# Induced nilpotent orbits and birational geometry

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This exposition is based on two lectures in the conferences at Kinosaki (Oct. 2008), and at Tokyo (Dec. 2008).

### Introduction.

Let G be a complex simple algebraic group and let  $\mathfrak{g}$  be its Lie algebra. A nilpotent orbit  $\mathcal{O}$  in  $\mathfrak{g}$  is an orbit of a nilpotent element of  $\mathfrak{g}$  by the adjoint action of G on  $\mathfrak{g}$ . Then  $\mathcal{O}$  admits a natural symplectic 2-form  $\omega$  and the nilpotent orbit closure  $\overline{\mathcal{O}}$  has symplectic singularities in the sense of [Be] and [Na3] (cf. [Pa], [Hi]). In [Ri], Richardson introduced the notion of so-called the *Richardson orbit*. A nilpotent orbit  $\mathcal{O}$  is called Richardson if there is a parabolic subgroup Q of G such that  $\mathcal{O} \cap n(\mathfrak{q})$  is an open dense subset of  $n(\mathfrak{q})$ , where  $n(\mathfrak{q})$  is the nil-radical of  $\mathfrak{q}$ . Later, Lusztig and Spaltenstein [L-S] generalized this notion to the *induced orbit*. A nilpotent orbit  $\mathcal{O}$  is an induced orbit if there are a parabolic subgroup Q of G and a nilpotent orbit  $\mathcal{O}'$  in the Levi subalgebra  $\mathfrak{l}(\mathfrak{q})$  of  $\mathfrak{q} := \operatorname{Lie}(Q)$  such that  $\mathcal{O}$  meets  $n(\mathfrak{q}) + \mathcal{O}'$  in an open dense subset. If  $\mathcal{O}$  is an induced orbit, one has a natural map (cf. (1.2))

$$\nu: G \times^Q (n(\mathbf{q}) + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}.$$

The map  $\nu$  is a generically finite, projective, surjective map. This map is called the *generalized Springer map*. In this paper, we shall study the induced orbits from the view point of *birational geometry*. For a Richardson orbit  $\mathcal{O}$ , the Springer map  $\nu$  is a map from the cotangent bundle  $T^*(G/Q)$ of the flag variety G/Q to  $\overline{\mathcal{O}}$ . In [Fu], Fu proved that, if  $\overline{\mathcal{O}}$  has a crepant (projective) resolution, it is a Springer map. Note that Q is not unique (even up to the conjugate) for a Richardson orbit  $\mathcal{O}$ . This means that  $\overline{\mathcal{O}}$  has many different crepant resolutions. In [Na], the author has given a description of all crepant resolutions of  $\overline{\mathcal{O}}$  and proved that any two different crepant resolutions are connected by *Mukai flops*. The purpose of this paper is to generalize these to all nilpotent orbits  $\mathcal{O}$ . If  $\mathcal{O}$  is not Richardson,  $\overline{\mathcal{O}}$  has no crepant resolution. The substitute of a crepant resolution, is a **Q**-factorial terminalization. Let X be a complex algebraic variety with rational Gorenstein singularities. A partial resolution  $f: Y \to X$  of X is said to be a **Q**-factorial terminalization of X if Y has only **Q**-factorial terminal singularities and fis a birational projective morphism such that  $K_Y = f^*K_X$ . A **Q**-factorial terminalization is a crepant resolution exactly when Y is smooth. Recently, Birkar-Cascini-Hacon-McKernan [B-C-H-M] have established the existence of minimal models of complex algebraic varieties of general type. As a corollary of this, we know that X always has a **Q**-factorial terminalization. In particular,  $\overline{\mathcal{O}}$  should have a **Q**-factorial terminalization. The author would like to pose the following conjecture.

**Conjecture**. Let  $\mathcal{O}$  be a nilpotent orbit of a complex simple Lie algebra  $\mathfrak{a}$ . Let  $\tilde{\mathcal{O}}$  be the normalization of  $\bar{\mathcal{O}}$ . Then one of the following holds:

(1)  $\tilde{\mathcal{O}}$  has **Q**-factorial terminal singularities.

(2) There are a parabolic subalgebra  $\mathbf{q}$  of  $\mathbf{g}$  with Levi decomposition  $\mathbf{q} = \mathbf{l} \oplus \mathbf{n}$  and a nilpotent orbit  $\mathcal{O}'$  of  $\mathbf{l}$  such that (a):  $\mathcal{O} = \operatorname{Ind}_{\mathbf{l}}^{\mathfrak{g}}(\mathcal{O}')$  and (b): the normalization of  $G \times^{\mathbf{Q}}(n(\mathbf{q}) + \overline{\mathcal{O}}')$  is a Q-factorial terminalization of  $\widetilde{\mathcal{O}}$  via the generalized Springer map.

Moreover, if  $\tilde{\mathcal{O}}$  does not have **Q**-factorial terminal singularities, then every **Q**-factorial terminalization of  $\tilde{\mathcal{O}}$  is of the form (2). Two **Q**-factorial terminalizations are connected by Mukai flops (cf. [Na], p.91).

The main result of this report is that Conjecture is true when  $\mathfrak{g}$  is classical. Recently, Fu checked Conjecture for  $\mathfrak{g}$  exceptional by a case-by-case method using the computer program GAP 4 (arxiv: 0809.5109, version 2). Combining this with our result, Conjecture holds true in full generality. However, a conceptual proof without the classification of nilpotent orbits, is still missing. This is a summary of [Na -1]. For details on proofs, see the original paper [Na -1].

