

The cohomology groups of degree 3  
of Siegel modular varieties of genus 2

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§0. Introduction.

We discuss two types of modular symbols on Siegel modular varieties of genus 2: One is holomorphic, another totally real. In §1, we give a simple result for the first case. In §2, on the second case we discuss a conjecture on its Hodge type, and explain how to reduce it to another conjectures on L-functions.

Notation.  $Sp(2; \mathbb{R})$ : the real symplectic group of rank 2.

$Sp(2; \mathbb{Z})$ : the integral symplectic subgroup in  $Sp(2; \mathbb{R})$ .  $l$ : natural number  $\geq 3$ .

$\Gamma(l)$ : the principal congruence subgroup of  $Sp(2; \mathbb{Z})$ .  $\mathfrak{h}_2$ : the Siegel upper half space of genus 2.  $V = \Gamma(l) \backslash \mathfrak{h}_2$ : the quotient of  $\mathfrak{h}_2$  by  $\Gamma(l)$ , which is a smooth algebraic variety over  $\mathbb{C}$ .  $\tilde{V}$ : a smooth toroidal compactification of  $V$ .

# §1. Modular subvarieties and coniveau filtration.

## (1.1) Coniveau filtration.

Let  $X$  be a smooth compact algebraic variety over  $\mathbb{C}$  with dimension  $n$ . Let  $Y$  be a subvariety of dimension  $m$  in  $X$ . Then by restriction, we have a natural map of Hodge structures =  $H^k(X, \mathbb{C}) \rightarrow H^k(Y, \mathbb{C})$ . If  $Y$  is smooth, then by Poincaré duality, we have  $H^{2m-k}(Y, \mathbb{C})(m) \rightarrow H^{2n-k}(X, \mathbb{C})(n)$ . Or, equivalently, we have  $H^i(Y, \mathbb{C})(-d) \rightarrow H^{i+2d}(X, \mathbb{C})$ . Here  $(m)$  etc. are Tate twists. In general, we have an exact sequence of local cohomology

$$\rightarrow H_Y^i(X, \mathbb{C}) \rightarrow H^i(X, \mathbb{C}) \rightarrow H^i(X-Y, \mathbb{C}) \rightarrow \dots$$

By Deligne, this is an exact sequence of mixed Hodge structures.

When  $Y$  is smooth, we have  $H_Y^i(X, \mathbb{C}) \cong H^{i-2d}(Y, \mathbb{C})(-d)$  by Gysin isomorphism.

**Definition-Proposition.** The rational sub-Hodge structure of  $H^i(X, \mathbb{C})$

defined by  $F^d H^i(X, \mathbb{C}) = \sum_{\substack{Y \subset X \\ \text{codimension } d}} \text{Im} \{ H_Y^i(X, \mathbb{C}) \rightarrow H^i(X, \mathbb{C}) \}$

has Hodge type  $\{(a, b) \in \mathbb{Z} \times \mathbb{Z} \mid a+b=i, a \geq d, b \geq d\}$ .

The above filtration is called "coniveau" filtration by Grothendieck. It is assumed that this filtration has a crucial role in the arithmetic of cycles on  $X$ .

(1.2) The "boundary part" of Hodge structure  $H^3(\tilde{V}, \mathbb{Q})$ .

Let  $\tilde{V}$  be a smooth toroidal compactification of  $V$  along the cusps. Put  $D = \tilde{V} - V$  and  $D = \bigcup_i D_i$  the decomposition into irreducible components  $D_i$ . Since each  $D_i$  is smooth in  $\tilde{V}$ , the restriction map  $H^3(\tilde{V}, \mathbb{Q}) \rightarrow H^3(D_i, \mathbb{Q})$  define a morphism of rational Hodge structures  $p_{D_i}: H^1(D_i, \mathbb{Q})(-1) \rightarrow H^3(\tilde{V}, \mathbb{Q})$ .

Definition.  $H^3(M_\infty, \mathbb{Q}) \stackrel{\text{defn}}{=} \sum_i \text{Im } p_{D_i}$ .

$H^3(M_\infty, \mathbb{Q})$  is a polarized sub-Hodge structure with Hodge type  $\{(2,1), (1,2)\}$ .

If we take the Igusa model for  $\tilde{V}$  as [Yamazaki], each  $D_i$  is an elliptic modular surface over a modular curve  $C_i$ . Then  $H^1(D_i, \mathbb{Q}) \cong H^1(C_i, \mathbb{Q})$ .

