

APPLICATION OF FRAGMENTATION NORMS TO
TRANSPORTED POINTS BY HAMILTONIAN ISOTOPIES

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ABSTRACT. We prove that a certain C^0 -robust condition of a Hamiltonian function H induces the existence of a point transported ε out of the original point by the Hamiltonian diffeomorphism φ_H . Related to our observation, we provide a problem on Hamiltonian pseudo-rotations.

1. MAIN RESULT

Let (M, ω) be a symplectic manifold. For a Hamiltonian $H: S^1 \times M \rightarrow \mathbb{R}$ with compact support, we set $H_t = H(t, \cdot)$ for $t \in S^1 = \mathbb{R}/\mathbb{Z}$. The *Hamiltonian vector field* X_{H_t} associated with H_t is a time-dependent vector field defined by the formula

$$\omega(X_{H_t}, \cdot) = -dH_t.$$

The *Hamiltonian isotopy* $\{\varphi_H^t\}_{t \in \mathbb{R}}$ associated with H is defined by

$$\begin{cases} \varphi_H^0 = \text{id}_M, \\ \frac{d}{dt}\varphi_H^t = X_{H_t} \circ \varphi_H^t \quad \text{for all } t \in \mathbb{R}, \end{cases}$$

and its time-one map $\varphi_H = \varphi_H^1$ is referred to as the *Hamiltonian diffeomorphism (with compact support)* generated by H . We denote by $\text{Ham}(M)$ the group of Hamiltonian diffeomorphisms of M with compact support.

In his famous work [B], Banyaga proved the simplicity of $\text{Ham}(M)$ when M is closed. The key ingredient was the proof of the fragmentation lemma for this group, which enables us to define fragmentation norms on $\text{Ham}(M)$ as follows. Let U be an open subset of M . The fragmentation lemma implies that for every $\phi \in \text{Ham}(M)$ there exists a positive integer n such that ϕ can be represented as a product of n diffeomorphisms $\theta_i \in \text{Ham}(\psi_i(U))$, where $\psi_i \in \text{Ham}(M)$ and $1 \leq i \leq n$. For $\phi \neq \text{id}_M$, its *fragmentation norm* $\|\phi\|_U$ with respect to the open subset U is defined to be the minimal number of factors in such a product. We set $\|\phi\|_U = 0$ when $\phi = \text{id}_M$.

Definition 1.1. Let U be an open subset of M . The fragmentation norm $\|\cdot\|_U$ is *controlled by the C^0 -topology* if there exist an C^0 -open neighborhood $\mathcal{U} \subset \text{Ham}(M)$ of the identity and a positive number $C > 0$ such that $\|\phi\|_U < C$ for any $\phi \in \mathcal{U}$.

Entov, Polterovich and Py proved the following interesting theorem.

Theorem 1.2 ([EPP, Theorem 4]). *Let Σ be a compact Riemann surface (possibly with boundary) equipped with an area form. Let $U \subset \Sigma$ be an open subset diffeomorphic to an open disc. Then the fragmentation norm $\|\cdot\|_U$ is controlled by the C^0 -topology.*

Our main result is the following theorem which is an application of Theorem 1.2.

Date: August 31, 2018.

This work is supported by JSPS KAKENHI Grant Numbers JP18J00765, JP18J00335.

Theorem 1.3. *Let Σ_g be a closed Riemann surface of genus $g \geq 1$ equipped with an area form and a Riemannian metric. Let L_1 and L_2 be non-contractible simple closed curves in Σ_g . Then there exist positive numbers $\varepsilon_0 > 0$ and $C > 0$ such that for any Hamiltonian $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$ satisfying $H|_{S^1 \times L_1} > C$ and $H|_{S^1 \times L_2} < 0$, there exists $x \in \Sigma_g$ such that $d(x, \varphi_H(x)) > \varepsilon_0$.*

Here d is the distance induced by the Riemannian metric on Σ_g .

Remark 1.4. Since the Hamiltonians kH , $k \in \mathbb{Z}_{>0}$, also satisfy $kH|_{S^1 \times L_1} > C$ and $kH|_{S^1 \times L_2} < 0$, Theorem 1.3 yields that $\varphi_H^{k_i}$ never converges to the identity with respect to the C^0 -topology for any sequence $k_i \rightarrow \infty$.

2. PROOF OF THEOREM 1.3

To prove Theorem 1.3, we use the following theorem which is an application of Lagrangian spectral invariants [LZ, K].