#### §1. Preliminaries

(1.1) Nilpotent orbits and resolutions: Let G be a complex simple algebraic group and let  $\mathfrak{g}$  be its Lie algebra. G has the adjoint action on  $\mathfrak{g}$ . The

orbit  $\mathcal{O}_x$  of a nilpotent element  $x \in \mathfrak{g}$  for this action is called a nilpotent orbit. By the Jacobson-Morozov theorem, one can find a semi-simple element  $h \in \mathfrak{g}$ , and a nilpotent element  $y \in \mathfrak{g}$  in such a way that [h, x] = 2x, [h, y] = -2y and [x, y] = h. For  $i \in \mathbb{Z}$ , let

$$\mathfrak{g}_i := \{z \in \mathfrak{g} \ [h, z] = iz\}.$$

Then one can write

$$\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}_i.$$

Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}$  with  $h \in \mathfrak{h}$ . Let  $\Phi$  be the corresponding root system and let  $\Delta$  be a base of simple roots such that h is  $\Delta$ -dominant, i.e.  $\alpha(h) \geq 0$  for all  $\alpha \in \Delta$ . In this situation,

$$\alpha(h) \in \{0, 1, 2\}.$$

The weighted Dynkin diagram of  $\mathcal{O}_x$  is the Dynkin diagram of  $\mathfrak{g}$  where each vertex  $\alpha$  is labeled with  $\alpha(h)$ . A nilpotent orbit  $\mathcal{O}_x$  is completely determined by its weighted Dynkin diagram. A Jacobson-Morozov parabolic subalgebra for x is the parabolic subalgebra  $\mathfrak{p}$  defined by

$$\mathfrak{p}:=\oplus_{i\geq 0}\mathfrak{g}_i.$$

Let P be the parabolic subgroup of G determined by  $\mathfrak{p}$ . We put

$$\mathfrak{n}_2 := \bigoplus_{i \ge 2} \mathfrak{g}_i.$$

Then  $\mathfrak{n}_2$  is an ideal of  $\mathfrak{p}$ ; hence, P has the adjoint action on  $\mathfrak{n}_2$ . Let us consider the vector bundle  $G \times^P \mathfrak{n}_2$  over G/P and the map

$$\mu: G \times^P \mathfrak{n}_2 \to \mathfrak{g}$$

defined by  $\mu([g, z]) := Ad_g(z)$ . Then the image of  $\mu$  coincides with the closure  $\bar{\mathcal{O}}_x$  of  $\mathcal{O}_x$  and  $\mu$  gives a resolution of  $\bar{\mathcal{O}}_x$  (cf. [K-P], Proposition 7.4). We call  $\mu$  the Jacobson-Morozov resolution of  $\bar{\mathcal{O}}_x$ . The orbit  $\mathcal{O}_x$  has a natural closed non-degenerate 2-form  $\omega$  (cf. [C-G], Prop. 1.1.5., [C-M], 1.3). By  $\mu$ ,  $\omega$  is regarded as a 2-form on a Zariski open subset of  $G \times^P \mathfrak{n}_2$ . By [Pa], [Hi], it extends to a 2-form on  $G \times^P \mathfrak{n}_2$ . In other words,  $\bar{\mathcal{O}}_x$  has symplectic singularity. Let  $\tilde{\mathcal{O}}_x$  be the normalization of  $\bar{\mathcal{O}}_x$ . In many cases, one can check the Q-factoriality of  $\tilde{\mathcal{O}}_x$  by applying the following lemma to the Jacobson-Morozov resolution:

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**Lemma (1.1.1).** Let  $\pi : Y \to X$  be a projective resolution of an affine variety X with rational singularities. Let  $\rho$  be the relative Picard number for  $\pi$ . If  $\text{Exc}(\pi)$  contains  $\rho$  different prime divisors, then X is Q-factorial.

#### (1.2) Induced orbits

(1.2.1). Let G and g be the same as in (1.1). Let Q be a parabolic subgroup of G and let q be its Lie algebra with Levi decomposition  $q = I \oplus n$ . Here n is the nil-radical of q and l is a Levi-part of q. Fix a nilpotent orbit  $\mathcal{O}'$  in  $\mathfrak{l}$ . Then there is a unique nilpotent orbit  $\mathcal{O}$  in g meeting  $n + \mathcal{O}'$  in an open dense subset ([L-S]). Such an orbit  $\mathcal{O}$  is called the nilpotent orbit induced from  $\mathcal{O}'$  and we write

$$\mathcal{O} = \operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}}(\mathcal{O}').$$

Note that when  $\mathcal{O}' = 0$ ,  $\mathcal{O}$  is the Richardson orbit for Q. Since the adjoint action of Q on  $\mathfrak{q}$  stabilizes  $n + \overline{\mathcal{O}}'$ , one can consider the variety  $G \times^Q (n + \overline{\mathcal{O}}')$ . There is a map

$$\nu: G \times^Q (n + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}$$

defined by  $\nu([g, z]) := Ad_g(z)$ . Since  $\operatorname{Codim}_{\mathfrak{l}}(\mathcal{O}') = \operatorname{Codim}_{\mathfrak{g}}(\mathcal{O})$  (cf. [C-M], Prop. 7.1.4),  $\nu$  is a generically finite dominating map. Moreover,  $\nu$  is factorized as

$$G \times^Q (n + \bar{\mathcal{O}}') \to G/Q \times \bar{\mathcal{O}} \to \bar{\mathcal{O}}$$

where the first map is a closed embedding and the second map is the 2-nd projection; this implies that  $\nu$  is a projective map. In the remainder, we call  $\nu$  the generalized Springer map for  $(Q, \mathcal{O}')$ .

(1.2.2). Assume that Q is contained in another parabolic subgroup  $\overline{Q}$  of G. Let  $\overline{L}$  be the Levi part of  $\overline{Q}$  which contains the Levi part L of Q. Let  $\overline{q} = \overline{\mathfrak{l}} \oplus \overline{\mathfrak{n}}$  be the Levi decomposition. Note that  $\overline{L} \cap Q$  is a parabolic subgroup of  $\overline{L}$  and  $\mathfrak{l}(\overline{L} \cap Q) = \mathfrak{l}$ . Let  $\mathcal{O}_1 \subset \overline{\mathfrak{l}}$  be the nilpotent orbit induced from  $(\overline{L} \cap Q, \mathcal{O}')$ . Then there is a natural map

$$\pi: G \times^Q (n + \bar{\mathcal{O}}') \to G \times^Q (\bar{n} + \bar{\mathcal{O}}_1)$$

which factorizes  $\nu$  as  $\bar{\nu} \circ \pi = \nu$ . Here  $\bar{\nu}$  is the generalized Springer map for  $(\bar{Q}, \mathcal{O}_1)$ .

