

1 **Reactivity of syringyl quinone methide intermediates in dehydrogenative polymerization. Part**  
2 **1. High yield production of synthetic lignins (DHPs) in horseradish peroxidase-catalyzed**  
3 **polymerization of sinapyl alcohol in the presence of nucleophilic reagents.**

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13  
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20

## 1 **Abstract**

2           It is known that the conventional dehydrogenative polymerization of sinapyl alcohol  
3 (S-alc) gave syringyl synthetic lignins (S-DHPs), but in extremely low yields. In this article, to  
4 examine the contribution of syringyl quinone methide intermediates (S-QM) on S-DHP production,  
5 horseradish peroxidase (HRP)-catalyzed dehydrogenative polymerization of S-alc was carried out in  
6 the presence of nucleophilic reagents that promote the rearomatization of S-QM. First, the  
7 HRP-catalyzed polymerization of sinapyl alcohol  $\gamma$ -*O*- $\beta$ -D-glucopyranoside (isosyringin, iso-S),  
8 which allows us to monitor the polymerization process in a homogeneous aqueous phase, was  
9 utilized for screening of a nucleophile used as an S-QM scavenger. Monitoring of the iso-S  
10 polymerization in the presence of various nucleophilic reagents by UV spectroscopy and gel  
11 permeation chromatography with photodiode array detection (GPC-PDA) revealed a high ability of  
12 azide ion to convert oligomeric S-QM efficiently to S-DHP. Accordingly, azide ion was utilized as  
13 an S-QM scavenger in HRP-catalyzed polymerization of S-alc, which resulted in high yield  
14 production of S-DHPs (~83%), as expected. The  $^1\text{H}$ -,  $^{13}\text{C}$ - and 2D-HSQC NMR investigations on  
15 the resulting S-DHPs clearly demonstrated that azide ion efficiently performed nucleophilic  
16 additions to the C- $\alpha$  of S-QM during the polymerization. These results provide experimental proof  
17 that the low reactivity of S-QM with nucleophiles (such as water, phenolic and aliphatic hydroxyl  
18 groups) in the conventional polymerization system critically impedes the production of S-DHPs  
19 from S-alc.

20

## 1 **Introduction**

2           The last stage of lignin formation in plant cell wall can be mimicked *in vitro* by the  
3 enzymatic dehydrogenative polymerization of monolignols [*p*-coumaryl alcohol (H-alc); coniferyl  
4 alcohol (G-alc); sinapyl alcohol (S-alc)], leading to the lignin polymer models (dehydrogenation  
5 polymers, DHPs).<sup>1-3</sup> As reviewed by several authors,<sup>4-8</sup> much of what is now known about the lignin  
6 polymerizations is based on the studies of this system. However, a satisfactory synthesis of DHPs  
7 structurally resembling native lignins has not been achieved yet, implying that the polymerization  
8 process is not fully understood. One of the open questions with this regard is the peculiar  
9 polymerization behavior of S-alc, being completely different from those of H-alc and G-alc. Many  
10 researchers have reported that enzymatic dehydrogenative polymerization of S-alc afforded syringyl  
11 (S)-DHPs, but with low molecular masses in low yields, while H-alc and G-alc readily gave  
12 *p*-hydroxyphenyl (H)- and guaiacyl (G)-DHPs, respectively, with high molecular masses in high  
13 yields.<sup>9-15</sup>

14           As well established, the dehydrogenative polymerization of S-alc basically consisting of  
15 three reaction steps as depicted in Fig. 1: step 1: enzymatic radical formations; step 2: radical  
16 couplings; step 3: rearomatization of syringyl quinone methide intermediates (S-QM) by  
17 nucleophilic additions of nucleophiles in the polymerization system. Several problems in each  
18 reaction step have been discussed in connection with the low polymerizability of S-alc: the low  
19 reactivity of common oxidants such as horseradish peroxidase (HRP) / hydrogen peroxide to S-type  
20 phenolic compounds for step 1<sup>16-20</sup> and preferential  $\beta$ - $\beta$  coupling reactions to  $\beta$ -O-4 for step 2.<sup>20-22</sup>  
21 So far, little attention has been paid to step 3 in connection with the low polymerizability of S-alc *in*  
22 *vitro*.

1           Recently, we have investigated on the HRP-catalyzed polymerization of sinapyl alcohol  
2  $\gamma$ -*O*- $\beta$ -D-glucopyranoside [isosyringin (iso-S), Fig. 2] as a model reaction system to study the  
3 polymerization behavior of S-alc.<sup>15,23-27</sup> Owing to the presence of a highly hydrophilic sugar unit  
4 attached to S-alc, the polymerization of iso-S gives water-soluble products in a homogeneous  
5 aqueous phase, whereas the conventional polymerization of S-alc gives water-insoluble products in  
6 a heterogeneous way. It was also confirmed that the reactivity and polymerization behavior of iso-S  
7 in the dehydrogenative polymerization are well reflected by those of S-alc. This unique  
8 polymerization system based on iso-S enabled us to follow the time-course of S-DHP formation in a  
9 homogeneous aqueous media by such as UV spectroscopy<sup>26</sup> and gel permeation chromatography  
10 with photodiode array detection (GPC-PDA).<sup>27</sup> Importantly, our approach has revealed that  
11 oligomeric S-QM accumulates stably during the HRP-catalyzed polymerization of iso-S. The low  
12 reactivity of S-QM can be explained by the presence of two electron-donating methoxyl groups,  
13 which reduce the positive charge density at the  $\alpha$ -positions. It is reported that the analogous quinone  
14 methide, 2,6-di-*tert*-butyl-4-methylene-2,5-cyclohexadienone also reacts very slowly in aqueous  
15 media. Bolton et al. pointed out that this low reactivity is due to the lack of hydrogen bonding  
16 between the shielded oxo group and water molecules, suppressing charge separation of the quinone  
17 methide.<sup>28,29</sup> The same explanation can be applied for the low reactivity of S-QM.

