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3 **Benefit of polyvinyl alcohol (PVA) macro-encapsulated islets on islet**  
4 **cryopreservation**  
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3 Islet transplantation is a promising method for the treatment of type 1 diabetes  
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6 mellitus (DM) and has been widely performed around the world. The long-term  
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9 cryopreservation of islets shows many advantages in the field of islet transplantation.  
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12 Previous studies have described the development of novel sheet-type polyvinyl alcohol  
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14 (PVA) macro-encapsulated islets (MEIs) to treat type 1 DM without any immunotherapy.  
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17 The present study examined their beneficial effects on islet cryopreservation. PVA MEIs  
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20 of Wistar rats were divided into three groups of 1-day, 7-day and 30-day  
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23 cryopreservation at -80°C. The 30-day group showed a lower recovery rate of the islet  
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26 number and impaired insulin release in comparison to the 1-day group, whereas no  
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29 significant differences of the *in vitro* results were observed between the 1-day and 7-day  
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32 groups. The MEIs transplantation recipient mice in the 1-day and 7-day groups reached  
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35 normoglycemia for a 4-week observation period, and the recipients in 30-day group also  
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38 showed a significant decrease followed by a slightly higher non-fasting blood glucose  
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41 level. These results suggest that the novel PVA MEIs are useful for islet long-term  
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44 cryopreservation, and that the use of cryopreserved PVA MEIs may therefore be a  
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47 promising modality for performing DM therapy.  
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54 **Keywords:** Polyvinyl alcohol (PVA); Macro-encapsulated islets (MEIs); Islet  
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57 cryopreservation; Islet transplantation.  
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3 **1. Introduction**  
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6 Islet transplantation is a promising method for the treatment of type 1 diabetes  
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9 mellitus (DM) [1]. Clinical islet transplantation is still suffering from several major  
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12 obstacles, including insufficient donors, the side effects of immuno-suppressive drugs  
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15 and islet loss in the early stage after transplantation [2-3]. Encapsulated islets (islets  
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18 enclosed in a semi-permeable membrane) emerged in transplantation studies to  
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21 overcome these problems. The transplanted islets can survive in the host immune  
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24 system and can be protected from inflammatory factors that induce cell loss in the early  
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27 stage after transplantation because the semi-permeable membrane can prevent  
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30 high-weight molecules and immune cells to contact with islets, thereby allowing low-  
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33 weight molecules, such as oxygen, glucose, and insulin etc., to freely pass through it.  
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38 Recently, due to remarkable advances in both research and surgical techniques, islet  
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41 transplantation is now widely performed around the world [1, 4-6]. Cryopreservation  
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44 shows many advantages for research and clinical islet transplantation: 1. Isolated islets  
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47 can be shipped to other institutions worldwide. 2. Islets isolated at different time can be  
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50 accumulated to obtain a sufficient number for transplantation.3. Cryopreservation  
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53 provides the time for quality control of islets before transplantation [7]. Previous studies  
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56 described the development of novel sheet-type polyvinyl alcohol (PVA)  
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3 macro-encapsulated islets (MEIs) by 1-day freezing and succeed in confirming their  
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6 positive effects on xeno-transplantation [8], allo-transplantation (manuscript in press)  
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9 and prevention of diabetic nephropathy [9-10].  
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12 This study tested 2 periods (7 days and 30 days) of freezing and examined their  
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14 functions *in vitro* and *in vivo* in comparison to the original method of cryopreservation  
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16 (1-day freezing). The results showed that PVA MEIs in the 7-day cryopreservation  
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18 group could maintain their normal functions *in vitro* and normalize non-fasting blood  
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20 glucose (NFBG) of diabetic mice *in vivo*.  
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## 31 **2. Materials and Methods**

### 32 *2.1. Materials*

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35 Polyvinyl alcohol (PVA, molecular weight: 387200, saponification degree: 99.8  
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37 mol %) powder was a generous gift from Prof. Suong-Hyu Hyon (Kyoto University).  
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39 Dimethyl sulfoxide (DMSO) was purchased from Wako Pure Chemical (Osaka, Japan).  
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41 Cell Banker was purchased from Nippon Zenyaku Kogyo (Fukushima, Japan). Type XI  
42  
43 collagenase was purchased from Sigma-Aldrich (St. Louis, MO, USA). Dextran power  
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45 was purchased from Sigma-Aldrich (Sweden). BSA was purchased from Nacalai  
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47 Tesuque (Kyoto, Japan). Streptozotocin (STZ) was purchased from Sigma-Aldrich (St.  
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3 Louis, MO, USA). Rats and mice were purchased from Shimizu Laboratory Supplies Co.  
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6 Ltd. (Kyoto, Japan). The approval to conduct this experiment was obtained from the  
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9 Animal Care Committee of Institute for Frontier Medical Sciences, Kyoto University,  
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12 and the animals were treated according to the experimental protocols under its  
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15 regulations.  
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## 22 *2.2 Islets isolation*

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25 Ten-week old male Wistar rat islets were isolated as described previously [8]. Briefly,  
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28 the rat pancreas was digested by type XI collagenase and then the islets were separated  
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31 by a dextran gradient. The separated islets were thereafter purified by handpicking and  
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34 then were cultured in an RPMI-1640 medium with 10% heat-inactivated fetal bovine  
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37 serum (FBS) and a 1% antibiotics solution overnight.  
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## 44 *2.3. Preparation of PVA MEIs*

