

# Regulatory mechanisms of hypoxia-inducible factor 1 activity: Two decades of knowledge

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Hypoxia-inducible factor 1 (HIF-1) is a transcriptional activator of various genes related to cellular adaptive responses to hypoxia. Dysfunctions in the regulatory systems of HIF-1 activity have been implicated in the pathogenesis of various diseases including malignant tumors and, thus, elucidating the molecular mechanisms underlying the activation of HIF-1 is eagerly desired for the development of novel anti-cancer strategies. The importance of oxygen-dependent and ubiquitin-mediated proteolysis of the regulatory subunit of HIF-1 (HIF-1 $\alpha$ ) was first reported in 1997. Since then, accumulating evidence has shown that HIF-1 $\alpha$  may become stable and active even under normoxic conditions; for example, when disease-associated genetic and functional alterations in some genes trigger the aberrant activation of HIF-1 regardless of oxygen conditions. We herein review the last two decades of knowledge, since 1997, on the regulatory mechanisms of HIF-1 activity from conventional oxygen- and proteolysis-dependent mechanisms to up-to-the-minute information on cancer-associated genetic and functional alteration-mediated mechanisms.

## KEYWORDS

gene expression, hypoxia-inducible factor 1 (HIF-1), molecular mechanism, tumor hypoxia

**Abbreviations:** AMPK, AMP-activated protein kinase; ATR, ataxia telangiectasia and Rad3-related protein; CBP, CREB-binding protein; CK1 $\delta$ , casein kinase 1 $\delta$ ; D-2HG, D-2-hydroxyglutarate; FH, fumarate hydratase; FIH-1, factor-inhibiting HIF-1; GSK-3, glycogen synthase kinase 3; HDAC, histone deacetylase; HIF-1, hypoxia-inducible factor 1; HIF-1 $\alpha$ , hypoxia-inducible factor 1 $\alpha$ ; HIF-1 $\beta$ , hypoxia-inducible factor 1 $\beta$ ; HRE, hypoxia-response element; HSP, heat-shock protein; IDH, isocitrate dehydrogenase; IRES, internal ribosomal entry site; IRF9, interferon regulatory factor 9; ISGF3, interferon-stimulated gene factor 3; L-2HG, L-2-hydroxyglutarate; LSD1, lysine-specific demethylase-1; LY6E, lymphocyte antigen 6 complex locus E; MEF, mouse embryonic fibroblast; ND6, NADH dehydrogenase subunit 6; NF- $\kappa$ B, nuclear factor kappa B; NLS, nuclear localization signal; NRF-1, NF-E2-related factor 1; NQO1, NAD(P)H:quinone oxidoreductase 1; PAN2, poly(A) nuclease 2; PCAF, p300/CBP-associated factor; PHD, prolyl-4-hydroxylase; PI3K, phosphoinositide 3-kinase; PKC, protein kinase C; PLK3, polo-like kinase 3; pVHL, von Hippel-Lindau protein; RACK1, receptor for activated C kinase; RSUME, RWD-containing SUMOylation enhancer; SDH, succinate dehydrogenase; SENP, sentrin/SUMO-specific protease; SIRT, sirtuin; STAT, signal transducer and activator of transcription; TCA, tricarboxylic acid; USP52, ubiquitin-specific protease 52; VDU, pVHL-interacting deubiquitinating enzyme; VEGF, vascular endothelial growth factor; VHL, von Hippel-Lindau; YB-1, Y-box-binding protein 1; 2HG, 2-hydroxyglutarate; 2OG, 2-oxoglutarate.

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## 1 | INTRODUCTION

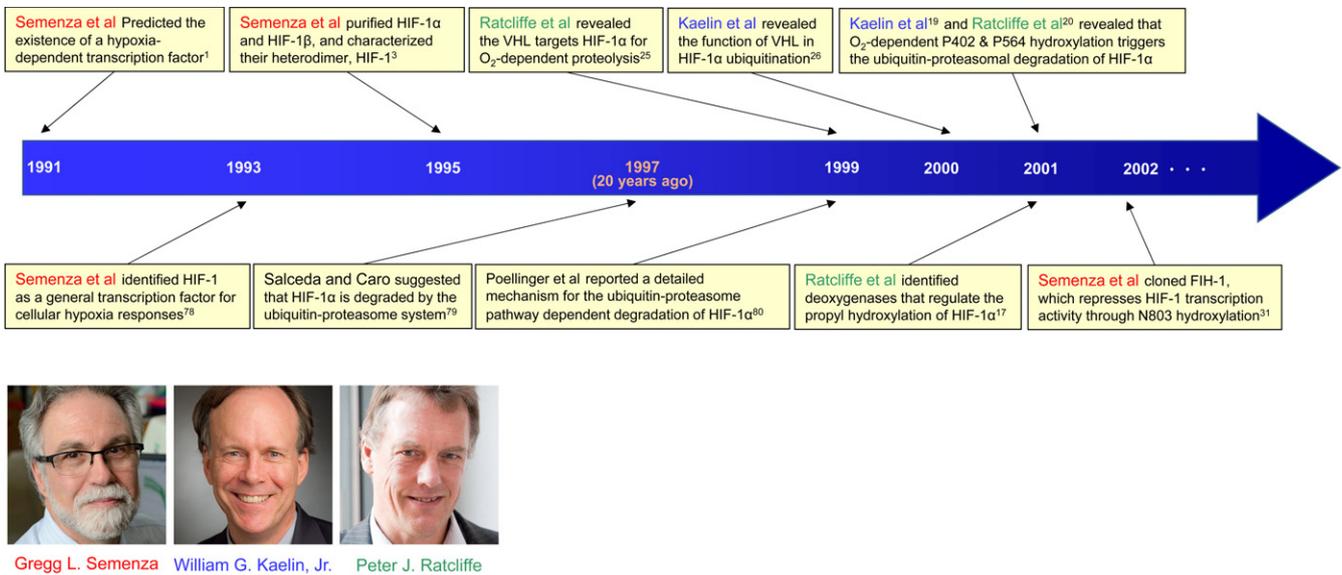
The existence of a hypoxia-inducible transcriptional factor was first predicted in 1991 through an investigation on the *cis*-acting regulatory elements of the human erythropoietin gene (*Epo*), the expression of which is known to be induced under hypoxic conditions<sup>1,2</sup> (Figure 1). Wang and Semenza subsequently succeeded in purifying the responsible factor in 1995, identified it as a heterodimer composed of approximately 120-kDa and 90-kDa proteins, and designated them as HIF-1 $\alpha$  and HIF-1 $\beta$ , respectively (Figure 1)<sup>3</sup>. Since then, HIF-1 has been shown to play important roles in the growth and malignant progression of tumors by inducing the transcription of hundreds of genes that function in improving oxygen availability in tumor tissues (angiogenesis/neovascularization<sup>4-7</sup>), the escape of cancer cells from tumor hypoxia (invasion and metastasis of cancer cells<sup>5,8,9</sup>), and the adaption of cancer cells to a hypoxic microenvironment (metabolic reprogramming<sup>7,10-14</sup>). As a result of these diverse functions of its downstream genes, HIF-1 has been widely recognized as a rational target for cancer therapy<sup>5,15,16</sup> and, thus, marked efforts have been devoted to elucidating the molecular mechanisms underlying its activation.

