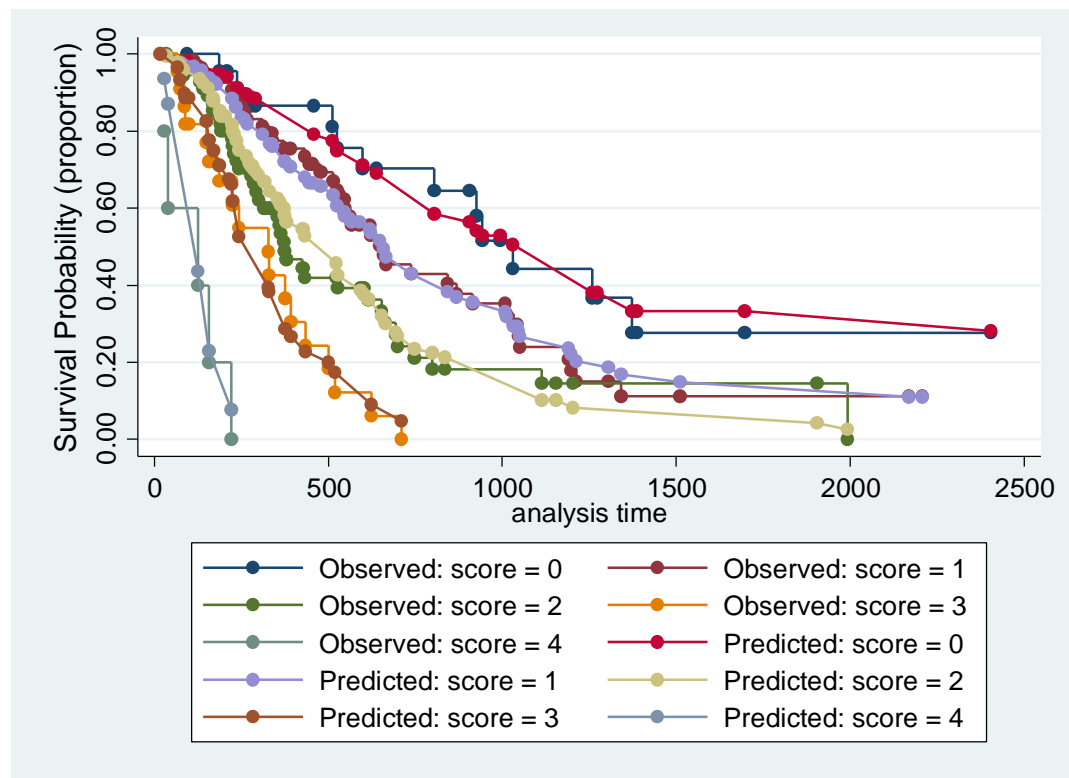
Abbreviations: N, number; MPM, malignant pleural mesothelioma; BSC, best supportive care.

Figure 2 Survival curve (days)



Abbreviations: BSC, best supportive care;

Figure S1 Calibration Kaplan-Meire curve of the rPHS index for chemotherapy group



Abbreviations: BSC, best supportive care;

Figure S2 Cox-Snell Residuals Graph

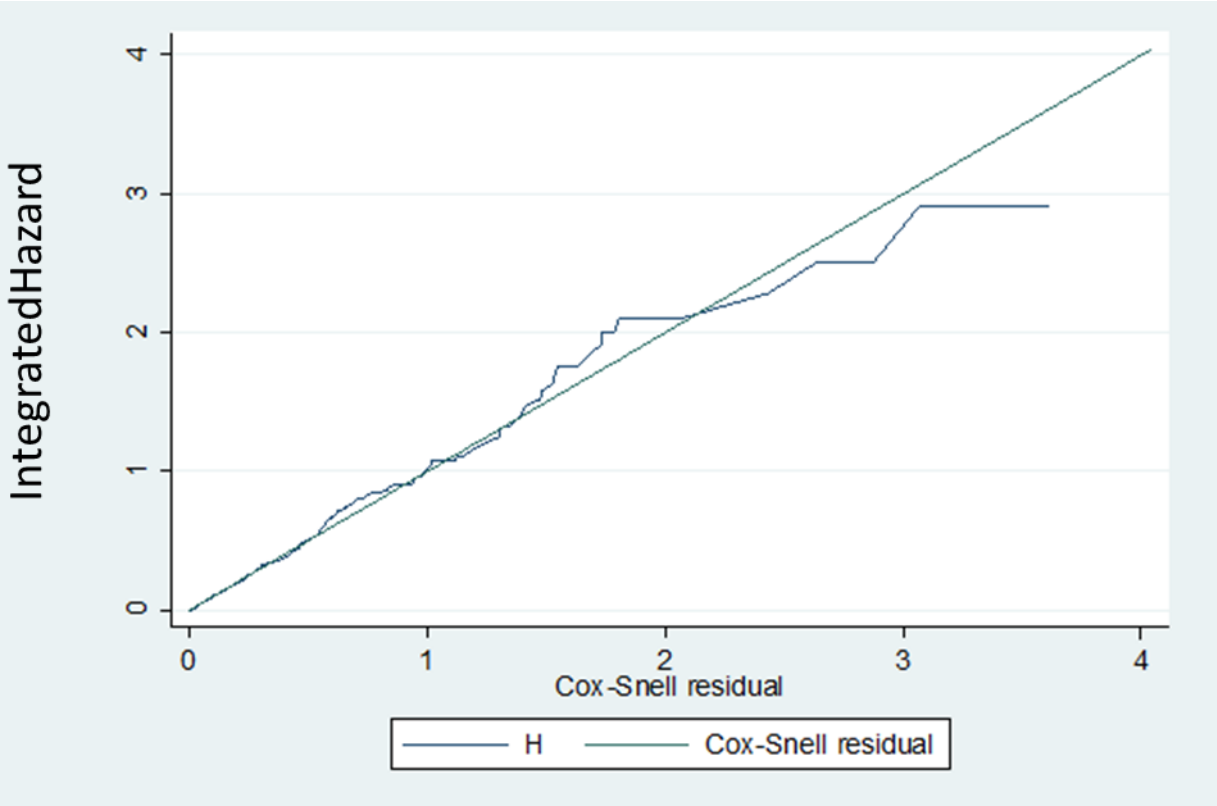


Figure S3 Cumulative hazards curves for the pemetrexed (CTx) group

