

The fixed point set of an involution and theorems  
of the Borsuk-Ulam type

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1. Statement of results. In this note  $h^*$  will denote either the unoriented cobordism theory  $\mathcal{N}^*$  or the usual cohomology theory with  $\mathbb{Z}_2$ -coefficients  $H^*( ; \mathbb{Z}_2)$ . The corresponding equivariant cohomology theory for  $\mathbb{Z}_2$ -spaces will be denoted by  $h_{\mathbb{Z}_2}^*$ .

Let  $M$  be a manifold and  $\sigma$  an involution on  $M$ .<sup>1)</sup> We define an embedding  $\Delta : M \rightarrow M^2 = M \times M$  by  $\Delta(x) = (x, \sigma x)$ . Then  $\Delta$  is equivariant with respect to the involution  $\sigma$  on  $M$  and the involution  $T$  on  $M^2$  which is defined by  $T(x_1, x_2) = (x_2, x_1)$ . Let  $\Delta_! : h_{\mathbb{Z}_2}^q(M) \rightarrow h_{\mathbb{Z}_2}^{q+m}(M^2)$  denote the Gysin homomorphism for  $\Delta$ , where  $m = \dim M$ . We put  $\theta(\sigma) = \Delta_!(1) \in h_{\mathbb{Z}_2}^m(M^2)$ .

In the present note we shall give an explicit formula for  $\theta(\sigma)$  and apply it to get theorems of the Borsuk-Ulam type. Our results generalize those of Nakaoka [3], [4]. From the formula for  $\theta(\sigma)$  we shall also derive a sort of integrality theorem concerning the fixed point set of  $\sigma$ ; see Theorem 4. Detailed accounts will appear elsewhere.

Let  $S^\infty$  be the infinite dimensional sphere with the antipodal

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1) In this note we work in the smooth category. All manifolds will be connected, compact and without boundary unless otherwise stated.

involution. The projection  $\pi : S^\infty \times M^2 \longrightarrow S^\infty \times_{\mathbb{Z}_2} M^2$  induces the Gysin homomorphism  $\pi_* : h^*(M^2) \longrightarrow h_{\mathbb{Z}_2}^*(M^2)$  and the usual homomorphism  $\pi^* : h_{\mathbb{Z}_2}^*(M^2) \longrightarrow h^*(M^2)$ . Let  $d : M \longrightarrow M^2$  be the diagonal map. Since  $d(M)$  is the fixed point set of  $T$ ,  $h_{\mathbb{Z}_2}^*(d(M))$  is isomorphic to  $h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M)$  and  $d$  induces  $d^* : h_{\mathbb{Z}_2}^*(M^2) \longrightarrow h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M)$ .

Lemma 1. The homomorphism

$$\pi^* \oplus d^* : h_{\mathbb{Z}_2}^*(M^2) \longrightarrow h^*(M^2) \oplus (h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M))$$

is injective.

We denote by  $S$  the multiplicative set  $\{w_1^k \mid k \geq 1\}$  in  $h_{\mathbb{Z}_2}^*(pt) = h^*(P^\infty)$  where  $w_1$  is the universal first Stiefel-Whitney class. If  $X$  is a  $\mathbb{Z}_2$ -space then  $h_{\mathbb{Z}_2}^*(X)$  is an  $h_{\mathbb{Z}_2}^*(pt)$ -module and we can consider the localized ring  $S^{-1}h_{\mathbb{Z}_2}^*(X)$  of  $h_{\mathbb{Z}_2}^*(X)$  with respect to  $S$ . Note that  $h_{\mathbb{Z}_2}^*(pt)$  is isomorphic to a formal power series ring  $h^*(pt)[[w_1]]$  and  $h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M)$  is canonically embedded in  $(S^{-1}h_{\mathbb{Z}_2}^*(pt)) \otimes_{h^*(pt)} h^*(M)$ .

