On Regular Surfaces of General Type II.

by Yoichi MIYAOKA

1. Introduction. In this paper a surface shall mean a compact complex manifold of dimension 2. We denote by  $|mK_S|$  ( meN ) a pluricanonical system on a surface S and by  $\Phi_{mK_S}$  the associated rational map (the pluricanonical map), assuming that  $|mK_S|$  is not empty. A surface S is called of general type if  $\Phi_{mK_S}$  (S) in the projective space  $P^N$  (N = dim  $mK_S$ ) for a large number m is a variety of dimension 2. If S is a surface of general type the following results are well-known.

Theorem 1 (Mumford [ ]). If m is sufficiently large,  $\Phi_{mK_S}$  is a birational morphism and  $\Phi_{mK_S}$  (S)  $\cong$  X =  $\Pr{oj \bigoplus_{r} H^{0}(S, \underline{o}(rK_S))}$ . X is a normal variety with only a finite number of rational double points as singularities. If S is a minimal surface, then S is the minimal resolution of X.

Theorem 2 (Mumford [ ]). Assume that S is minimal. Then we have  $H^1(S,\underline{O}(mK_S))=0$ , for  $m\neq 0,1$ ,  $m\in Z$ .

Theorem 3 (Riemann-Roch Theorem for pluricanonical systems). Letting  $\overline{c}_1^2$  be the self intersection number for the canonical divisor of the minimal model of S, we have

 $\dim \ H^0(S,\underline{o}(mK_S)) = \chi(\underline{o}) + (\overline{c}_1^2/2) \ m(m-1),$  where  $\chi(\underline{o})$  denotes the Euler characteristic of the structure sheaf  $\underline{o}_S$  of S.

Theorem 4 (Iitaka [ ]). The m-genus  $P_m(S) = \dim H^0(S, \underline{O}_S(mK_S))$  is deformation-invariant.

As an immediate corollary to Theorems 3 and 4, we obtain the following

Theorem 5 (Deformation Invariance of the Minimality).

If S is minimal, then any deformation of S is also
a minimal surface of general type.

From now on, we denote by S a minimal surface of general type with the following numerical conditions:

\* 
$$\begin{cases} p_g(S) = \dim H^0(S, \underline{o}(K_S)) = 0, \\ q(S) = \dim H^1(S, \underline{o}) = 0, \\ K_S^2 = 2. \end{cases}$$

A surface of this type shall be called a <u>numerical</u>

<u>Campedelli surface</u>.

In section 2, we study the property of the tricanonical system  $|3K_S|$  on a numerical Campedelli surface. In spite of Bombieri's comprehensive work [ ] on pluricanonical maps, the tricanonical system on S was not completely surreyed. And there remains still an open problem: Is the tricanonical map of S is a birational morphism?

It is an interesting but, in general, a very difficult

problem to determine the complex structures on a given underlying differentiable manifold. In our case the problem is rather easy under some conditions. In section 3, we shall determine the structure of S under the condition that the fundamental group of S is a direct sum of three copies of the cyclic group of order 2.

2. Regularity of the tricanonical maps.

Let S be a numerical Campedelli surface. Then we have the following

Theorem 5 (Regularity of tricanonical maps). The tricanonical system  $\left| 3K_S \right|$  on S is free from base points and fixed components.

For the proof we need some results .

Definition. An effective divisor D on a surface  $\mathbf{F}$  is called 1-connected if

$$D_1, D^5 > 0$$

for any non-trivial decomposition  $D = D_1 + D_2$ ,  $D_i > 0$ .

Theorem 6 (Ramanujam vanishing theorem []). If an effective divisor D on a regular surface (i.e.

q(F) = 0 ) is 1-connected, then  $H^{1}(F, \underline{O}(-D)) = 0$ .

