## LATTICES OF A LIE GROUP AND SEIFERT FIBRATIONS

# 城西大理 山崎正之 (Masayuki Yamasaki)

#### §1. Introduction

Let L be a Lie group with finitely many components, K a maximal compact subgroup of L, and S a connected closed normal subgroup of L. Then KS is closed, and we have a fiber bundle

K\KS → K\L → KS\L.

Lacts on K\L by right multiplication. Lacts also on KS\L by right multiplication; let N denote the kernel of this action, i.e.,  $N = \{g \in L; KSxg = KSx \text{ for all } x \in L \}$ . The action of N on K\L leaves all fibers invariant; in other words, we have a family of right N-spaces parametrized over KS\L.

Lemma 1. The right N-spaces K\KSx (x ∈ L) are equivalent.

Proof. Since K is compact, K\L has an L-invariant Riemannian metric. Fix such a Riemannian metric. Pick two distinct fibers K\KSx and K\KSy (x,y ∈ L). It suffices to construct an N-equivariant diffeomorphism from K\KSx onto K\KSy when they are sufficiently close to each other, because KS\L is connected.

Fix a point p of K\KSx and let d be the distance between p and K\KSy. K\L is complete and K\KSy is closed; therefore, d is positive and can be achieved as the length of a geodesic auconnecting p and a point q of KKSy. S is contained in N and acts transitively on each fiber. The action of an element s of S sends  $\tau$  to a geodesic  $\tau$  s of the same length d connecting ps and q.s. Thus the distance from a point of KNKSx to KNKSy is independent of the choice of the point, and au is one of the shortest geodesic connecting KNKSx and KNKSy. Therefore au is perpendicular to K\KSx at p. Let  $(T_p(K\setminus KSx))^{\perp}$  denote the orthogonal complement of the tangent space  $T_p(K\backslash KSx)$  of  $K\backslash KSx$ at p in the tangent space of KNL at p. As the exponential map Exp is a diffeomorphism near the origin, any fiber  $K \setminus KSz$  that meets Exp(V) meets Exp(V) exactly once, where V is a sufficiently small neighborhood in  $(T_{_{D}}(K\backslash KSx))^{\perp}$  of the origin. This implies that au is the unique geodesic of length d connecting p and KNKSy, as long as KNKSy is sufficiently close to KNKSx. Let us suppose that this is the case. Then the correspondence p·s → q·s (s ∈ S) defines a diffeomorphism  $K\KSx \rightarrow K\KSy$ , which is obviously N-equivariant because it sends a point in K\KSx to the unique point closest to it in KNKSy and N acts on KNL by isometries.

Remark. The N-equivariant diffeomorphism above defines a local trivialization of the fiber bundle  $K\setminus L \to KS\setminus L$  so that the action of N on  $K\setminus L$  is locally a product of the action of N on a fiber and the action of a trivial group on the base.

If G is a lattice of L, the action of L on K/L restricts

to an action of G on K\L.  $H = G \cap N$  is a normal subgroup of G which leaves the fibers invariant. By lemma 1, we have a fiber bundle:

### K\KS/H → K\L/H → KS\L.

The quotient group  $\Gamma$ =G/H acts on K\L/H and KS\L such that  $(K L/H)/\Gamma = K L/G$  and  $(KS L)/\Gamma = KS L/G$ ; the fiber bundle map induces a map:

## q: $K\L/G \rightarrow (KS\L)/\Gamma$ .

Note that KS\L can be naturally identified with  $(S\setminus KS)\setminus (S\setminus L)$ , which has an  $(S\setminus L)$ -invariant (and hence L-invariant) Riemannian metric. Thus  $\Gamma$  can be thought of as a subgroup of the group  $I(KS\setminus L)$  of all the isometries of KS\L with respect to this Riemannian metric.

Suppose that  $\Gamma$  is discrete in  $I(KS\backslash L)$ . Then the isotropy subgroup  $\Gamma_{V}$  of  $\Gamma$  at  $V \in KS\backslash L$  is finite for each V, and the inverse image  $q^{-1}([V])$  of the orbit  $[V] \in (KS\backslash L)/\Gamma$  is  $((K\backslash KSx)/H)/\Gamma_{V}$ , where V = KSx ( $X \in L$ ). Thus a "fiber" of Q is homeomorphic to a quotient of the "general fiber"  $K\backslash KS/H$  by an action of a finite group; i.e., Q is a Seifert fibration [2].