To state our main theorem we need some notations. Let  $P : h^q(M) \longrightarrow h_{\mathbb{Z}_2}^{2q}(M^2)$  be the Steenrod-tom Dieck operation; see [4], [6]. For  $u \in h^q(M)$  we define  $P_0(u)$  to be  $d^*P(u)/w_1^{2q}$ . Then  $P_0$  is extended to a ring homomorphism  $P_0 : h^*(M) \longrightarrow (S^{-1}h_{\mathbb{Z}_2}^*(pt)) \otimes_{h^*(pt)} h^*(M)$ . For a real vector bundle  $\xi$  over a CW-complex  $X$  its  $h^*$ -theory Wu classes  $v_\alpha(\xi) \in h^*(X)$  are defined

in a similar way as in [5]. The Wu classes of the tangent bundle of a manifold  $X$  will be denoted by  $v_\alpha(X)$ . Finally we define  $a_j(x) \in h^*(pt)[[x]]$  by

$$F(x, y) = \sum_{0 \leq j} a_j(x) y^j$$

where  $F$  is the formal group law of the theory  $h^*$ . For a multi-index  $\alpha = (\alpha_1, \alpha_2, \dots)$  we put  $a^\alpha(x) = \prod_{1 \leq j} a_j^{\alpha_j}(x)$ ,  $l(\alpha) = \sum_j \alpha_j$  and  $|\alpha| = \sum_j j \alpha_j$ , cf. [6].

Theorem 2. Let  $M$  be a manifold and  $\sigma$  an involution on  $M$ . Let  $F$  be the fixed point set of  $\sigma$ .  $F$  is a disjoint union of submanifolds  $F_1, \dots, F_\ell$ .

i)  $\pi^* \theta(\sigma) \in h^*(M^2)$  is given by

$$\pi^* \theta(\sigma) = \Delta_!(1)$$

where the  $\Delta_!$  on the right-hand side is the usual Gysin homomorphism  $h^*(M) \rightarrow h^*(M^2)$ . If  $\{u_i\}$  is a homogeneous  $h^*(pt)$  basis of  $h^*(M)$  and  $\Delta_!(1) = \sum a_{ij} u_i \times u_j$  with  $a_{ij} \in h^*(pt)$  then the  $a_{ij}$ 's satisfy the relation

$$\sum_j a_{ij} c_{jk} = \delta_{ik} \quad (\text{the Kronecker } \delta)$$

where  $c_{jk} = p_!(u_j \cup \sigma^* u_k)$  with  $p : M \rightarrow pt$ .

ii)  $d^* \theta(\sigma) \in h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M) \subset (S^{-1} h_{\mathbb{Z}_2}^*(pt)) \otimes_{h^*(pt)} h^*(M)$

is given by

$$d^* \theta(\sigma) = w_1^m \frac{\sum_{i=1}^{\ell} \sum_{\alpha} w_1^{2(-l(\alpha)+|\alpha|)} a^{2\alpha}(w_1) P_0(j_!(v_\alpha(F_i))^2)}{\sum_{\alpha} w_1^{-l(\alpha)+|\alpha|} a^{\alpha}(w_1) P_0(v_\alpha(M))}$$

where  $j_!$  is the Gysin homomorphism of the inclusion  $j : F \subset M$

and  $m = \dim M$ .

Remark 3. In Theorem 2, when the theory  $h^*$  is the usual cohomology theory  $H^*( ; \mathbb{Z}_2)$ , the formula for  $d^* \theta(\sigma)$  reduces to

$$d^* \theta(\sigma) = w_1^m P_0 \left( \left\{ \sum_{i=1}^{\ell} \sum_{s=0}^{\lfloor \frac{f_i}{2} \rfloor} j_s (v_s(F_i))^2 \right\} / \left\{ \sum_{s=0}^{\lfloor \frac{m}{2} \rfloor} v_s(M) \right\} \right)$$

where  $f_i = \dim F_i$ .

Theorem 4. Let  $M, \sigma$  and  $F_i$  be as in Theorem 2. Suppose that  $h^* = H^*( ; \mathbb{Z}_2)$ . If we write

$$\sum_{i=1}^{\ell} \sum_{s=0}^{\lfloor \frac{f_i}{2} \rfloor} j_s (v_s(F_i))^2 / \sum_{s=0}^{\lfloor \frac{m}{2} \rfloor} v_s(M) = \sum_{i=0}^m u_i$$

where  $u_i \in H^i(M; \mathbb{Z}_2)$  then we must have

$$u_i = 0 \quad \text{for} \quad i > \frac{m}{2}.$$

Corollary 5. Under the situation of Theorem 4 the element  $\theta(\sigma) \in H_{\mathbb{Z}_2}^m(M^2; \mathbb{Z}_2)$  is given by

$$\theta(\sigma) = \sum_{i=0}^{\lfloor \frac{m}{2} \rfloor} w_1^{m-2i} P(u_i) + \theta_1$$

where  $\theta_1$  is characterized by the conditions

a)  $\rho \in \pi_1$ -image

and

b)  $\pi^* \rho = \Delta_1(1) + u_{\frac{m}{2}} \times u_{\frac{m}{2}}$ .

