

## **Changes in Energy Metabolism after Continuous Positive Airway Pressure for Obstructive Sleep Apnea**

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Conception and design: RT, KI, and KC; collection of data: RT, TM, TM, SH, KM, KT, TO, TA, and KC ; analysis and interpretation of data: RT, KI, TA, MM, and KC; drafting the manuscript: RT, and KI; critical revision for intellectual content: TM, TM, SH, KM, MI, TO, TA, MM, and KC; and approval of the final version of manuscript: RT, KI, TM, TM, SH, KM, KT, MI, TO, TA, MM, and KC

### **Acknowledgement of Grant Support**

This work was supported by grants from the Japanese Ministry of Education, Culture,

Sports, Science and Technology, Respiratory Failure Research Group; and Health science Research Grants (Comprehensive Research on Life-Style Related Diseases including Cardiovascular Diseases and Diabetes Mellitus) from the Ministry of Health, Labor and Welfare of Japan, and the Japan Vascular Disease Research Foundation. The Department of Respiratory Care and Sleep Control Medicine is funded by endowments from Philips-Respironics, Teijin Pharma, Fukuda Denshi and Fukuda Lifetec-Keiji to Kyoto University.

**Running title:** Energy metabolism after CPAP for OSA

**Descriptor number:** 15-9 (Sleep Disordered Breathing: Outcomes)

**Number of Tables and Figures:** 4 tables and 3 figures

**Word Count:** 3630

### **At a Glance Summary**

#### ***Scientific Knowledge on the Subject***

Recent studies indicated that treating obstructive sleep apnea (OSA) with continuous positive airway pressure (CPAP) might promote weight gain although the underlying mechanism remains unclear. An integrative assessment of energy metabolism is needed to elucidate how CPAP can affect the energy balance in patients with OSA.

#### ***What This Study Adds to the Field***

Three months of CPAP therapy reduced the basal metabolic rate in the absence of changes in physical activity, thus favoring a positive energy balance in terms of energy expenditure. However, increased energy intake with disordered eating behavior had a greater impact on weight gain beyond the shift in energy expenditure, highlighting the importance of lifestyle modifications combined with CPAP therapy.

This article has an online data supplement, which is accessible from this issue's Table of Contents at [www.atsjournals.org](http://www.atsjournals.org)

## **ABSTRACT**

**Rationale:** Disrupted energy homeostasis in obstructive sleep apnea (OSA) may lead to weight gain. Paradoxically, treating OSA with continuous positive airway pressure (CPAP) may also promote weight gain although the underlying mechanism remains unclear.

**Objectives:** To explore the underlying mechanism(s) by which OSA patients gain weight after CPAP.

**Methods:** A comprehensive assessment of energy metabolism was performed in 63 newly diagnosed OSA study participants (51 men,  $60.8 \pm 10.1$  y, apnea-hypopnea index [AHI]  $>20$  h<sup>-1</sup>) at baseline, CPAP initiation, and at a 3-month follow-up. Measurements included polysomnography, body weight, body composition, basal metabolic rate (BMR), hormones (norepinephrine, cortisol, leptin, ghrelin, insulin-like growth factor-1), dietary intake, eating behavior, and physical activity.

**Measurements and Main Results:** BMR significantly decreased after CPAP (1584 kcal/day at baseline, 1561 kcal/day at CPAP initiation, and 1508 kcal/day at follow-up,  $p < 0.001$ ) while physical activity and total caloric intake did not significantly change. In multivariate regression, baseline AHI,  $\Delta$ urine norepinephrine, and CPAP adherence were significant predictors of  $\Delta$ BMR. The weight gainers had higher leptin levels, lower ghrelin levels, and higher eating behavior scores than the non-weight gainers, indicating a positive energy balance and disordered eating behavior among the weight gainers. Among the parameters related to energy metabolism, increased caloric intake was a particularly significant predictor of weight gain.

**Conclusions:** Although a reduction in BMR after CPAP predisposes to a positive energy balance, dietary intake and eating behavior had greater impacts on weight change. These

findings highlight the importance of lifestyle modifications combined with CPAP.

**Abstract Word Count: 247**

**Key words:** appetite regulating hormones, basal metabolic rate, body weight, eating behavior, energy balance

## **Introduction**

Obesity has become a serious public health concern worldwide in recent decades, leading to multiple health consequences and adverse outcomes (1). Obesity is also a major risk factor for obstructive sleep apnea (OSA), and as high as 70% of OSA patients are obese (2). Conversely, prior studies revealed a disruptive impact of OSA on energy metabolism, and there is an emerging concept that OSA itself may in turn reinforce the obese state (2-5). In fact, studies have indicated that OSA may affect both energy expenditure (i.e., elevated basal/sleeping energy expenditure plausibly due to increased sympathetic activity and breathing efforts) (6-11) and energy intake (i.e., increased preference for high-fat or calorie-dense food) (12-15) via a complex mechanism that includes neurohormonal and behavioral changes, an imbalance of which may result in excess energy intake leading to weight gain.

Given the plausible reciprocal relationship between obesity and OSA, treating OSA with continuous positive airway pressure (CPAP) in theory could act against weight gain. On the other hand, recent studies revealed that CPAP therapy actually resulted in weight gain although the underlying mechanism remains unclear (16-19). Importantly, changes in energy intake, energy expenditure, and body weight under free-living conditions need to be assessed together to elucidate how CPAP can affect the energy balance in patients with OSA. However, available clinical studies have examined these factors independently; thus an integrative assessment has been lacking (5-7, 16, 17, 20-22).

In the present study, we therefore performed a comprehensive assessment of energy metabolism before and after CPAP in patients with OSA to explore the underlying mechanism(s) by which OSA patients may be susceptible to weight gain after CPAP. We

hypothesized that 1) CPAP may reduce the basal metabolic rate (BMR), 2) CPAP may affect dietary habits and caloric intake, and 3) changes in energy expenditure and energy intake after CPAP may collectively lead to an energy balance that determines weight change. Some of the results of this study have been previously reported in the form of an abstract (23).