18           The data in our previous studies strongly suggest that the low reactivity of S-QM with  
19 nucleophiles in the conventional polymerization system (at step 3 in Fig. 1) may retard the  
20 subsequent polymerization for S-DHP formation from S-alc. Based on this concept, if suitable  
21 nucleophiles with high nucleophilicity towards S-QM are added to the conventional polymerization  
22 system, they can perform nucleophilic additions to promote the rearomatization of S-QM and the

1 subsequent polymerization steps in Fig. 1 would repeatedly proceed to yield S-DHPs efficiently. In  
2 the preliminary study, we showed that the HRP-catalyzed polymerization of S-alc in the presence of  
3 nucleophilic azide ion gave S-DHPs in significantly high yield.<sup>30</sup> In this article, further data on  
4 S-DHP formations in the presence of nucleophilic reagents are presented.

## 6 **Experimental**

### 8 **Materials**

10 Iso-S,<sup>23</sup> S-alc,<sup>31</sup> and syringylglycerol- $\beta$ -syringyl ether [1-(4-hydroxy-3,5-  
11 dimethoxyphenyl)-2-(2,6-dimethoxyphenoxy)-propane-1,3-diol (**1**)]<sup>32,33</sup> were synthesized according  
12 to the method described in literature. HRP (100 U mg<sup>-1</sup>) was purchased from Wako Pure Chemicals  
13 (Kyoto, Japan) and used without further purification. Wakogel C-200 (Wako Pure Chemicals) was  
14 used in silica gel column chromatography. Other chemicals were purchased from Nacalai Tesque  
15 Inc. (Kyoto, Japan) or Wako Pure Chemicals and used as received.

17 Screening of nucleophile for HRP-catalyzed polymerization of S-alc by monitoring iso-S  
18 polymerization in the presence of nucleophilic reagents

20 UV spectroscopic monitoring of HRP-catalyzed polymerization of iso-S in the presence of  
21 nucleophiles was carried out as follows.<sup>26</sup> The solution (3 ml) consisting of 100  $\mu$ M iso-S, 1500  $\mu$ g  
22 l<sup>-1</sup> HRP and 100-1000  $\mu$ M nucleophilic reagents (D-glucuronic acid, ethyl amine, sodium sulfite,

1 potassium iodide, cysteine and sodium azide) in 50 mM sodium phosphate buffer (pH6.5) and the  
2 same solution without monomer were placed in a sample cell and a reference cell, respectively. The  
3 cells were set in a JASCO V-560 spectrophotometer and kept at 25°C under stirring. The  
4 polymerization was initiated by adding 25  $\mu\text{l}$  0.024% hydrogen peroxide aqueous solution (final  
5 concentration: 60  $\mu\text{M}$ ) to the sample cell, and UV spectra were recorded at a regular time interval  
6 (scan rate, 2000  $\text{nm min}^{-1}$ ; scan region, 250-400 nm; data interval, 1 nm; response mode, quick).

7 GPC-PDA monitoring of the HRP-catalyzed polymerization of iso-S in the presence of  
8 azide ion was done as follows.<sup>27</sup> Three solutions were prepared for the polymerization of iso-S:  
9 solution A, 2.0 mg of HRP in 500  $\mu\text{l}$  of 0.05 M phosphate buffer (pH 6.5); solution B, 20  $\mu\text{mol}$  of  
10 the glycosides in 2500  $\mu\text{l}$  of the buffer; solution C, 2500  $\mu\text{l}$  aqueous solution containing sodium  
11 azide (20  $\mu\text{mol}$ ) and hydrogen peroxide (24  $\mu\text{mol}$ ). Polymerization was initiated by adding  
12 solutions B and C simultaneously to solution A at a constant rate (2.5  $\text{ml h}^{-1}$ ; monomer addition  
13 time, 60 min). After initiating the polymerization, reaction mixtures (100  $\mu\text{l}$ ) were periodically  
14 sampled and mixed with 900  $\mu\text{l}$  of 0.1M LiCl in dimethylformamide (DMF) to terminate the  
15 reaction, immediately cooled at 0°C, and subjected to the GPC-PDA analyses within 15 min after  
16 withdrawing from the reaction mixture. The GPC-PDA analyses were performed on a Shimadzu  
17 LC-20A LC system (Shimadzu, Japan) equipped with a SPD-M20A photodiode array detector.  
18 Elution conditions were as follows: column, TSK gel  $\alpha$ -M (Tosoh, Japan); eluent, 0.1 M LiCl in  
19 DMF; flow rate, 0.5  $\text{ml min}^{-1}$ ; column oven temperature, 40°C; injection volume, 20  $\mu\text{l}$ . Conditions  
20 for PDA detection were as follows: scan region: 260-400 nm; band width, 4 nm; response, 1280 ms.  
21 Molecular weight calibration was made using polystyrene standards (Shodex, Japan). Data  
22 acquisition and computation utilized LCsolution version 1.22 SP1 software (Shimadzu, Japan).

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HRP-catalyzed polymerization of S-alc in the presence of azide ion

Two solutions were prepared for polymerization of S-alc: solution A, 120 ml of distilled water containing 0.5 mmol S-alc and 3-12 mg of HRP; solution B, 120 ml of 0.019% hydrogen peroxide (0.6 mmol) aqueous solution containing 0.5 mmol sodium azide. Solutions A and B were added drop-wise to 30 ml of 0.1 M phosphate buffer over a period of 0.5-48 h. The precipitate of the resulting polymer was collected by centrifugation (12000 rpm, 10 min), washed twice with distilled water and lyophilized to obtain S-DHP.