Regulatory mechanisms, which have the biggest impact on HIF-1 activity, are regulated through post-translational modifications to the HIF-1 $\alpha$  protein (Figure 2). The hydroxylation of HIF-1 $\alpha$  by oxygen-, iron-, and 2-oxoglutarate (2OG)-dependent dioxygenase enzymes at two specific proline residues (P402 and P564) and one specific asparagine residue (N803) leads to proteolysis and a decrease in the transactivation activity of HIF-1 $\alpha$ , respectively, such that HIF-1 may express its transcriptional activity under hypoxic conditions.<sup>17-20</sup> Consequently, the expression of HIF-1 $\alpha$  itself and its downstream genes are detected in hypoxic regions, which are located 70-100  $\mu$ m from functional blood vessels in malignant solid tumors.<sup>21</sup> However, the expression of HIF-1 $\alpha$  is

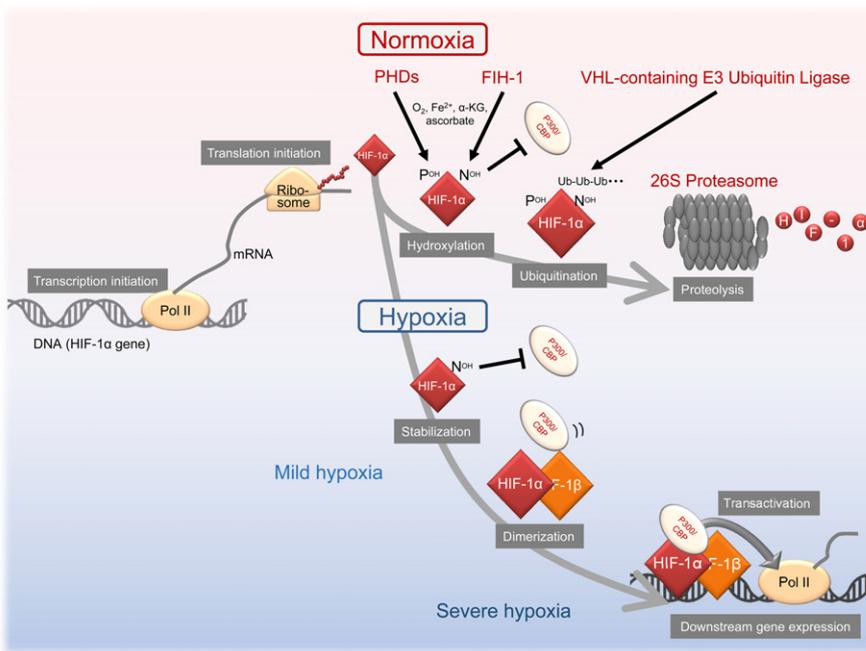
often observed regardless of the distance from functional tumor blood vessels (and potentially regardless of oxygen availability) in immunohistochemical analyses of clinical tumor samples.<sup>22</sup> These findings suggest that there are mechanisms other than the above-described hypoxia-dependent mechanism. In the last decade, HIF-1 activity has consistently been reported to be regulated not only at the post-translational level in an oxygen-dependent way, but also at other levels, such as transcription initiation, translation initiation, heterodimer formation, translocation into the nucleus, and transactivation, regardless of the surrounding oxygen conditions.<sup>11</sup> Moreover, prolyl- and asparagine-hydroxylation-mediated decreases in HIF-1 activity were shown to be abrogated, even in the presence of oxygen, in some cases.<sup>7,23,24</sup> We herein review the last two decades of knowledge on the regulatory mechanisms of HIF-1 activity including not only well-established oxygen- and hydroxylation-dependent mechanisms, but also up-to-the-minute information on cancer-associated genetic and functional alteration-mediated mechanisms.

## 2 | CONVENTIONAL DOGMA REGARDING DIOXYGENASE-DEPENDENT REGULATION OF HIF-1 ACTIVITY

After HIF-1 was reported to be a heterodimer,<sup>3</sup> HIF-1 $\alpha$  was recognized as the main regulatory subunit for HIF-1 activity, whereas HIF-1 $\beta$  was a constitutively expressed subunit. Although the expression levels of HIF-1 $\alpha$  are now known to be regulated at multiple levels, the initially elucidated and most influential molecular mechanism on HIF-1 activity is that mediated by the dioxygenase-dependent and ubiquitin-proteasome pathway-mediated system (Figures 1 and 2). Two proline residues positioned at amino acids (a.a.) 402 and 564 of HIF-1 $\alpha$  are hydroxylated by dioxygenases, called PHD (including



**FIGURE 1** History of hypoxia-inducible factor 1 (HIF-1) biology in the first era. Gregg L. Semenza, William G. Kaelin, Jr, and Peter J. Ratcliffe have contributed to elucidating the molecular mechanism responsible for HIF-1-mediated adaptive responses to hypoxia in the first era of HIF-1 biology. The importance of the oxygen-dependent and ubiquitin-mediated proteolysis of hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ) was first reported by Salceda and Caro 20 years ago in 1997.<sup>79</sup> VHL, von Hippel-Lindau. Images courtesy of the Albert and Mary Lasker Foundation