(1.2.3). Assume that there are a parabolic subgroup  $Q_L$  of L and a nilpotent orbit  $\mathcal{O}_2$  in the Levi subalgebra  $\mathfrak{l}(Q_L)$  such that  $\mathcal{O}'$  is the nilpotent orbit induced from  $(Q_L, \mathcal{O}_2)$ . Then there is a parabolic subgroup Q' of G

such that  $Q' \subset Q$ ,  $\mathfrak{l}(Q') = \mathfrak{l}(Q_L)$  and  $\mathcal{O}$  is the nilpotent orbit induced from  $(Q', \mathcal{O}_2)$ . The generalized Springer map  $\nu'$  for  $(Q', \mathcal{O}_2)$  is factorized as

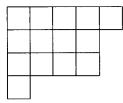
$$G \times^{Q'} (\mathfrak{n}' + \bar{\mathcal{O}}_2) \to G \times^{Q} (\mathfrak{n} + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}.$$

Lemma (1.2.4). Let

$$\nu: G \times^Q (n + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}$$

be a generalized Springer map defined in (1.2.1). Then the normalization of  $G \times^Q (n + \overline{\mathcal{O}}')$  is a symplectic variety.

(1.3) Nilpotent orbits in classical Lie algebras: When  $\mathfrak{g}$  is a classical Lie algebra,  $\mathfrak{g}$  is naturally a Lie subalgebra of  $\operatorname{End}(V)$  for a complex vector space V. Then we can attach a partition  $\mathbf{d}$  of  $n := \dim V$  to each orbit as the Jordan type of an element contained in the orbit. Here a partition  $\mathbf{d} := [d_1, d_2, ..., d_k]$  of n is a set of positive integers with  $\Sigma d_i = n$  and  $d_1 \ge d_2 \ge ... \ge d_k$ . Another way of writing  $\mathbf{d}$  is  $[d_1^{s_1}, ..., d_k^{s_k}]$  with  $d_1 > d_2 ... > d_k > 0$ . Here  $d_i^{s_i}$  is an  $s_i$  times  $d_i$ 's:  $d_i, d_i, ..., d_i$ . The partition  $\mathbf{d}$  corresponds to a Young diagram. For example,  $[5, 4^2, 1]$  corresponds to



When an integer e appears in the partition  $\mathbf{d}$ , we say that e is a *member* of  $\mathbf{d}$ . We call  $\mathbf{d}$  very even when  $\mathbf{d}$  consists with only even members, each having even multiplicity.

Let us denote by  $\epsilon$  the number 1 or -1. Then a partition **d** is  $\epsilon$ -admissible if all even (resp. odd) members of **d** have even multiplicities when  $\epsilon = 1$  (resp.  $\epsilon = -1$ ). The following result can be found, for example, in [C-M, §5].

**Proposition (1.3.1)** Let  $\mathcal{N}o(\mathfrak{g})$  be the set of nilpotent orbits of  $\mathfrak{g}$ .

(1) $(A_{n-1})$ : When  $\mathfrak{g} = \mathfrak{sl}(n)$ , there is a bijection between  $\mathcal{N}o(\mathfrak{g})$  and the set of partitions  $\mathbf{d}$  of n.

(2)( $B_n$ ): When  $\mathfrak{g} = \mathfrak{so}(2n+1)$ , there is a bijection between  $\mathcal{N}o(\mathfrak{g})$  and the set of  $\epsilon$ -admissible partitions  $\mathfrak{d}$  of 2n+1 with  $\epsilon = 1$ .

(3)( $C_n$ ): When  $\mathfrak{g} = \mathfrak{sp}(2n)$ , there is a bijection between  $\mathcal{N}o(\mathfrak{g})$  and the set of  $\epsilon$ -admissible partitions  $\mathbf{d}$  of 2n with  $\epsilon = -1$ .

(4)( $D_n$ ): When  $g = \mathfrak{so}(2n)$ , there is a surjection f from  $\mathcal{N}o(g)$  to the set of  $\epsilon$ -admissible partitions  $\mathbf{d}$  of 2n with  $\epsilon = 1$ . For a partition  $\mathbf{d}$  which is not very even,  $f^{-1}(\mathbf{d})$  consists of exactly one orbit, but, for very even  $\mathbf{d}$ ,  $f^{-1}(\mathbf{d})$  consists of exactly two different orbits.

Take an  $\epsilon$ -admissible partition  $\mathbf{d}$  of a positive integer m. If  $\epsilon = 1$ , we put  $\mathbf{g} = so(m)$  and if  $\epsilon = -1$ , we put  $\mathbf{g} = sp(m)$ . We denote by  $\mathcal{O}_{\mathbf{d}}$  a nilpotent orbit in  $\mathbf{g}$  with Jordan type  $\mathbf{d}$ . Note that, except when  $\epsilon = 1$  and  $\mathbf{d}$  is very even,  $\mathcal{O}_{\mathbf{d}}$  is uniquely determined. When  $\epsilon = 1$  and  $\mathbf{d}$  is very even, there are two possibilities for  $\mathcal{O}_{\mathbf{d}}$ . If necessary, we distinguish the two orbits by the labelling:  $\mathcal{O}_{\mathbf{d}}^{I}$  and  $\mathcal{O}_{\mathbf{d}}^{II}$ . Let us fix a classical Lie algebra  $\mathbf{g}$  and study the relationship among nilpotent orbits in  $\mathbf{g}$ . When  $\mathbf{g}$  is of type B or D (resp. C), we only consider the  $\epsilon$ -admissible partitions with  $\epsilon = 1$  (resp.  $\epsilon = -1$ ). We introduce a partial order in the set of the partitions of (the same number): for two partitions  $\mathbf{d}$  and  $\mathbf{f}$ ,  $\mathbf{d} \geq \mathbf{f}$  if  $\sum_{i \leq k} d_i \geq \sum_{i \leq k} f_i$  for all  $k \geq 1$ . On the other hand, for two nilpotent orbits  $\mathcal{O}$  and  $\mathcal{O}'$  in  $\mathbf{g}$ , we write  $\mathcal{O} \geq \mathcal{O}'$  if  $\mathcal{O}' \subset \overline{\mathcal{O}}$ . Then,  $\mathcal{O}_{\mathbf{d}} \geq \mathcal{O}_{\mathbf{f}}$  if and only if  $\mathbf{d} \geq \mathbf{f}$ . When  $\mathbf{d}$  and  $\mathbf{f}$  are  $\epsilon$ -admissible partitions with  $\mathbf{f} \geq \mathbf{g}$ , we call this pair an  $\epsilon$ -degeneration or simply a degeneration.