Theorem 7 (Bombieri [ ]). Let F be a minimal surface of general type and P a point on F. Let p:  $\widetilde{F} \longrightarrow F$  denote a quadric transformation at P and E the exceptional curve over P. If an effective divisor D is numerically equivalent to  $2p^*K_F - 2E$ , then D is 1-connected except in the case where  $K_F^2 = 1$ .

Now we proceed to the proof of Theorem 1. Let  $p\colon \widetilde{S} \to S \quad \text{be the quadric transformation at a point } P$  and  $E \quad \text{the associated exceptional curve. Let us}$  consider the following natural exact sequence of sheaves:

 $0 \longrightarrow \underline{O}_{\widetilde{S}}(3p^*K_S - E) \longrightarrow \underline{O}_{\widetilde{S}}(3p^*K_S) \longrightarrow \underline{O}_E \longrightarrow 0.$  Then it is obvious that  $|3K_S|$  is free from base point at P if and only if  $H^1(\widetilde{S},\underline{O}(3p^*(K_S - E)) = 0$ . By the Serre duality we have

dim  $H^1(\widetilde{S}, \underline{0}(3p^*K_S - E)) = \dim H^1(\widetilde{S}, \underline{0}(2E - 2p^*K_S))$ . Hence Theorem 7 yields the vanishing of the cohomology group under the condition that  $|2p^*K_S - 2E| \neq \emptyset$ . Now assume that  $|2p^*K_S - 2E| = \emptyset$ . Since  $\dim H^0(S, \underline{0}(2K_S))$  = 3, this implies that the rational map  $\Phi_{2K_S}$  assoctived with the bicanonical system  $|2K_S|$  is a local isomorphism at P. Therefore there exists an effective divisor  $D \in |2p^*K_S - E|$  such that D is irreducible in a neighbourhood of E and that the unique irreducible component  $D_0$  which simply intersects E satisfies  $D_0^2 \geq 0$ . Now we shall take the following exact sequence of cohomology groups:

$$0 \longrightarrow H^{0}(\widetilde{S}, \underline{o}(2E - 2p^{*}K_{S})) \longrightarrow H^{0}(\widetilde{S}, \underline{o}(E)) \longrightarrow H^{0}(D, \underline{o}_{D}(E))$$

$$\longrightarrow H^{1}(\widetilde{S}, \underline{o}(2E - 2p^{*}K_{S})) \longrightarrow H^{1}(\widetilde{S}, \underline{o}(E)).$$

Note that  $H^0(\widetilde{S}, \underline{o}(2E - 2p^*K_S)) = 0$  and that  $\dim H^1(\widetilde{S}, \underline{o}(E)) = \dim H^1(\widetilde{S}, \underline{o}(p^*K_S)) = \dim H^1(S, \underline{o}(K_S))$ 

= q(S) = 0. Hence, for the proof of Theorem 5,

it is sufficient to show the equality

$$\dim H^{0}(D,\underline{O}(E)) = \dim H^{0}(\widetilde{S},\underline{O}(E)) = 1.$$

On the other hand we have the following natural commutative diagram

$$0 \longrightarrow H^{0}(D,\underline{o}) \longrightarrow H^{0}(D,\underline{o}(E)) \xrightarrow{r} H^{0}(D^{\cdot}E,\underline{o})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \text{identity}$$

$$0 \longrightarrow H^{0}(D_{0},\underline{o}) \longrightarrow H^{0}(D_{0},\underline{o}(E)) \xrightarrow{r} H^{0}(D.E,\underline{o})$$

of which the rows are exact. But it is obvious that the virtual genus of  $\,^D_0\,$  is not 0. Since the degree of the divisor E on  $\,^D_0\,$  is 1, the restriction map r is the zero-map. This implies that

$$\dim H^{0}(D,\underline{O}(E)) = \dim H^{0}(D,\underline{O}).$$

Moreover we have dim  $H^{0}(D,\underline{0})=1$ . In fact, there exists the following natural exact sequence

$$0 \longrightarrow H^{0}(\widetilde{S}, \underline{o}(E - 2p^{*}K_{S})) \longrightarrow H^{0}(\widetilde{S}, \underline{o}) \longrightarrow H^{0}(D, \underline{o})$$

$$\longrightarrow H^{1}(\widetilde{S}, \underline{o}(E - 2p^{*}K_{S})),$$

where dim  $H^1(\widetilde{S}, \underline{O}(E - 2p^*K_S)) = \dim H^1(\widetilde{S}, \underline{O}(3p^*K_S))$ 