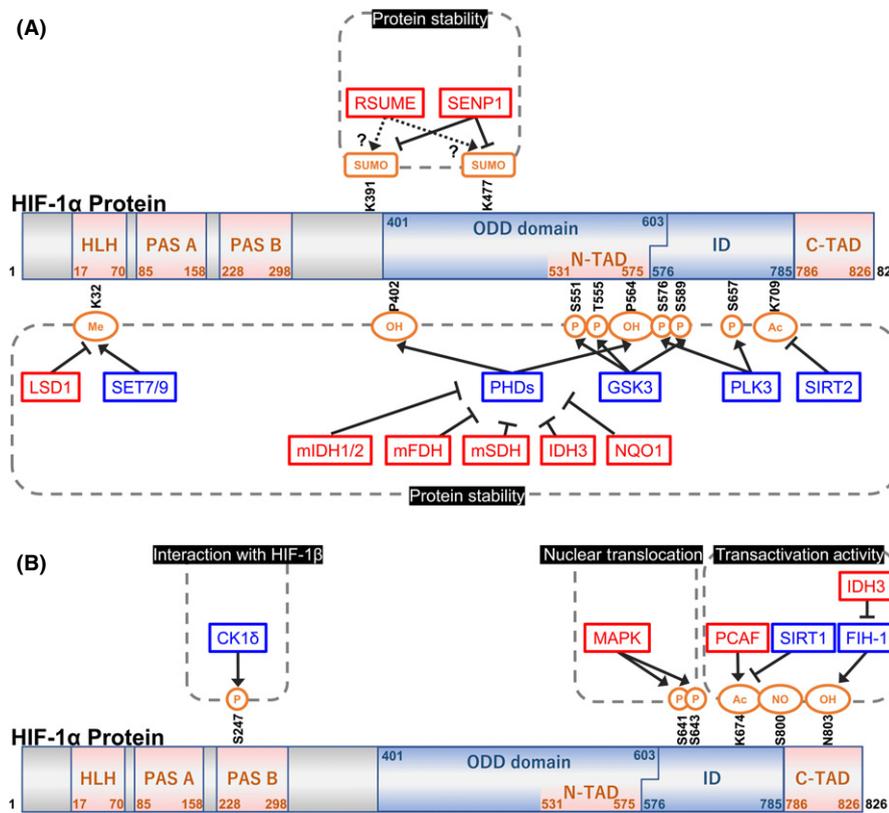


**FIGURE 2** Conventional oxygen- and dioxygenase-dependent regulatory mechanisms for hypoxia-inducible factor 1 (HIF-1) activity. FIH-1, factor-inhibiting HIF-1; HIF-1 $\alpha$ , hypoxia-inducible factor 1 $\alpha$ ; HIF-1 $\beta$ , hypoxia-inducible factor 1 $\beta$ ; PHD, prolyl-4-hydroxylase; VHL, von Hippel-Lindau

PHD1-3), in an oxygen-dependent way (Figures 2 and 3).<sup>17,19,20</sup> Prolyl hydroxylation triggers a ubiquitination reaction by pVHL-containing E3 ubiquitin ligase, leading to the proteolysis of HIF-1 $\alpha$  through the ubiquitin-proteasome system with a half-life of 6–8 min (Figure 2).<sup>25–27</sup> As HIF-1 promotes angiogenesis by inducing the expression of proangiogenic factors, such as VEGF, this mechanism appears to be reasonable from the viewpoint of a characteristic feature of VHL disease whereby a deficiency in functional VHL as a result of a mutation in chromosome 3p25.3 causes vascular-rich

hemangioblastomas, renal cell carcinomas (mainly clear cell carcinomas), and pheochromocytomas.<sup>28,29</sup>

In addition to the proteolytic mechanism triggered by PHD, another dioxygenase, FIH-1, was found to play a key role in the inactivation of HIF-1 under normoxic conditions (Figures 2 and 3). FIH-1 hydroxylates the asparagine residue positioned at a.a. 803 of HIF-1 $\alpha$  in an oxygen-dependent way, and suppresses recruitment of the transcriptional co-activator complex, p300/CBP acetyltransferases, to the HIF-1 $\alpha$  protein.<sup>30,31</sup> Thus, asparagine hydroxylation



**FIGURE 3** Schematic diagram showing the primary structure and hydroxylated (OH), SUMOylated (Sumo), phosphorylated (P), acetylated (Ac), nitrosylated (NO), and methylated (Me) amino acid residues of the hypoxia-inducible factor 1α (HIF-1α) protein. Gene symbols represented in red and blue indicate positive and negative regulators of hypoxia-inducible factor 1 (HIF-1), respectively. Factors are categorized into 4 groups by dashed squares according to the levels to which HIF-1 activity is regulated; at the level of protein stability (A), interactions with hypoxia-inducible factor 1β (HIF-1β) (B), nuclear translocation (B), and transactivation activity (B). CK1δ, casein kinase 1δ; C-TAD, C-terminal transactivation domain; FIH-1, factor-inhibiting HIF-1; GSK-3, glycogen synthase kinase 3; HLH, helix-loop-helix; ID, inhibitory domain; IDH, isocitrate dehydrogenase; LSD1, lysine-specific demethylase-1; N-TAD, N-terminal transactivation domain; NQO1, NAD(P)H:quinone oxidoreductase 1; ODD, oxygen-dependent degradation; PAS, Per-Arnt-Sim; PCAF, p300/CBP-associated factor; PHD, prolyl-4-hydroxylase; PLK3, polo-like kinase 3; RSUME, RWD-containing SUMOylation enhancer; SDH, succinate dehydrogenase; SENP, sentrin/SUMO-specific protease; SIRT, sirtuin

results in the inactivation of the transactivation activity of HIF-1α under normoxic conditions.

Under hypoxic conditions, as a result of decreases in the hydroxylation activity of prolyl and asparagine dioxygenases, HIF-1α becomes stable and acquires transactivation activity (Figure 2).<sup>17,19,20,30,31</sup> The active form of HIF-1α then translocates into the nucleus and interacts with its partner subunit, HIF-1β. The resultant heterodimer, HIF-1, induces the transcription initiation of hundreds of genes by binding to their enhancer element, HRE, which is located in or around the promoter region of each HIF-1-regulated gene.<sup>32,33</sup>

Although PHD and FIH-1 both function as sensors of oxygen concentrations and down-regulate the expression and transactivation activity of HIF-1α with their hydroxylation activities, their Km values differ from each other.<sup>34,35</sup> As the Km value of FIH-1 is markedly lower than that of PHD, the HIF-1α protein initially accumulates under mild hypoxic conditions (e.g. treatment with 1% oxygen for 5 hours<sup>35,36</sup>) because of decreases in the enzymatic activities of PHD. It then acquires transactivation activity by interacting with

p300/CBP under severely hypoxic conditions as a result of the inactivation of FIH-1 (e.g. treatment with 0.2% oxygen for 5 hours<sup>35,36</sup>), eventually showing maximum transcriptional activity.