S-DHPs were acetylated with standard protocols<sup>15</sup> and subjected to GPC and nuclear magnetic resonance (NMR) analyses. GPC was performed with a Shimadzu LC-10 system equipped with a UV-Vis detector (SPD-10Avp, monitoring at 280 nm) under the following conditions: columns, K-802, K-802.5 and K-805 (Shodex, Japan); eluent, CHCl<sub>3</sub>; flow rate, 1.0 ml min<sup>-1</sup>; column temperature, 40 °C. The system was calibrated with polystyrene standards (Shodex). <sup>1</sup>H-, <sup>13</sup>C-, and two-dimensional (2D)-heteronuclear single quantum coherence (HSQC) NMR spectra were collected with a Varian INOVA300 FT-NMR spectrometer (300 and 75.5 MHz for <sup>1</sup>H and <sup>13</sup>C nuclei, respectively) in chloroform-*d* with tetramethylsilane as the internal standard (0.0 ppm). Chemical shifts ( $\delta$ ) and coupling constants (*J*) were given in  $\delta$ -values (ppm) and hertz (Hz), respectively.

3-azido-3-(4-hydroxy-3,5-dimethoxyphenyl)-2-(2,6-dimethoxyphenoxy)-1-propanol (**3**)

1           The quinone methide **2** was prepared from syringylglycerol- $\beta$ -syringyl ether (**1**) by the  
2 method in literature.<sup>34,35</sup> Briefly, compound **1** (380 mg, 1.0 mmol) was dissolved in 10 ml of  
3 dichloromethane and to this solution 260  $\mu$ l of trimethylsilyl bromide (2.0 mmol) was added with  
4 stirring under nitrogen at room temperature. After 1 min, the solution was poured into a separation  
5 funnel and extracted twice with 30 ml saturated sodium bicarbonate aqueous solution. The organic  
6 layer was dried over sodium sulfate and evaporated to dryness. Obtained reddish colored solid of  
7 compound **2** was dissolved in 5 ml of anhydrous dioxane and added dropwise into 4 ml of  
8 dioxane/water solution (1:1, v/v) containing sodium azide (650 mg, 10 mmol) at 0 °C under  
9 nitrogen. After 1 h, the reaction mixture was extracted with ethyl acetate and washed twice with  
10 saturated sodium chloride aqueous solution, and dried over sodium sulfate. Evaporation in vacuo  
11 produced an orange oil, which was purified by a silica gel column chromatography [eluent, ethyl  
12 acetate/n-hexane (3:2, v/v)] to give compound **3** as white solid (192.7 mg, 48% yield, *erythro/threo*  
13 = ~1.0). Stereochemical assignments were made from <sup>1</sup>H-NMR signals of propyl side-chain protons  
14 in analogy with the data of  $\beta$ -O-4 lignin model compounds in literature.<sup>36,37</sup>

15           Acetate of compound **3**; <sup>1</sup>H-NMR (in CDCl<sub>3</sub>):  $\delta$  1.96 (3H, s, C <sub>$\gamma$</sub> -OCOCH<sub>3</sub>, *erythro* isomer),  
16 1.98 (3H, s, C <sub>$\gamma$</sub> -OCOCH<sub>3</sub>, *threo* isomer), 2.33 (3H, s, C<sub>4</sub>-OCOCH<sub>3</sub>), 3.77-3.82 (3.77, 3.80, 3.81,  
17 3.82) (12H, s, Ar-OMe), 3.84-3.93 (1H, m, H <sub>$\gamma$ 1</sub>), 4.25-4.33 (1H, m, H <sub>$\gamma$ 2</sub>), 4.39-4.53 (1H, m, H <sub>$\beta$</sub> ),  
18 4.91 (0.5H, d,  $J = 6.6$  Hz, *erythro* isomer), 5.01 (1H, d,  $J = 4.8$  Hz, *threo* isomer), 6.55 (2H, d,  $J =$   
19 3.0, H <sub>$\gamma$ 2</sub> and H <sub>$\delta$</sub> , *threo* isomer), 6.58 (2H, d,  $J = 3.0$ , H <sub>$\gamma$ 2</sub> and H <sub>$\delta$</sub> , *erythro* isomer), 6.65 (2H, s, H <sub>$\gamma$ 1</sub>  
20 and H <sub>$\delta$</sub> , *threo* isomer), 6.71 (2H, s, H <sub>$\gamma$ 1</sub> and H <sub>$\delta$</sub> , *erythro* isomer), 7.00 (1H, t,  $J = 8.7$ , H <sub>$\alpha$ 1</sub>, *erythro*  
21 isomer), 7.01 (1H, t,  $J = 8.7$ , H <sub>$\alpha$ 1</sub>, *threo* isomer). <sup>13</sup>C-NMR:  $\delta$  20.3, 20.6 (COCH<sub>3</sub>), 55.8, 56.0, 56.1  
22 (Ar-OMe), 62.7 (C <sub>$\gamma$</sub> , *erythro* isomer), 63.1 (C <sub>$\gamma$</sub> , *threo* isomer), 66.2 (C <sub>$\alpha$</sub> , *erythro* isomer), 66.7 (C <sub>$\alpha$</sub> ,

1 *threo* isomer), 81.2 (C<sub>β</sub>, *erythro* isomer), 81.9 (C<sub>β</sub>, *threo* isomer), 103.8 (C<sub>2</sub> and C<sub>6</sub>, *threo* isomer),  
2 104.2 (C<sub>2</sub> and C<sub>6</sub>, *erythro* isomer), 104.6 (C<sub>2'</sub> and C<sub>6'</sub>, *erythro* isomer), 104.9 (C<sub>2'</sub> and C<sub>6'</sub>, *threo*  
3 isomer), 124.1 (C<sub>1'</sub>), 128.1 (C<sub>4</sub>, *erythro* isomer), 128.5 (C<sub>4</sub>, *threo* isomer), 134.7 (C<sub>1</sub>), 135.1 (C<sub>4'</sub>,  
4 *erythro* isomer), 135.2 (C<sub>4'</sub>, *threo* isomer), 151.9 (C<sub>3</sub> and C<sub>5</sub>, *erythro* isomer), 152.0 (C<sub>3</sub> and C<sub>5</sub>,  
5 *threo* isomer), 153.2 (C<sub>3'</sub> and C<sub>5'</sub>), 168.5 (Ar-OCOCH<sub>3</sub>), 170.3, 170.7 (C<sub>γ</sub>-OCOCH<sub>3</sub>)

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## 7 **Results and Discussions**

8

9 Screening of nucleophile for HRP-catalyzed polymerization of S-alc

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11 In previous studies, we successfully detected and characterized S-QM formed in the  
12 HRP-catalyzed polymerization of iso-S using UV spectroscopic<sup>26</sup> and GPC-PDA<sup>27</sup> measurements.  
13 In the present study, these techniques were applied for screening of nucleophile used as an S-QM  
14 scavenger in the polymerization of S-alc.