- = dim  $H^1(S,\underline{O}(3K_S))$  = 0. Thus dim  $H^0(D,\underline{O})$  = dim  $H^0(S,\underline{O})$
- = 1 and the assertion is proved.

3. The structure of Campedelli surfaces.

In this section we shall study numerical Campedelli surfaces of special type.

Definition (cf. Campedelli [ ]). A numerical Campedelli surface is called a Campedelli surface if its fundamental group is isomorphic to  $\mathbb{Z}/(2) + \mathbb{Z}/(2)$ .

If S is a Campedelli surface, the universal covering  $\bar{S}$  of S has the following numerical characters:

$$\begin{cases} \chi(\bar{s}, \underline{o}_{\bar{s}}) = 8 \chi(s, \underline{o}_{\bar{s}}) = 8, \\ q(\bar{s}) = 0, \\ p_{g}(\bar{s}) = \chi(\bar{s}, \underline{o}_{\bar{s}}) - q(\bar{s}) - 1 = 7, \\ K_{\bar{s}}^{2} = 8 K_{\bar{s}}^{2} = 16. \end{cases}$$

The fundamental group G of S acts on  $\overline{S}$  as the covering transformation group of the unramified covering e:  $\overline{S} \longrightarrow S$ , and G naturally operates on the vector space  $H^0(\overline{S},\underline{O}(K_{\overline{S}}))$  as linear transformations. Hence we obtain a canonical representation k:  $G \longrightarrow GL(7,C)$  and the induced representation k':  $G \longrightarrow PGL(6,C)$ .

Lemma 1. k' is a faithful representation.

Proof. Let  $g \in G$  be an element of ker k'. Since  $g^2 = id$ , k(g) = + id. Hence  $p_g(\overline{S}/\langle g \rangle) = 7$  or 0. But  $p_g(\overline{S}/\langle g \rangle) = 3$ , if g is of order 2. Hence g = id.

Let V denotes the image of  $\overline{S}$  by the canonical map  $\Phi_{K_{\overline{S}}}$  associated with the canonical system  $|K_{\overline{S}}|$ .

Then k'(g)  $(g \in G)$  induces an automorphism of V. Thus we obtain a natural homomorphism a:  $G \longrightarrow Aut(V)$ , where Aut(V) denotes the automorphism group of V.

Lemma 2. a is injective.

A inval consequence of Lemma 1.

Proof. Assume that g G induces the identity on

V. Then V is contained in an eigenspace of k'(g).

Since V is not contained in any proper linear subspace

Since V is not contained in any proper linear subspace of  $P^6$ , this implies that k'(g) = id. Lemma 1 yields the equality g = id.

Lemma 3. The canonical system  $K_{\overline{\overline{S}}}$  of  $\overline{\overline{S}}$  is not composed of a pencil.

Proof. \_Assume that V is a curve. Since  $q(\overline{S}) = 0$ , V must be a (possibly singular) rational curve. An automorphism of V induces a unique automorphism of the non-singular model  $P^1$  of V. Hence, in virtue of the above lemma, we infer that there exists a faithful representation a':  $G \longrightarrow PGL(1,C)$ . On the other hand, it is obvious that PGL(1,C) does not contain a subgroup isomorphic to  $(Z/(2))^3$ . This is a contradiction.

Since G is a commutative group, we may assume that k(G) is contained in the diagonal subgroup of GL(7,C). Let  $w_1,\ldots,w_7$  be a basis of  $H^0(\overline{S},\underline{O}(K_{\overline{S}}))$  such that  $g^*(w_j)=\frac{+}{-}w_j$  for any  $g\in G$ .