### 3 | GENETIC, MECHANISTIC, AND FUNCTIONAL ALTERATION-MEDIATED MECHANISMS

Dioxygenase activities of PHD and FIH-1 are dependent not only on oxygen, but also on 2OG (also known as α-ketoglutarate) and ferrous ions (Fe<sup>2+</sup>); therefore, HIF-1 becomes active in their absence, even under normoxic conditions. In addition, genetic, mechanistic, and/or functional alterations in genes that potentially influence intracellular levels of oxygen, 2OG, or Fe<sup>2+</sup> or that directly influence the rates of the neo-synthesis or degradation of HIF-1α have been reported to up-regulate HIF-1 activity and subsequently promote the malignant progression of tumors. We herein review the following accumulated information (Figure 3; Tables 1-3).

### 3.1 | Mechanisms regulating HIF-1 $\alpha$ mRNA levels

Transcription initiation is generally regarded as one of the most influential steps affecting the expression levels of genes. It is typically stimulated by one or multiple transcription factors that bind to a cognate enhancer sequence in its target promoter region. Transcription of the HIF-1 $\alpha$  gene is not an exception, although basal levels of HIF-1 $\alpha$  may be assured regardless of oxygen availability. Previous studies reported that each transcription factor, such as the ISGF3 complex, which is composed of STAT1/STAT2/IRF9,<sup>37</sup> STAT3,<sup>38</sup> or NF- $\kappa$ B,<sup>39</sup> binds to the promoter region of the HIF-1 $\alpha$  gene in order to activate its transcription initiation (Table 1). Moreover, the PI3K/Akt/PKC/HDAC pathway was also shown to be involved in the up-regulation of HIF-1 $\alpha$  transcription when cells had the G13997A mutation in the mitochondrial ND6 gene<sup>40</sup> (Table 1). We also identified LY6E as an upstream activator of HIF-1 $\alpha$  transcription<sup>6</sup> (Table 1). Another mechanism may exist whereby tumor-associated CpG demethylation facilitates the positive autoregulation of HIF-1 $\alpha$  expression at the transcription level. For example, Koslowski et al<sup>41</sup> found that the HIF-1 $\alpha$  promoter itself possesses an HRE, which is normally repressed by the methylation of a CpG dinucleotide located in the core element in normal cells, but, in contrast, is frequently and aberrantly activated by demethylation in colon cancer cell lines and primary colon cancer specimens, enabling the binding of HIF-1 $\alpha$  to its own promoter, which results in the autotransactivation of HIF-1 $\alpha$  expression (Table 1). However, negative regulators of the transcription initiation of the HIF-1 $\alpha$  gene have been reported. An example is NRF-1; after binding to the HIF-1 $\alpha$  promoter region, NRF-1 represses its transcription initiation<sup>42</sup> (Table 1).

In addition to the regulation of transcription initiation efficiency, that for mRNA stability is a key determinant of mRNA expression levels. Regarding HIF-1 $\alpha$  mRNA stability, among processing body (P-body) components, USP52/PAN2 was found to stabilize HIF-1 $\alpha$  mRNA by interacting with (or at least by co-localizing with) the 3'-untranslated region (UTR) of HIF-1 $\alpha$  mRNA, leading to an increase in HIF-1 $\alpha$  protein levels<sup>43</sup> (Table 1).

### 3.2 | Mechanisms regulating the translation initiation of the HIF-1 $\alpha$ gene

Translation initiation is another important regulatory step for gene expression, as shown in the regulation of HIF-1 $\alpha$  expression levels. Translation is initiated through a cap-dependent or IRES-dependent mechanism.<sup>44</sup> Regarding HIF-1 $\alpha$ , the PI3K/Akt pathway was shown to affect and up-regulate the translational initiation of the HIF-1 $\alpha$  protein<sup>45-47</sup> (Table 1). YB-1 was also found to directly bind to a unique secondary structure of the 5'UTR of HIF-1 $\alpha$  mRNA and enhance its translation initiation<sup>48</sup> (Table 1). Moreover, when activated through replicative stress under hypoxic conditions, ATR was shown to stimulate the translation initiation of the HIF-1 $\alpha$  protein through a *cis*-acting element contained in the HIF-1 $\alpha$  open reading frame<sup>49</sup> (Table 1).

### 3.3 | Mechanisms regulating HIF-1 $\alpha$ protein stability

The molecular mechanisms regulating the stability of HIF-1 $\alpha$  have the greatest impact on HIF-1 activity. In around 2000, the factors responsible, such as VHL and PHD, were cloned one after another, as described earlier<sup>17,19,20,25,26</sup> (Figure 1). Several gene products with the potential to influence the effects of these two factors on HIF-1 $\alpha$  were subsequently identified as novel indirect modulators of HIF-1 activity.

#### 3.3.1 | Mechanisms affecting HIF-1 $\alpha$ stability by modulating its prolyl-hydroxylation status

As PHD need oxygen and 2OG as substrates and Fe<sup>2+</sup> and ascorbate as co-factors for their dioxygenase effects on P402 and P564 of HIF-1 $\alpha$  (Figure 2), gene products that influence the intracellular levels of these molecules have been shown to modulate HIF-1 activity. 2OG is an intermediary metabolite of the TCA cycle; therefore, functional disorders in three key enzymes in the TCA cycle, SDH, FH, and IDH, were found to affect the activities of PHD and subsequently HIF-1 as follows<sup>23,24</sup> (Table 2). Loss-of-function mutations in SDH and FH, which have been detected in "hereditary paraganglioma" and "hereditary leiomyomatosis and renal cell cancer", were shown to cause abnormal accumulation of succinate and fumarate, respectively.<sup>23,24</sup> The accumulated metabolites inhibited PHD through product inhibition, whereby the product of an enzymatic reaction directly binds to the enzyme and inhibits its activity for negative feedback regulation, and eventually leads to the stabilization of HIF-1 $\alpha$ <sup>23,24</sup> (Table 2). IDH has three isoforms, IDH1-3, and their functional disorders or aberrant overexpression influences the function of PHD because of the structural similarity between 2OG and 2HG. Mutations in IDH1/2 have been detected in gliomas or other hematological malignancies.<sup>50</sup> Although the mutant forms of IDH1/2 have been shown to produce 2HG, it currently remains unclear whether 2HG activates or inhibits the activity of PHD or is dependent on the enantiomer, D or L (R or S). For example, Koivunen et al<sup>51</sup> showed that L-2HG inhibits PHD activity, leading to an increase in HIF-1 $\alpha$  levels, whereas D-2HG promotes PHD (Table 1). Meanwhile, Burr et al<sup>52</sup> reported similar, but controversial, findings showing that L-2HG inhibits PHD, whereas D-2HG has no effect (Table 2). Regarding IDH3, we found that the aberrant activation of wild-type IDH3 inactivated PHD activity by decreasing intracellular levels of 2-OG, resulting in the stabilization of HIF-1 $\alpha$ <sup>7</sup> (Table 2).