(1.3) Hilbert modular surfaces.

By modular embedding, or Satake embedding, a Hilbert modular surface  $S$  is mapped to  $V$ :  $S \xrightarrow{f} V$ . We can compactify  $f: \tilde{S} \xrightarrow{F} \tilde{V}$ . Since  $b_1(\tilde{S}) = 0$  for any Hilbert modular surface,  $H^1(\tilde{S}, \mathbb{Q})(-1) \rightarrow H^3(\tilde{V}, \mathbb{Q})$  is zero map.

(1.4) "Diagonal" embedding.

Let  $(z_1, z_2) \in H \times H \rightarrow \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \in \mathcal{G}_2$  be the holomorphic

map from the product of the upper half plane  $H$  to  $h_2$ . This induces a holomorphic map from a product  $E_\alpha = R_1 \times R_2$  of elliptic modular curves  $R_i$  ( $i=1,2$ ) to  $V : f_{E_\alpha} : E_\alpha = R_1 \times R_2 \rightarrow V$ .

By Künneth formula,

$$H^1(\bar{E}_\alpha, \mathbb{Q}) = H^1(\tilde{R}_1, \mathbb{Q}) \oplus H^1(\tilde{R}_2, \mathbb{Q}).$$

In general,  $H^1(\tilde{R}_i, \mathbb{Q}) \neq \langle 0 \rangle$ , hence  $H^1(\bar{E}_\alpha, \mathbb{Q}) \neq \langle 0 \rangle$ .

(1.5) Proposition. Consider the Poincaré dual  $\rho_{E_\alpha} : H^2(\bar{E}_\alpha, \mathbb{Q}(-1)) \rightarrow H^3(\tilde{V}, \mathbb{Q})$  of the restriction map  $H^3(\tilde{V}, \mathbb{Q}) \rightarrow H^3(\bar{E}_\alpha, \mathbb{Q})$ . Then  $\text{Im } \rho_\alpha$  is contained in  $H^3(M_\infty, \mathbb{Q})$ .

(1.6) Proof of Proposition

Recall that  $H^4(\tilde{V}, \mathbb{Q}) = \langle 0 \rangle$ . Hence the intersection form

$$\Phi : H^3(\tilde{V}, \mathbb{Q}) \times H^3(\tilde{V}, \mathbb{Q}) \longrightarrow \mathbb{Q}(-3)$$

is non-degenerate, and its restriction to  $H^3(M_\infty, \mathbb{Q})$  is also non-degenerate.

Therefore, if  $\text{Im } \rho_{E_\alpha} \not\subset H^3(M_\infty, \mathbb{Q})$  is true, then there exists a cohomology class  $\xi \neq 0 \in \text{Im } \rho_{E_\alpha}$  such that for any  $\eta \in H^3(M_\infty, \mathbb{Q})$   $\Phi(\xi, \eta) = 0$ . Thus it suffices to show the following.

(1.7). Lemma. For any  $\xi \neq 0$  in  $\text{Im } \rho_{E_\alpha}$ , there exists

$\eta \in H^3(M_\infty, \mathbb{Q})$  such that  $\Phi(\xi, \eta) \neq 0$ .

In order to prove the above Lemma, it is necessary to write the intersection number  $\Phi(\xi, \eta)$  in terms of

$$\xi' \in H^1(\tilde{E}_\alpha, \mathbb{Q})(-1) \text{ and } \eta' \in H^1(D_i, \mathbb{Q})(-1),$$

if we choose  $\xi', \eta'$  by

$$p_{E_\alpha}(\xi') = \xi, \quad p_{D_i}(\eta') = \eta.$$

(1.8) Lemma Let  $Y$  be the intersection of  $\tilde{E}_\alpha$  and  $D_i$ .

$Y$  is possibly empty. Let us consider the morphisms by restriction:

$$\begin{cases} r_1: H^1(\tilde{E}_\alpha, \mathbb{Q})(-1) \rightarrow H^1(Y, \mathbb{Q})(-1); \\ r_2: H^1(D_i, \mathbb{Q})(-1) \rightarrow H^1(Y, \mathbb{Q})(-1). \end{cases}$$

Then

$$\Phi(\xi, \eta) = \Phi_Y(r_1(\xi'), r_2(\eta')).$$

Here  $\Phi_Y$  is the intersection form of the curve  $Y$ :

$$\Phi_Y: H^1(Y, \mathbb{Q}) \times H^1(Y, \mathbb{Q}) \rightarrow \mathbb{Q}(-1).$$

The above Lemma is a standard fact in the intersection theory, and may be found in the text book of [Fulton].