Now let us consider the case  $\mathfrak{g}$  is of type B, C or D.

Assume that an  $\epsilon$ - degeneration  $\mathbf{d} \geq \mathbf{f}$  is minimal in the sense that there is no  $\epsilon$ -admissible partition  $\mathbf{d}'$  (except  $\mathbf{d}$  and  $\mathbf{f}$ ) such that  $\mathbf{d} \geq \mathbf{d}' \geq \mathbf{f}$ . Kraft and Procesi [K-P] have studied the normal slice  $N_{\mathbf{d},\mathbf{f}}$  of  $\mathcal{O}_{\mathbf{f}} \subset \overline{\mathcal{O}}_{\mathbf{d}}$  in such cases. If, for two integers r and s, the first r rows and the first s columns of  $\mathbf{d}$  and  $\mathbf{f}$  coincide and the partition  $(d_1, \dots, d_r)$  is  $\epsilon$ -admissible, then one can erase these rows and columns from  $\mathbf{d}$  and  $\mathbf{f}$  respectively to get new partitions  $\mathbf{d}'$  and  $\mathbf{f}'$  with  $\mathbf{d}' \geq \mathbf{f}'$ . If we put  $\epsilon' := (-1)^s \epsilon$ , then  $\mathbf{d}'$  and  $\mathbf{f}'$  are both  $\epsilon'$ -admissible. The pair  $(\mathbf{d}', \mathbf{f}')$  is also minimal. Repeating such process, one can reach a degeneration  $\mathbf{d}_{irr} \geq \mathbf{f}_{irr}$  which is *irreducible* in the sense that there are no rows and columns to be erased. By [K-P], Theorem 2,  $N_{\mathbf{d},\mathbf{f}}$  is analytically isomorphic to  $N_{\mathbf{d}_{irr},\mathbf{f}_{irr}}$  around the origin. According to [K-P], a minimal and irreducible degeneration  $\mathbf{d} \geq \mathbf{f}$  is one of the following:

a: g = sp(2), d = (2), and  $f = (1^2)$ .

b: 
$$g = sp(2n)$$
  $(n > 1)$ ,  $d = (2n)$ , and  $f = (2n - 2, 2)$ .

c: g = so(2n + 1) (n > 0), d = (2n + 1), and  $f = (2n - 1, 1^2)$ .

d:  $\mathfrak{g} = sp(4n+2)$  (n > 0),  $\mathbf{d} = (2n+1, 2n+1)$ , and  $\mathbf{f} = (2n, 2n, 2)$ .

- e:  $\mathfrak{g} = so(4n)$  (n > 0),  $\mathbf{d} = (2n, 2n)$ , and  $\mathbf{f} = (2n 1, 2n 1, 1^2)$ .
- f:  $\mathfrak{g} = so(2n+1)$  (n > 1),  $\mathbf{d} = (2^2, 1^{2n-3})$ , and  $\mathbf{f} = (1^{2n+1})$ .
- g:  $\mathfrak{g} = sp(2n)$  (n > 1),  $\mathbf{d} = (2, 1^{2n-2})$ , and  $\mathbf{f} = (1^{2n})$ .
- h: g = so(2n) (n > 2),  $d = (2^2, 1^{2n-4})$ , and  $f = (1^{2n})$ .

In the first 4 cases (a,b,c,d,e),  $\mathcal{O}_{\mathbf{f}}$  have codimension 2 in  $\overline{\mathcal{O}}_{\mathbf{d}}$ . In the last 3 cases (f,g,h),  $\mathcal{O}_{\mathbf{f}}$  have codimension  $\geq 4$  in  $\overline{\mathcal{O}}_{\mathbf{d}}$ .

**Proposition (1.3.2)** Let  $\mathcal{O}$  be a nilpotent orbit in a classical Lie algebra g of type B, C or D with Jordan type  $\mathbf{d} := [(d_1)^{s_1}, ..., (d_k)^{s_k}]$   $(d_1 > d_2 > ... > d_k)$ . Let  $\Sigma$  be the singular locus of  $\overline{\mathcal{O}}$ . Then  $\operatorname{Codim}_{\overline{\mathcal{O}}}(\Sigma) \ge 4$  if and only if the partition  $\mathbf{d}$  has full members, that is, any integer j with  $1 \le j \le d_1$  is a member of  $\mathbf{d}$ . Otherwise,  $\operatorname{Codim}_{\overline{\mathcal{O}}}(\Sigma) = 2$ .