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16 *UV spectroscopic monitoring of HRP-catalyzed polymerization of iso-S in the presence of*  
17 *nucleophilic reagents*

18 Figure 3A shows the time-depended changes in UV spectra during the HRP-catalyzed  
19 polymerization of iso-S without nucleophiles. As the reaction time increased, the absorbance peak  
20 at 274 nm decreased, indicating that iso-S was oxidized by HRP. Formation and accumulation of  
21 stable S-QM were clearly indicated by the appearance of the absorption peak at 325 nm, as  
22 evidenced in our previous study.<sup>26</sup> A suitable nucleophile should not retard the HRP-catalyzed

1 oxidation of iso-S, which can be evaluated by the decrease of the absorption at 274 nm ( $A_{274}$ ), and  
2 the one should suppress the accumulation of S-QM, which can be evaluated by the increase of  
3 absorptions at 325 nm ( $A_{325}$ ). Representative nucleophilic reagents investigated here are carboxyl  
4 acid (D-glucronic acid), amine (ethyl amine), sulfite ion (sodium sulfite), iodide ion (pottasium  
5 iodide), thiol (cysteine) and azide ion (sodium azide). Figure 4 displays plots of  $A_{274}$  and  $A_{325}$  during  
6 iso-S polymerizations in the presence of the nucleophilic reagents. In polymerization with  
7 carboxylic acid, amine, sulfite ion and iodide ion,  $A_{274}$  decreased smoothly, but  $A_{325}$  increased  
8 significantly, indicating high levels of S-QM accumulation (Fig. 4B-E). These results indicate that  
9 the nucleophilicity of these compounds toward S-QM is not sufficient under the present conditions.  
10 In several reports, highly nucleophilic thiol compounds<sup>38-40</sup> and azide ion<sup>41-43</sup> were used to trap QM  
11 species formed as reactive intermediates in various chemical reactions. On the other hands, both  
12 these are well-known peroxidase inhibitors.<sup>44</sup> When iso-S polymerization was conducted in the  
13 presence of cysteine (Fig. 4F), the decreasing of  $A_{274}$  was much slower at the initial stage of  
14 polymerization (~30min), while the increase in  $A_{325}$  was suppressed in this period. After a period of  
15 reaction time,  $A_{274}$  suddenly dropped and then  $A_{325}$  started increasing. Thiol compounds are reported  
16 to be substrates for HRP.<sup>45,46</sup> The result obtained here can be explained by that HRP-catalyzed  
17 oxidation of cysteine took place in advance of the oxidation of iso-S. Thus, thiol compounds seem  
18 to be unsuitable as a S-QM scavenger used in HRP-catalyzed polymerization. On the other hand,  
19  $A_{274}$  decreased smoothly in the presence of sodium azide, whereas the increasing in  $A_{325}$  was hardly  
20 observed (Figs. 3B and 4G). The results indicated that azide ion efficiently scavenges S-QM  
21 without significant inhibition to the catalytic ability of HRP. Therefore, azide ion was concluded to

1 be the most suitable nucleophile as a S-QM scavenger used in HRP-catalyzed polymerization of  
2 S-alc.

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#### 4 *GPC-PDA monitoring of HRP-catalyzed polymerization of S-alc in the presence of azide ion*

5         The HRP-catalyzed polymerization of iso-S in the presence of azide ion was monitored by  
6 GPC-PDA to obtain further confirmation of the ability of azide ion to trap S-QM. This method  
7 permits to follow the changes of the molecular weights of S-DHP intermediates as well as the  
8 formation of oligomeric S-QM during the course of the iso-S polymerization.<sup>27</sup> Figure 5 shows the  
9 GPC-PDA profiles of the iso-S polymerization in the absence and presence of azide ion. In the  
10 absence of azide ion, the presence of oligomeric S-QM was clearly indicated by the intense peak  
11 detected at 344 nm at 19.2 min of elution time (peak top MW = 1700) (Fig. 5A). As Fig. 5C shows,  
12 in the absence of azide ion, the peak area detected at 344 nm rose significantly just after initiating  
13 the polymerization and then decreased very slowly as reaction time progressed, indicating the  
14 transient but stable presence of oligomeric S-QM. In contrast, during the polymerization in the  
15 presence of azide ion, the peak area from S-QM remained constantly low, indicating that  
16 accumulations of the oligomeric S-QM were effectively suppressed (Fig. 5B,C). This finding agrees  
17 well with the results in UV spectroscopic monitoring of the polymerization described above.  
18 Formation of polyphenolic S-DHP could be followed by the absorption at 274 nm. The product  
19 molecular weights calculated based on PDA detection at 274 nm are plotted against reaction time in  
20 Fig. 5D. Clearly, the addition of azide ion to the polymerization system resulted in efficient  
21 formation of polyphenolic S-DHP, as the product molecular mass increased faster in polymerization  
22 with azide ion than without azide ion. These results are readily rationalized if the oligomeric S-QM

1 are rapidly converted to the corresponding phenolics by azide addition and the resulting phenolics  
2 react further to produce S-DHP.