In this article, we will prove the following structure theorem using a suitable closed connected normal subgroup S.

Theorem 2. Let L be a non-compact Lie group with finitely many components, K a maximal compact subgroup of L, G a lattice of L. Then there is an orbifold Seifert fibration

## K\L/G → O<sup>m</sup>.

where  $0^m$  is a Riemannian orbifold of dimension m > 0 and of non-positive sectional curvature. If L is amenable,  $0^m$  can be chosen to be flat.

The proof will occupy the following two sections. Some secial cases of theorem 2 have been known; see Farrell and Hsiang [3, 4] and Quinn [8]. In §4, we will rationally compute the Wall groups of virtually poly-cyclic groups in terms of certain homology theory using the Seifert fibration.

#### \$2. Non-amenable case

Recall that a Lie group L with finitely many components is <u>amenable</u> if and only if L/R is compact, where R denotes the radical (= the unique maximal connected normal solvable subgroup) of L. See Milnor [6]. In this section we handle the case when L is <u>not</u> amenable. We use R as S, following [4]; i.e., we are going to show that

### K\L/G → KR\L/G

is a Seifert fibration with the desired property. As in the previous section, identify KR\L with  $(R\KR)\(R\L) = R^{m}$  (m > 0). R\L is a non-compact semi-simple Lie group, and R\KR is a maximal compact subgroup of R\L. Using the Cartan decomposition and the Killing form, one can introduce an

 $(R \setminus L)$ -invariant (and hence L-invariant) Riemannian metric g on  $\mathbb{R}^m$  with non-positive sectional curvature. In fact, any  $(R \setminus L)$ -invariant Riemannian metric on  $\mathbb{R}^m$  has non-positive sectional curvature. See Helgason [5]. Thus we have a homomorphism  $\Phi \colon L \to I(\mathbb{R}^m, g)$ . Let  $\Gamma$  denote the image  $\Phi(G)$  of G. To prove the theorem, it suffices to show that  $\Gamma$  is discrete in  $I(\mathbb{R}^m, g)$ . Let  $\tau$  denote the natural projection  $L \to R \setminus L$ . If the image  $\tau(G)$  of G in  $R \setminus L$  is discrete, then  $\Gamma$  is obviously discrete. Unfortunately  $\tau(G)$  may not be discrete in general. We remedy this situation as follows.

Let  $L_0$  denote the identity component of  $L_0$  is a subgroup of G with finite index. Therefore it suffices to show that  $\Phi(G\cap L_0)$  is discrete in  $I(\mathbb{R}^m,g)$ . As  $(R\setminus (K\cap L_0)R)\setminus (R\setminus L_0)$  can be naturally identified with  $\mathbb{R}^m$ , we may assume from the beginning that L is connected.

Now there is a semi-simple Lie subgroup S of L such that L = SR and such that  $S\cap R$  is discrete (Levi decomposition). Let  $\sigma\colon S\to \operatorname{Aut}(R)$  denote the action of S on R. A sufficient condition for  $\tau(G)$  to be discrete in R\L is that the identity component ( $\ker\sigma$ ) of the kernel of  $\sigma$  has no compact factors (Raghunathan[9], p.150). Let C denote the unique maximal compact normal subgroups of ( $\ker\sigma$ ) of the unique maximal compact normal subgroups of ( $\ker\sigma$ ) of the other hand, C commutes with elements of R. Therefore C is normal in L. Let  $\pi\colon L\to L/C$  denote the natural projection, and let  $L'=\pi(L)$ ,  $S'=\pi(S)$ ,  $R'=\pi(R)$ ,  $G'=\pi(G)$ ,  $K'=\pi(K)$ . Then S is semi-simple, R' is the radical of L', G' is a lattice of L',

and K' is a maximal compact subgroup of L'. Let  $\sigma$ ':S'  $\rightarrow$  AutR' denote the action of S' on R'. Then it is easily observed that  $\ker \sigma$ ' =  $(\ker \sigma)/\mathbb{C}$ , since  $\mathbb{C}\cap\mathbb{R}$  is finite. So the identity component of  $\ker \sigma$ ' has no compact factors, and this implies that the image G" of G' in R'\L' is discrete. Thus the action of G on  $\mathbb{R}^m$  factors through a properly discontinuous action of G" on K'R'\L = KR\L. Therefore,  $\Gamma$  is discrete in  $\mathbb{I}(\mathbb{R}^m, \mathfrak{g})$ . This completes the proof of theorem 2 when L is not amenable.

