

On a Minimal Flow

By

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1. Preliminaries

Let  $(Y, \rho_t)$  or simply  $\rho_t$  be a flow on a compact metric space  $Y$ ; i.e.  $\rho_t$  is a homeomorphism for each real number  $t$  and  $\rho_{t+s} = \rho_t \circ \rho_s$  for any two real numbers  $t$  and  $s$ . If  $A \subset Y$  and  $J \subset \mathbb{R}$ , we write  $A \cdot J$  for  $\{\rho_t(y) \mid t \in J, y \in A\}$ . A subset  $N \subset Y$  is said to be a minimal set if  $\overline{y \cdot \mathbb{R}} = N$  for any  $y \in N$ , especially if  $Y$  is the minimal set, then we call  $(Y, \rho_t)$  a minimal flow.

DEFINITION 1. A subset  $\Sigma \subset Y$  is said to be a local section of the flow  $\rho_t$  if it satisfies:

(i)  $h : \overline{\Sigma} \times (-\mu, \mu) \rightarrow \overline{\Sigma} \times (-\mu, \mu)$  defined by  $h(y, t) = \rho_t(y)$  is a homeomorphism for some  $\mu > 0$ .

(ii)  $\Sigma \cdot J$  is open for any open  $J \subset \mathbb{R}$ .

Moreover if  $\Sigma$  is compact, then we call it a global section.

LEMMA 1. (see [1]) Let  $(Y, \rho_t)$  be a minimal flow and  $S = y_0 \cdot \mathbb{Z}$ . If  $\overline{S} \neq Y$ , then  $\overline{S}$  is a global section of  $(Y, \rho_t)$ .

LEMMA 2. (see [2]) Let  $(Y, \rho_t)$  be a minimal flow and  $\Sigma$  be a local section. Then for each  $y \in Y$  there exists a sequence  $\{t_j\}$  of reals such that  $\delta_1 < t_{j+1} - t_j < \delta_2$  for some positive numbers  $\delta_1, \delta_2$  and  $\rho_t(y) \in \Sigma$  iff  $t = t_j$  for some  $j$ .

## 2. A Flow Associated with a Local Section

Throughout this and the next sections  $(M, \xi_t)$  will be a minimal flow on a compact metric space  $M$  and  $\Sigma$  will be a local section. Let  $B$  be the set of all continuous functions on the real line with the compact-open topology, and  $\eta_t$  be a flow on  $B$  defined by

$$\eta_t(g)(s) = g(t + s) \quad (g \in B, t, s \in \mathbb{R}).$$

Now take a point  $x_0 \in M$ , and let  $\{t_j\}$  be the sequence for  $x_0$  as in LEMMA 2. Then we can construct a uniformly continuous function  $f$  which satisfies that  $f(t) > \varepsilon > 0$  for all  $t$  and that

$$\int_{t_j}^{t_{j+1}} f(t) dt = 1 \quad (j = 0, \pm 1, \pm 2, \dots).$$

Define a flow on  $M \times B$  by  $\zeta_t(x, g) = (\xi_t(x), \eta_t(g))$  ( $x \in M, g \in B$ ). Since the orbit closure of  $f$  is compact, there is a compact minimal set  $\tilde{M}$  of the flow  $\zeta_t$  in  $\overline{\{\zeta_t(x_0, f) \mid -\infty < t < \infty\}}$ , so  $(\tilde{M}, \zeta_t)$  is a minimal flow. By  $p$  we denote the natural projection  $\tilde{M} \rightarrow M$ . It is easy to see that  $p \circ \zeta_t = \xi_t \circ p$ .

Using LEMMA 1, we obtain

LEMMA 3.  $\overline{p^{-1}(\Sigma)}$  is a global section of  $(\tilde{M}, \zeta_t)$ .