3  
4 HRP-catalyzed polymerization of S-alc in the presence of azide ion

5  
6 HRP-catalyzed polymerization of S-alc in the presence of azide ion, which serves as a  
7 S-QM scavenger, was carried out under various polymerization conditions. Figure 6 shows the  
8 effect of azide ion on the yield of isolated S-DHPs. The yield of S-DHPs prepared according to the  
9 so-called bulk polymerization method,<sup>2</sup> in which the monomer is added to the polymerization  
10 system drop-wise but rapidly in 0.5 h, was much affected by the amount of sodium azide added to  
11 the polymerization system (Fig. 6A). As expected from earlier studies,<sup>9-15</sup> in the absence of azide  
12 ion, the yield of S-DHP was quite low (~5%). As amount of sodium azide was upped to 1 eq. for  
13 S-alc, the yield of S-DHP greatly increased to 54 %. When excess amount of sodium azide for S-alc  
14 was applied, however, the yield of S-DHP dropped again probably due to inactivation of HRP  
15 induced by azide ion. Then, the so-called end-wise polymerization method,<sup>2</sup> in which the monomer  
16 is added to the polymerization system slowly for 24-48 h, was employed with 1eq. of sodium azide  
17 for S-alc. Figure 6B shows the effect of monomer addition time on the yield of S-DHP. The yield of  
18 S-DHP with azide ion further increased to 83% as the monomer addition time increased to 48 h,  
19 while the yield of S-DHP without azide ion also increased but to no more than 12%. Table 1 lists the  
20 average molecular weights ( $M_n$  and  $M_w$ ) and their distributions ( $M_w/M_n$ ) of S-DHPs. The  $M_n$  values  
21 of the acetylated samples of S-DHPs prepared with azide ion were 1300-1800 (degree of  
22 polymerization,  $DP = 4-6$ ), which are in the same range as those reported for the conventional

1 DHPs.<sup>47,48</sup> The end-wise polymerization method contributed to an increase in the molecular mass of  
2 S-DHP. It was observed that  $M_n$  and  $M_w/M_n$  values of the isolated S-DHPs prepared with azide ion  
3 were slightly lower than those for S-DHPs prepared without azide ion. This may be explained by  
4 structural differences between them, as discussed in the next section. Nevertheless, it is obvious that  
5 appropriate amount of azide ion (1 eq. for S-alc) significantly promotes the production of S-DHP,  
6 indicating that the low reactivity of S-QM with nucleophiles is critically responsible for the low  
7 yield of S-DHP in the conventional polymerization system.

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9 Structural characterization of S-DHPs

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11 The  $^1\text{H}$ -,  $^{13}\text{C}$ -, and 2D-HSQC NMR spectra of acetylated S-DHPs prepared in the absence  
12 and presence of azide ion (prepared based on Table 1 entry 3) are shown in Fig. 7. Nucleophilic  
13 attacks of azide ion to S-QM during the polymerization are clearly demonstrated by the appearance  
14 of the signals from  $\beta\text{-O-4}/\alpha\text{-N}_3$  structure (**I**), which are identical to the data for  $\alpha$ -azide model  
15 compound **3** synthesized according to Fig. 8. All the spectra indicate that the contributions from  
16  $\beta\text{-O-4}/\alpha\text{-OH}$  (**II**) and  $\beta\text{-O-4}/\alpha\text{-ether}$  substructures (**III**) are negligibly small for S-DHP obtained  
17 with azide ion, whereas the both structures are abundant for the conventional S-DHP prepared  
18 without azide ion. This result suggests that during the polymerization of S-alc with nucleophilic  
19 azide ion, the  $\beta\text{-O-4}$  S-QM are exclusively quenched by azide ion but not by water, phenolic or  
20 aliphatic hydroxyl groups. A series of peaks from  $\beta\text{-}\beta$  resinol structure (**IV**) is also observed in the  
21 spectra of S-DHP obtained with azide ion, indicating that  $\beta\text{-}\beta$  S-QM are rapidly trapped by  
22 intramolecular  $\gamma$ -hydroxyl groups even in the presence of azide ion. Our preliminary data of Fourier

1 transform-infrared (FT-IR) and matrix-assisted laser desorption ionization time-of-flight mass  
2 spectrometry (MALDI-TOF MS analyses of S-DHPs also support this result.<sup>30</sup> As expected from  
3 these data, S-DHP prepared in the presence of azide ion is a simply linear polymer made up mainly  
4 of structure **I** and **IV**. As already mentioned, the S-DHPs prepared with azide ion tend to have  
5 slightly lower molecular masses and narrower molecular mass distributions than those of  
6 conventional S-DHPs (see Table 1). This difference might be explained by the lack of the structure  
7 **III** in the S-DHPs prepared with azide ion, as the branching structure **III** is formed by nucleophilic  
8 attacks of oligomeric phenolics onto  $\beta$ -O-4 S-QM.

## 10 **Conclusion**

12 To examine the contribution of reactivity of S-QM on S-DHP production from S-alc,  
13 HRP-catalyzed dehydrogenative polymerization in the presence of nucleophilic reagents was  
14 investigated. The HRP-catalyzed polymerization of iso-S, which permits to monitor the formation  
15 of S-QM in a homogeneous aqueous phase, was successfully utilized for screening of nucleophile  
16 used as a S-QM scavenger in the polymerization of S-alc. UV spectroscopic monitoring of iso-S  
17 polymerization in the presence of various nucleophiles revealed the high ability of azide ion to trap  
18 S-QM without significant inhibition to HRP activity. GPC-PDA monitoring of the polymerization of  
19 iso-S also demonstrated that the oligomeric S-QM efficiently converted to S-DHP in the presence of  
20 azide ion. Accordingly, azide ion was applied as a S-QM scavenger in HRP-catalyzed  
21 polymerization of S-alc, resulting in production of S-DHPs in remarkably high yields. Although  
22 azide ion dramatically promotes the production of S-DHP, the molecular masses of the isolated