(1.4.1) Jacobson-Morozov resolutions in the case of classical Lie algebras(cf. [CM], 5.3): Let V be a complex vector space of dimension m with a non-degenerate symmetric (or skew-symmetric) form  $\langle , \rangle$ . In the symmetric case, we take a basis  $\{e_i\}_{1 \le i \le m}$  of V in such a way that  $\langle e_j, e_k \rangle = 1$ if j + k = m + 1 and otherwise  $\langle e_j, e_k \rangle = 0$ . In the skew-symmetric case, we take a basis  $\{e_i\}_{1 \le i \le m}$  of V in such a way that  $\langle e_j, e_k \rangle = 1$  if j < kand j + k = m + 1, and  $\langle e_j, e_k \rangle = 0$  if  $j + k \neq m + 1$ . When  $(V, \langle , \rangle)$ is a symmetric vector space,  $\mathfrak{g} := so(V)$  is the Lie algebra of type  $B_{(m-1)/2}$ (resp.  $D_{m/2}$ ) if m is odd (resp. even). When (V, <, >) is a skew-symmetric vector space,  $\mathbf{g} := sp(V)$  is the Lie algebra of type  $C_{m/2}$ . In the remainder of this paragraph,  $\mathfrak{g}$  is one of these Lie algebra contained in  $\operatorname{End}(V)$ . Let  $\mathfrak{h} \subset \mathfrak{g}$ be the Cartan subalgebra consisting of all diagonal matrices, and let  $\Delta$  be the standard base of simple roots. Let  $x \in \mathfrak{g}$  be a nilpotent element. As in (1.1), one can choose h,  $y \in \mathfrak{g}$  in such a way that  $\{x, y, h\}$  is a  $\mathfrak{sl}(2)$ -triple. If necessary, by replacing x by its conjugate element, one may assume that  $h \in \mathfrak{h}$  and h is  $\Delta$ -dominant. Assume that x has Jordan type  $\mathbf{d} = [d_1, \dots, d_k]$ . The diagonal matrix h is described as follows. Let us consider the sequence of integers of length m:

 $d_1 - 1, d_1 - 3, ..., -d_1 + 3, -d_1 + 1, d_2 - 1, d_2 - 3, ..., -d_2 + 3, -d_2 + 1, ..., d_k - 1, d_k - 3, ..., -d_k + 3, -d_k + 1.$ 

Rearrange this sequence in the non-increasing order and get a new sequence  $p_1^{t_1}, ..., p_l^{t_l}$  with  $p_1 > p_2 ... > p_l$  and  $\Sigma t_i = m$ . Then

$$h = \operatorname{diag}(p_1^{t_1}, ..., p_l^{t_l}).$$

Here  $p_i^{t_i}$  means the  $t_i$  times of  $p_i$ 's:  $p_i, p_i, \dots, p_i$ . It is then easy to describe

explicitly the Jacobson-Morozov parabolic subalgebra  $\mathfrak{p}$  of x and its ideal  $\mathfrak{n}_2$ (cf. (1.1)). The Jacobson-Morozov parabolic subgroup P is the stabilizer group of certain isotropic flag  $\{F_i\}_{1 \leq i \leq r}$  of V. Here, an isotropic flag of V(of length r) is a increasing filtration  $0 \subset F_1 \subset F_2 \subset ... \subset F_r \subset V$  such that  $F_{r+1-i} = F_i^{\perp}$  for all i. The flag type of P is  $(t_1, ..., t_l)$ . The nilradical  $\mathfrak{n} := \bigoplus_{i>0}\mathfrak{g}_i$  of  $\mathfrak{p}$  consists of the elements z of  $\mathfrak{g}$  such that  $z(F_i) \subset F_{i-1}$  for all i. On the other hand, it depends on the weighted Dynkin diagram for x how  $\mathfrak{n}_2$  takes its place in  $\mathfrak{n}$ .

**Lemma (1.4.2)** Assume that **d** has full members. For each minimal  $\epsilon$ -degeneration  $\mathbf{d} \geq \mathbf{f}$ , the fiber  $\mu^{-1}(\mathcal{O}_{\mathbf{f}})$  has codimension 1 in  $G \times^{P} \mathfrak{n}_{2}$ .

**Corollary (1.4.3)** Assume that **d** is an  $\epsilon$ -admissible partition and it has full members. Let  $\tilde{\mathcal{O}}_{\mathbf{d}}$  be the normalization of  $\bar{\mathcal{O}}_{\mathbf{d}}$ . Then,  $\tilde{\mathcal{O}}_{\mathbf{d}}$  has only **Q**-factorial termainal singularities except when  $\mathbf{g} = so(4n + 2)$ ,  $n \geq 1$  and  $\mathbf{d} = [2^{2n}, 1^2]$ .

*Proof.* Let k be the maximal member of **d**. Then there are k-1 minimal degenerations  $\mathbf{d} \geq \mathbf{f}$ . By Lemma (1.4.2),  $\operatorname{Exc}(\mu)$  contains at least k-1irreducible divisors. When  $\epsilon = 1$  (i.e.,  $\mathfrak{g} = so(V)$ ) and there is a minimal degeneration  $d \ge f$  with f very even, there are two nilpotent orbits with Jordan type **f**. Thus, in this case,  $Exc(\mu)$  contains at least k irreducible divisors. On the other hand, for the Jacobson-Morozov parabolic subgroup  $P, b_2(G/P) = k - 1$  when  $\mathfrak{g} = sp(V)$ , or  $\mathfrak{g} = so(V)$  with dim V odd. When  $\mathfrak{g} = so(V)$  and dim V is even, we must be careful; if the flag type of P is of the form  $(p_1, ..., p_{k-1}; 2; p_{k-1}, ..., p_1), b_2(G/P) = k$ . This happens when dim V = 4n + 2 and d =  $[2^{2n}, 1^2]$  or when dim V = 8m + 4n + 4 and  $\mathbf{d} = [4^{2m}, 3, 2^{2n}, 1]$ . In the latter case,  $\mathbf{d}$  has a minimal degeneration  $\mathbf{d} \ge \mathbf{f}$ with  $\mathbf{f} = [4^{2m}, 2^{2n+2}]$ , which is very even. Note that  $b_2(G/P)$  coincides with the relative Picard number  $\rho$  of the Jacobson-Morozov resolution. By these observations, we know that  $\mu$  has at least  $\rho$  exceptional divisors except when  $\mathfrak{g} = so(4n+2), n \geq 1$  and  $\mathbf{d} = [2^{2n}, 1^2]$ . Therefore,  $\tilde{\mathcal{O}}_{\mathbf{d}}$  are Q-factorial in these cases. By (1.3.2) they have terminal singularities. When g = so(4n+2),  $n \geq 1$  and  $\mathbf{d} = [2^{2n}, 1^2]$ ,  $\mathcal{O}_{\mathbf{d}}$  is a Richardson orbit and the Springer map gives a small resolution of  $\mathcal{O}_d$ . Therefore,  $\mathcal{O}_d$  has non-Q-factorial terminal singularities.