Lemma 4. The linear subspace W of  $H^0(\bar{S}, \underline{o}(2K_{\bar{S}}))$  spanned by  $w_1^2, w_2^2, \ldots, w_7^2$  is 3-dimensional.

Proof. Lemma 3 implies that the transcendental degree over C of the field  $C(w_2/w_1,\ldots,w_7/w_1)$  is 2. Hence the transcendental degree of  $C(w_2^2/w_1^2,\ldots,w_7^2/w_1^2)$  is also 2. This yields the inequality

dim 
$$W \ge 3$$
.

On the other hand, since  $w_j^2$  is G-invariant, W can be regarded as a subspace of  $H^0(S,\underline{o}(2K_S))$ . But the Riemann-Roch theorem geves an equality  $\dim H^0(S,\underline{o}(2K_S))$  = 3. This completes the proof.

Lemma 5. Let K be an extension of the rational function field  $C(x_1, ..., x_n)$  defined by

$$K_r = C(x_1, \dots, x_n, \sqrt{Q_1}, \dots, \sqrt{Q_r}),$$

where  $Q_j$  is a quadric polynomial in  $x_i$ . Assume that  $K_r$ :  $C(x_1, \dots, x_n) = 2^r$ . Then the integral closure of  $C[x_1, \dots, x_n]$  in  $K_r$  is  $R_r = C[x_1, \dots, x_n, Q_1, \dots, Q_r]$ . Proof. Trivial.

Corollary. Let K be as above. Let  $Q_{r+1}$  be another quadric polynomial in  $x_i$ . Assume that  $K_{r+1} = K_r$ . Then  $\sqrt{Q_{r+1}}$  is a linear combination of  $x_1, \ldots, x_n, \sqrt{Q_1}, \ldots, \sqrt{Q_r}$ .

Let  $w_1^2$ ,  $w_2^2$ ,  $w_3^2$  be a basis of W. From Lemma 4, we infer that there are quadric relations

$$w_j^2 = a_j w_1^2 + b_j w_2^2 + c_j w_3^2,$$
  
 $j = 4, 5, 6, 7.$ 

The above corollary asserts that, if the complete intersection defined by the above quadrics is reducible

then its any irreducible component is contained in a hyperplane in  $P^6$ . Since the image V of  $\overline{S}$  is contained in the complete intersection V' defined by the above 4 equations and V is not contained in any hyperplane, V' = V is a irreducible surface. Thus we obtain the following

Corollary. V is a complete intersection of type (2,2,2,2) in  $P^6$ .

As an immediate consequence of this corollary, we have Theorem 8. The canonical homomorphism

$$\bigotimes^{m} H^{0}(\bar{S}, \underline{o}(K_{\bar{S}})) \longrightarrow H^{0}(\bar{S}, \underline{o}(mK_{\bar{S}}))$$

is surjective.

Proof. Let  $O_V(m)$  denote the sheaf of the hypersurface section of degree m. Since V is a complete intersection of type (2,2,2,2), we have

 $\dim \ H^0(V,\underline{O}_V(m)) \geq 8 + 8 \ m(m-1) = \dim \ H^0(\overline{S}, \ \underline{O}_{\overline{S}}(mK_{\overline{S}}))$  Moreover  $H^0(V,\underline{O}_V(1))$  generates  $H^0(V,\underline{O}_V(m))$ . This proves the theorem.

Now the following theorem is trivial.

Theorem 9. The canonical model  $\bar{X}$  of  $\bar{S}$  is isomorphic to a complete intersection of type (2,2,2,2) in  $P^6$ . The canonical model X of S is the quotient of  $\bar{X}$  ty the action of following subgroup G of PGL(6,C).

The following theorem is a corollary of Theorem 9 and the forms of the defining equations.

Theorem 10. The moduli space of Campedelli surfaces is a normal unirational variety of dimension 6.

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