1 S-DHPs was not improved so much, which is partly explained by the lack of branching  $\alpha$ -O-4  
2 structures (**III**) in the S-DHPs prepared with azide ion. NMR analyses on S-DHPs clearly  
3 demonstrated that azide ion efficiently performed nucleophilic additions to the C- $\alpha$  of the S-QM  
4 during the polymerization. It was demonstrated that, in the HRP-catalyzed polymerization of S-alc  
5 in the presence of strongly nucleophilic azide ion, S-QM are readily rearomatized by azide addition.  
6 Then, subsequent polymerization steps, initiated by the oxidation of the re-generated phenolic  
7 hydroxyl groups, can proceed repeatedly to yield S-DHPs efficiently. Consequently, these data  
8 provide experimental proof that the low reactivity of S-QM with nucleophiles in the conventional  
9 polymerization system is a crucial cause of the low efficiency in the dehydrogenative  
10 polymerization of S-alc *in vitro*. Because there seems to be no evidence that any particular  
11 nucleophilic reagents operate in lignin formations *in vivo*, subsequent studies should focus on the  
12 reactions of S-QM under various polymerization conditions without the use of strongly nucleophilic  
13 reagents. Such studies are expected to provide new clues for understanding the factors controlling  
14 lignin polymerization in the plan cell.

15

16

## 17 **Acknowledgements**

18

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1 **Figure and scheme legends**

2

3 **Figure 1** The dehydrogenative polymerization of sinapyl alcohol (S-alc) via  $\beta$ -O-4  
4 couplings.

5

6 **Figure 2** Chemical structures of sinapyl alcohol (S-alc) and isosyringin (iso-S) (sinapyl  
7 alcohol  $\gamma$ -O- $\beta$ -D-glucopyranoside).

8

9 **Figure 3** UV spectra of the polymerization mixtures during the HRP-catalyzed  
10 polymerizations of isosyringin (iso-S). **A** In the absence of nucleophiles. Reaction  
11 time: 0, 2, 6, 10, 16, 20 and 30 min. **B** In the presence of azide ion (1eq. for iso-S).  
12 Reaction time: 0, 2, 6, 10, 16, 10, 20 and 30 min.

13

14

15 **Figure 4** Changes of the absorbance at 274 nm ( $A_{274}$ , ○) and at 344 nm ( $A_{325}$ , ●) during the  
16 HRP-catalyzed polymerizations of iso-S (100  $\mu$ M) in the presence of various  
17 nucleophilic reagents: A: none (control); B: D-Gluconic acid (1000  $\mu$ M); C:  
18 Ethyl amine (1000  $\mu$ M); D: Sodium sulfite (500  $\mu$ M); E: Pottasium iodide (1000  
19  $\mu$ M); F: Cysteine (100  $\mu$ M); G: Sodium azide ( $\text{NaN}_3$ , 100  $\mu$ M).

20

21 **Figure 5** GPC-PDA monitoring of the HRP-catalyzed polymerization of iso-S. **A**  
22 Three-dimensional (3D) PDA plots in polymerization without nuceophilic regents.

1 **B** 3D PDA plots in polymerization with azide ion (1eq. for iso-S). **C** Plots of peak  
2 area detected at 344 nm over reaction time. **D** Plots of number and weight average  
3 molecular weights ( $M_n$  and  $M_w$ ) calculated based on PDA detection at 274 nm  
4 over reaction time.

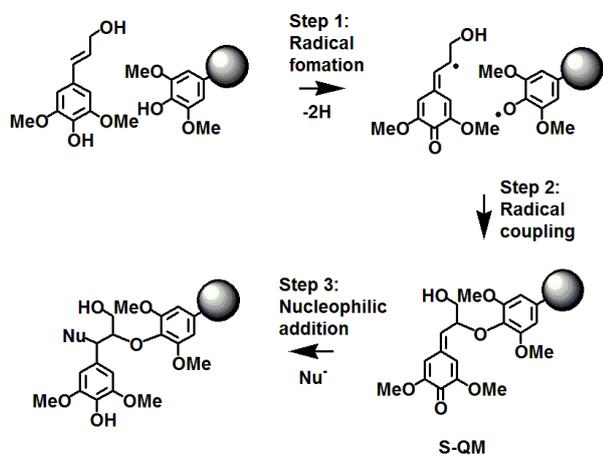
5  
6 **Figure 6** Yields of syringyl dehydrogenation polymers (S-DHPs) in the HRP-catalyzed  
7 polymerization of S-alc in the presence of azide ion. **A** Effect of the amount of  
8 sodium azide (HRP = 6 mg for 1 mmol S-alc; monomer addition time = 0.5 h). **B**  
9 Effect of the monomer addition time (HRP = 24 mg for 1 mmol S-alc; sodium  
10 azide = 1 eq. for S-alc).

11  
12 **Figure 7** Nuclear magnetic resonance (NMR) characterizations of acetylated S-DHPs from  
13 S-alc synthesized in the presence of azide ion. **A**  $^1\text{H}$ -NMR spectra. **B**  $^{13}\text{C}$ -NMR  
14 spectra. **C** 2D-heteronuclear single quantum coherence (HSQC) spectra.

