1	Periodic solar wind forcing due to recurrent Coronal Holes during 1996-2009 and
2	its impact on Earth's geomagnetic and ionospheric properties during extreme solar
3	minimum
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9 Abstract

10 The periodicities in the area of Coronal Hole (CH) regions on the solar disk and the solar 11 wind (SW) high speed streams (HSS) have been studied, for the first time, during the 12 complete solar cycle 23 (SC 23) from 1996 to 2009 using the solar EUV image data from 13 SOHO, STEREO satellites and ACE solar wind-magnetic data. Both the solar wind 14 velocity and the area of CH regions consistently exhibit large values during the declining 15 phase and the minimum of SC 23 (2003 to 2009) due to equatorward extended holes 16 and/or low-latitude isolated holes. Further, the SW velocity and CH area exhibit greater 17 tendency for sub-harmonic (13.5-day and 9-day) periodicities during the declining phase 18 and solar minimum. The response of Earths' geomagnetic and ionospheric properties to 19 these periodicities associated with Co-rotating Interaction Regions (CIRs) in high speed 20 solar wind streams is studied with focus during the extremely low solar activity period of 21 2008. The sub-harmonic oscillations in both day and night side ionospheric electron 22 density are found to correlate well with the oscillations in SW and Kp during 2008. The 23 topside ionospheric response (above 350 km) appears to be dominated by the changes in 24 the plasma temperature and/or scale height and exhibits coherent enhancements with the oscillations in geomagnetic activity during both the day and night times. However, the electron density response between 200 and 350 km altitudes is dominated by the changes in the neutral composition and exhibits significant latitudinal, local time and seasonal variations. The results are discussed in light of equatorward wind perturbations during enhanced geomagnetic activity and summer-to-winter transequatorial neutral wind patterns.

31 1. Introduction

32 Understanding the complex interplay between the solar variability and space 33 weather is very important for forecasting the disturbances in the Geospace environment 34 that can affect the astronauts in space, payloads on board the satellites, space based 35 communication and navigational systems as well as ground based power grid systems. 36 The Coronal Mass Ejections (CMEs) and solar flares are the sources of high energy 37 particles and are of primary importance because of their huge and immediate impact on 38 the Earth. Further, the Coronal Holes (CHs) on the Sun, the principal sources of high 39 speed solar wind particles, are another important source of geomagnetic disturbances on 40 the Earth. The Coronal Holes are mainly unipolar magnetic field regions on the Sun with 41 one foot print of field lines are attached to Sun and other are extending in to the 42 interplanetary space giving rise to emission of high-speed solar wind streams [Krieger et 43 al., 1973]. The proton density in CHs is typically 2 - 3 times lower than that of the quiet Sun and the temperature is around $10^5 - 10^6$ K [Munro and Withbroe, 1972]. Due to their 44 45 low density and emission measure, CHs can be identified as dark areas on X-ray and 46 EUV images of the Sun and dominant unipolar regions on magnetograms [Reeves and 47 Parkinson, 1970; Wiegelmann and Solanki, 2004]. When the interplanetary magnetic

field is oriented southward, strong coupling between the magnetized plasma within the high speed streams and Earth's magnetosphere results in transfer of mass, momentum and energy producing geomagnetic disturbances. Since the CHs rotate almost rigidly with the Sun, they produce recurrent high speed streams and geomagnetic disturbances in the Geospace environment [Timothy et al. 1975 and Tsurutani et al. 1995].

53 The coronal holes and solar wind streams undergo systematic variations over the 11-year solar cycle. Through much of the cycle, the Sun's polar region is dominated by 54 55 coronal holes, the sources of large, persistent and fast solar wind streams with an average 56 bulk speed of 500 – 800 km/s [Philips et al., 1995]. During the solar minimum, the polar 57 holes became large and extend into equatorial and low latitude regions with some tongue-58 like extensions [Krieger et al., 1973; Sheeley and Harvey, 1981]. In addition to fast 59 streams from polar holes, the solar wind has also dense low-speed streams (300 - 400)km/s) from the closed field regions near the equator, known as equatorial coronal 60 61 streamer belt. As the streams travel in the interplanetary space, the high-speed streams 62 catch the slow-speed streams and create regions of enhanced density and magnetic field, 63 called co-rotating interaction regions (CIRs) [Tsurutani et al. 1995]. When the coronal 64 high speed wind interacts with the preceding slow solar wind, forward and reverse shocks 65 often form at the CIR boundaries. The CIR interfaces can be characterized by a relatively 66 sharp density drop, temperature rise and a sharp increase in the solar wind bulk velocity. 67 CIRs are especially prominent during the declining phase of the solar cycle, when the 68 heliospheric magnetic field has a well-developed sector structure and coronal holes may 69 extend to low latitudes [Richardson 2004].

70 The fast streams from the CHs and CIR interfaces have a tendency to repeat for 71 several solar rotations producing recurrent geomagnetic activity at 27-day periodicity. 72 However, recent investigations by Temmer et al. 2007 and Gibson et al. 2009 have 73 elucidated that the solar wind velocity and geomagnetic properties also exhibit sub-74 harmonic solar rotational periodicities at 13.5-day, 9-day and even shorter periods during 75 the descending and minimum phase of solar cycle 23. In response, the Earth's upper 76 atmospheric properties such as thermospheric density and composition, plasma 77 temperature, and electron density are also found to oscillate with the same periodicities 78 [Lei et al., 2008a; Crowley et al., 2008; Sojka et al., 2009; Tulasi Ram et al., 2010].

79 The electron density in the ionosphere responds significantly to the changes in the 80 thermosphere composition, neutral density, temperature, neutral winds as well as the 81 electric fields, which in turn greatly varies with latitude, altitude, local time, season and 82 the phase of the geomagnetic activity. Because of various neutral and electro-dynamic 83 coupling processes are involved, the global ionospheric response to geomagnetic storms 84 is much complex and been the subject of extensive investigations by both case studies as 85 well as model simulations during the past 3-4 decades [Prolss, 1980; Fuller-Rowell et al., 86 1994, 1996; Buansanto 1999; Mendillo 2006 and the references therein]. However, the 87 earlier studies on the altitudinal response of global ionosphere to geomagnetic storms 88 were mostly based on the model simulations due to lack of global observations of vertical electron density profiles. Although the amplitude is smaller, the solar wind interfaces 89 90 produce ionospheric changes similar to those associated with isolated geomagnetic 91 storms [Mendillo and Schatter, 1983]. Hence, the short-period recurrent geomagnetic 92 disturbances associated with periodic solar wind forcing during the low solar activity

periods provide an opportunity for a comprehensive investigation on the global scaleionospheric response to minor geomagnetic storms.