Another mechanism has been reported whereby hydroxylation of the two proline residues is actively regulated in order to control the stability of HIF-1 $\alpha$  without directly inhibiting the enzymatic activities of PHD. NQO1 was recently identified as a responsible gene<sup>53</sup> (Figure 3A; Table 2). Although NQO1 was originally cloned as a cytosolic reductase, a recent study showed that it binds to the ODD domain of HIF-1 $\alpha$ , physically prevents HIF-1 $\alpha$  from interacting with PHD, and eventually inhibits the proteasome-mediated degradation of HIF-1 $\alpha$ .<sup>53</sup> In an experimental mouse model, knockdown of NQO1

**TABLE 1** Positive and negative regulators of hypoxia-inducible factor 1 (HIF-1) functioning at transcriptional initiation, transcript stability, and translational initiation levels

Functional category/Gene symbol	Positive or negative impact on HIF-1 activity	Regulatory mechanisms for HIF-1 activity	Reference
Transcription initiation of the <i>hif-1<math>\alpha</math></i> gene			
ISGF3 (STAT1/STAT2/IRF9)	Positive	Binding to the promoter region of the <i>hif-1<math>\alpha</math></i> gene to activate transcription initiation	37
STAT3	Positive	Binding to the promoter region of the <i>hif-1<math>\alpha</math></i> gene to activate transcription initiation	38
NF- $\kappa$ B	Positive	Binding to the promoter region of the <i>hif-1<math>\alpha</math></i> gene to activate transcription initiation	39
PI3K/Akt/PKC/HDAC pathway	Positive	Up-regulating transcription initiation in case of the G13997A mutation in mitochondrial ND6 gene	40
LY6E	Positive	Activating the PI3K/Akt pathway through a decrease in PTEN expression	6
HIF-1	Positive	Binding to HRE in the promoter regions of the <i>hif-1<math>\alpha</math></i> gene for positive autoregulation	41
NRF-1	Negative	Binding to the promoter region of the <i>hif-1<math>\alpha</math></i> gene to repress transcription initiation	42
Stability of the <i>hif-1<math>\alpha</math></i> transcript (mRNA)			
P-bodies (USP52/PAN2)	Positive	Interacting with the 3'-UTR of HIF-1 $\alpha$ mRNA for its stabilization	43
Translation initiation of the <i>hif-1<math>\alpha</math></i> gene			
PI3K/Akt pathway	Positive	Up-regulating cap-dependent and IRES-dependent translation initiation	45-47
YB-1	Positive	Binding to the unique secondary structure of the 5'UTR of HIF-1 $\alpha$ mRNA to enhance translation initiation	48
ATR	Positive	Influencing the <i>cis</i> -element in the coding region of HIF-1 $\alpha$ mRNA when activated by replicative stress	49

ATR, ataxia telangiectasia and Rad3-related protein; HDAC, histone deacetylase; HRE, hypoxia-response element; IRES, internal ribosomal entry site; IRF9, interferon regulatory factor 9; ISGF3, interferon-stimulated gene factor 3; LY6E, lymphocyte antigen 6 complex locus E; ND6, NADH dehydrogenase subunit 6; NF- $\kappa$ B, nuclear factor kappa B; NRF-1, NF-E2-related factor 1; PAN2, poly(A) nuclease 2; PI3K, phosphoinositide 3-kinase; PKC, protein kinase C; STAT, signal transducer and activator of transcription; USP52, ubiquitin-specific protease 52; YB-1, Y-box-binding protein 1.

in human colorectal and breast cancer cell lines resulted in suppression of HIF-1 signaling and tumor growth. Clinically, overexpression of NQO1 is associated with poor prognosis of patients with various cancers.<sup>54,55</sup>

Sirtuin 2, which was originally cloned as an isoform of a family of NAD(+)-dependent protein deacetylases that regulate cellular metabolism in response to stress, was recently shown to destabilize HIF-1 $\alpha$  using its deacetylase activity<sup>56</sup> (Figure 3A; Table 2). SIRT2 has been shown to directly interact with HIF-1 $\alpha$  in order to deacetylate HIF-1 $\alpha$  at K709 and enhance the interaction of HIF-1 $\alpha$  with PHD2. SIRT2 overexpression was consistently confirmed to destabilize HIF-1 $\alpha$  by promoting prolyl-hydroxylation, whereas the HIF-1 $\alpha$  protein was maintained at high levels when SIRT2 was deficient or when the acetylation-defective mutation, K709R, was introduced into HIF-1 $\alpha$ .

### 3.3.2 | Mechanisms affecting HIF-1 $\alpha$ stability without modulating its prolyl-hydroxylation status

Another type of regulatory mechanism of HIF-1 $\alpha$  stability is not mediated by the conventional PHD-VHL system.

A previous study reported that the molecular chaperone 90 kDa HSP90 and RACK1 competitively function to regulate the stability of HIF-1 $\alpha$  in a PHD-VHL-independent way<sup>57</sup> (Table 2). As HSP90 works to protect HIF-1 $\alpha$  from the PHD-VHL-independent degradation of HIF-1 $\alpha$ , RACK1-mediated dissociation of HSP90 from the Per-Arnt-Sim A (PAS-A) domain of HIF-1 $\alpha$  and subsequent recruitment of the Elongin-B/C ubiquitin ligase complex to HIF-1 $\alpha$  may destabilize HIF-1 $\alpha$ .

Accumulating evidence has shown that phosphorylation of specific serine and threonine residues of HIF-1 $\alpha$ , S551, T555, and S589, all of which are located within the ODD domain, plays an important role in the PHD-VHL-independent destabilization of HIF-1 $\alpha$  stability. Glycogen synthase kinase 3 was identified as the gene responsible for phosphorylation based on the finding that the pharmacological inhibition or depletion of GSK-3 induced HIF-1 $\alpha$ , whereas overexpression of GSK-3 $\beta$  reduced HIF-1 $\alpha$ , and that mutations in the phosphorylation residues, S551A/T555V/S589A, abolished the destabilization of HIF-1 $\alpha$ <sup>58</sup> (Figure 3A; Table 2). This conclusion was further supported by the finding that GSK-3-mediated destabilization of HIF-1 $\alpha$  was independent of the hydroxylation sites within HIF-1 $\alpha$  (P402/P564/N803) and pVHL.