15  
16 **Figure 8** Synthetic scheme for model compound **3**. TMS, trimethylsilyl  
17  
18  
19

1 (Figure 1)

2



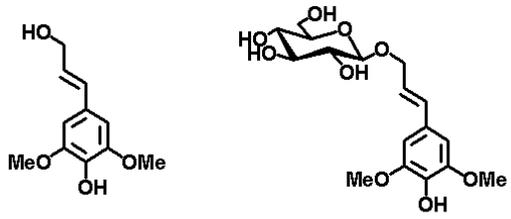
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1 (Figure 2)

2



3 Sinapyl alcohol (**S-alc**)

Isosyringin (**iso-S**)

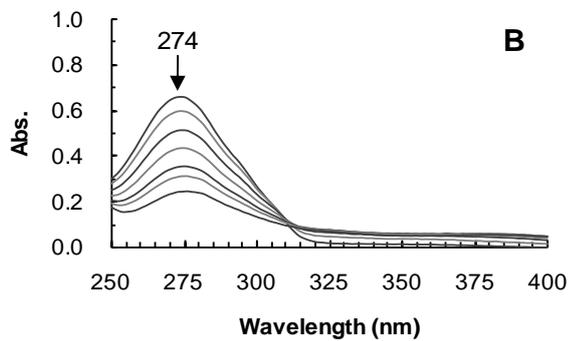
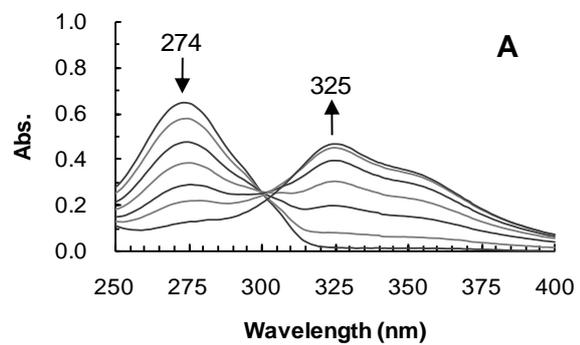
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1 (Figure 3)

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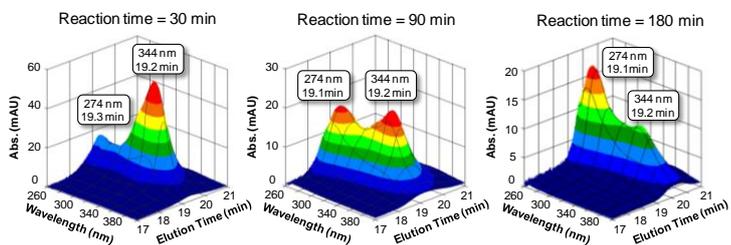
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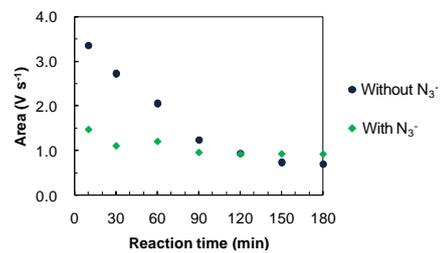
1 (Figure 5)

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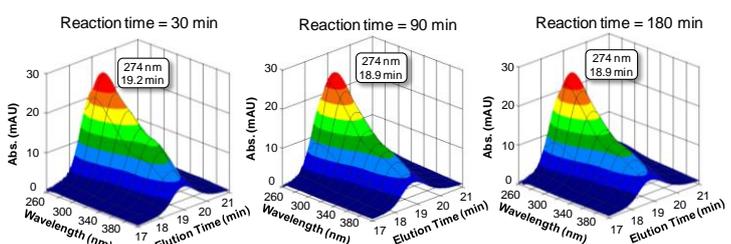
(A) Without  $N_3^-$



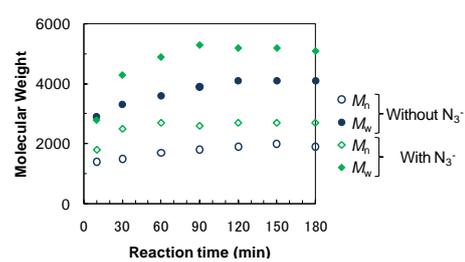
(C) Peak area detected at 344 nm



(B) With  $N_3^-$  (1 eq. for iso-S)



(D)  $M_n$  and  $M_w$  detected at 274 nm



3

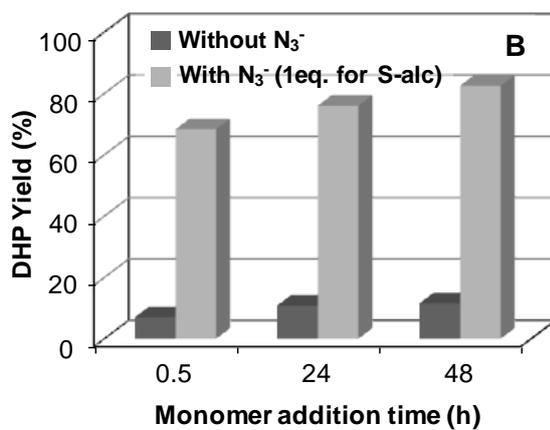
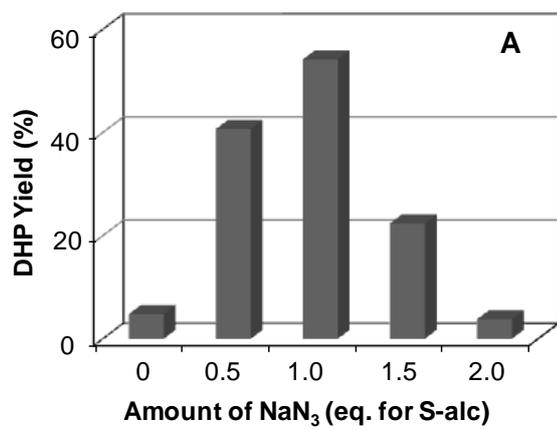
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1 (Figure 6)