Remark. Let  $q:K\setminus L/G \to KR\setminus L/G$  be the Seifert fibration constructed above. Then the "fiber"  $q^{-1}(KR\times G)$  over the point  $KR\times G \in KR\setminus L/G$  (x  $\in$  L) is homeomorphic to

$$(x^{-1}Kx)\setminus(x^{-1}KRx)/(x^{-1}KRx \cap G)$$
.

It is easily observed that  $x^{-1}KRx \cap G$  is a uniform lattice (= discrete cocompact subgroup ) of  $x^{-1}KRx$ . In particular, we have

Corollary 3. Let L be a Lie group with finitely many components, K a maximal compact subgroup of L, R the radical of L, and G a lattice of L. Then KR \(\Omega\) G is a uniform lattice of KR.

### §3. Amenable case

Now let us assume that L is non-compact and amenable. Let K be a maximal compact subgroup and R the radical of L as

before. Since L is amenable, L = KR.

We define a sequence  $N^{(j)}$  (  $j \ge -1$  ) of closed characteristic subgroups of L as follows:

- (1)  $N^{(-1)}$  is the radical R,
- (2)  $N^{(0)}$  is the nil-radical, i.e., the maximal connected normal nilpotent subgroup, of L,
- (3)  $N^{(j)}$  is the commutator subgroup  $[N^{(j-1)},N^{(j-1)}]$  of  $N^{(j-1)}$ , for j>0.

It may not be so obvious that  $N^{(j)}$ , s are closed when j>0; in general, the commutator subgroup of a Lie group may not be closed. This will be observed later, and we continue the construction. There exists an integer k such that  $N^{(k)}=\{1\}$ . Consider the following sequence:

$$L = KN^{(-1)} \supset KN^{(0)} \supset KN^{(1)} \supset ... \supset KN^{(k)} = K.$$

There exists an integer i (  $\geq$  0 ) such that

$$L = KN^{(-1)} = KN^{(0)} = ... = KN^{(i-1)} \neq KN^{(i)},$$

because L is non-compact. Let us write M = N  $^{(i-1)}$  and N = N  $^{(i)}$ . We introduce a flat L-invariant Riemannian metric on KNNL.

Let us study the action of L on KN\L defined by right multiplication. An element ky of KM = L (k $\in$ K, y $\in$ M) acts on an element KNx (x $\in$ M) of KN\L as follows:

$$KNx \cdot (ky) = KNxky$$
  
=  $KN(k^{-1}xk)y$ .

Note that we have [M,M]  $\subset$  N; we identify the coset space KN\L with the simply-connected abelian Lie group (K\OmegaM)N\M = R^m (m>0). Now the induced action of L on R^m is:

$$(K \cap M) N \times (k y) = (K \cap M) N(k^{-1} \times k) y$$

The following are easily observed: (1) this action, when restricted to K, defines a homomorphism  $\alpha\colon K\to \operatorname{Aut}(\mathbb{R}^m)$  and its image  $\alpha(K)$  lies in the orthogonal group O(m) with respect to some inner product of  $\mathbb{R}^m$ , and (2) if  $k\in K\cap M$ , then  $(K\cap M) \times k = (K\cap M) \times k$ 

It remains to observe that  $N^{(j)}$ , s are closed and that  $\Gamma$  is a discrete subgroup of  $I(\mathbb{R}^m)$ . To do this we use the following lemma:

Lemma 4. If N is a connected nilpotent Lie group and H is a discrete cocompact subgroup of N, then the commutator subgroup [N,N] is closed in N and HO[N,N] is cocompact in [N,N].

Proof: This is well-known if N is simply-connected, so consider the universal cover p:U  $\rightarrow$  N of N; it can be identified with the natural projection U  $\rightarrow$  U/ $\Pi$ , where  $\Pi$  is the kernel of

p. To see that [N,N] is closed in N, it suffices to show that N/[N,N] is Hausdorff. As  $p^{-1}([N,N]) = \Pi[U,U]$ , we have homeomorphisms:

N/[N,N] ≅ U/∏[U,U] ≅ (U/[U,U])/(∏[U,U]/[U,U]).