(1.5) Induced orbits in classical Lie algebras: Let  $\mathbf{d} = [d_1^{s_1}, ..., d_k^{s_k}]$  be an  $\epsilon$ -admissible partition of m. According as  $\epsilon = 1$  or  $\epsilon = -1$ , we put G = SO(m) or G = Sp(m) respectively. Assume that  $\mathbf{d}$  does not have full members. In

other words, for some  $p, d_p \geq d_{p+1} + 2$  or  $d_k \geq 2$ . We put  $r = \sum_{1 \leq j \leq p} s_j$ . Then  $\mathcal{O}_{\mathbf{d}}$  is an induced orbit (cf. [C-M], 7.3). More explicitly, there are a parabolic subgroup Q of G with (isotropic) flag type (r, m - 2r, r) with Levi decomposition  $\mathbf{q} = \mathfrak{l} \oplus \mathbf{n}$ , and a nilpotent orbit  $\mathcal{O}'$  of  $\mathfrak{l}$  such that  $\mathcal{O}_{\mathbf{d}} =$ Ind $\mathfrak{g}(\mathcal{O}')$ . Here,  $\mathfrak{l}$  has a direct sum decomposition  $\mathfrak{l} = \mathfrak{gl}(r) \oplus \mathfrak{g}'$ , where  $\mathfrak{g}'$  is a simple Lie algebra of type  $B_{(m-2r-1)/2}$  (resp.  $D_{(m-2r)/2}$ , resp.  $C_{(m-2r)/2}$ ) when  $\epsilon = 1$  and m is odd (resp.  $\epsilon = 1$  and m is even, resp.  $\epsilon = -1$ ). Moreover,  $\mathcal{O}'$  is a nilpotent orbit of  $\mathfrak{g}'$  with Jordan type  $[(d_1-2)^{s_1}, ..., (d_p-2)^{s_p}, d_{p+1}^{s_{p+1}}, ..., d_k^{s_k}]$ . Let us consider the generalized Springer map

$$\nu: G \times^Q (n(\mathbf{q}) + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}_{\mathbf{d}}$$

(cf. (1.2)).

**Lemma (1.5.1)**. The map  $\nu$  is birational. In other words, deg( $\nu$ ) = 1.

#### §2. Main Results

(2.1) Let X be a complex algebraic variety with rational Gorenstein singularities. A partial resolution  $f: Y \to X$  of X is said to be a **Q**-factorial terminalization of X if Y has only **Q**-factorial terminal singularities and f is a birational projective morphism such that  $K_Y = f^*K_X$ . In particular, when Y is smooth, f is called a crepant resolution. In general, X has no crepant resolution; however, by [B-C-H-M], X always has a **Q**-factorial terminalization. But, in our case, the **Q**-factorial terminalization can be constructed very explicitly without using the general theory in [B-C-H-M].

**Proposition (2.1.1)**. Let  $\mathcal{O}$  be a nilpotent orbit of a classical simple Lie algebra  $\mathfrak{g}$ . Let  $\tilde{\mathcal{O}}$  be the normalization of  $\bar{\mathcal{O}}$ . Then one of the following holds: (1)  $\tilde{\mathcal{O}}$  has Q-factorial terminal singularities.

(2) There are a parabolic subalgebra  $\mathfrak{q}$  of  $\mathfrak{g}$  with Levi decomposition  $\mathfrak{q} = \mathfrak{l} \oplus \mathfrak{n}$  and a nilpotent orbit  $\mathcal{O}'$  of  $\mathfrak{l}$  such that (a):  $\mathcal{O} = \operatorname{Ind}_{\mathfrak{l}}^{\mathfrak{g}}(\mathcal{O}')$  and (b): the normalization of  $G \times^{Q}(n(\mathfrak{q}) + \overline{\mathcal{O}}')$  is a Q-factorial terminalization of  $\overline{\mathcal{O}}$  via the generalized Springer map.

**Proof.** When  $\mathfrak{g}$  is of type A, every  $\tilde{\mathcal{O}}$  has a Springer resolution; hence (2) always holds. Let us consider the case  $\mathfrak{g}$  is of B, C or D. Assume that (1) does not hold. Then, by (1.4.3), the Jordan type  $\mathbf{d}$  of  $\mathcal{O}$  does not have full members except when  $\mathfrak{g} = so(4n + 2)$ ,  $n \geq 1$  and  $\mathbf{d} = [2^{2n}, 1^2]$ . In the exceptional case,  $\mathcal{O}$  is a Richardson orbit and the Springer map gives a

crepant resolution of  $\tilde{\mathcal{O}}$ ; hence (2) holds. Now assume that d does not have full members. Then, by (1.5),  $\mathcal{O}$  is an induced nilpotent orbit and there is a generalized Springer map

$$\nu: G \times^Q (n(\mathbf{q}) + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}.$$