## **Methods**

### **Study Participants**

Participants were consecutively recruited from clinically stable patients aged 20–80 y who underwent diagnostic polysomnography for the evaluation of suspected OSA at the Sleep Laboratory of Kyoto University Hospital. Recruitment started in March 2014 and ended in April 2015. Exclusion criteria included a premenopausal status, previous treatment for OSA, dominant central sleep apnea, physical incapacity, abnormal thyroid function, chronic corticosteroid use, long-term oxygen therapy, hemodialysis, and implantation of a pacemaker. This study was approved by the Kyoto University Graduate School and Faculty of Medicine Ethics Committee, and was registered with the University Hospital Medical Information Network (UMIN in Japan) Clinical Trials Registry (identification number UMIN000012639). All participants provided written informed consent.

### **Study Protocol**

Energy metabolism was comprehensively assessed in participants with an apnea-hypopnea index (AHI) >20. Assessments were performed in an inpatient setting 3

times as follows: 1) at the first diagnostic polysomnography (baseline); 2) at CPAP initiation on average 6 weeks after the first sleep study when participants underwent titration of the auto-adjusting CPAP (CPAP initiation); and 3) at follow-up polysomnography after 3 mo of CPAP therapy (3-mo follow-up). Finally, 63 OSA patients with AHI >20 were included in the analysis (Figure 1). No specific advice regarding diet and exercise was newly given to the participants during the study period. Medications that influence body weight or BMR were not changed during the study period (Table S1). CPAP adherence was measured based on objective usage data from memory cards.

## **Collection of Data**

### ***Polysomnography***

Overnight in-laboratory attended polysomnography was performed on each admission from 2200 until 0600 h using standard techniques (24) (for details, see the online supplement).

### ***Basal metabolic rate***

Measurements of BMR were performed in the morning (0630–0730 h) after overnight polysomnography and after a 12-h fast using indirect calorimetry (AE310S; Minato Medical Science, Osaka, Japan) by one investigator according to previously described methods (25). Those analyzing data were blinded to clinical information. (for details, see the online supplement)

### ***Body weight and body composition***

Post-voiding body weight and body composition were measured after a 5-h fast between 1700 and 1800 h on each admission day. Weight was measured with the patient in a hospital gown to the nearest 0.1 kg using a stand-on scale (model 764, Seca, Hamburg, Germany). Body composition was assessed with the patient in a supine position by bioelectrical impedance analysis using a body composition analyzer (InBody S20; Biospace, Seoul, Korea).

### ***Blood and urine samples***

Peripheral venous blood samples were collected from 0630 to 0700 h after completion of polysomnography. Samples were analyzed for plasma norepinephrine, plasma cortisol, plasma leptin, plasma ghrelin, and serum insulin-like growth factor-1 (IGF-1). Urine norepinephrine was measured from 12-h overnight urine. Urine norepinephrine excretion was adjusted for urinary creatinine excretion to account for urine dilution and possible lack of a complete collection, and was expressed as  $\mu\text{g/g}$  creatinine (for details, see the online supplement).

### ***Dietary intake***

Dietary habits during the preceding month were assessed with a brief validated self-administered diet history questionnaire (BDHQ). The BDHQ is a 4-page fixed-portion questionnaire that asks about the consumption of selected foods to estimate the dietary intake of 58 food and beverage items commonly consumed by Japanese people. Daily energy intake and intake of nutrients were estimated using an ad hoc computer algorithm for the BDHQ (26, 27) (for details, see the online supplement).

### ***Eating behavior***

Eating behavior was assessed using the Eating Behavior Questionnaire issued by the Japan Society for the Study of Obesity (28, 29). The questionnaire is a 55-item self-rated questionnaire with 7 categories. All items are rated on a 4-point scale from 1 indicating ‘No, it is not so’ to 4 indicating ‘Yes, exactly so’. Responses to each question are added together for the 7 categories, in which higher scores indicate disordered eating behavior predisposing to weight gain (for details, see the online supplement).

### ***Physical activity***

Physical activity was measured before CPAP initiation and after the follow-up polysomnography on 7 consecutive days under free-living conditions (except while bathing) using a wrist-worn accelerometer (Actiwatch 2, Philips Respironics, Murrysville, PA, USA) (30, 31). (for details, see the online supplement).

### **Statistical analysis**

Continuous variables were expressed as mean  $\pm$  standard deviation unless stated otherwise, and categorical variables were expressed as numerals or percentages. Differences between two groups were tested by an unpaired Student’s t-test, Mann–Whitney U test, or paired t-test for continuous variables and by a chi-squared test or Fisher’s exact test for categorical variables, as appropriate. Differences among CPAP adherence categories were determined by analysis of variance (ANOVA), and post-hoc pair-wise comparisons were performed by the Tukey-Kramer test. Two-way ANOVA was used to analyze differences in eating behavior scores between body weight gainers and non-weight gainers. Multivariate analysis of variance was used to examine the

overall difference in repeated measurements, and post-hoc paired t-tests with Bonferroni correction were used to determine pairwise differences.

Stepwise linear regressions were performed to identify the predictors of change in BMR or body weight. Potential variables for  $\Delta$ BMR included age, sex, body mass index (BMI),  $\Delta$ fat mass,  $\Delta$ fat-free mass,  $\Delta$ plasma cortisol,  $\Delta$ plasma norepinephrine,  $\Delta$ urine norepinephrine,  $\Delta$ leptin, and baseline AHI. CPAP adherence expressed as percent of days of CPAP use  $>4$  h was also entered as a covariate in the model for the 3-mo follow-up. The potential variables for  $\Delta$ BMI at the 3-mo follow-up included age, sex, baseline BMI, smoking status,  $\Delta$ BMR,  $\Delta$ total caloric intake,  $\Delta$ physical activity,  $\Delta$ plasma cortisol,  $\Delta$ IGF-1,  $\Delta$ acylated ghrelin, baseline AHI, and CPAP adherence. Cutoff values for the bidirectional stepwise procedure were  $P = 0.10$  for the forward processes and  $P = 0.20$  for the backward processes. A two-sided  $P$ -value  $<0.05$  was considered to be statistically significant for all tests. Sample size estimation was performed based on the primary outcome parameter ( $\Delta$ BMR) (for details, see the online supplement). All statistical analyses were performed using JMP Pro 12 software (SAS Institute Inc., Cary, NC, USA).

## **Results**

### **Participant's characteristics**

Characteristics of the study participants are summarized in Table 1. Changes in body weight varied among participants: weight increased in 33 participants at the 3-mo follow-up compared to baseline while it decreased or did not change in 30 participants.