95 Therefore, the objective of the present investigation is for further understanding of 96 periodic solar wind streams from recurrent coronal holes and its impact on Earth's 97 geomagnetic and ionospheric properties. In this context, we first present the results of a 98 systematic study of periodicities in the solar wind velocity and the area of coronal hole 99 regions during the complete period of solar cycle 23. Secondly, we focus our 100 investigation on extremely low solar activity period of 2008 to study the modulation of 101 interplanetary solar wind parameters due to recurrent coronal holes and its imprints on 102 the Earth's geomagnetic and ionospheric properties. Further, we present the seasonal 103 dependence on the ionospheric response to recurrent geomagnetic activity associated with 104 periodic solar wind forcing at different latitudinal-altitudinal regions.

105 **2. Results and Discussion**

2.1 Periodicities in the Solar wind velocity and the area of Coronal hole regions during the solar cycle 23

108 The solar wind (SW) velocity data from WIND and Atmospheric Composition 109 Explorer (ACE) satellites during the period from the previous solar minimum to the 110 minimum of SC23 (1996 to 2009), available through National Space Science Data 111 Center's OMNIWeb interface (http://nssdc.gsfc.nasa.gov/omniweb/) has been considered 112 in this study. The daily mean solar wind velocity data of 5114 consecutive days (14 - 1)113 years) has been subjected to Morlet wavelet analysis to identify the various periodicities 114 during different phases of the SC23. Figure 1(a) shows the daily variation of SW 115 velocity (light blue line) and its 27-day running mean variation (thick blue line) from the

116 years 1996 to 2010. The daily variation of F10.7 solar flux (pink line) and its 27-day 117 running mean variation are also shown in the same panel with right hand side scale to 118 represent as a proxy to the solar activity variation during the SC23. It can be observed 119 from this figure that the mean SW velocity during the previous solar minimum and the 120 ascending phase of SC23 (1996 to late 2002) generally varies around 400 km/s (indicated 121 by black dashed line). However, the mean SW velocity exhibits significantly larger 122 values (420 to 600 km/s) during the descending phase of the SC23 (2003 to 2009) than 123 ascending phase of SC23. This indicates that the source regions of high speed streams on 124 the Sun are more populated and geo-effective during the descending phase of solar cycle 125 than the ascending phase.

126 The Morlet wavelet power spectrum of daily mean SW velocity during the 127 complete solar cycle (SC 23) is presented in the bottom panel [Fig. 1(b)]. It can be 128 observed from this figure that the SW velocity exhibits periodicities at solar rotational 129 (27-day) and its sub-harmonic periods (13.5-, 9- and 6.8-days) as indicated by horizontal 130 pink dashed lines. The most interesting observation from the wavelet analysis is that the 131 SW velocity has greater tendency to exhibit sub-harmonic solar rotational periodicities 132 (13.5-, 9- and 6.8-days) during the same period where the SW velocity in general exhibits 133 large values in Fig. 1(a), i.e., during the descending phase of SC23 (2003 to 2009). 134 While these periodicities may also be observed during previous solar minimum and 135 ascending phase of SC23, they occur occasionally and only for short periods. However 136 during the descending phase, these sub-harmonic periodicities are observed for long and 137 continuous patches with large spectral intensities.

138 The periodicities in the SW velocity can be explained by the longitudinal 139 distribution of CHs on the Sun in a straight forward manner. For example, Temmer et al. 140 [2007] and Lei et al. [2008b] have shown that the 9-day periodicity in the SW velocity in 141 2005 is mainly due to a triad of coronal holes at approximately 120° apart in heliographic 142 longitude that persist for several rotations. Thus, with a view to further investigate the 143 spatial distribution of CHs on the Sun and the resultant periodicities in the SW properties 144 during different phase of SC23, the extreme ultraviolet images of the Sun have been 145 analyzed to compute the daily fractional CH area as described in Vrsnak et al. [2007] and Temmer et al. [2007]. The daily images of the Sun at 195 Å from Extreme Ultraviolet 146 147 Imaging Telescope (EIT) on board the Solar and Heliospheric Observatory (SOHO) 148 during the period from June 1996 to 2006 and from Extreme Ultraviolet Imager (EUVI) 149 on board the Solar Terrestrial Relations Observatory (STEREO) during the period from 150 2007 to 2009 were considered in this study.

151 The coronal holes on the Sun are identified by threshold intensity detection 152 method described in Krista and Gallagher [2009]. In this method, the intensity distribution (histogram) of the 195 Å image is constructed and the intensity 153 corresponding to the maximum in the histogram is considered as the quiet Sun intensity. 154 155 The threshold value for the detection of CHs is defined as the local minima in the 156 intensity histogram that are located within 30-70 % of the quiet Sun intensity and are 157 wider than 6 digital numbers (DNS). For those cases when the well defined minima 158 cannot be noticed, 40 % of the quiet Sun intensity is considered as the threshold to 159 identify the CHs. Since the thresholds are determined depending on the quiet Sun 160 intensity, this method is suitable during different phases of the solar cycle regardless of the change in the overall intensity of Sun as well as independent of instrumental intensitysettings [Krista and Gallagher, 2009].

Figure 2 shows the typical examples of SOHO-EIT images at 195 Å during ascending and descending phases of the SC 23 to illustrate the method of identification of CHs on solar images for the computation of fractional CH area. The area covered by the CHs in the central meridian slice between -10° and 10° heliographic longitudinal range and between -60° and 60° heliographic latitudes (the area covered by pink contour line) is computed for each day using the equation. (1).