This map is birational by (1.5.1). Let us consider the orbit  $\mathcal{O}'$  instead of  $\mathcal{O}$ . If (1) holds for  $\mathcal{O}'$ , then  $\nu$  induces a Q-factorial terminalization of  $\tilde{\mathcal{O}}$ . If (1) does not hold for  $\mathcal{O}'$ , then  $\mathcal{O}'$  is an induced orbit. By (1.2.3), one can replace Q with a smaller parabolic subgroup Q' in such a way that  $\mathcal{O}$  is induced from  $(Q', \mathcal{O}_2)$  for some nilpotent orbit  $\mathcal{O}_2 \subset \mathfrak{l}(Q')$ . The generalized Springer map  $\nu'$  for  $(Q', \mathcal{O}_2)$  is factorized as

$$G \times^{Q'} (\mathfrak{n}' + \bar{\mathcal{O}}_2) \to G \times^{Q} (\mathfrak{n} + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}.$$

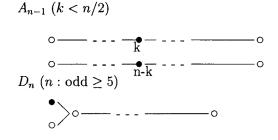
The second map is birational as explained above. The first map is locally obtained by a base change of the generalized Springer map

$$L(Q) \times^{L(Q) \cap Q'} (\mathfrak{n}(L(Q) \cap Q') + \bar{\mathcal{O}}_2) \to \bar{\mathcal{O}}'.$$

This map is birational by (1.5.1). Therefore, the first map is also birational, and  $\nu'$  is birational. This induction step terminates and (2) finally holds.

(2.2) We shall next show that every Q-factorial terminalization of  $\tilde{\mathcal{O}}$  is of the form in Proposition (2.1.1) except when  $\tilde{\mathcal{O}}$  itself has Q-factorial terminal singularities. In order to do that, we need the following Proposition.

**Proposition (2.2.1).** Let  $\mathcal{O}$  be a nilpotent orbit of a classical simple Lie algebra  $\mathfrak{g}$ . Assume that a  $\mathbb{Q}$ -factorial terminalization of  $\tilde{\mathcal{O}}$  is given by the normalization of  $G \times^Q (n(\mathfrak{q}) + \bar{\mathcal{O}}'))$  for some  $(Q, \mathcal{O}')$  as in (2.1.1). Assume that Q is a maximal parabolic subgroup of G (i.e.  $b_2(G/Q) = 1$ ), and this  $\mathbb{Q}$ -factorial terminalization is small. Then Q is a parabolic subgroup corresponding to one of the following marked Dynkin diagrams and  $\mathcal{O}' = 0$ :



The following is the main theorem:

**Theorem (2.2.2).** Let  $\mathcal{O}$  be a nilpotent orbit of a classical simple Lie algebra  $\mathfrak{g}$ . Then  $\tilde{\mathcal{O}}$  always has a  $\mathbb{Q}$ -factorial terminalization. If  $\tilde{\mathcal{O}}$  itself does not have  $\mathbb{Q}$ -factorial terminal singularities, then every  $\mathbb{Q}$ -factorial terminalization is given by the normalization of  $G \times^{\mathbb{Q}} (n(\mathfrak{q}) + \tilde{\mathcal{O}}'))$  in (2.1.1). Moreover, any two such  $\mathbb{Q}$ -factorial terminalizations are connected by a sequence of Mukai flops of type A or D defined in [Na], pp. 91, 92.

*Proof.* The first statement is nothing but (2.1.1). The proof of the second statement is quite similar to that of [Na], Theorem 6.1. Assume that  $\tilde{\mathcal{O}}$  does not have **Q**-factorial terminal singularities. Then, by (2.1.1), one can find a generalized Springer (birational) map

$$\nu: G \times^Q (n(\mathfrak{q}) + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}.$$

Let  $X_Q$  be the normalization of  $G \times^Q (n(\mathfrak{q}) + \overline{O'})$ . Then  $\nu$  induces a **Q**factorial terminalization  $f: X_Q \to \widetilde{O}$ . The relative nef cone  $\overline{\operatorname{Amp}}(f)$  is a rational, simplicial, polyhedral cone of dimension  $b_2(G/Q)$  (cf. (1.2.2) and [Na], Lemma 6.3). Each codimension one face F of  $\overline{\operatorname{Amp}}(f)$  corresponds to a birational contraction map  $\phi_F: X_Q \to Y_Q$ . The construction of  $\phi_F$ is as follows. The parabolic subgroup Q corresponds to a marked Dynkin diagram D. In this diagram, there are exactly  $b_2(G/Q)$  marked vertexes. Choose a marked vertex v from D. The choice of v determines a codimension one face F of  $\overline{\operatorname{Amp}}(f)$ . Let  $D_v$  be the maximal, connected, single marked Dynkin subdiagram of D which contains v. Let  $\overline{D}$  be the marked Dynkin diagram obtained from D by erasing the marking of v. Let  $\overline{Q}$  be the parabolic subgroup of G corresponding to  $\overline{D}$ . Then, as in (1.2.2), we have a map

$$\pi: G \times^Q (\mathfrak{n} + \bar{\mathcal{O}}') \to G \times^Q (\bar{\mathfrak{n}} + \bar{\mathcal{O}}_1).$$

Let  $Y_Q$  be the normalization of  $G \times \overline{Q}$  ( $\overline{\mathbf{n}} + \overline{\mathcal{O}}_1$ ). Then  $\pi$  induces a birational map  $X_Q \to Y_Q$ . This is the map  $\phi_F$ . Note that  $\pi$  is locally obtained by a base change of the generalized Springer map

$$L(\bar{Q}) \times^{L(Q) \cap Q} (\mathfrak{n}(L(\bar{Q}) \cap Q) + \bar{\mathcal{O}}') \to \bar{\mathcal{O}}_1.$$