### **Changes in parameters related to energy metabolism**

### ***BMR, physical activity, and dietary intake***

As shown in Table 2, BMR was significantly decreased over baseline after 3 mo but not after 1 night of CPAP (1584 kcal/day at baseline, 1561 kcal/day after 1 night of CPAP, and 1508 kcal/day at follow-up,  $p < 0.001$ ). Participants with moderate and high CPAP adherence had a significant reduction in BMR at follow-up compared to those with low CPAP adherence (-107.6, -91.7, and 7.6 kcal/day, respectively,  $p = 0.006$ ) (Figure S1). There were no significant changes in physical activity, total caloric intake, and nutrition intake during the study period.

### ***Body weight and body composition***

Body weight significantly increased after CPAP therapy (77.8 kg at baseline, 77.6 kg at CPAP initiation, and 78.2 kg at follow-up,  $p = 0.010$ ) (Table 2). Among body composition parameters, fat-free mass increased after CPAP therapy but not significantly.

### ***Hormones***

IGF-1 was significantly increased after CPAP therapy (Table 2). No significant changes were observed in other hormones among the overall participant group.

### **Multivariate linear regression model for $\Delta$ BMR and $\Delta$ BMI**

$\Delta$ Fat-free mass,  $\Delta$ urine norepinephrine, and baseline AHI were significant predictors of  $\Delta$ BMR after 1 night of CPAP whereas CPAP adherence was the only significant predictor of  $\Delta$ BMR at the 3-mo follow-up (Table 3). With regard to weight change, younger age, female sex, lower baseline BMI, non-smoking, decrease in plasma cortisol,

and increase in total caloric intake were significant predictors of an increase in BMI after CPAP therapy (Table 4).

## **Comparison of weight gainers with non-weight gainers**

### ***Baseline characteristics***

When stratified by weight change, baseline variables were comparable between weight gainers and non-weight gainers except that the proportion of non-smokers and the baseline AHI tended to be higher in the weight gainers (Table 1). The weight gainers experienced a significant increase in fat mass (1.1 kg, 95% confidence interval [CI]: 0.4 to 1.8,  $p = 0.002$ ), fat-free mass (0.9 kg, 95% CI: 0.4 to 1.4,  $p = 0.002$ ), and muscle mass (0.5 kg, 95% CI: 0.1 to 0.8,  $p = 0.006$ ) after CPAP therapy while fat mass was decreased in the non-weight gainers (-1.2 kg, 95% CI: -2.1 to -0.3,  $p = 0.010$ ) (Table S2).

### ***Energy expenditure and energy intake***

BMR was similarly decreased after CPAP therapy both among weight gainers (-78 kcal/day, 95% CI: -114 to -41,  $p < 0.001$ ) and non-weight gainers (-74 kcal/day, 95% CI: -118 to -30,  $p = 0.002$ ) (Figure 2, Table S2). There were no significant interval changes in physical activity and total caloric intake in either group, but weight gainers had a significantly higher total caloric intake at the 3-mo follow-up compared to non-weight gainers ( $2099 \pm 521$  and  $1853 \pm 353$  kcal/day, respectively,  $p = 0.034$ ) (Figure 2, Table S2). The non-weight gainers consciously changed their dietary habits more frequently than the weight gainers during the study period or within one year before study enrollment (10/30 vs. 3/33,  $p = 0.028$ ).

### ***Hormones***

Plasma cortisol was decreased in the weight gainers compared to non-weight gainers (interval change:  $-1.3 \pm 5.4$  vs.  $1.1 \pm 3.8$   $\mu\text{g/dl}$ ,  $p = 0.008$ ) (Figure 2, Table S2). Serum IGF-1 was increased after CPAP therapy in both groups, but the interval change was significant only in non-weight gainers (Table S2). The plasma leptin level was significantly increased after CPAP among weight gainers and was significantly higher at follow-up compared with non-weight gainers ( $19.0 \pm 10.7$  and  $13.2 \pm 8.8$   $\text{ng/ml}$ , respectively,  $p = 0.005$ ). Meanwhile, plasma acylated and des-acyl ghrelin levels were consistently lower in the weight gainers (Figure 2, Table S2).

### ***Eating behavior***

Eating behavior scores were significantly higher in weight gainers compared to non-weight gainers throughout the study period ( $p < 0.001$  at baseline, CPAP initiation, and 3-mo follow-up) (Figure 3). Differences between groups were remarkable in the category of awareness about weight and constitution and food preferences. Scores for awareness about weight and constitution, eating as diversion, and food preferences significantly decreased during the study period in non-weight gainers while only the score for awareness about weight and constitution decreased in weight gainers.

### **Discussion**

The current study is the first to our knowledge to comprehensively assess energy metabolism in patients with OSA before and after CPAP therapy. The major findings

were: 1) CPAP therapy significantly reduced BMR by 5% from baseline, thereby favoring a positive energy balance; 2) the decrease in BMR was associated with decreased urine norepinephrine and CPAP adherence; and 3) factors related to energy intake, including eating behavior, had a larger impact on weight change than those related to energy expenditure. These findings not only explained the mechanism by which OSA subjects may be susceptible to weight gain following CPAP therapy but further underscore the importance of incorporating lifestyle modifications into the treatment of OSA.

We found that 3 mo of CPAP therapy significantly reduced the BMR in patients with OSA, which is in contrast to findings of two small studies that did not show a significant effect of CPAP on BMR. However, one of these studies, which used a metabolic cart, might have underrated the BMR since they used only the lowest values among the measurements to calculate the BMR (6), while the other study that used a metabolic chamber might have been too underpowered to detect a significant difference (7). Indeed, our result is in line with reports of a higher basal (or resting) metabolic rate in patients with OSA than in controls (7-10), and we further demonstrated a causal link between OSA and an elevated BMR by examining the effect of CPAP. We also found that the decrease in urine norepinephrine was a modest but significant predictor of a decrease in BMR after one night of CPAP, supporting the concept that increased sympathetic activity may partially mediate OSA and an elevated BMR (9).