169 Fractional CH area =
$$\frac{\text{No. of pixels covered by CH in } [\pm 10^{\circ} \text{ h.long, } \pm 60^{\circ} \text{ h.lat}]}{\text{Total No. of pixels in } [\pm 10^{\circ} \text{ h.long, } \pm 60^{\circ} \text{ h.lat}]} \dots (1)$$

170 Here, the CH area between $\pm 60^{\circ}$ heliographic latitudes is only considered in order to 171 emphasize the effect of CHs which are extended equatorward as well as low-mid latitude isolated CHs. In this analysis, one solar disk image at 195 Å for each day around 13 UT 172 173 is considered because of the satellite sampling time of SOHO and maximum availability 174 of data at 13 UT. For the days on which the image data was missing, we used an adjacent 175 longitudinal stripe from the previous/next day image whichever is available. Further 176 missing/bad data for less than 5 consecutive days are linearly interpolated to compute the 177 wavelet power spectrum (WPS). However, there are still some data gaps in the SOHO-178 EIT data, hence, the WPS is computed only on continuous data segments of length more 179 than 50 days.

Figure 3(a) shows the daily variation of fractional CH area (light blue line) from 181 1996 to 2010 and its 27-day running mean variation (dark blue line). The corresponding 182 wavelet spectrum of CH area is presented in Fig. 3(b). The significant observation from 183 Fig. 3(a) is that the CH area computed between \pm 60 heliographic latitudes also exhibits

184 large values from 2003 to 2009. The large values of SW velocity [Fig. 1(a)] and CH area 185 [Fig. 3(a)] observed during the same period clearly indicates that the equatorward extension of polar holes and low latitude (probably isolated) coronal holes are more 186 187 prominent during the descending phase of SC23 from 2003 to 2009. The fast solar wind 188 streams from these coronal holes engulfed the Earth's environment resulting in large 189 values of SW velocity as measured by ACE at L1 point. Further, the wavelet spectrum of 190 CH area [Fig. 3(b)] also exhibits greater tendency to sub-harmonic periodicities at 13.5 191 and 9-days similar to that of SW velocity during the descending phase of SC23. These 192 results indicate that a two to three large CH regions (either equatorward extended holes or 193 isolated holes) that are longitudinally separated and persistent for several solar rotations 194 have produced 13.5-day or 9-day periodicities in the CH area. The fast SW stream 195 trajectories from these CHs engulf the geo-space environment and produce similar 196 periodicities in SW velocity. Further, this feature is more prominent during the 197 descending phase of the SC23. However, the exact one-to-one correlation of spectral 198 intensities between CH area and SW may not be expected because the solar wind 199 trajectories may some times perturbed by energetic events such as CMEs, solar flares and 200 solar energetic particle events from the Sun. Even though the previous solar minimum 201 (1996-1998) period has nearly the same solar activity (F10.7 solar flux) levels as the 202 minimum of SC 23 (2005-2009), the values of both CH area and SW velocity are smaller 203 during the previous solar minimum and the sub-harmonic periodicities are only 204 occasionally observed for short periods.

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207 2.2 Periodic modulation of interplanetary SW parameters and their imprints on

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Earth's geomagnetic activity during extreme solar minimum period, 2008.

209 We now focus our attention on the sub-harmonic periodicity of 9-day period as a 210 diagnostic tool to study the periodic modulation in the interplanetary solar wind 211 properties and their imprints on Earth's geomagnetic properties. The reasons for 212 choosing the 9-day periodicity are explained in the next section. With a view to 213 investigate the 9-day periodicity in the fractional CH area and its modulation on the 214 interplanetary solar wind properties, the ACE's high resolution (64-second) Level-2 data 215 during the solar minimum period in 2008 are carefully examined. This period was 216 selected because the wavelet of both CH area and SW velocity exhibits strong 9-day 217 periodicity during most of the year 2008 and also because of the availability of Formosat-218 3/COSMIC vertical electron density profile data to further study the seasonal dependence 219 on latitudinal and altitudinal response of ionospheric electron density to this 9-day 220 periodicity.

221 Figures 4(a) to (h) shows the fractional CH area derived from STEREO-EUVI 222 images along with SW bulk velocity, SW magnetic field magnitude, |B|, SW proton 223 temperature, SW proton density, vertical component, IMF Bz, symmetrical ring current 224 index, SymH and geomagnetic activity index, Kp, respectively, during 2008. Although the 9-day periodicity in SW velocity can be seen almost throughout the year 2008, the 225 226 data pertaining to the day numbers 1 to 120 are only shown in figure 4 for better 227 visibility. It can be seen from this figure that the variation of SW velocity corresponds 228 well with the variation of CH area with a phase delay of 2-3 days. This phase delay 229 generally agrees with the travelling time of SW particles from the source to orbit of ACE 230 at L1-point. A careful examination of figures 4(b) to 4(e) reveals that the interplanetary 231 SW properties exhibit the signatures of a series of co-rotating interaction regions (CIRs) 232 during this period. It can be observed from these figures that, every sharp increase in SW 233 velocity corresponds with a sharp increase in magnetic field magnitude, sharp increase in 234 SW proton temperature and a sharp decrease in SW proton density, which are the 235 signatures of CIR stream interface [Richardson et al. 1996, 1998, Tsurutani et al. 2006, 236 2008, Choi et al. 2009]. Further, the impulse type increase-and-decrease of magnetic 237 field magnitude, proton density preceding-and-after the increase of SW velocity indicates 238 the signatures of forward and reverse shocks associated with CIRs [Kennel et al. 1985, 239 Tsurutani et al. 2006, 2008 and Choi et al. 2009]. Lei et al. [2010] have made a detailed 240 investigation on the interplanetary solar wind parameters during 2008 and identified the 241 presence of 38 CIRs in the entire year 2008 using the method described in McPherron et 242 al. [2009]. Thus, the results from the figures 4(a)-(e) and the results from Lei et al. 243 [2010] indicate that a series of CIRs are formed at quasi 9-day periodicity because of the 244 interaction of fast solar wind stream trajectories from the equatorward extended or low-245 latitude (probably isolated) coronal holes with the preceding ambient slow solar wind 246 streams. The resultant CIR structures of enhanced density and magnetic field regions 247 impinge upon the Earth's magnetosphere and can trigger recurrent geomagnetic activity 248 at quasi 9-day periodicity. For instance, from Figs. 4(g), it can be observed that a series 249 of recurrent geomagnetic storms of weak to moderate intensities (SymH \leq -30 to -50 nT) 250 occurred throughout this period. A good correlation between the large positive and 251 negative excursions of IMF Bz and the corresponding decrease in SymH index as well as 252 simultaneous increase in Kp-index can be observed from figures 4(f), 4(g) and 4(h).