Theorem 2.1 ([KO]). *Let Σ_g be a closed Riemann surface of genus $g \geq 1$ equipped with an area form and U a contractible open subset of Σ_g . Then, there exists a positive number r satisfying the following condition. Let L_1 and L_2 be non-contractible simple closed curves in Σ_g . For any $C > 0$ and any Hamiltonian $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$ satisfying $H|_{S^1 \times L_1} > C$ and $H|_{S^1 \times L_2} < 0$,*

$$\|\varphi_H\|_U > r \cdot C.$$

Proof of Theorem 1.3. Fix a Riemannian metric on Σ_g . For a positive number ε , we define a subset \mathcal{U}_ε of $\text{Ham}(\Sigma_g)$ by

$$\mathcal{U}_\varepsilon = \{ \phi \in \text{Ham}(\Sigma_g) \mid d(x, \phi(x)) \leq \varepsilon \text{ for any } x \in \Sigma_g \}.$$

By Theorem 1.2, there exist positive numbers C and ε_0 such that $\|\phi\|_U < C$ holds for any $\phi \in \mathcal{U}_{\varepsilon_0}$.

By Theorem 2.1, for any Hamiltonian $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$ satisfying $H|_{S^1 \times L_1} > C/r$ and $H|_{S^1 \times L_2} < 0$,

$$\|\varphi_H\|_U > r \cdot C/r = C.$$

Then $\varphi_H \notin \mathcal{U}_{\varepsilon_0}$ and hence, there exists a point x in Σ_g such that $d(x, \phi(x)) > \varepsilon_0$. \square

3. A PROBLEM ON HAMILTONIAN PSEUDO-ROTATIONS

Let $\mathbb{C}P^n$ be the n -dimensional complex projective space equipped with the Fubini–Study form ω_{FS} . A *Hamiltonian pseudo-rotation* of $\mathbb{C}P^n$ is a Hamiltonian diffeomorphism with exactly $n + 1$ fixed points. Observe that this is the minimal possible number of fixed points of Hamiltonian diffeomorphisms of $\mathbb{C}P^n$. Ginzburg and Gürel proved the following crucial theorem.

Theorem 3.1 ([GG, Theorem 5.13]). *Let φ be a Hamiltonian pseudo-rotation of $\mathbb{C}P^n$ with exponentially Liouville mean index vector $\vec{\Delta}$ (see [GG, Definition 5.11] for the definition). Then there exists a sequence $k_i \rightarrow \infty$ such that*

$$\varphi^{k_i} \xrightarrow{C^0} \text{id}.$$

We consider $(\mathbb{C}P^2, \omega_{\text{FS}})$. The real projective space $\mathbb{R}P^2$ is naturally embedded in $(\mathbb{C}P^2, \omega_{\text{FS}})$ as a Lagrangian submanifold. There is another Lagrangian submanifold L_W called the Chekanov torus which is disjoint from $\mathbb{R}P^2$. Then the authors proved the following theorem.

Theorem 3.2 ([KO]). *Let U be a displaceable open subset of $\mathbb{C}P^2$. Then, there exists a positive number r satisfying the following condition. For any $C > 0$ and any Hamiltonian $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$ satisfying $H|_{S^1 \times \mathbb{R}P^2} > C$ and $H|_{S^1 \times L_W} < 0$,*

$$\|\varphi_H\|_U > r \cdot C.$$

Combining with Theorems 3.1 and 3.2, an argument similar to the proof of Theorem 1.3 yields the following corollary.

Corollary 3.3. *Assume that the fragmentation norm $\|\cdot\|_U$ is controlled by the C^0 -topology. Let U be a displaceable open subset of $\mathbb{C}P^2$ and $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$ a Hamiltonian satisfying $H|_{S^1 \times \mathbb{R}P^2} > C$ and $H|_{S^1 \times L_W} < 0$. Then φ_H is not a Hamiltonian pseudo-rotation of $\mathbb{C}P^2$ with exponentially Liouville mean index vector $\vec{\Delta}$.*

Thus, we pose the following problem.

Problem 3.4. *Does there exist a positive number $C > 0$ such that for any Hamiltonian $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$ satisfying $H|_{S^1 \times \mathbb{R}P^2} > C$ and $H|_{S^1 \times L_W} < 0$, the Hamiltonian diffeomorphism φ_H is not a Hamiltonian pseudo-rotation of $\mathbb{C}P^2$ (i.e., φ_H has more than 3 fixed points)?*

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