Other serine residues, S576 and S657, of HIF-1 $\alpha$  were also suggested to be phosphorylated and involved in regulation of HIF-1 $\alpha$  stability.<sup>59</sup> The responsible modulator is an evolutionarily conserved serine/threonine kinase, PLK3, which is known to regulate cell cycle progression through the M phase<sup>59,60</sup> (Figure 3A; Table 2). Xu et al reported that PLK3 physically interacted with HIF-1 $\alpha$  under hypoxic conditions and phosphorylated two serine residues, S576 and S657, in order to destabilize HIF-1 $\alpha$ . PLK3 knock-out MEF were consistently shown to express higher levels of HIF-1 $\alpha$ , whereas the phosphorylation-resistant mutations, S576A and S657A, stabilized HIF-1 $\alpha$ . In addition, the finding that simultaneous mutations in the prolyl and Plk3-targeting phosphorylation sites (P402A, P564A, S576A, and S657A) further increased the levels of HIF-1 $\alpha$  strongly suggested that Plk3 decreases HIF-1 $\alpha$  stability in a PHD-independent way.

The SUMOylation of HIF-1 $\alpha$  is another post-translational modification that affects HIF-1 $\alpha$  stability; however, it currently remains unclear whether SUMOylation increases or decreases the stability of HIF-1 $\alpha$ . Bae et al<sup>61</sup> was the first to report in 2004 that SUMOylation increased HIF-1 $\alpha$  stability. They identified K391 and K477 of HIF-1 $\alpha$  as targets of SUMOylation and showed that overexpression of SUMO-1 increased HIF-1 $\alpha$  stability (Figure 3A). In 2007, the SUMOylation enzyme for HIF-1 $\alpha$ , RSUME, was identified and found to increase the stability of HIF-1 $\alpha$ <sup>62</sup> (Figure 3A; Table 2). However, an opposite function of SUMOylation on the stability of HIF-1 $\alpha$  was reported by Cheng et al<sup>63</sup> in an analysis of an isoform of SENP, SENP1 (Figure 3A; Table 2). They showed that SENP1-mediated deSUMOylation inhibited the interaction between HIF-1 $\alpha$  and VHL, resulting in the stabilization of HIF-1 $\alpha$ , suggesting that SUMOylation leads to HIF-1 $\alpha$  proteolysis. Expression levels of HIF-1 downstream genes were consistently shown to be markedly reduced in MEF and in mice with the homozygous deletion of SENP1.

Besides the major modifications such as ubiquitination, phosphorylation, or SUMOylation described above, methylation also plays a role in modulating HIF-1 $\alpha$  stability that is irrelevant to PHD. A recent study showed that HIF-1 $\alpha$  is methylated by SET7/9 methyltransferase at K32<sup>64</sup> (Figure 3A; Table 2), and LSD1 functions in its demethylation<sup>65</sup> (Figure 3A; Table 2). K32 methylation triggers the ubiquitin-mediated proteolysis of HIF-1 $\alpha$  in a PHD-VHL-independent mechanism. Knock-in mice harboring biallelic methylation-defective K32A mutations in the HIF-1 $\alpha$  gene showed enhanced retinal angiogenesis and tumor vascularization by HIF-1 $\alpha$  stabilization, further supporting the importance of methylation. Mutations near K32 (S28Y and R30Q) of HIF-1 $\alpha$ , which have been detected in human cancers, have also been reported to be involved in altered HIF-1 $\alpha$  stability affecting K32 methylation.<sup>64</sup>

### 3.3.3 | Mechanisms affecting HIF-1 $\alpha$ stability by directly modulating its ubiquitination status

A deubiquitinating enzyme potentially acts as a stabilizer of HIF-1 $\alpha$  because ubiquitinated HIF-1 $\alpha$  may be rescued from degradation by deubiquitination.

The first factor identified as a deubiquitinating enzyme for HIF-1 $\alpha$  was VDU2, also known as USP20<sup>66</sup> (Table 2). The interaction

between HIF-1 $\alpha$  and VDU2 was shown using an immunoprecipitation assay. Molecular and cellular experiments showed that VDU2 interacted with HIF-1 $\alpha$  in order to induce the expression of HIF-1 downstream genes, such as VEGF, through the deubiquitination and stabilization of HIF-1 $\alpha$ . Previous findings showing that VDU2 had no clear effect on another ubiquitinated short-lived protein, p53, indicated the target specificity of VDU2. Other deubiquitinating enzymes (e.g. USP8) were also shown to stabilize HIF-1 $\alpha$ <sup>67</sup> (Table 2). Furthermore, we also identified another deubiquitinating protein, UCHL1,<sup>8</sup> as described below (Table 2).

### 3.4 | Mechanisms regulating nuclear translocation of HIF-1 $\alpha$

Translocation from the cytosol into the nucleus is another important regulatory step in HIF-1 activity. HIF-1 $\alpha$  has the ability to shuttle between the nucleus and the cytoplasm.<sup>68</sup> Carbonaro et al<sup>69</sup> reported that the HIF-1 $\alpha$  protein associates with polymerized microtubules and traffics to the nucleus when its NLS is recognized by the motor protein, dynein (Table 3). In addition to this mechanism, the AMPK-HDAC pathway deacetylates the cytosolic molecular chaperone, HSP70, promotes the interaction between HIF-1 $\alpha$  and HSP90, and facilitates the rapid nuclear accumulation of HIF-1 $\alpha$ <sup>70</sup> (Table 3).

In contrast, the active export of HIF-1 $\alpha$  from the nucleus was found to be important in the regulation of HIF-1 activity. Phosphorylation of the serine residues, Ser641 and Ser643, which are in close proximity to an atypical nuclear export signal of HIF-1 $\alpha$  (between residues 632 and 639), by MAPK has been reported to block export by chromosome region maintenance 1 protein homologue (CRM1), thereby promoting nuclear accumulation and transcriptional activity<sup>68,71,72</sup> (Figure 3B; Table 3).