Because of highly oscillatory nature of Bz component with in CIRs [Fig. 4(f)], the resultant geomagnetic storms are typically only weak to moderate intensity. However, the recovery phases of these storms are elongated for several days or more as can be seen from Fig. 4(g).

257 The Lomb-Scargle (LS) amplitude spectra [Lomb, 1976; Scargle, 1982] of 258 various parameters that described previously such as CH area, SW velocity, SW magnetic 259 field magnitude (|B|), IMF Bz, SymH index, Kp-Index during the entire year of 2008 are presented in Figs. 5(a) to (f) respectively. Further, the LS spectra of Total Solar 260 261 Irradiance (TSI) and EUV irradiance at 5-105 nm wavelengths are also shown for 262 comparison in Figs. 5(g) and 5(h), respectively. Here, the TSI data is obtained from the 263 measurements of TIM instrument on board the SORCE satellite and EUV irradiance data 264 is obtained from the level-3 data products of Solar EUV Experiment (SEE) on board the TIMED satellite. It can be clearly observed from this figure that the CH area, solar wind 265 266 parameters (SW velocity and SW magnetic field) as well as the geomagnetic activity 267 indices (SymH and Kp indices) exhibit pronounced spectral peaks at 13.5 and 9-day 268 periods. Further, the 9-day periodicity is strongly present in SW total magnetic field |B| 269 due to compression effects at the beginning of the CIRs. However, the 9-day periodicity 270 is absent in the vertical component Bz. This could be due to the large positive and 271 negative fluctuations in IMF Bz [Fig. 4(f)] at stream-stream compression regions, which 272 are the typical signatures of CIRs as described by Tsurutani 2005, 2006 and references 273 therein. On the other hand, the Total Solar Irradiance (TSI) and EUV irradiance do not 274 exhibit any significant spectral peaks at 13.5 and 9-day periods [Figs. 5(g) and 5(h)]. 275 Thus, the results presented in Figs. 4 and 5 clearly indicate that these sub-harmonic solar 276 rotational periodicities at 13.5 and 9-day periods in geomagnetic activity indices (SymH 277 and Kp) are primarily due to solar wind energy input associated with fast solar wind 278 streams from the recurrent coronal holes. The solar wind high-speed streams (HSS) 279 contain large-amplitude non-linear Alfven waves within the CIRs [Tsurutani et al. 1994, 280 Balogh et al. 1995]. The negative Bz components of the Alfven waves within the high-281 speed streams lead to magnetic reconnection and transfer of energy from solar wind to 282 the magnetosphere [Tsurutani and Gonzalez, 1987; Tsurutani et al. 2006], which is 283 characterized by continuous auroral activity called as "High-Intensity Long Duration 284 Continuous AE Activity (HILDCAA)". The magnetic reconnection associated with the 285 Alfven waves cause continuous, shallow injections of solar wind plasma into the 286 magnetosphere at auroral and mid latitudes. Further, the Kp-index which is a useful 287 parameter to represent the particle precipitation at auroral and mid latitudes [Emery et al. 288 2008 and Emery et al. 2009] also exhibit coherent enhancements with SW velocity [Figs. 289 4(b) and 4(h)]. Therefore, the results from Figs. 4 and 5 clearly suggests that the fast 290 solar wind trajectories from CHs, enhanced magnetic field associated with CIRs and 291 Alfven wave associated magnetic field fluctuations in HSS-CIR structures trigger 292 recurrent geomagnetic activity of weak to moderate levels in the geo-space environment.

During the ascending phase and solar maximum, the high speed streams narrow and weaken because of poleward shrinkage of coronal holes (for example, as can be seen from Fig. 2(a)). Additionally, the ambient solar wind flow is frequently perturbed by energetic events on the Sun such as coronal mass ejections (CME) and solar flares. Hence, the CME-associated geomagnetic storms can occur more frequently near the solar maximum. Whereas the occurrence rate of HSS-CIR associated recurrent geomagnetic 299 storms maximizes during the declining phase and solar minimum [Tsurutani et al. 2006] 300 due to the equatorward extended and/or low latitude isolated coronal holes as can be 301 observed in Fig. 2(b). During the solar minimum in 2008, the Sun was exceptionally 302 quiet with more than 70% of spotless days (annual average sunspot number was only 3) 303 and global weakness in the heliospheric magnetic field [Gibson et al. 2009]. However, 304 the large areas of low-mid latitude CHs and high speed streams [Figs. 4(a) and 4(b)] are 305 persistent for several solar rotations resulting in recurrent HSS-CIR associated 306 geomagnetic storms during 2008 [Fig. 4(g) and 4(h)]. It should be mentioned here that 307 albeit the solar wind and geomagnetic activity indices are shown for only day numbers 1 308 to 120 in 2008 in Fig. 4 for better visibility, the HSS-CIR associated geomagnetic storms 309 of moderate levels are observed almost throughout the year 2008 [for example, Lei et al. 310 2010].

311 2.3. The global ionospheric response to recurrent geomagnetic activity at quasi 9312 day periods associated with HSS-CIR structures

313 We now turn our investigation on the effects of this recurrent geomagnetic 314 activity on the ionospheric electron density using the global vertical electron density 315 observations of Formosat-3/COSMIC radio occultation measurements. The Formosat-316 3/COSMIC, in short F3/C, is a constellation of six micro satellites orbiting around 800 km altitude, 72° inclination angle, and 30° separation in longitude. Each F3/C satellite 317 318 has a GPS Occultation eXperiment (GOX) payload, performing the radio occultation 319 observations in the ionosphere and provides ~ 2000 vertical electron density profiles per 320 day which are uniformly distributed all over the globe. The details of inversion and 321 validation of Ne(h) profiles can be found elsewhere [Schreiner et al. 1999 and 2007, Hajj 322 et al. 2000, Syndergaard et al. 2006 and Lei et al. 2007]. In the present study, the Ne(h) 323 profiles during the entire year of 2008 were considered. All the vertical electron density 324 profiles measured in each day are separated on solar local time (SLT) into day ($06 \le$ SLT 325 <18 hrs) and night ($18 \le SLT < 06$ hrs of next UT day) time observations. The separated 326 density profiles are then zonally (longitudinally) averaged into 32 latitudinal bins from - 80° to $+80^{\circ}$ geomagnetic latitudes (with 5° window) and 30 altitudinal bins from 200 to 327 328 500 km (with 10 km window). The electron density profile data below 200 km were not 329 considered in this study as the F3/C electron density data in the E- and F1-regions are 330 often found to deviate from the ground based observations [Kelley, 2009, Liu et al. 331 2010]. Each binned time series of data are further spectral analyzed using the Lomb-332 Scargle (LS) algorithm to examine the periodicities in the zonal mean electron density.