And more careful investigation shows that

LEMMA 4. There exists a minimal flow  $(\tilde{M}, \zeta_t)$  with the following properties :

- (i)  $\tilde{M}$  is a compact metric space,
- (ii) There is a homomorphism  $p : (\tilde{M}, \zeta_t) \rightarrow (M, \xi_t)$ ,
- (iii)  $\overline{p^{-1}(\Sigma)}$  is a global section of  $(\tilde{M}, \zeta_t)$ ,
- (iv)  $\overline{p^{-1}(\Sigma)}$  is totally disconnected, i.e.  $\dim(\overline{p^{-1}(\Sigma)}) = 0$ .

### 3. Cohomology Theory

Let  $Y$  be any topological space and  $\Gamma$  be a presheaf of  $R$ -module on  $Y$ . Then we denote by  $\bar{H}^*(Y)$  the Alexander cohomology of  $Y$  with the real coefficients and by  $\check{H}^*(Y; \Gamma)$  the Čech cohomology of  $Y$  with coefficients  $\Gamma$ .

In the following we shall investigate the first cohomology of  $X = M \setminus \Sigma \cdot (0, \mu)$ . In this section  $p$  denotes the restriction of  $p : \tilde{M} \rightarrow M$  onto  $\tilde{X} = \tilde{M} \setminus \overline{p^{-1}(\Sigma)} \cdot (0, \mu)$  where  $(\tilde{M}, \zeta_t)$  is that in LEMMA 4.

Let  $\Gamma_1$  and  $\Gamma_2$  be presheaves on  $X$  defined by  $\Gamma_1(U) = \bar{H}^0(U)$  and  $\Gamma_2(U) = \bar{H}^0(p^{-1}(U))$  respectively, where  $U$  is an open subset of  $X$ . Then  $p$  induces a homomorphism  $p^* : \Gamma_1 \rightarrow \Gamma_2$ . Since  $p^*$  is a monomorphism,  $0 \rightarrow \Gamma_1 \rightarrow \Gamma_2 \rightarrow \Gamma_3 \rightarrow 0$  ( $\Gamma_3 = \text{Coker}(p^*)$ ) is an exact sequence. Hence we have

LEMMA 5. There is an exact sequence

$$0 \rightarrow \check{H}^0(X; \Gamma_1) \rightarrow \check{H}^0(X; \Gamma_2) \rightarrow \check{H}^0(X; \Gamma_3) \rightarrow \check{H}^1(X; \Gamma_1) \rightarrow \check{H}^1(X; \Gamma_2) \rightarrow \dots$$

LEMMA 6.  $\check{H}^q(X; \Gamma_1) \simeq \bar{H}^q(X)$  and  $\check{H}^q(X; \Gamma_2) \simeq \bar{H}^q(\tilde{X})$  for any  $q$ .

This lemma can be proved by the next lemma (see [3]).

LEMMA 7. Let  $h : Y' \rightarrow Y$  be a closed continuous map between paracompact Hausdorff spaces. Suppose  $\bar{H}^q(h^{-1}(y)) = 0$  for all  $y \in Y$  and  $0 < q < n$ . Let  $\Gamma$  be the presheaf on  $Y$  defined by  $\Gamma(U) = \bar{H}^0(h^{-1}(U))$ . Then there are isomorphisms  $\check{H}^q(Y; \Gamma) \simeq \bar{H}^q(Y')$  for  $q < n$ .

Since  $\overline{p^{-1}(\Sigma)}$  is a deformation retract of  $\tilde{X}$  and totally disconnected,  $\bar{H}^1(\tilde{X})$  is trivial. Therefore, combining LEMMA 5 and 6, we get

LEMMA 8. There is an exact sequence

$$\check{H}^0(X; \Gamma_2) \rightarrow \check{H}^0(X; \Gamma_3) \rightarrow \bar{H}^1(X) \rightarrow 0$$

THEOREM 1.  $\bar{H}^1(X) \simeq \check{H}^0(X; \Gamma_3) / \check{H}^0(X; \Gamma_2)$ .

#### 4. The Case of 3-Manifolds

In this section let  $M$  be a differentiable 3-dimensional manifold and  $\xi_t$  be a minimal flow on  $M$  generated by a  $C^1$ -vector field. Let  $\Sigma$  be a local section homeomorphic to a 2-disk.