### 3.5 | Mechanisms modulating HIF-1 $\alpha$ and HIF-1 $\beta$ heterodimer formation

After being stabilized, HIF-1 $\alpha$  forms a heterodimer with the constitutively expressed binding partner, HIF-1 $\beta$ . Heterodimer formation is also an important regulatory step in the activation of HIF-1, and CK1 $\delta$  was found to be involved in this process as a result of this kinase activity on HIF-1 $\alpha$  S247<sup>73</sup> (Figure 3B; Table 3). A luciferase assay to evaluate HIF-1 transcription activity showed that the siRNA-mediated silencing of CK1 $\delta$  enhanced, whereas the overexpression of CK1 $\delta$  inhibited HIF-1 activity under hypoxic conditions. The phosphorylation-defective mutation, S247A, enhanced, whereas the corresponding phosphorylation-mimic mutation, S247Q, inhibited heterodimerization, further suggesting the importance of the CK1 $\delta$ -dependent phosphorylation of HIF-1 $\alpha$ .

### 3.6 | Mechanisms regulating the transactivation activity of HIF-1 $\alpha$

Regulation of the transactivation activity of HIF-1 is primarily modulated by the hydroxylation of N803 by an oxygen-dependent

dioxygenase other than PHD, namely, FIH-1 (Figure 2). Asparagine hydroxylation prevents the acetyltransferases, p300/CBP, from interacting with the C-terminal transactivation domain (C-TAD) of HIF-1 $\alpha$  and subsequently inhibits the recruitment of RNA polymerase II to the promoter regions of the downstream genes of HIF-1. Thus, factors modulating this process including not only the asparagine hydroxylation status, but also the efficiency of p300/CBP recruitment have been found to affect the transactivation activity of HIF-1.

Another isoform of SIRT2, SIRT1, was shown to suppress the transactivation activity of HIF-1 $\alpha$ <sup>74</sup> (Figure 3B; Table 3). HIF-1 $\alpha$  is acetylated by PCAF at K647 (Figure 3B; Table 3). SIRT1 deacetylates it and represses HIF-1 $\alpha$  activity by inhibiting the recruitment of p300/CBP. SIRT1 was down-regulated under hypoxic conditions as a

result of reduced NAD(+) levels, which facilitated the acetylation and activation of HIF-1 $\alpha$ .

Yasinska and Sumbayev reported the importance of another post-translational modification of HIF-1 $\alpha$ , C800 S-nitrosylation, for increases in the transactivation activity of HIF-1 $\alpha$ <sup>75</sup> (Figure 3B). S-nitrosylation was previously reported to stimulate the recruitment of p300 to the HIF-1 $\alpha$  C-terminal domain in a GST pull-down assay. The increase in HIF-1 activity was not observed when the cysteine residue was substituted with alanine.

Chen et al recently reported that XBP1 is activated in triple-negative breast cancers (TNBC) and plays a pivotal role in their tumorigenicity and progression.<sup>76</sup> From a mechanistic viewpoint, they showed that XBP1 formed a transcriptional complex with HIF-

**TABLE 2** Positive and negative regulators of hypoxia-inducible factor 1 (HIF-1) functioning at protein stability levels

Functional category/ Gene symbol	Positive or negative impact on HIF-1 activity	Regulatory mechanisms for HIF-1 activity	Reference
Stability of the HIF-1 $\alpha$ protein by modulating its prolyl hydroxylation status			
PHD1, 2, 3	Negative	Hydroxylating P402 and P564 of HIF-1 $\alpha$ for ubiquitination	17, 19, 20
Loss-of-function mutant of SDH	Positive	Inactivation of PHD and FIH-1 through "product inhibition" as a result of the abnormal accumulation of succinate	24
Loss-of-function mutant of FH	Positive	Inactivation of PHD and FIH-1 through "product inhibition" as a result of the abnormal accumulation of fumarate	23
Gain-of-function mutants of IDH1, 2	Controversial	L-2HG inhibits PHD activities leading to an increase in HIF-1 $\alpha$ levels, whereas D-2HG promotes PHD	51
		L-2HG inhibits PHD activities leading to an increase in HIF-1 $\alpha$ levels, whereas D-2HG has no effect	52
IDH3	Positive	Inactivating PHD through a decrease in 2OG level, when overexpressed aberrantly.	7
NQO1	Positive	Binding to HIF-1 $\alpha$ and physically preventing its interaction with PHD	53
SIRT2	Negative	Deacetylating HIF-1 $\alpha$ at K709 and enhancing the interaction between HIF-1 $\alpha$ and PHD2	56
Stability of the HIF-1 $\alpha$ protein without modulating its prolyl hydroxylation status			
HSP90	Positive	Protecting HIF-1 $\alpha$ from RACK1-mediated and PHD-VHL-independent proteolysis	57
GSK-3	Negative	Phosphorylating S551, T555, and S589 of HIF-1 $\alpha$ for PHD-VHL-independent proteolysis	58
PLK3	Negative	Phosphorylating S576 and S657 of HIF-1 $\alpha$ for PHD-independent proteolysis	59
RSUME	Positive	SUMOylating HIF-1 $\alpha$ for its stabilization	62
SENP1	Positive	deSUMOylating HIF-1 $\alpha$ to inhibit VHL-mediated ubiquitination in a PHD-independent way	63
SET7/9	Negative	Methylating HIF-1 $\alpha$ at K32 for its ubiquitin-mediated proteolysis in a PHD-VHL-independent way	64
LSD1	Positive	Demethylating HIF-1 $\alpha$ at K32 to suppress PHD-VHL-independent proteolysis	65
Stability of the HIF-1 $\alpha$ protein by modulating its ubiquitination status			
VHL	Negative	Ubiquitinating HIF-1 $\alpha$ for its proteasomal degradation	25-27
VDU (also known as USP20)	Positive	Deubiquitinating HIF-1 $\alpha$ for its stabilization	66
USP8	Positive	Deubiquitinating HIF-1 $\alpha$ for its stabilization	67
UCHL1	Positive	Deubiquitinating HIF-1 $\alpha$ for its stabilization	8, 77

D-2HG, D-2-hydroxyglutarate; FH, fumarate hydratase, FIH-1, factor-inhibiting HIF-1; GSK-3, glycogen synthase kinase 3; HSP, heat-shock protein; IDH, isocitrate dehydrogenase; LSD1, lysine-specific demethylase-1; L-2HG, L-2-hydroxyglutarate; NQO1, NAD(P)H:quinone oxidoreductase 1; PHD, prolyl-4-hydroxylase; PLK3, polo-like kinase 3; RACK1, receptor for activated C kinase; RSUME, RWD-containing SUMOylation enhancer; SDH, succinate dehydrogenase; SENP, sentrin/SUMO-specific protease; SIRT, sirtuin; VDU, pVHL-interacting deubiquitinating enzyme; VHL, von Hippel-Lindau; 2OG, 2-oxoglutarate.