333 For example, the zonal mean electron density at 300 km altitude during both day 334 and night times are plotted as a function of geomagnetic latitude and day number in the 335 year 2008, and presented in Figs. 6(a) and 6(b), respectively. The overlapped black curve 336 in these figures is the variation of daily mean geomagnetic activity index, Kp in 2008. It 337 can be seen from the Figs. 6(a) and 6(b), that the electron density exhibits large time scale 338 seasonal and semi-annual variations as well as shorter time scale (multi-day) oscillations 339 during both day and night times. Further, these shorter time scale oscillations in electron 340 density corresponds well with the variations of daily mean geomagnetic activity index, 341 Kp as may be seen from Figs. 6(a) and 6(b). In order to further examine the multi-day 342 periodicities in the zonal mean electron density, Lomb-Scargle (LS) analysis is performed 343 at each latitudinal bin and the periodograms are shown in Figs. 6(c) and 6(d) for day and 344 night times, respectively. The LS periodogram of the daily mean geomagnetic activity 345 index, Kp is also overlapped (black curve with right hand scale) in Figs. 6(c) and 6(d) in 346 order to examine the relationship between the periodicities in the electron density and the 347 geomagnetic activity. Predominant spectral amplitudes are evident at solar rotational 348 (27-day) and its sub-harmonic periods (13.5-day and 9-days) during both day and night 349 times from -80° to 80° geomagnetic latitudes. Further, the spectral amplitudes at these 350 periodicities correspond extremely well with the periodicities in the geomagnetic activity 351 index (Kp). Note that the EUV irradiance from TIMED SEE does not exhibit any 352 significant spectral peaks at 13.5 and 9-day periods [Fig. 5(h)] during this period.

353 Thus, these results elucidate the periodic oscillations in both day and night side 354 ionospheric electron densities at solar rotational and its sub-harmonic periodicities in 355 support of earlier observations by Hocke [2008] and Lei et al. [2008c] in global mean 356 total electron content (TEC). Hocke [2008] showed a perfect correlation in the 27 and 357 13.5-day oscillations between global mean TEC and solar EUV and further suggested 358 that the other mechanisms rather than solar irradiation should contribute to the 9-, and 7-359 day periodicities as these periodicities are absent in solar EUV spectrum. Later, Lei et al. [2008c] have shown that the pronounced periodicities of 9- and 7-days in global mean 360 361 TEC are associated with solar wind high speed streams and geomagnetic activity. Hence, 362 the present results observed in the zonal mean electron density at 300 km corroborate 363 with the results observed by Lei et al. [2008c] in global mean TEC. Although the 27-day 364 and 13.5-day periodicities are present, they cannot be mainly attributed to recurrent 365 geomagnetic activity as 27-day and 13.5-day periodicities also exist in F10.7 solar flux 366 [Liang et al. 2008] which have a direct impact on the ion production. Therefore, we 367 focus on the important feature of 9-day periodicity in electron density and Kp as a 368 diagnostic tool to investigate the global ionospheric response to HSS-CIR associated 369 recurrent geomagnetic activity. There is also a significant peak observed at ~ 14-15 days 370 in the electron density periodograms during both day and night times [Figs. 6(c) and 371 6(d)]. It can be speculated that this 14-15 day periodicity in the electron density could be 372 possibly due to planetary wave oscillations in the lower thermosphere [Altadill and 373 Apostolov, 2003]. Since the focus of current investigation is on sub-harmonic solar 374 rotational periodicities, the spectral peak at ~14-15 day periodicity is not further 375 discussed.

376 With a view to examine the phase relationship between the 9-day periodicities in 377 the F-region ionosphere and the geomagnetic activity index (Kp) during different local 378 times and seasons, the electron density oscillations corresponding to 9-day periodicities at 379 each latitude-altitude bins are spectral filtered using a digital band pass filter centered at 380 9-days. Figure 7 shows the latitudinal variations of band pass filtered 9-day quasi-381 periodic perturbations in the electron density at 300 km altitude during both day times 382 (left panels) and night times (right panels). The electron density perturbations 383 corresponding to 45-days at each season are separately shown in Figs. 7a-f. Figures 7(a) 384 and 7(b) correspond to day numbers 50-95 (equinox), Figures 7(c) and 7(d) correspond to 385 day numbers 155-200 (June solstice) and Figures 7(e) and 7(f) correspond to day 386 numbers 300-345 (December solstice). The day numbers in each season were carefully 387 selected as the wavelet spectra of both solar wind velocity (Fig.1) and Kp-index (not 388 shown in figure) exhibit strong spectral amplitudes at 9-day periodicity during those 389 days. The overlapped black curve in each panel is the bandpass filtered 9-day 390 perturbations in the Kp-index during the respective days. The perturbations in electron density are expressed in relative percentage with respect to background levels (11-day running averaged electron density). From Fig. 7, it can be noticed that the amplitudes of electron density perturbations are slightly larger during the night than during the day. Also, the density perturbations are larger in the winter hemisphere than in the summer hemisphere and often exceed 40% of background levels. The larger percentage density perturbations could be due to smaller background electron densities during the night and at respective winter hemispheres as can be observed from Figs. 6(a) and 6(b).