Let  $Z(\mathfrak{l}(\mathfrak{q}))$  (resp.  $Z(\mathfrak{l}(\bar{\mathfrak{q}}))$ ) be the center of  $\mathfrak{l}(\mathfrak{q})$  (resp.  $\mathfrak{l}(\bar{\mathfrak{q}})$ ). By the definition of  $\bar{Q}$ , the simple factors of  $\mathfrak{l}(\bar{\mathfrak{q}})/Z(\mathfrak{l}(\bar{\mathfrak{q}}))$  are common to those of  $\mathfrak{l}(\mathfrak{q})/Z(\mathfrak{l}(\mathfrak{q}))$ 

except one factor, say  $\mathfrak{m}$ . Put  $\mathcal{O}'' := \mathcal{O}' \cap \mathfrak{m}$ . By (2.2.1),  $\pi$  (or  $\phi_F$ ) is a small birational map if and only if  $\mathcal{O}'' = 0$  and  $D_v$  is one of the single Dynkin diagrams listed in (2.2.1). In this case, one can make a new marked Dynkin diagram D' from D by replacing  $D_v$  by its dual  $D_v^*$  (cf. [Na], Definition 1). Let Q' be the parabolic subgroup of G corresponding to D'. We may assume that Q and Q' are both contained in  $\overline{Q}$ . The Levi part of Q' is conjugate to that of Q; hence there is a nilpotent orbit in  $\mathfrak{l}(\mathfrak{q}')$  corresponding to  $\mathcal{O}'$ . We denote this orbit by the same  $\mathcal{O}'$ . Then  $\mathcal{O}$  is induced from  $(Q', \mathcal{O}')$ . As above, let  $X_{Q'}$  be the normalization of  $G \times^Q (n(\mathfrak{q}') + \overline{\mathcal{O}}')$ . Then we have a birational map  $\phi'_F : X_{Q'} \to Y_Q$ . The diagram

$$X_Q \to Y_Q \leftarrow X_{Q'}$$

is a flop. Assume that  $g: X \to \tilde{\mathcal{O}}$  is a Q-factorial terminalization. Then, the natural birational map  $X \to X_Q$  is an isomorphism in codimension one. Let L be a g-ample line bundle on X and let  $L_0 \in \operatorname{Pic}(X_Q)$  be its proper transform of L by this birational map. If  $L_0$  is f-nef, then  $X = X_Q$  and f = g. Assume that  $L_0$  is not f-nef. Then one can find a codimension one face Fof  $\overline{\operatorname{Amp}}(f)$  which is negative with respect to  $L_0$ . Since  $L_0$  is f-movable, the birational map  $\phi_F: X_Q \to Y_Q$  is small. Then, as seen above, there is a new (small) birational map  $\phi'_F: X_{Q'} \to Y_Q$ . Let  $f': X_{Q'} \to \tilde{\mathcal{O}}$  be the composition of  $\phi'_F$  with the map  $Y_Q \to \tilde{\mathcal{O}}$ . Then f' is a Q-factorial terminanization of  $\tilde{\mathcal{O}}$ . Replace f by this f' and repeat the same procedure; but this procedure ends in finite times (cf. [Na], Proof of Theorem 6.1 on pp. 104, 105). More explicitly, there is a finite sequence of Q-factorial terminalizations of  $\tilde{\mathcal{O}}$ :

$$X_0(:=X_Q) - - \rightarrow X_1(:=X_{Q'}) - - \rightarrow \dots - - \rightarrow X_k(=X_{Q_k})$$

such that  $L_k \in \operatorname{Pic}(X_k)$  is  $f_k$ -nef. This means that  $X = X_{Q_k}$ .

**Example (2.3).** We put G = SP(12). Let  $\mathcal{O}$  be the nilpotent orbit in sp(12) with Jordan type  $[6, 3^2]$ . Let  $Q_1 \subset G$  be a parabolic subgroup with flag type (3, 6, 3). The Levi part  $\mathfrak{l}_1$  of  $\mathfrak{q}_1$  has a direct sum decomposition

$$\mathfrak{l}_1 = \mathfrak{gl}(3) \oplus sp(6).$$

Let  $\mathcal{O}'$  be the nilpotent orbit in sp(6) with Jordan type  $[4, 1^2]$ . Then  $\mathcal{O} = \operatorname{Ind}_{\mathfrak{l}_1}^{sp(12)}(\mathcal{O}')$ . Next consider the parabolic subgroup  $Q_2 \subset SP(6)$  with flag type (1, 4, 1). The Levi part  $\mathfrak{l}_2$  of  $\mathfrak{q}_2$  has a direct sum decomposition

$$\mathfrak{l}_2 = \mathfrak{gl}(1) \oplus sp(4).$$

Let  $\mathcal{O}''$  be the nilpotent orbit in sp(4) with Jordan type  $[2, 1^2]$ . Then  $\mathcal{O}' = \operatorname{Ind}_{l_2}^{sp(6)}(\mathcal{O}'')$ . One can take a parabolic subgroup Q of SP(12) with flag type (3, 1, 4, 1, 3) in such a way that the Levi part  $\mathfrak{l}$  of  $\mathfrak{q}$  contains the nilpotent orbit  $\mathcal{O}''$ . Then  $\mathcal{O}$  is the nilpotent orbit induced from  $\mathcal{O}''$ . We shall illustrate the induction step above by

$$([2, 1^2], sp(4)) \to ([4, 1^2], sp(6)) \to ([6, 3^2], sp(12)).$$

Since  $\tilde{O}''$  has only Q-factorial terminal singularities, the Q-factorial terminalization of  $\tilde{O}$  is given by the generalized Springer map

$$\nu: G \times^Q (n(\mathfrak{q}) + \bar{\mathcal{O}}'') \to \bar{\mathcal{O}}$$

The induction step is not unique; we have another induction step

$$([2, 1^2], sp(4)) \to ([4, 3^2], sp(10)) \to ([6, 3^2], sp(12)).$$

By these inductions, we get another generalized Springer map

$$\nu': G \times^{Q'} (n(\mathfrak{q}') + \bar{\mathcal{O}}'') \to \bar{\mathcal{O}},$$

where Q' is a parabolic subgroup of G with flag type (1, 3, 4, 3, 1). This gives another Q-factorial terminalization of  $\tilde{\mathcal{O}}$ . The two Q-factorial terminalizations of  $\tilde{\mathcal{O}}$  are connected by a Mukai flop of type  $A_3$ .

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