Thus the proof of Lemma (1.7) is reduced to the following

(1.9) Lemma For any  $\xi \neq 0$  in  $\text{Im } p_{E_\alpha}$ , there exists an element  $\eta' \in H^1(D_i, \mathbb{Q})$  of some irreducible component  $D_i$  of  $D$  such that for  $Y = D_i \cap \tilde{E}_\alpha$ ,  $\Phi_Y(r_1(\xi'), r_2(\eta')) \neq 0$ .

This Lemma is immediate from the following result of [Yamazaki].

(1.10) Proposition. For  $\tilde{E}_\alpha = \tilde{R}_1 \times \tilde{R}_2 \rightarrow \tilde{V}$ , there exists an irreducible component  $\mathcal{D}_i$  of  $\mathcal{D}$  such that for  $Y = \mathcal{D}_i \cap \tilde{E}_\alpha$ ,  
 $H^1(\mathcal{D}_i, \mathbb{Q}) \cong H^1(Y, \mathbb{Q})$ .

This completes the proof of Proposition (1.5).

## §2. Conjectures on totally real modular symbols

(2.1) In the construction of L-functions associated to Siegel modular forms of genus 2, Andrianov considered a kind of totally real embedding of hyperbolic three spaces into the Siegel upper half space of degree 2.

$K$  is an imaginary quadratic field,  $SL_2(\mathcal{O}_K)$  is the special linear group with entries in the ring of integers  $\mathcal{O}_K$  of  $K$ , which is a discrete subgroup of  $SL_2(\mathbb{C})$ . For some congruence subgroup  $\Gamma'$  of  $SL_2(\mathcal{O}_K)$ , there exists a map

$$m = \Gamma' \backslash SL_2(\mathbb{C}) / K' \longrightarrow \Gamma(2) \backslash Sp(2; \mathbb{R}) / K$$

induced from an injective homomorphism  $SL_2(\mathbb{C}) \rightarrow Sp(2; \mathbb{R})$ .

Here  $K'$  and  $K$  are maximal compact subgroups of  $SL_2(\mathbb{C})$  and  $Sp(2; \mathbb{R})$ , respectively.

Let  $\bar{V}$  be the Satake compactification of  $V = \Gamma(2) \backslash G_2$ .  
Then the canonical compactification of  $m$  defines an element in  
 $H_3(\bar{V}; \mathbb{Z})$ . More careful investigation shows the following.

(2.2) Lemma.  $\bar{m}$  defines an element  $[\bar{m}] \in IH_3(\bar{V}; \mathbb{Z})$ .

Here  $IH_3(\bar{V}; \mathbb{Z})$  is the intersection homology group of degree 3 of  $\bar{V}$   
with middle perversity.

We denote by  $[\bar{m}]^* \in IH^3(\bar{V}; \mathbb{C})$  the Poincaré dual of the  
image of the fundamental class  $[\bar{m}]$  of  $\Gamma \backslash SL_2(\mathbb{O}_K) / K$ .

The cohomology group  $IH^3(\bar{V}; \mathbb{C})$  has a Hodge structure  
defined by Morihiko Saito.

(2.3) Conjecture. The cycle  $[\bar{m}]^* \in IH^3(\bar{V}, \mathbb{C})$  has Hodge type  
 $\{(3, 0); (0, 3)\}$ .

The above conjecture is reduced to the following conjectures for  $L$   
functions for harmonic forms on  $V$ .

(2.4) Conjecture. Let  $\omega$  be a  $L^2$ -harmonic form on  $V$   
of type (2.1). Assume that  $\omega$  is an common eigen-form of all  
Hecke operators. Then,

(i) Let  $L(s, \omega)$  be the L-function for Spinor representation associated to  $\omega$ . Then  $L(s, \omega)$  has a possibly simple pole at  $s=2$ , &

$$\operatorname{Res}_{s=2} L(s, \omega) = \int_{\gamma} \omega,$$

with  $\gamma$  is a linear combination of cycles  $[\bar{m}] \in [H_3(\bar{V}; \mathbb{Z})]$ .

(ii)  $L(s, \omega)$  is an entire function if it is multiplied with the  $\Gamma$ -factor  $\Gamma(s)\Gamma(s-1)$ .

### [References]

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