398 Interestingly, the latitudinal variations in the band pass filtered electron density 399 perturbations exhibit different phase relationship with the perturbations in Kp during 400 different seasons. One consistent feature that can be observed during all the seasons is 401 that the electron density perturbations are in-phase with the perturbations in Kp at low 402 latitudes and out-of-phase at high latitudes during both day and night. This latitudinal 403 variation is consistent with the changes in $\Sigma O/N2$ ratio observed by Crowley et al. 404 [2008], N_mF2 variations observed by Tulasi Ram et al. [2010] and night time total 405 electron content variations observed by Pedatella et al. [2010]. However, the latitudinal 406 extent in which the electron density perturbations exhibit in-phase/out-of-phase 407 relationship with Kp exhibits significant seasonal and local time dependence. During the 408 equinox, the electron density perturbations are in-phase with Kp at low and mid-latitudes (0 to $\pm 40^{\circ}$ geomagnetic latitudes), which then smoothly varies with latitude and become 409 out-of-phase with Kp at high latitudes ($\pm 60^{\circ}$ to $\pm 80^{\circ}$ geomagnetic latitudes) during day 410 411 times [Fig. 7(a)]. During night times, the negative phase relationship at high latitudes 412 appears to extend into low latitudes in the southern hemisphere [Fig. 7(b)]. During the 413 June solstice, the density perturbations are clearly out-of-phase in the summer (northern)

hemisphere above +40°N geomagnetic latitudes during the day [Fig. 7(c)] and almost 414 415 from geomagnetic equator during the night [Fig. 7(d)]. On the other hand, the density 416 perturbations in the winter (southern) hemisphere exhibit in-phase relationship with Kp 417 up to 60°S geomagnetic latitudes during both day and night. During the December 418 solstice, the perturbations are in-phase between 40°S and 40°N geomagnetic latitudes and are out-of-phase at high latitudes (\pm 60° to \pm 80° geomagnetic latitudes) during the day 419 420 [Fig. 7(e)]. Where as at night times, the negative phase zone penetrates deep in to low 421 latitudes in the summer (southern) hemisphere and the same is confined to higher 422 latitudes (65-80°N) in the winter (northern) hemisphere [Fig. 7(f)].

423 Figure 8 is similar to that of Fig. 7 except at a different height of 450 km altitude. 424 It can be noticed that the latitudinal variation of electron density perturbations and their phase relationship with Kp at 450 km is quite different from that at 300 km. At 450 km 425 426 altitude [Fig. 8], the electron density perturbations are in-phase with the perturbations in 427 Kp and the negative phase relationship is mostly confined to high latitudes of summer 428 hemisphere. During the equinoxes [Figs. 8(a) and 8(b)], the density perturbations exhibit 429 in-phase relationship with Kp almost at all latitudes. These results indicate that the 430 ionospheric electron density response to 9-day quasi periodic geomagnetic activity is not 431 globally symmetric unlike the thermospheric mass density response as shown by Lei et 432 al. [2008b] and Thayer et al. [2008]. Instead, the ionospheric response exhibits 433 significant variation with latitude, altitude, local time as well as with season.

434 It was known that the upwelling neutral winds during geomagnetic storms carries 435 molecular-rich air across the pressure level to higher altitudes leading to the reduction in 436 O/N_2 density ratio at high latitudes [Fuller-Rowell et al. 1994]. Also, the downwelling 437 wind transports atomic-oxygen-rich air parcels to low altitudes and enhances the O/N_2 438 density ratio at low latitudes [Strickland et al. 2001]. Thus, the out-of-phase (negative) 439 response at high latitudes and in-phase (positive) response at low latitudes observed at 440 300 km [Fig. 7] can be attributed as due to changes in the storm time composition 441 disturbances due to upwelling/downwelling at high/low latitudes [Crowley et al. 2008]. 442 Further, the results from Figs. 7 and 8 indicates that the region of disturbed composition 443 zone (negative phase response) penetrates deep into lower latitudes at 300 km (around 444 F2-layer peak altitudes) and limits to higher latitudes at 450 km (topside ionosphere). 445 Also, the latitudinal extent of composition disturbance zone is found to vary with local 446 time as well as with season [Figs. 7 and 8].

447 In order to examine up to what latitudinal and altitudinal extent that the 448 composition changes may affect during different seasons and local times, we proceed 449 with the cross correlation analysis. The bandpass filtered 9-day periodic perturbations in 450 electron density at each latitude-altitude bin are cross correlated with the perturbations in 451 Kp and the zero-lag correlation coefficient is plotted as a function of latitude and altitude 452 during the respective seasons and local times as shown in Fig. 9. The positive correlation 453 coefficient indicate the enhancements in the electron density coherently with the 454 enhancements in the geomagnetic activity (positive response) and the negative correlation 455 indicate the reduction in electron density in response to enhanced geomagnetic activity 456 (negative response). It can be seen from this figure that the correlation coefficient in the 457 topside ionosphere (above 350 km) is positive except at high latitudes of northern 458 summer hemisphere. The positive response in the topside ionosphere can be attributed to 459 the thermal expansion of upper atmosphere and subsequent increase in the scale height

460 resulting from the enhanced plasma temperatures [Lei et al. 2008c, Sojka et al. 2009 and 461 Tulasi Ram et al. 2010]. Further, it can be noticed from Fig. 9 is that the latitudinal and 462 altitudinal extent of negative phase response due to disturbed neutral composition shows 463 significant seasonal and local time dependence. One prominent feature that can be 464 observed from Figs. 9(c)-(f) is that the region of negative correlation extends in to low 465 and equatorial latitudes in the summer hemisphere during both the day and night times. Where as in the winter hemisphere, the negative correlation region is limited to high 466 467 latitudes (> $\sim 60^{\circ}$) during the day [Figs. 9(c) and 9(e)] and confined only to polar region 468 during the night times [Figs. 9(d) and 9(f)]. These results clearly suggests that the 469 disturbed composition changes due to upwelling winds at high latitudes penetrates deeper 470 into low and equatorial latitudes in the summer hemisphere than in the winter 471 hemisphere.