Corollary 6. Under the situation of Theorem 4 assume moreover that  $\dim F_i < \dim M/2$  for all  $i$ . Then

$$\sum_{i=1}^l \sum_{s=0}^{\lfloor \frac{f_i}{2} \rfloor} j_s (v_s(F_i))^2 = 0$$

and  $\theta(\sigma) \in H_{\mathbb{Z}_2}^*(M^2; \mathbb{Z}_2)$  is characterized by the conditions

a)  $\theta(\sigma) \in \pi_1$ -image

and

b)  $\pi^* \theta(\sigma) = \Delta_1(1)$ .

Corollary 7. Let  $M$  be an  $m$ -manifold which is a  $\mathbb{Z}_2$ -homology sphere and  $\sigma$  an involution on  $M$ . Then, in the usual homology theory  $H^*( ; \mathbb{Z}_2)$ , the element  $\theta(\sigma) \in H_{\mathbb{Z}_2}^m(M^2; \mathbb{Z}_2)$  is given by

$$\theta(\sigma) = \begin{cases} \pi_1(1 \times \mu) & \text{if } \sigma \text{ is not trivial,} \\ w_1^m + \pi_1(1 \times \mu) & \text{if } \sigma \text{ is trivial,} \end{cases}$$

where  $\mu \in H^m(M; \mathbb{Z}_2)$  is the cofundamental class.

Now let  $N$  be another manifold with an involution  $\tau$  and  $f : N \rightarrow M$  a continuous map. We put

$$A(f) = \{y \mid y \in N, f\tau(y) = \sigma f(y)\}$$

and define an equivariant map  $\hat{f} : N \rightarrow M^2$  by  $\hat{f}(y) = (f(y), f\tau(y))$ .

The following is fundamental for our theorems of the Borsuk-Ulam type.

Theorem 8. If  $A(f) = \emptyset$  then the class  $\hat{f}^* \theta(\sigma) \in h_{\mathbb{Z}_2}^m(N)$  vanishes.

Corollary 9. Let  $\bar{f}$  denote the restriction of  $f$  on the fixed point set  $F(\tau)$  of  $\tau$ . Suppose that we have

$$\bar{f}^* \left( \sum_{i=1}^l \sum_{s=0}^{\lfloor \frac{f_i}{2} \rfloor} j_s (v_s(F_i))^2 \right) \neq 0$$

in  $H_{\mathbb{Z}_2}^*(pt) \otimes H^*(F(\tau); \mathbb{Z}_2)$  then the set  $A(f)$  is not empty.

When the involution  $\tau$  on  $N$  is free the module  $h_{\mathbb{Z}_2}^*(N)$  is canonically identified with  $h^*(N/\mathbb{Z}_2)$ .

Corollary 10. Let  $M$  and  $N$  be manifolds of the same dimension  $m$ . Let  $\sigma$  be an involution on  $M$  such that  $\dim F_i < \frac{m}{2}$  for all components  $F_i$  of the fixed point set of  $\sigma$ . Let  $\tau$  be a free involution on  $N$  and  $f : N \rightarrow M$  a continuous map. Then, in the usual cohomology, the evaluation of the class  $\hat{f}^*\theta(\sigma) \in H^m(N/\mathbb{Z}_2)$  on the fundamental class  $[N/\mathbb{Z}_2]$  is given by

$$\langle [N/\mathbb{Z}_2], \hat{f}^*\theta(\sigma) \rangle = \hat{\chi}(f)$$

where  $\hat{\chi}(f)$  is the equivariant Lefschetz number of  $f$  as defined in [3]. Consequently if  $\hat{\chi}(f) \neq 0$  then  $A(f) \neq \emptyset$ .

Corollary 11. Let  $M$  be an  $m$ -manifold which is a  $\mathbb{Z}_2$ -homology sphere with an involution  $\sigma$ . Let  $N$  be an  $m$ -manifold with a free involution  $\tau$  and  $f : N \rightarrow M$  a map. Then we have

$$\langle [N/\mathbb{Z}_2], \hat{f}^*\theta(\sigma) \rangle = \begin{cases} 1 + \deg f & \text{if } \sigma \text{ is trivial,} \\ \deg f & \text{if } \sigma \text{ is not trivial.} \end{cases}$$

Consequently if  $\sigma$  is not trivial and  $\deg f \neq 0$  then  $A(f) \neq \emptyset$ .