#### NOTATIONS

(a) Let  $F$  be a real valued function defined on a subset  $D$  of  $M$ . Then by  $F$  we denote a map  $D \rightarrow M$  defined by  $F(x) = \xi_{F(x)}(x)$ .

(b)

$$T : \bar{\Sigma} \rightarrow \mathbb{R} \text{ defined by } T(x) = \inf \{ t > 0 \mid \xi_t(x) \in \bar{\Sigma} \}$$

$$A_0 \subset \partial \Sigma : A_0 = \{ x \in \partial \Sigma \mid \hat{T}(x) \in \partial \Sigma \}$$

$$A_j \subset \partial \Sigma : A_j = \{ x \in \partial \Sigma \mid \hat{T}(x) \in A_{j-1} \} \quad (j = 1, 2, \dots)$$

$$A \subset \Sigma : A = \{ x \in \Sigma \mid \hat{T}(x) \in A_0 \}$$

$$C \subset \Sigma : C = \{ x \in \Sigma \mid \hat{T}(x) \in \partial \Sigma \}$$

DEFINITION 2. A local section  $\Sigma$  is said to be regular if  $A$  is a finite set and  $A_j = \emptyset$  for  $j \geq 1$ .

Using the transversality theorem, we can show the following lemma.

LEMMA 9. There is a regular local section.

In the following we assume that  $\Sigma$  is a regular local section and  $A = \{a_1, a_2, \dots, a_N\}$ . Let  $\Sigma'$  be a local section such that  $\Sigma' \cap \overline{\Sigma} = \emptyset$ . Then we can choose a neighborhood  $U_k$  of  $a_k$  with the following properties:

(1) There are continuous functions  $\sigma_{k,j} : U_k \rightarrow \mathbb{R}$  ( $j = 1, 2, 3$ ) such that  $\hat{\sigma}_{k,j}(U_k) \subset \Sigma'$  ( $j = 1, 2$ ),  $\hat{\sigma}_{k,3}(U_k) \subset \Sigma$  and  $\hat{\sigma}_{k,j}(a_k) = \hat{T}^j(a_k)$  ( $j = 1, 2, 3$ ).

(2)  $U_k \cap (C \setminus A)$  has exactly three connected components  $\gamma_{k,j}$  ( $j = 1, 2, 3$ ) such that  $\hat{\sigma}_{k,2}(\gamma_{k,1}) \subset \Sigma$ ,  $\hat{\sigma}_{k,2}(\gamma_{k,2}) \cap \overline{\Sigma} = \emptyset$  and  $\hat{\sigma}_{k,2}(\gamma_{k,3}) \subset \partial\Sigma$ .

It can be easily seen that  $C \setminus A$  has  $2N$  connected components, by  $C_1, C_2, \dots, C_{2N}$  we denote these components. For  $1 \leq k \leq N$ , let  $k(j)$  ( $j = 1, 2, 3, 4$ ) be integers such that  $C_{k(j)} \cap \gamma_{k,j} \neq \emptyset$  ( $j = 1, 2, 3$ ) and  $\hat{T}(a_k) \in \overline{C_{k(4)}}$ . Now let  $u = (u_1, u_2, \dots, u_{2N})$  be the  $2N$ -vector and define a linear equation  $u\Lambda = 0$  ( $\Lambda$  is a  $2N \times 2N$  matrix) by

$$u_{k(1)} - u_{k(2)} = 0, \quad u_{k(2)} - u_{k(3)} + u_{k(4)} = 0 \quad (k = 1, 2, \dots, N).$$

Then we can prove the following theorem.

THEOREM 2. If  $\dim(\ker \Lambda) = m$ , then  $\bar{H}^1(X) \simeq \mathbb{R}^m$ .

## REFERENCES

- [1] W.H.Gottchalk and G.A.Hedlund, " Topological Dynamics," A.M.S. Colloquim Publications, 1955.
- [2] V.V.Nemytskii and V.V.Stepanov, " Qualitative Theory of Differential Equations," Princeton, 1960.
- [3] E.H.Spanier, " Algebraic Topology," McGraw-Hill, 1966.