The BMR usually accounts for 60–70% of the daily total energy expenditure, and the reduction in BMR of 75 kcal/day achieved by CPAP therapy is substantial, as it corresponds to approximately a 1 kg fat gain in 3 mo. In addition, sleep energy expenditure was shown to decrease after treatment of OSA, which may also shift the

energy balance in favor of weight gain (7, 11, 22). Meanwhile, CPAP does not appear to significantly affect activity-related energy expenditure because our study and other available data indicate that the physical activity level does not increase after CPAP (20, 21). Taken together, successful treatment of OSA with CPAP would result in a decrease in total energy expenditure compared with the pre-treatment level, thus potentially favoring a positive energy balance and subsequent weight gain.

Of note, BMR was similarly reduced in both weight gainers and non-weight gainers in the absence of changes in physical activity, suggesting that both groups were equally predisposed to a positive energy balance in terms of energy expenditure. On the other hand, while the total caloric intake did not significantly change in the total participants overall as previously reported (21), the weight gainers had a higher caloric intake than the non-weight gainers at follow-up, and the increased energy intake was shown to be the determinant of weight gain. This indicates that diet may be the key factor accounting for inter-individual differences in weight change beyond the shift in energy expenditure. Intriguingly, noticeable differences existed in the baseline eating behavior profile between weight gainers and non-weight gainers. Moreover, scores for “eating as diversion” and “food preferences” decreased only in the non-weight gainers, part of which was presumably achieved by voluntary attempts to change dietary habits in this group of participants. These findings implicate disordered eating behavior (e.g., misperception of the reasons for gaining weight, disinhibited responsiveness to food cues, and selection of obesogenic foods) as a plausible mechanism that underlies the increased propensity for excessive caloric intake in weight gainers. Collectively, our results are analogous with previous observations consistently showing that increased caloric intake, rather than the shift in energy expenditure, is the primary cause of weight

gain in sleep deprivation, which is in part dependent on disordered eating behaviors (32-35).

We examined a wide array of humoral factors that might explain the weight change after CPAP. Unexpectedly, the concentrations of potent orexigenic hormones, including plasma acylated (active) ghrelin and cortisol, were lower in the weight gainers at the 3-mo follow-up. The plasma leptin level, known as a satiety hormone, was increased in the weight gainers. Although seemingly counterintuitive, these associations can be rationalized by assuming that the hormones actually represented the consequence of an energy imbalance rather than a cause of weight change. In fact, ghrelin secretion is down-regulated in a positive energy balance and up-regulated in a negative energy balance due to a negative feedback mechanism (36-38). Binge-eating disorder was also shown to reduce the plasma ghrelin level (39). Therefore, the consistently lower ghrelin levels in the weight gainers probably reflect the tendency toward an excessive energy intake throughout the study period. Likewise, circulating leptin levels were shown to increase with excessive adiposity and were reduced during caloric restriction (40, 41). Furthermore, voluntary diet changes in the non-weight gainers might have affected the plasma cortisol level because cognitive dietary restraint increases cortisol secretion as a physiological stress response (42, 43). Taken together, these observations support the idea that the hormonal changes were a secondary physiological response. On the other hand, an increased level of IGF-1 after CPAP may have the potential to increase lean body weight rather than fat mass as reported by Munzer et.al. (44). Although our study did not find a significant difference in body composition among the participants overall, the finding that weight gainers experienced a significant gain not only in fat mass but also in fat-free mass implies that the additional weight resulting from CPAP may, at

least in part, represent a favorable change.

This study has several important clinical implications. First, our findings illustrate that CPAP therapy alone is not a treatment for obesity in terms of energy expenditure, thereby providing a compelling rationale for combining weight loss instructions with CPAP therapy in all overweight OSA patients. This is of particular clinical importance given that the impact of weight change on cardiometabolic risk factors outweighs the potential benefits of CPAP (45). At the same time, this study highlighted that the constellation of changes in factors involved in energy metabolism would collectively determine the direction of weight change after the initiation of CPAP. Therefore, weight change following CPAP can substantially vary among individuals according to the extent of lifestyle alterations accompanying CPAP therapy. It is also possible that sustained lifestyle alterations may lead to changes in body composition or body fat distribution independent of weight change (44, 46, 47). Second, increased energy intake and disordered eating behavior were found to be the major determinants of weight gain after CPAP. Hence, it is imperative to incorporate behavioral strategies, including dietary modification, into OSA treatment in high-risk patients possibly characterized by lower ghrelin levels and disordered eating behavior. Third, the finding that appetite-regulating hormone levels were strongly influenced by weight change warrants a multifactorial approach in research studies in real-life settings, where biological, behavioral, and environmental factors may act as critical confounders that overshadow the therapeutic effect of CPAP. This perspective may also potentially reconcile previous discordant observations on the effects of CPAP on these hormones involved in energy homeostasis (46, 48-54).

We acknowledge several limitations in the present study. First, lack of a control

group not receiving CPAP did not allow us to infer the true therapeutic effect of CPAP on reducing BMR. However, given the strictly controlled measurement conditions, the time-dependent decrease in BMR following CPAP therapy, and a positive association between CPAP adherence and decrease in BMR, it is more plausible that CPAP itself produced the positive effect rather than that other factors had a greater influence. Second, due to the need for multiple measurements in the relatively large number of participants, it was not feasible to use methods that could measure total energy expenditure (e.g., metabolic chamber). Alternatively, we estimated the shift in energy expenditure by separately assessing its components using standard methods to assess BMR and physical activity under free-living conditions. Third, although widely used in clinical studies, the accuracy of a self-report questionnaire to estimate energy intake is generally poor due to inherent reporting biases. Also, we used questionnaires specifically developed for the Japanese to assess dietary intake and eating behavior because they are widely used and well established in domestic studies and seemed to be the most suitable for our study population. Therefore, the impact of dietary intake and eating behavior in this setting needs to be confirmed in other populations. Fourth, a 3-mo period of CPAP therapy might not be sufficient to assess changes in some parameters and it is unclear whether the trend of weight gain or weight loss would continue in the future. Long-term longitudinal studies are needed to better understand the changes in energy metabolism and weight change following CPAP therapy.

In conclusion, our study demonstrated that CPAP therapy reduced BMR, thereby predisposing OSA patients to a positive energy balance and subsequent weight gain. However, dietary intake and eating behavior seemed to have a greater impact on weight change than the shift in energy expenditure; therefore it is imperative to incorporate

lifestyle modifications into the treatment of OSA.