Here U/[U,U] is a Lie group, because U is simply-connected and hence its commutator subgroup [U,U] is closed. Note that the preimage  $p^{-1}(H)$  of H is discrete and cocompact in U. Since U is simply-connected,  $p^{-1}(H)\cap LU,U$ ] is cocompact in [U,U]. Therefore, the image  $p^{-1}(H) \in LU,U$ ]/[U,U] of  $p^{-1}(H)$  by projection:  $U \to U/[U,U]$  is discrete. As  $\Pi \subset p^{-1}(H)$ ,  $\Pi[U,U]/[U,U]$  is also discrete and hence closed in U/[U,U]. Therefore  $(U/[U,U])/(\Pi[U,U]/[U,U])$  is Hausdorff. This proves the first statement as observed above.

Since we have homeomorphisms:

 $[N,N]/H\cap [N,N] \cong \Pi[U,U]/P^{-1}(H)\cap \Pi[U,U]$  $\cong [U,U]/P^{-1}(H)\cap [U,U],$ 

the second statement is obvious.

Now we prove

Lemma 5.  $N^{(j)}$ 's are closed subgroups of L, and  $\Gamma$  is a crystallographic subgroup of  $I(\mathbb{R}^m)$ .

Proof: If GNR is cocompact in R=N  $^{(-1)}$ , then GNN  $^{(0)}$  is a discrete cocompact subgroup of N  $^{(0)}$  and we can apply lemma 4 to

prove that  $N^{(j)}$ , s are closed for  $j \ge 1$ . Unfortunately, GMR may not be cocompact in R, in general. To remedy this situation we introduce a quotient Lie group L' of L as in the previous section. We may assume that L is connected. We have Levi decomposition L = SR, where S is a connected semi-simple (and hence compact) subgroup, R is the radical as above, and the intersection SOR is finite. Let  $\sigma:S \to Aut(R)$  denote the action of S on R. The identity component (ker  $\sigma$ ) of ker  $\sigma$  is a connected compact normal subgroup of L, because it commutes with elements of R. In particular, (ker  $\sigma$ )  $\subset$  ker  $\alpha \subseteq K$ . Let  $\pi:L \to L/(\ker \sigma)_{\Omega}$  be the natural map. Now define: L'=L/(ker  $\sigma$ ),  $G' = \pi(G)$ ,  $K' = \pi(K)$ ,  $S' = \pi(S)$ ,  $R' = \pi(R)$ . Then G' is a lattice of L', K' is a maximal compact subgroup of L', S' is a semi-simple subgroup of L', R' is the radical of L', and the action  $\sigma':S' \rightarrow Aut(R')$  of S' on R' is almost faithful, i.e., ker  $\sigma$ ' is finite.

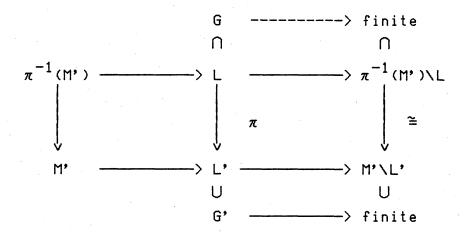
Let us define a sequence N, (j)  $(j \ge -1)$  of characteristic subgroups of L, by:

- (1)  $N'^{(-1)} = R'$
- (2)  $N'^{(0)} =$ the nil-radical of L'
- (3)  $N'^{(j)} = EN'^{(j-1)}, N'^{(j-1)}$  for  $j \ge 1$ , then  $G' \cap R'$  and  $G' \cap N'^{(0)}$  are cocompact in R' and  $N'^{(0)}$  respectively. By successively using lemma 4, we know that all  $N'^{(j)}$ , s are closed. Note that  $\pi \mid R : R \to R'$  is a finite covering map; this implies that  $N^{(j)}$  is the identity component of  $(\pi \mid R)^{-1}(N'^{(j)})$  for each j. Therefore  $N^{(j)}$ , s are closed in L.