472 When the Joule and particle heating occur at high latitudes during the 473 geomagnetic activity associated with recurrent high speed solar wind forcing, the heated 474 thermosphere expands and leads to an increase in the neutral scale height (kT/mg). 475 While the larger effect is at high latitude, the atmospheric pressure tends to equilibrate 476 globally, the corresponding expansion occurs at all latitudes. Recently, Sojka et al [2009] 477 have shown that the ion temperature measured at 300 km shows strong enhancements 478 associated with CIRs in high speed solar wind streams. Plasma temperature changes 479 would cause similar changes in the plasma scale height, which is a measure of thickness 480 of the ionosphere. The increase in the scale height due to thermal expansion associated 481 with plasma temperature will cause similar increase in the F2-layer peak altitudes (h_mF2). 482 For example, Tulasi Ram et al. [2010] have also shown the 9-day quasi periodic 483 enhancements in the daytime topside ionospheric thickness (H_T) and the F2-layer peak 484 altitude (h_mF2) coherently with the geomagnetic activity (Kp) at all latitudes. Therefore, 485 when one measures the electron density at any fixed height in the topside ionosphere, the 486 increase/decrease in the scale height and h_mF2 causes corresponding increase/decrease of 487 electron density. Thus, the observed positive response in the topside ionosphere (above 488 350 km altitude) in Fig. 9 is related to corresponding changes in the scale height and 489 h_mF2 due to thermal expansion associated with plasma temperature. Further, the 490 equatorward wind during enhanced geomagnetic activity will also raise the F-layer to 491 higher altitudes. This would also contribute to the coherent enhancements in the h_mF2 as 492 observed by Tulasi Ram et al. [2010]. However, the upwelling wind during enhanced 493 geomagnetic activity carries the molecular rich air to higher altitudes at the same time 494 leading to a decrease in O/N_2 ratio. The electron density near F2-layer peak altitudes is 495 mostly determined by the balance of production due to photoionization of O and loss due 496 to N₂ [Rishbeth and Garriott, 1969]. Thus, the electron density at F2 peak altitudes 497 responds to changes in neutral composition (O/N₂ density ratio) brought about by the 498 upwelling/downwelling winds at high/low latitudes during the enhanced geomagnetic 499 activity. The dominant process driving the electron density changes in the night time 500 ionosphere is the loss through recombination. Thus, the changes in N_2 and O_2 501 concentrations due to upwelling will modify the chemical loss rates and in turn electron 502 densities. Therefore, the electron density response around 200 to 350 km is dominated by 503 the changes in neutral composition brought about by the global thermospheric circulation. 504 Further, there will be a mean transequatorial neutral wind from the summer 505 hemisphere to winter hemisphere during the solstices. Also, the summer-to-winter 506 transequatorial neutral wind maximizes during the night due to reduced ion-drag. The 507 summer-to-winter hemispheric wind will be in same direction to the equatorward wind in 508 the summer hemisphere. On the other hand, the summer-to-winter hemispheric wind is 509 in the opposite direction to the equatorward wind in the winter hemisphere. Thus, the 510 latitudinal and altitudinal extent of disturbed composition changes observed in Fig. 9 511 depends on the relative strengths and directions of the summer-to-winter transequatorial 512 wind and the equatorward wind due to high latitude heating during the periods of 513 enhanced geomagnetic activity [Buansanto 1999]. In the summer hemisphere, the total 514 wind is augmented by the prevailing summer-to-winter transequatorial wind and thus 515 causes the composition disturbance zone to extend deep into low and equatorial latitudes 516 as may be seen from Figs. 9(c)-(f). However, the summer-to-winter wind impedes the 517 equatorward motion of the composition changes in the winter hemisphere. Thus, the 518 negative phase response in the winter hemisphere is limited to high latitudes (~ 60°) 519 during the day time [Figs. 9(c) and 9(e)]. Further, the disturbed composition zone is 520 limited only to polar region during the night time [Figs. 9(d) and 9(f)]. This could be 521 possibly due to large oppositely acting transequatorial summer-to-winter winds during 522 the night times.

523 **3. Summary**

A comprehensive investigation on the solar rotational and its sub-harmonic periodicities in coronal hole regions and solar wind velocity has been carried out, for the first time, using the solar EUV image data from the complete solar cycle 23 and its impact on the Earth's geomagnetic and ionospheric electron density have been studied during the extreme solar minimum period, 2008. The important observations and resultsare herein summarized in the following paragraphs.

530 Both the solar wind velocity [Fig. 1(a)] and fractional CH area [Fig. 3(a)] 531 consistently exhibit similar features with large values during the declining phase and the 532 minimum of SC 23 indicating that the equatorward extension of polar holes and low-533 latitude (probably isolated) holes are prevailing during the declining phase of SC 23 (i.e., 534 from 2003-2009). Further, both the SW velocity as well as the CH area exhibit greater 535 tendency for sub-harmonic (13.5-day and 9-day) periodicities during the declining phase 536 and minimum of SC 23. This suggests two/three large CH regions that longitudinally distributed by 180°/120° apart and persistent for several rotations may produce sub-537 538 harmonic periodicities in the fractional CH area. This feature is more prominent during 539 the declining phase and solar minimum because of the equatorward extension of polar 540 holes or low-latitude isolated holes. Even though the previous solar minimum (1996-541 1998) period has the nearly the same solar activity (F10.7 solar flux) levels as the 542 minimum of SC 23 (2005-2009), the values of both CH area and SW velocity are smaller 543 during the previous solar minimum and the sub-harmonic periodicities are only 544 occasionally observed for short periods [Figs. 1 and 3]. This speculates that the 545 frequently observed, long lasting (several solar rotations) sub-harmonic periodicities in 546 CH area and SW properties during the declining phase from 2003 to 2009 [Figs. 1(b) and 547 3(b)] may probably be a unique feature of SC 23. The recurrent solar wind high speed 548 streams (HSS) from the several rotations of equatorward extended or low-latitude 549 (probably isolated) coronal holes interact with the preceding ambient slow solar wind 550 stream and produce recurrent co-rotating interaction regions (CIRs). The resultant HSS-

551 CIR structures of enhanced density and magnetic field regions recurrently impinge upon 552 the Earth's magnetosphere. The magnetic reconnection associated with the Alfven waves 553 in the HSS-CIR structures cause continuous, shallow injections of solar wind plasma into 554 the magnetosphere and trigger recurrent geomagnetic activity of weak to moderate levels 555 in the geo-space environment [Fig. 4]. Further, the LS amplitude spectra [Fig. 5] of CH 556 area, SW parameters and geomagnetic activity indices (SymH and Kp) show similar 557 features with strong spectral peaks at 13.5 and 9-day periods. The results from Figs. 4 and 5 clearly suggests that the sub-harmonic solar rotational periodicities in the 558 559 geomagnetic activity (SymH and Kp indices) are primarily due to solar wind energy input 560 associated with CIR structures within the fast solar wind streams from the recurrent 561 coronal holes.