## **Acknowledgements**

We thank Kazuyuki Ueda, Yuko Yamanishi, Akemi Ishii, Yuri Masuda, Yumiko Narita, and Rie Imai for analyzing polysomnographic data. We thank Dr. Chisato Miyakoshi for his statistical advice. We thank Dr. Masakatsu Sone who provided helpful comments on the interpretation of the results. We also thank Prof. Nobuya Inagaki who offered continuing support and constant encouragement. In particular, we are deeply grateful to Dr. Toshiie Sakata who supervised the translation of the Eating Behavior Questionnaire into English.

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## Figure legends

**Figure 1.** Flowchart of the study.

AHI: apnea-hypopnea index, CPAP: continuous positive airway pressure, OSA: obstructive sleep apnea

**Figure 2.** Comparison between weight gainers and non-weight gainers.

A) Basal metabolic rate, B) Physical activity, C) Total caloric intake, D) Plasma cortisol, E) Plasma Leptin, F) Plasma acylated ghrelin. Vertical bars represent mean  $\pm$  standard error.

AC: activity counts, BMR: basal metabolic rate

**Figure 3.** Radar chart for changes in eating behavior scores in the weight gainers (A) and non-weight gainers (B). Scores were significantly higher in the weight gainers than the non-weight gainers at baseline, CPAP initiation, and 3-mo follow-up ( $p < 0.001$ , two-way ANOVA).

Scores were expressed as a percentage of the maximum possible score for each category.

\*  $p < 0.05$  for the intra-category difference during the study period

<sup>1</sup>  $p < 0.05$  vs. the other group at baseline

<sup>2</sup>  $p < 0.05$  vs. the other group at CPAP initiation

<sup>3</sup>  $p < 0.05$  vs. the other group at 3-month follow-up

**Table 1. Participant's characteristics according to body weight change**

| Characteristics                    | All participants<br>(n = 63) | Weight<br>gainers *<br>(n = 33) | Non-weight<br>gainers *<br>(n = 30) | P value |
|------------------------------------|------------------------------|---------------------------------|-------------------------------------|---------|
| Age, years                         | 60.6 ± 10.0                  | 59.8 ± 8.8                      | 61.5 ± 11.3                         | 0.518   |
| Male sex                           | 51 (81%)                     | 25 (76%)                        | 26 (87%)                            | 0.344   |
| Body weight, kg                    | 77.8 ± 12.6                  | 77.6 ± 11.1                     | 78.0 ± 14.3                         | 0.921   |
| ΔBody weight, kg                   | 0.4 ± 2.2                    | 2.1 ± 1.3                       | -1.4 ± 1.5                          | < 0.001 |
| Body mass index, kg/m <sup>2</sup> | 27.9 ± 3.8                   | 28.0 ± 3.3                      | 27.9 ± 4.4                          | 0.973   |
| Fat mass, kg                       | 24.4 ± 7.8                   | 24.8 ± 6.7                      | 23.9 ± 8.9                          | 0.659   |
| Fat-free mass, kg                  | 53.4 ± 8.3                   | 52.8 ± 7.7                      | 54.0 ± 9.0                          | 0.595   |
| Neck circumference, cm             | 39.9 ± 3.5                   | 39.7 ± 3.4                      | 40.1 ± 3.8                          | 0.648   |
| Waist circumference, cm            | 95.8 ± 8.6                   | 96.0 ± 7.5                      | 95.6 ± 9.8                          | 0.851   |
| Hypertension                       | 50 (79%)                     | 26 (79%)                        | 24 (80%)                            | 0.905   |
| Dyslipidemia                       | 41 (66%)                     | 19 (58%)                        | 22 (73%)                            | 0.190   |
| Diabetes mellitus                  | 15 (24%)                     | 8 (24%)                         | 7 (23%)                             | 0.933   |
| Current smoking                    | 14 (22%)                     | 4 (12%)                         | 10 (33%)                            | 0.068   |
| ESS                                | 8.7 ± 5.3                    | 9.1 ± 5.4                       | 8.2 ± 5.2                           | 0.502   |
| AHI, events/h                      | 42.2 ± 19.9                  | 46.1 ± 20.2                     | 38.0 ± 19.0                         | 0.070   |
| Lowest SpO <sub>2</sub> , %        | 76.5 ± 8.3                   | 75.8 ± 6.1                      | 77.3 ± 10.3                         | 0.494   |
| Mean SpO <sub>2</sub> , %          | 93.0 ± 3.2                   | 93.2 ± 2.5                      | 92.8 ± 3.9                          | 0.699   |
| Time of SpO <sub>2</sub> <90%, %   | 16.8 ± 20.3                  | 18.0 ± 19.8                     | 15.6 ± 21.1                         | 0.429   |
| Average CPAP use, min/day          | 268 ± 98                     | 277 ± 102                       | 257 ± 94                            | 0.386   |
| Percent days of CPAP use >4 h, %   | 61.5 ± 29.0                  | 65.0 ± 28.2                     | 57.8 ± 30.0                         | 0.299   |

AHI: apnea hypopnea index, CPAP: continuous positive airway pressure, ESS: Epworth Sleepiness Scale

\*Weight gainers denote participants who gained weight at the 3-mo follow-up compared to baseline, and non-weight gainers denote those in whom body weight decreased or did not change.

**Table 2. Changes in parameters related to energy metabolism before and after CPAP therapy**

| Variables                              | Baseline    | CPAP initiation * | 3-mo follow-up           | P value |
|--|-------------|-------------------|--------------------------|---------|
| <b>Indirect calorimetry</b>            |             |                   |                          |         |
| Basal metabolic rate, kcal/day         | 1584 ± 261  | 1561 ± 252        | 1508 ± 258 <sup>†</sup>  | <0.001  |
| Respiratory quotient                   | 0.86 ± 0.08 | 0.86 ± 0.09       | 0.85 ± 0.07              | 0.404   |
| <b>Physical activity</b>               |             |                   |                          |         |
| Physical activity, activity counts/min |             | 185 ± 80          | 184 ± 65                 | 0.952   |
| <b>Energy/nutrition intake</b>         |             |                   |                          |         |
| Total caloric intake, kcal/day         | 2018 ± 509  | 1906 ± 488        | 1982 ± 462               | 0.076   |
| Energy, protein, %                     | 15.8 ± 3.4  | 15.4 ± 2.9        | 15.7 ± 3.3               | 0.525   |
| Energy, fat, %                         | 26.7 ± 6.2  | 26.2 ± 4.6        | 27.4 ± 6.3               | 0.209   |
| Energy, carbohydrate, %                | 52.7 ± 8.7  | 53.2 ± 8.1        | 51.8 ± 8.4               | 0.245   |
| <b>Anthropometric parameters</b>       |             |                   |                          |         |
| Body weight, kg                        | 77.8 ± 12.6 | 77.6 ± 12.5       | 78.2 ± 12.3 <sup>‡</sup> | 0.010   |
| Fat mass, kg                           | 24.4 ± 7.8  | 24.3 ± 8.0        | 24.4 ± 7.9               | 0.840   |
| Fat-free mass, kg                      | 53.4 ± 8.3  | 53.3 ± 8.3        | 53.8 ± 8.6               | 0.061   |
| Muscle mass, kg                        | 29.7 ± 5.1  | 29.7 ± 5.1        | 29.9 ± 5.2               | 0.253   |