Next, we show that  $\Gamma$  is a discrete cocompact subgroup of  $I({\rm I\!R}^m)$  . Note that we have

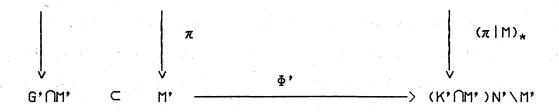
L' = K'N' 
$$(-1)$$
 = K'N'  $(0)$  = ... = K'N'  $(i-1)$   $\neq$  K'N'  $(i)$ 

for the same i and that K'N'\K'M' = KN\KM, where M' = N' (i-1), N' = N' (i). G'\(\Omega\)N' is cocompact in N' (j) for all j. In particular G'\(\Omega\)M' is cocompact in M'. So the image of G' in M'\L' is discrete; furthermore, it is finite, because M'\L' is compact. Looking at the diagram:



we know that  $G (m^{-1}(M'))$  has a finite index in G. So it suffices to show that the image  $\Phi(G (m^{-1}(M')))$  is a discrete cocompact subgroup of  $I(\mathbb{R}^m)$ . As  $\ker \sigma \subseteq \ker \alpha$ ,  $\Phi$  sends elements in  $\pi^{-1}(M') = (\ker \sigma)_O M$  to elements in  $\mathbb{R}^m \subseteq I(\mathbb{R}^m)$ . Now consider the following commutative diagram:

$$\mathbb{G}^{-1}(M') \subset \pi^{-1}(M') = (\ker \sigma)_{0}M \xrightarrow{\Phi} \mathbb{R}^{m} = (K\cap M)\setminus M$$



where  $\Phi$ ' is the natural map and  $(\pi|M)_*$  is the map induced by the restriction of  $\pi$  to M,  $\pi|M$ :  $M \mapsto M' \mapsto K \cap M$  and  $K' \cap M'$  are maximal compact subgroups of M and M', respectively, and  $\pi(K \cap M)$  =  $K' \cap M'$ ; therefore,  $(\pi|M)^{-1}(K' \cap M') = K \cap M$ . Using this, it is easily verified that  $(\pi|M)^{-1}((K' \cap M')N') = (K \cap M)N \mapsto Therefore (\pi|M)_*$  is an isomorphism. Since  $(G' \cap M') \cap N' = G' \cap N'$  is cocompact in N',  $(G' \cap M') \cap (K' \cap M')N'$  is cocompact in  $(K' \cap M')N'$ ; so  $\Phi'(G' \cap M')$  is a discrete cocompact subgroup of  $(K' \cap M')N' \setminus M'$ . Therefore  $\Phi(G \cap \pi^{-1}(M'))$  is a discrete cocompact subgroup of  $\mathbb{R}^M$  (and hence in  $\mathbb{I}(\mathbb{R}^M)$ ). This completes the proof of lemma 5.

Thus K\L/G  $\rightarrow$  KN\L/G is a desired Seifert fibration as observed in the first section. This completes the proof of theorem 2.

Remark. A fiber of the Seifert fibration above has the form  $K\KNxG/G$ , and is homeomorphic to

$$(x^{-1}Kx) \setminus (x^{-1}KNx) / (x^{-1}KNx \cap G)$$
.

If G is a lattice of L (which is automatically uniform), then  $\mathbf{x}^{-1} \mathsf{KNx} \ \cap \ \mathbf{G} \ \text{is a uniform lattice of} \ \mathbf{x}^{-1} \mathsf{KNx}.$ 

§4. A rational computation of Wall's L-groups

Let L be an amenable Lie group with finitely many components, K a maximal compact subgroup of L, and G a uniform lattice of L. Such a discrete group G is virtually poly-cyclic [6]. Conversely, any virtually poly-cyclic group can be embedded discretely and cocompactly in some amenable Lie group [1]. In this section we compute rationally the L-groups of G in terms of certain generalized homology of K\L/G.

K\L is diffeomorphic to some euclidean space  $\mathbb{R}^n$  and the isotropy subgroup  $G_y = x^{-1}Kx \cap G$  of G at y=Kx ( $x\in L$ ) is finite. The action of G on  $\mathbb{R}^n$  is free if G is torsion-free; in general,  $\mathbb{R}^n/G$  is an orbifold, which is Seifert fibered over some flat orbifold as observed in the previous section.