2. Indication of proofs. Lemma 1 is a consequence of the following structure theorem for  $h_{\mathbb{Z}_2}^*(M^2)$  and a localization theorem due to tom Dieck [2] applied to the diagonal map  $d$ .

Theorem 12. In  $h_{\mathbb{Z}_2}^*(M^2)$  the union  $\bigcup_{k \geq 1} (\cup w_1^k \text{-kernel})$  coincides with  $\pi_!$ -image which is isomorphic to  $h^*(M^2)/h^*(M^2)^T$  through  $\pi_!$ .

The homomorphism  $\pi^*$  restricted on  $\pi_1$ -image is injective.

The quotient  $h_{\mathbb{Z}_2}^*(M^2)/(\pi_1\text{-image})$  is a free  $h_{\mathbb{Z}_2}^*(\text{pt})$ -module and is generated by  $P$ -image. Its rank is equal to the rank of the  $h^*(\text{pt})$ -module  $h^*(M)$ .

Theorem 12 is proved using the Gysin exact sequence of the double covering  $\pi: S^\infty \times M^2 \longrightarrow S^\infty \times_{\mathbb{Z}_2} M^2$  and the following properties of  $\pi_1$ ,  $\pi^*$  and  $P$ :

$$\pi^* \pi_1(u \times v) = u \times v + v \times u,$$

$$\pi^* P(u) = u \times u.$$

Part i) of Theorem 2 follows from the commutativity of the diagram

$$\begin{array}{ccc} h^*(M) & \xrightarrow{\Delta!} & h^*(M^2) \\ \pi^* \uparrow & & \uparrow \pi^* \\ h_{\mathbb{Z}_2}^*(M) & \xrightarrow{\Delta!} & h_{\mathbb{Z}_2}^*(M^2) \end{array}$$

which holds since  $\pi$  is a covering projection.

In order to prove Part ii) we consider the submanifolds  $\Delta(M)$  and  $d(M)$  of  $M^2$ . They are invariant under the action  $T$ . Their intersection is canonically identified with  $F$ . Let  $j' : F \subset \Delta(M)$  and  $j : F \subset d(M)$  be the inclusions. Let  $\nu_{j'}$  and  $\nu_d$  be the normal bundles of  $j'$  and  $d$  respectively. We see that  $\Delta(M)$  and  $d(M)$  cut each other cleanly along  $F$ , that is,  $\nu_{j'}$  is a subbundle of  $j^* \nu_d$ . Thus we have the excess bundle  $E = j^* \nu_d / \nu_{j'}$  and it follows from the clean intersection formula (cf. [6]) that

$$d^* \Delta_1(1) = j_!(e(E))$$

where  $e(E) \in h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(F)$  is the  $h^*$ -theory Euler class of the bundle  $E$  with  $\mathbb{Z}_2$ -action. In our situation we have

Lemma 13. The bundle  $E$  is isomorphic to the normal bundle  $\nu_{d'}$  of the diagonal map  $d': F \rightarrow F^2$  where the  $\mathbb{Z}_2$ -action on  $\nu_{d'}$  is induced from  $T$ .

From Lemma 13 and the clean intersection formula applied to the commutative diagram

$$\begin{array}{ccc} F & \xrightarrow{d'} & F^2 \\ \downarrow j & & \downarrow j^2 \\ M & \xrightarrow{d} & M^2 \end{array}$$

we infer that

$$(*) \quad d^* \Delta_1(1) = d^* \left( \frac{(j^2)_! (d'_!(1)^2)}{d_!(1)} \right),$$

in  $(S^{-1}h_{\mathbb{Z}_2}^*(pt) \otimes_{h^*(pt)} h^*(M))$ . But we have a formula due to Nakaoka

[5] which expresses  $d_!(1)$  in terms of  $\nu_{\alpha}(M)$ ,  $P_0$  and  $a^{\alpha}(w_1)$  and a similar one for  $d'_!(1)$ . Using these in (\*) we obtain the formula in Part ii) of Theorem 2.

Finally Theorem 8 follows from the fact that  $\hat{f}^* \theta(\sigma)$  is the Poincaré dual (in the equivariant cohomology) of  $\hat{f}^{-1}(\Delta(M)) = A(f)$  in  $N$ .



## References

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