**Hormones**

|                              |             |             |                       |       |
|------------------------------|-------------|-------------|-----------------------|-------|
| Cortisol, µg/dL              | 14.7 ± 3.9  | 15.3 ± 3.2  | 14.5 ± 3.4            | 0.363 |
| Plasma norepinephrine, pg/mL | 242 ± 125   | 230 ± 128   | 213 ± 101             | 0.240 |
| Urine norepinephrine, µg/gCr | 102 ± 53    | 107 ± 59    | 96 ± 41               | 0.273 |
| IGF-1, ng/mL                 | 130 ± 37    | 134 ± 33    | 138 ± 37 <sup>§</sup> | 0.004 |
| Leptin, ng/mL                | 15.0 ± 7.8  | 16.1 ± 9.6  | 16.3 ± 10.2           | 0.078 |
| Acylated ghrelin, fmol/mL    | 7.1 ± 5.7   | 7.0 ± 6.3   | 6.7 ± 6.6             | 0.766 |
| Des-acyl ghrelin, fmol/mL    | 36.9 ± 23.7 | 35.0 ± 19.7 | 35.2 ± 21.0           | 0.199 |

**Sleep parameters**

|                                   |             |                         |                         |        |
|-----------------------------------|-------------|-------------------------|-------------------------|--------|
| Total sleep time, min             | 372 ± 82    | 383 ± 80                | 398 ± 66                | 0.068  |
| Sleep stage 1/2, %                | 84.4 ± 6.9  | 78.0 ± 8.1 <sup>†</sup> | 77.0 ± 7.5 <sup>†</sup> | <0.001 |
| Sleep stage 3/4, %                | 1.2 ± 2.3   | 2.9 ± 4.6 <sup>§</sup>  | 2.8 ± 5.2 <sup>†</sup>  | 0.004  |
| Sleep REM, %                      | 14.6 ± 6.3  | 19.0 ± 6.5 <sup>†</sup> | 20.1 ± 5.7 <sup>†</sup> | <0.001 |
| AHI, events/h                     | 42.2 ± 19.9 | 5.6 ± 6.2 <sup>†</sup>  | 3.9 ± 3.7 <sup>†</sup>  | <0.001 |
| Time of SpO <sub>2</sub> <90 %, % | 16.8 ± 20.3 | 0.6 ± 1.8 <sup>†</sup>  | 0.7 ± 3.5 <sup>†</sup>  | <0.001 |

AHI: apnea-hypopnea index, IGF-1: insulin-like growth factor-1, REM: rapid eye movement

\*Values in anthropometric and energy/nutrition intake parameters represent assessments just before CPAP therapy. Other values represent those measured after 1 night of CPAP therapy.

<sup>†</sup>p <0.001 vs. baseline, p <0.01 vs. CPAP initiation

‡p <0.05 vs. CPAP initiation

§p <0.01 vs. baseline

¶p <0.001 vs. baseline

¶p <0.05 vs. baseline

**Table 3. Stepwise linear regression model for predicting  $\Delta$ BMR after 1 night or 3 mo of CPAP therapy**

| Variables *                                     | After 1 night of CPAP |         | After 3 mo of CPAP |         |
|---|-----------------------|---------|--------------------|---------|
|   | $\beta$               | P value | $\beta$            | P value |
| $\Delta$ Fat-free mass, kg                      | 0.26                  | 0.024   |                    |         |
| $\Delta$ Urine norepinephrine, % <sup>†</sup>   | 0.28                  | 0.015   | 0.22               | 0.071   |
| Baseline AHI, events/h                          | -0.35                 | 0.002   |                    |         |
| % days of CPAP use >4 h, %                      |                       |         | -0.27              | 0.027   |
| Model R <sup>2</sup> (adjusted R <sup>2</sup> ) | 0.28 (0.24)           |         | 0.13 (0.10)        |         |

AHI: apnea-hypopnea index,  $\beta$ : standardized partial regression coefficient, BMR: basal metabolic rate, CPAP: continuous positive airway pressure

\*Other potential covariates included age, sex, body mass index,  $\Delta$ fat mass (kg),  $\Delta$ plasma cortisol ( $\mu$ g/dL),  $\Delta$ plasma norepinephrine (pg/mL), and  $\Delta$ leptin (ng/mL)

<sup>†</sup>Change rate (%) was calculated as (values after 1 night or 3 mo of CPAP - baseline value) / baseline value x 100

**Table 4. Stepwise linear regression model for predicting  $\Delta$ BMI after 3 mo of CPAP therapy**

| Variables *                                     | $\beta$     | P value |
|---|-------------|---------|
| Age, years                                      | -0.29       | 0.021   |
| Male sex  | -0.33       | 0.004   |
| BMI, kg/m <sup>2</sup>                          | -0.30       | 0.017   |
| Current smoking                                 | -0.30       | 0.009   |
| $\Delta$ Cortisol, $\mu$ g/dL                   | -0.26       | 0.020   |
| $\Delta$ Total caloric intake, kcal/day         | 0.29        | 0.009   |
| Model R <sup>2</sup> (adjusted R <sup>2</sup> ) | 0.38 (0.31) |         |

$\beta$ : standardized partial regression coefficient, BMI: body mass index

\*Other potential covariates included age,  $\Delta$ basal metabolic rate (kcal/day),  $\Delta$ physical activity (activity count/min),  $\Delta$ insulin-like growth factor-1 (ng/mL),  $\Delta$ acylated ghlerin (fmol/mL), baseline apnea-hypopnea index, and % of days of CPAP use >4 h.