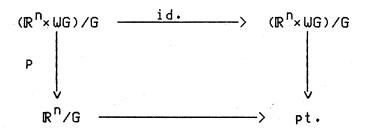
Let WG be a contractible free G-complex, and p denote the projection:  $(\mathbb{R}^n \times \mathbb{W}G)/G \to \mathbb{R}^n/G$ , where G acts on  $\mathbb{R}^n \times \mathbb{W}G$  diagonally. The preimage  $p^{-1}([y])$  of an orbit  $[y] \in \mathbb{R}^n/G$  by p is homeomorphic to  $\mathbb{W}G/G_y$ , and p is a sort of Seifert fibration. (It is called a "stratified system of fibrations" in [7].)

Let  $L^{-\infty}(G)$  denote the limit of Ranicki's lower L-groups  $L^{(-j)}(\mathbb{Z}G)$  [10]. Modulo 2-torsion, it coincides with Wall's surgery obstruction group. We have a functor  $L^{-\infty}(-)$  from the category of spaces to the category of  $\Omega$ -spectra such that the homotopy group of  $L^{-\infty}(X)$  is equal to  $L^{-\infty}_*(\pi_1 X)$ . Applying  $L^{-\infty}(-)$  to each fiber of p, we obtain a sheaf of spectra, denoted  $L^{-\infty}(p)$ . F. Quinn defines the homology group  $H_*(\mathbb{R}^n/G; L^{-\infty}(p))$  of  $\mathbb{R}^n/G$  with coefficients  $L^{-\infty}(p)$ . See [7], [10]. The following is a rational computation of  $L^{-\infty}_*(G)$  in terms of this homology.

Theorem 6. Let G be as above, then there is a natural isomorphism

$$H_{\star}(\mathbb{R}^{n}/G;\mathbb{L}^{-\infty}(P))\otimes \mathbb{Z}[1/2] \rightarrow L_{\star}^{-\infty}(G)\otimes \mathbb{Z}[1/2].$$

The map is induced by the following map between stratified systems of fibrations.



Note that  $(\mathbb{R}^n \times \mathbb{W}G)/G = BG$  is a classifying space for G and that  $H_*(pt.;\mathbb{L}^{-\infty}(BG\to pt.)) = L_*^{-\infty}(G)$  [10].

It is to be noted that theorem 6 says that the  $\mathbb{L}^{-\infty}(p)$  coefficient homology of  $\mathbb{R}^n/G$  is independent (modulo 2 torsion) of the action of G on  $\mathbb{R}^n$ . It is conceivable that the orbifold  $\mathbb{R}^n/G$  has a certain strong rigidity.

Proof of theorem 6. The proof is by induction on the dimension n of K\L. Let  $q:\mathbb{R}^n/G \to \mathbb{R}^m/\Gamma$  denote the Seifert fibration constructed in §3. Modulo 2-torsion, we have

$$H_{*}(\mathbb{R}^{n}/G; \mathbb{L}^{-\infty}(P))$$

$$\stackrel{\cong}{=} H_{*}(\mathbb{R}^{m}/\Gamma; \bigcup_{\omega \in \mathbb{R}^{m}/\Gamma} H(q^{-1}(\omega)); \mathbb{L}^{-\infty}(P|q^{-1}(\omega)))$$

$$\stackrel{\cong}{=} H_{*}(\mathbb{R}^{m}/\Gamma; \bigcup_{\omega} \mathbb{L}^{-\infty}((qP)^{-1}(\omega)))$$

$$= H_*(\mathbb{R}^{\mathsf{m}}/\Gamma; \mathbb{L}^{-\infty}(\mathsf{qp}))$$

by induction hypothesis, where  $\mathbb H$  denote the homology theory spectrum  $\square$  ibid.]. We can prove that  $\mathbb H_*(\mathbb R^m/\Gamma; \mathbb L^{-\infty}(\operatorname{qp}))\otimes\mathbb Z[1/2]$  is naturally isomorphic to  $\mathbb L_*^{-\infty}(G)$  using the proof of the main theorem of  $\square$  with only some obvious modifications, and this completes the proof of theorem 6.  $\square$ 

Corollary 7. (Novikov Conjecture) <u>Let G be as above, then</u> the <u>assembly map</u>

$$H_{\star}(BG; \mathbb{L}^{-\infty}(1)) \rightarrow L_{\star}^{-\infty}(G)$$

is rationally split injective.

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