**TABLE 3** Positive and negative regulators of hypoxia-inducible factor 1 (HIF-1) functioning at other levels

Functional category/ Gene symbol	Positive or negative impact on HIF-1 activity	Regulatory mechanisms for HIF-1 activity	Reference
Nuclear translocation			
Dynein	Positive	Interacting with NLS of HIF-1 $\alpha$ for the nuclear translocation of HIF-1 $\alpha$	69
AMPK/HDAC pathway	Positive	Triggering HIF-1 $\alpha$ nuclear localization by deacetylating HSP70 and promoting the HIF-1 $\alpha$ -HSP90 interaction	70
MAPK	Negative	Phosphorylating S641 and S643 for the export of HIF-1 $\alpha$ from the nucleus	71, 72
Heterodimer formation			
CK1 $\delta$	Negative	Phosphorylating HIF-1 $\alpha$ at S247 to inhibit heterodimer formation with HIF-1 $\beta$	73
Transactivation activity of the HIF-1 $\alpha$ protein			
FIH-1	Negative	Hydroxylating N803 of HIF-1 $\alpha$ to inhibit the interaction between HIF-1 $\alpha$ and p300/CBP	30, 31
SIRT1	Negative	Inhibiting the HIF-1 $\alpha$ -p300/CBP interaction by deacetylating HIF-1 $\alpha$ K647, which is acetylated by PCAF	74
XBP1	Positive	Forming a transcriptional complex with HIF-1 $\alpha$ and promoting the recruitment of RNA polymerase II	76
IDH3	Positive	Inactivating FIH-1 through a decrease in 2OG levels when overexpressed aberrantly	7

AMPK, AMP-activated protein kinase; CBP, CREB-binding protein; CK1 $\delta$ , casein kinase 1 $\delta$ ; FIH-1, factor-inhibiting HIF-1; HDAC, histone deacetylase; HSP, heat-shock protein; IDH, isocitrate dehydrogenase; NLS, nuclear localization signal; PCAF, p300/CBP-associated factor; SIRT, sirtuin; 2OG, 2-oxo-glutarate.

1 $\alpha$ , enhancing the expression of HIF-1 $\alpha$  target transcripts by recruitment of RNA polymerase II (Table 3). Depletion of XBP1 inhibited tumor growth and relapse.

## 4 | SCREENING OF NOVEL UPSTREAM ACTIVATORS OF HIF-1

We recently established a genetic screening method to investigate novel genes responsible for the activation of HIF-1, and successfully identified the following three genes as novel activators of HIF-1: IDH3 (Tables 2 and 3), UCHL1 (Table 2), and LY6E<sup>6-8</sup> (Table 1). We reported that the forced expression of each promoted the malignant progression and/or growth of tumors through a different mechanism for the activation of HIF-1.

### 4.1 | IDH3-HIF-1 axis

Aberrant overexpression of wild-type IDH3 $\alpha$ ,  $\alpha$  subunit of the IDH3 heterotetramer, significantly decreased 2OG levels and increased the stability and transactivation activity of HIF-1 $\alpha$  in cancer cells by inactivating 2OG- as well as the oxygen-dependent dioxygenases (Tables 2 and 3), PHD and FIH-1.<sup>7</sup> However, the silencing of IDH3 $\alpha$  inhibited the Warburg effect and angiogenesis, resulting in a significant delay in tumor growth. Clinically, a database-based Kaplan-Meier analysis showed that the expression of IDH3 $\alpha$  was associated with the poor postoperative overall survival of lung and breast cancer patients. These findings support the use of IDH3 as a novel diagnostic and treatment target.

### 4.2 | UCHL1-HIF-1 axis

Regarding UCHL1, we showed that it stabilizes the HIF-1 $\alpha$  protein by deconjugating the VHL-mediated ubiquitin from HIF-1 $\alpha$ <sup>8</sup> (Table 2). In murine models of pulmonary metastasis, the aberrant overexpression of UCHL1 was shown to promote distant tumor metastases in a HIF-1-dependent way. In contrast, the inhibition of the UCHL1-HIF-1 axis resulted in significant suppression of metastatic tumor formation. Intratumoral expression levels of UCHL1 were found to correlate with those of HIF-1 $\alpha$  and be strongly associated with the poor overall survival of patients with breast and lung cancers. These findings collectively provide a rationale for using UCHL1 as a prognostic marker and therapeutic target of cancers. In addition, we recently reported that UCHL1 increased the radioresistance of cancer cells by producing the antioxidant, reduced glutathione (GSH), through HIF-1-mediated reprogramming of the glucose metabolic pathway.<sup>77</sup>

### 4.3 | LY6E-HIF-1 axis

Regarding LY6E, we showed that it induced HIF-1 $\alpha$  expression principally at the transcription level<sup>6</sup> (Table 1). This, in turn, increased the expression levels of proangiogenic factors, such as VEGFA and platelet-derived growth factor subunit B (PDGFB), by decreasing those of phosphatase and tensin homolog (PTEN) and subsequently activating the PI3K/Akt pathway. The LY6E-mediated activation of HIF-1 was found to eventually increase micro blood vessel density and promote the growth of tumor xenografts in in vivo mouse models. Expression levels of LY6E were significantly higher in human breast cancers than

in normal breast tissues, and were strongly associated with the poor prognoses of patients with various cancers.

## 5 | CONCLUSION AND PERSPECTIVE

Hypoxia-inducible factor 1 has been associated with the malignant progression and growth of cancers. Thus, HIF-1 has attracted considerable attention as a target for cancer therapies and diagnoses, and extensive efforts have been made to elucidate the mechanisms responsible for its activation. Accumulating information suggested that multiple mechanisms, in addition to the well-established mechanisms, which are mediated by PHD-dependent hydroxylation, VHL-dependent ubiquitination, and FIH-1-dependent hydroxylation, contribute to the regulation of HIF-1 activity. Although molecular and cellular research has shown individual mechanisms of action, the mechanistic relationships among some of them remain largely unknown. A clearer understanding of these mechanistic relationships is critical for fully elucidating when, where, and how HIF-1 becomes active and the application of this information to the development of novel therapeutic strategies for cancers.

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## CONFLICTS OF INTEREST

Authors declare no conflicts of interest for this article.

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