Figure 1

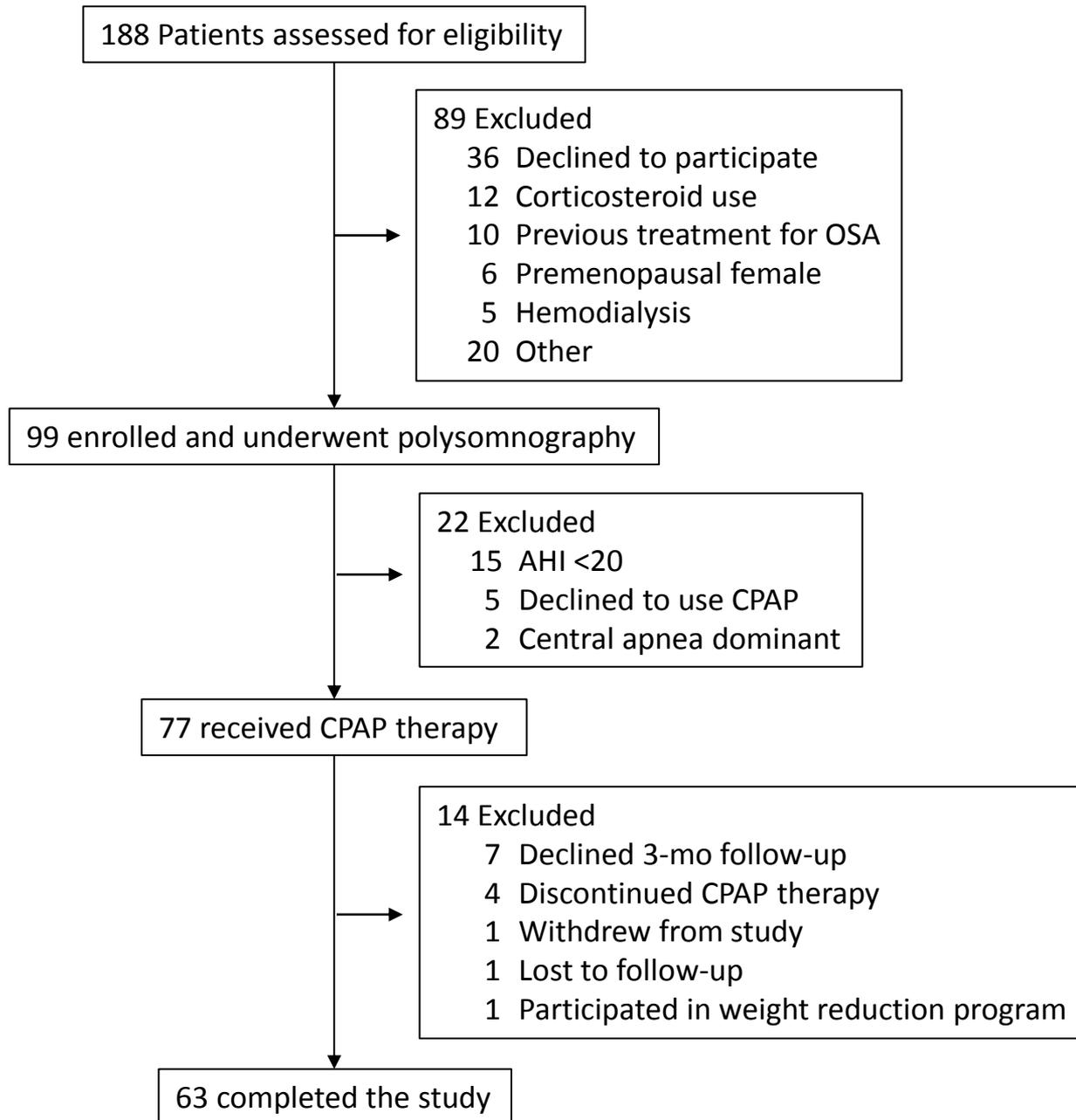
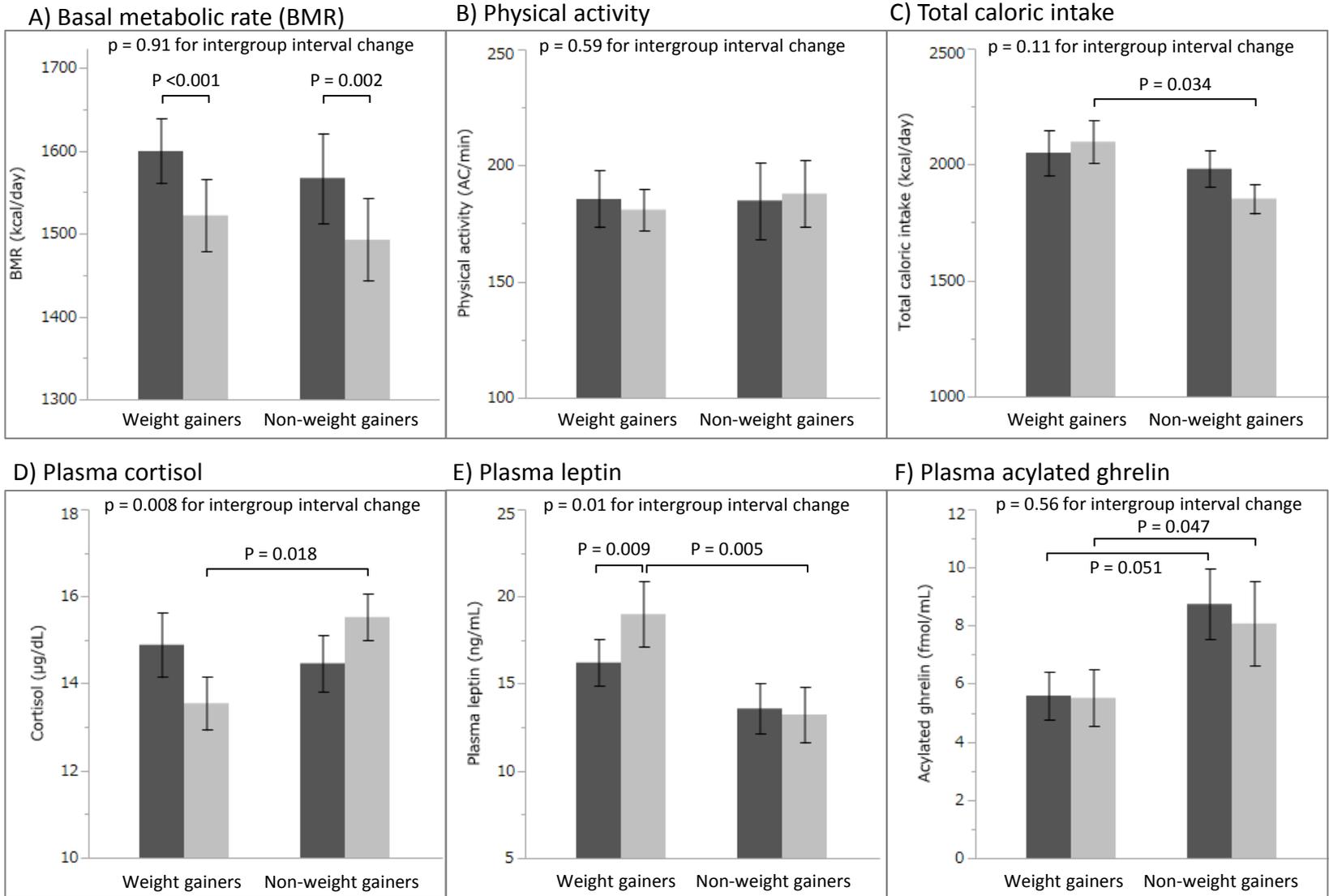
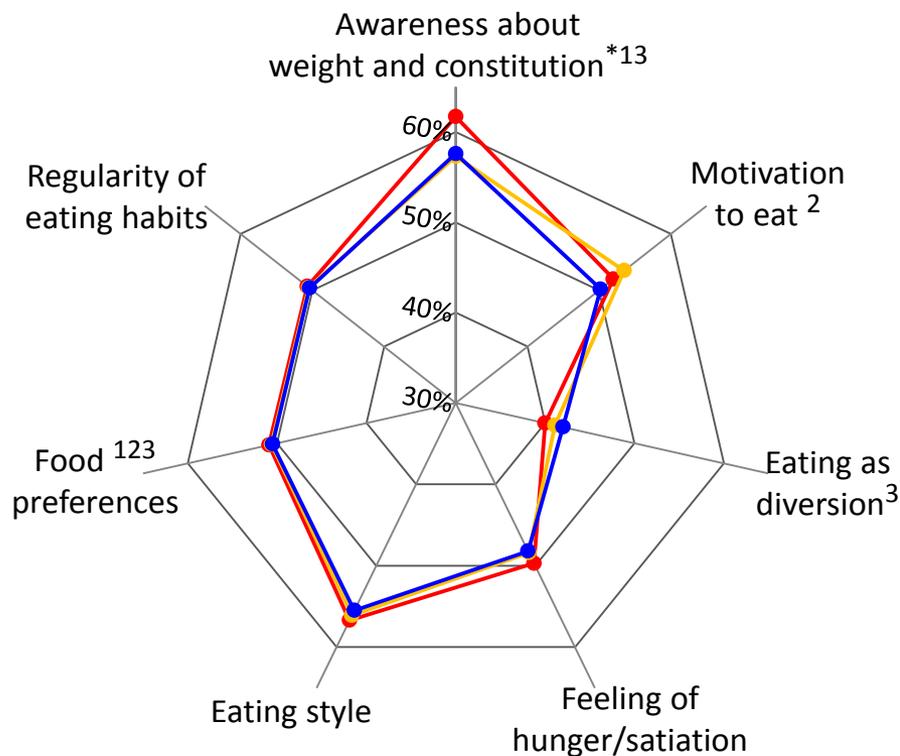