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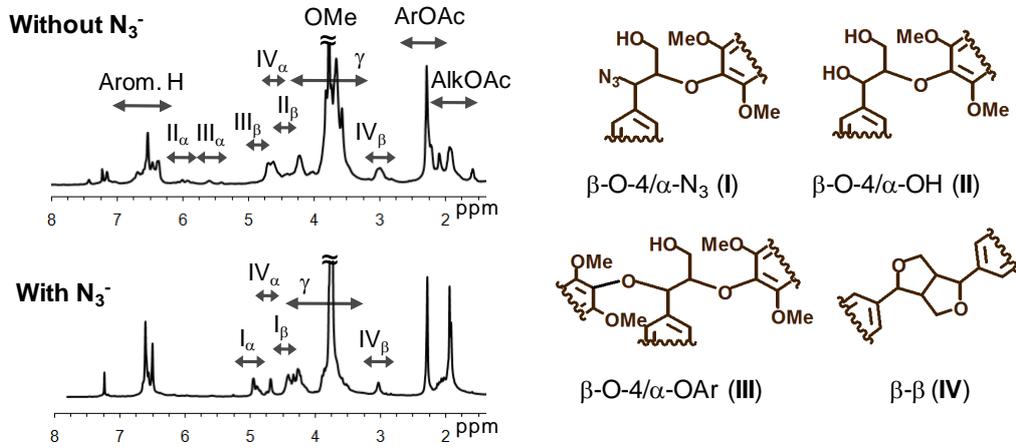
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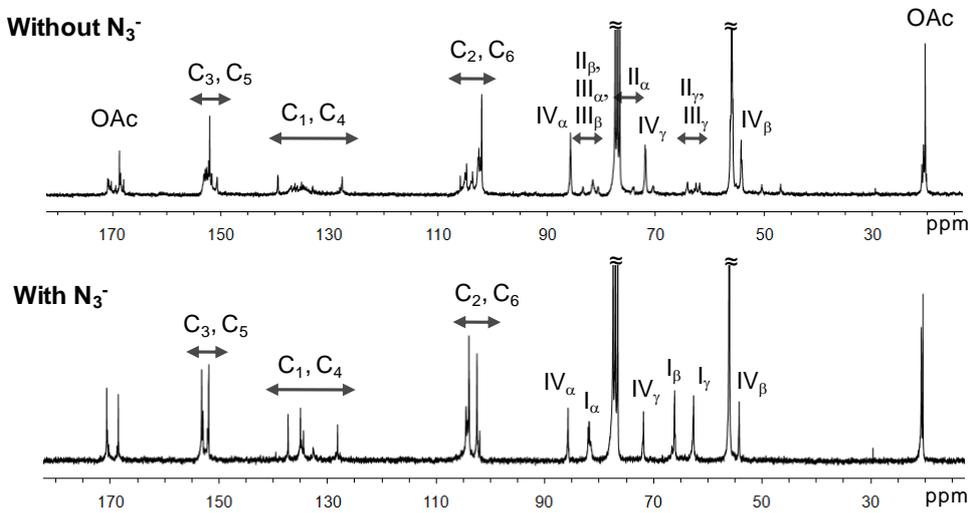
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1 (Figure 7)

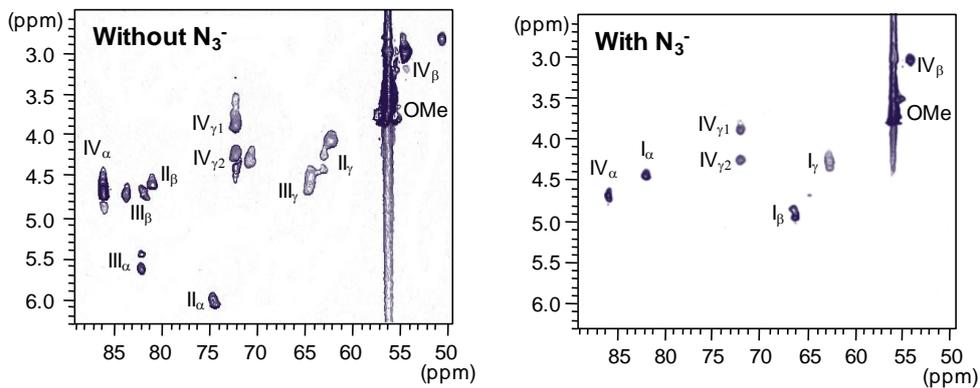
(A)  $^1\text{H-NMR}$



(B)  $^{13}\text{C-NMR}$



(C) HSQC



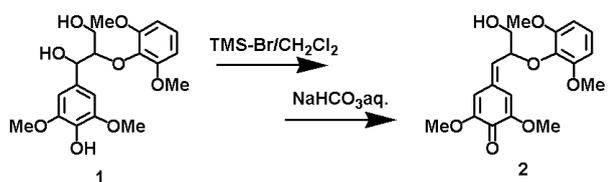
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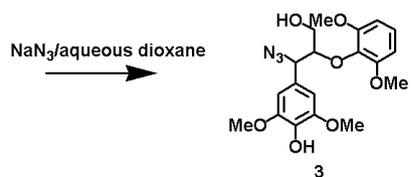
1 (Figure 8)

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1 (Table 1)

2

**Table 1** HRP-catalyzed polymerizations of sinapyl alcohol (S-alc) in the presence and the absence of sodium azide

Entry	HRP <sup>a</sup> (mg)	Monomer addition time (h)	Without N <sub>3</sub> <sup>-</sup>				With N <sub>3</sub> <sup>-</sup> (1eq. for S-alc)			
			Yield (%)	$M_n^b$ $\times 10^{-3}$	$M_w/M_n^b$	$DP_n^c$	Yield (%)	$M_n^b$ $\times 10^{-3}$	$M_w/M_n^b$	$DP_n^c$
1	6	0.5	4.8	1.4	2.5	4.8	54.2	1.3	1.3	4.4
2	24	0.5	7.0	1.8	2.1	6.1	68.3	1.4	1.3	4.8
3	24	24	10.8	2.1	1.9	7.1	76.0	1.6	1.2	5.4
4	24	48	11.5	2.1	2.0	7.1	82.5	1.8	1.2	6.1

<sup>a</sup> per 1 mmol of S-alc

<sup>b</sup> determined by GPC after acetylation

<sup>c</sup> calculated based on the molecular weight of sinapyl alcohol diacetate

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