562 The ionospheric electron density response to this HSS-CIR induced recurrent geomagnetic activity has been investigated using the Formosat-3/COSMIC radio 563 564 occultation measurements during the extremely low solar activity year 2008. From Fig.7, 565 it was elucidated that the both day and night side electron densities exhibit periodic 566 oscillations at solar rotational (27-day) and its sub-harmonic periodicities (13.5 and 9-567 day) in the electron density. Further, the spectral amplitudes of these oscillations in 568 ionospheric electron density correlate well with the oscillations in the geomagnetic 569 activity index, Kp. The important feature of 9-day periodicity in electron density and Kp 570 is considered as a diagnostic tool to investigate the global ionospheric response to HSS-571 CIR associated recurrent geomagnetic activity. In general, the topside ionospheric 572 response (above 350 km) appears to be dominated by the changes in the plasma 573 temperature and/or scale height and exhibits coherent enhancements with the 574 perturbations in the Kp during both the day and night times. However, the electron 575 density response between 200 and 350 km altitudes is dominated by the changes in the 576 neutral composition and exhibits significant latitudinal, local time and seasonal 577 The upwelling winds at high latitudes significantly alters the neutral variations. 578 composition (O/N₂ density ratio) and results in a decrease in electron density during the 579 enhanced geomagnetic activity. In the summer hemisphere, the composition disturbance 580 zone penetrates deep into low and equatorial latitudes during both day and night times 581 where the total wind is augmented by the summer-to-winter transequatorial wind. In the 582 winter hemisphere, the summer-to-winter wind is in the opposite direction and limits the 583 composition disturbance zone to high latitudes (> $\sim 60^{\circ}$) during the day time. Further, the 584 enhanced transequatorial summer-to-winter wind during the night time restricts the 585 composition disturbance zone only to polar region of winter hemisphere.

586 The recurrent geomagnetic disturbances associated with co-rotating interaction 587 regions (CIR) in the solar wind high speed streams during the extreme solar minimum 588 period, 2008 facilitates an opportunity to investigate the diurnal and seasonal dependence 589 of the ionospheric response at different latitude and altitude regions. The results obtained 590 from this investigation can be applicable to the global ionospheric response to minor 591 (isolated) geomagnetic storms and thus provide some important insights to future 592 modeling works on the ionosphere-thermosphere response to geomagnetic storms and 593 solar wind-magnetosphere-ionosphere-thermosphere coupling processes.

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Figure Captions

616 Fig. 1: (a) The daily mean variation of SW velocity (light blue) and F10.7 solar flux 617 (pink) along with their 27-day running mean variations during the years from 618 1996 to 2010. (b) The Morlet wavelet spectrum of SW velocity. The horizontal 619 pink dotted lines in Fig. 1(b) represent the periodicities corresponding to 27, 620 13.5, 9 and 6.8 days. The thin contour line in black color highlights the 621 spectrum above the 95% significance level. The vertical white dotted lines in 622 Fig. 1(b) indicate the cone of influence of the wavelet power spectrum. The 623 spectral intensities vary from dark blue (lowest) to dark red (highest).

Fig. 2: Typical examples of SOHO-EIT images at 195 Å during the ascending phase (August 03, 2000) and descending phase (January 27, 2005) of the SC 23. Large and isolated coronal holes can be seen at low latitudes during the descending phase. CH regions on the Sun are identified by intensity thresholding and the fractional CH area within the central meridian slice of $\pm 10^{\circ}$ heliographic longitudes and $\pm 60^{\circ}$ heliographic latitudes (the area covered by pink contour line) is considered on each day.

Fig. 3: (a) The daily variation of fractional CH area (light blue) along with their 27-day
running mean variations during the years from 1996 to 2010. (b) The Morlet
wavelet spectrum of CH area. The horizontal pink dotted lines in Fig. 3(b)
represent the periodicities corresponding to 27, 13.5, 9 and 6.8 days. The thin
contour line in black color highlights the spectrum above the 95% significance
level. The vertical white dotted lines in Fig. 1(b) indicate the cone of influence of

- 637 the wavelet power spectrum. The spectral intensities vary from dark blue (lowest)638 to dark red (highest).
- Fig. 4: The quasi 9-day periodic variation of (a) Fractional CH area, (b) SW bulk
 velocity, (c) SW magnetic field magnitude, (d) SW proton temperature (e) SW
 proton density, (f) vertical component IMF Bz, (g) ring current index, SymH and
 (g) geomagnetic activity index, Kp during the extreme solar minimum period
 from day number 1 to 120 in 2008.
- Fig. 5: The Lomb-Scargle (LS) amplitude spectra of (a) Coronal Hole area, (b) Solar
 Wind velocity, (c) Solar Wind magnetic field magnitude |B|, (d) vertical
 component IMF Bz, (e) Kp-Index, (f) Sym-H index, (g) Total Solar Irradiance,
 and (h) EUV irradiance at 50 105 nm during the extreme solar minimum year of
 2008.
- Fig. 6: The zonal mean electron density at 300 km altitude as a function of geomagnetic
 latitude and day number in 2008 during day [Fig. 6(a)] and night times [Fig. 6(b)].
 The unit for electron density is Ele/cm³ in log scale. The corresponding LombScargle (LS) periodograms are shown in Figs. 6(c) and 6(d). Daily mean Kpindex and its LS spectral amplitudes are overlapped as black curves in the
 respective panels (right hand scale).
- Fig. 7: Bandpass filtered 9-day quasi periodic perturbations in zonal mean electron
 density at 300 km altitude during equinoxes [Figs. 7(a) and 7(b)], northern
 summer [Figs. 7(c) and 7(d)] and northern winter solstices [Figs. 7(e) and 7(f)].
 The left hand panels correspond to day time and right hand panels correspond to
 night time. The 9-day perturbations in Kp are also overlapped in each panel as

- black curve with right hand scale. The electron density perturbations areexpressed in percentage with respect to 11-day running mean.
- 662 Fig. 8: Same as that of Fig. 7 except for 450 km altitude.
- Fig. 9: (a) Latitudinal and altitudinal variation of correlation coefficient obtained from
 the cross correlation of bandpass filtered 9-day perturbations in electron density
 with the perturbations in Kp during equinoxes [Figs. 9(a) and 9(b)], northern
 summer [Figs. 9(c) and 9(d)] and northern winter solstices [Figs. 9(e) and 9(f)].
 The left hand panels correspond to day time and right hand panels correspond to
 night time.







August 03,2000



January 27, 2005



