Figure 2

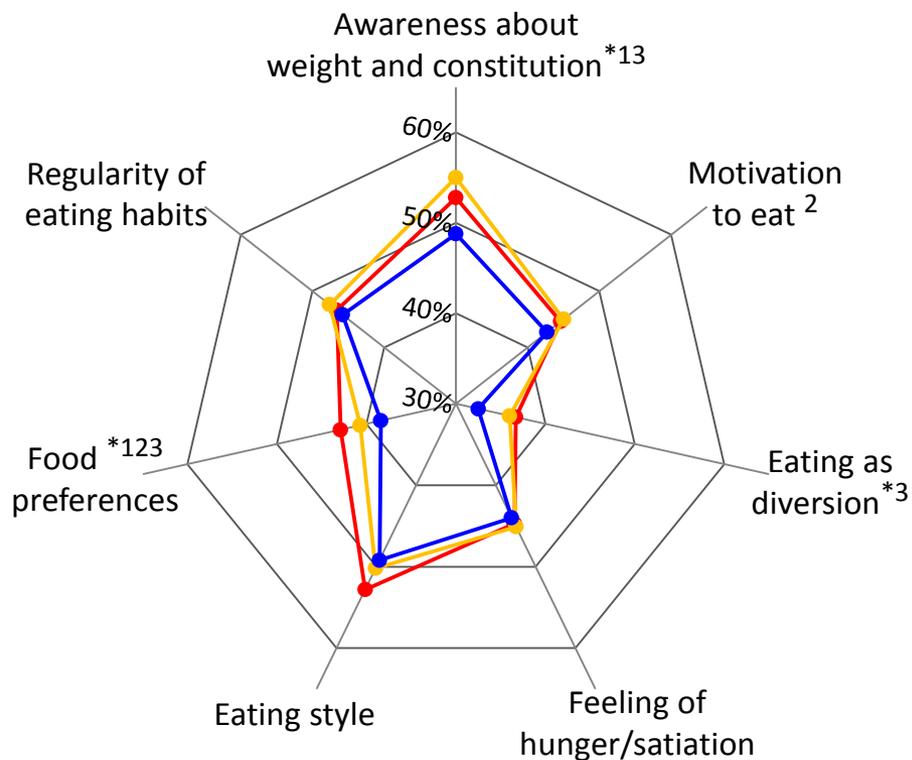


■ Baseline    ■ Follow-up

A) Weight gainers



B) Non-weight gainers



—●— Baseline    —●— CPAP initiation    —●— 3-mo follow-up