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47 The 3% (w/v) PVA solution contained 10% 10 times concentrated Euro-Collins  
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50 (EC) electrolyte solution, 10% heat-inactivated FBS, 5% DMSO and 1% antibiotics in  
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53 dd-water as described previously [8]. Rat islets were pretreated with Cell Banker and  
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56 suspended in 100µl PVA solution. This mixture was sandwiched between two pieces of  
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3 1mm thick polyethylene terephthalate mesh for reinforcement. After this molding  
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6 process, the MEIs were frozen in a computer-controlled program freezer (TAIYO  
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9 Nippon Sanso Corporation, Tokyo, Japan). The cooling rate was set to -3°C/min until  
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11  
12 the surface temperature of the MEIs reached -10°C, then changed to -1°C /min until the  
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15 surface temperature of the MEIs reached -30°C.  
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19 Thereafter, MEIs were randomly divided into 3 groups, 1-day group (original  
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21 method: 1-day cryopreservation), 7-day group (7-day cryopreservation) and 30-day  
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23 group (30-day cryopreservation). The MEIs were then immediately moved to an -80°C  
24  
25 ultra low freezer (SANYO Electric, Tokyo, Japan) and stored for 1, 7 or 30 days to form  
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27 crystallized gels. These gels were thawed rapidly in 37°C RPMI-1640 medium for a few  
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29 seconds followed by immersion in 4°C University of Wisconsin (UW) solutions for 24h.  
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32 Finally, after an overnight culture in RPMI-1640 (37°C, 5%CO<sub>2</sub> 95% air), the MEIs  
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35 were used in this experiment.  
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#### 47 *2.4. Morphological changes and islet recovery rate of MEIs after freezing-thawing*

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51 The morphological changes of MEIs were observed by microscopy and the islet  
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53 numbers were counted to calculate their recovery rate. The islet recovery rate was  
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56 defined as the percentage of the number of islets after thawing to the number of islets  
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3 before freezing.  
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10 *2.5. Static incubation*  
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12 Static incubation was performed in the three MEIs groups. MEIs were pre-incubated  
13 in RPMI-1640 culture medium containing 3.3mm glucose and 0.1% BSA for 1h, and  
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16 then were incubated in RPMI-1640 medium containing 3.3mm glucose for another 1h.  
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19 Thereafter, they were incubated in RPMI-1640 medium containing 16.7mm glucose for  
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22 1 h (glucose stimulation). At last, the MEIs were incubated again in RPMI-1640  
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25 medium containing 3.3mm glucose for 1 h. At the end of each incubation period, the  
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28 medium were collected and frozen for an insulin assay using a rat insulin ELISA kit  
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31 (Shibayagi, Gunma, Japan). The stimulation index (SI) was calculated by the ratio of  
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34 the insulin content in high glucose medium to the insulin content in the first low glucose  
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37 medium.  
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48 *2.6. MEIs transplantation*  
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51 A type 1 DM animal model was induced in 8-week old male C57BL/6 mice by a  
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54 single injection of STZ (190mg/kg body weight, i.p.) 7 days before transplantation.  
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57 Mice with an NFBG level >450mg/dl were used as recipients in the experiment. Eight  
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3 hundred encapsulated Wistar rat islets (MEIs size: 20x 15x 1mm) were transplanted into  
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6 the peritoneal cavity of C57BL/6 mice (n=6). NFBG and then the body weights were  
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9 observed until 4 weeks after transplantation.  
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### 16 *2.7. Intraperitoneal glucose tolerance test (IPGTT)*

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19 IPGTT was performed 2 weeks after transplantation. Briefly, after an overnight fast,  
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22 20% glucose solution (2g/kg) was injected into the peritoneal cavity of recipient mice.  
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25 The blood glucose level was then measured at 0, 15, 30, 45, 60, 80, 100 and 120 min  
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28 after injection of the glucose solution.  
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### 35 *2.8. Serum insulin and C-peptide contents*

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38 Blood samples were collected from the sacrificed mice 4 weeks after transplantation.  
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41 After centrifugation, serum samples were frozen for later measurement. The serum  
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44 insulin level was measured using a rat insulin ELISA kit (Shibayagi, Gunma, Japan) and  
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47 the serum C-peptide level was measured using a rat C -peptide ELISA kit (Shibayagi,  
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51 Gunma, Japan).  
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### 57 *2.9. Histology of MEIs and recipients' pancreas*

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3 Transplanted MEIs and recipients' pancreas were retrieved from mice sacrificed 4  
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6 weeks after transplantation. The samples were fixed in 4% paraformaldehyde solution  
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9 for 24 h, followed by immersion in 70% ethanol at 4°C for 24~48h. Next, the samples  
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12 were embedded in paraffin and 5 µm thick sequential sections were cut.  
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16 The pancreas sections were stained for H&E and MEIs were stained for insulin as  
17  
18 described previously [11]. Briefly, glass slides were mounted with 1% rabbit normal  
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20 serum in PBS for 30 min. Subsequently, primary antibody (anti-Insulin guinea pig  
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22 serum in PBS for 30 min. Subsequently, primary antibody (anti-Insulin guinea pig  
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24 polyclonal antibody) was applied overnight at 4°C. Thereafter, they were incubated with  
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26 peroxidase conjugated rabbit anti-guinea pig immunoglobulins (second antibody)  
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28 diluted to 1:100 in PBS for 30 min. After washing in PBS, coloring reaction was carried  
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31 out.  
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## 41 *2. 10. Statistical Analysis*

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44 All results were expressed as the mean ± SEM. Significant differences between the  
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46 groups were tested by an analysis of variance (ANOVA). Statistical significance was  
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48 defined as p<0.05.  
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## 54 **3. Results**

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3 *3.1 .Morphological changes and islet recovery rate*  
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6 The MEIs in the three groups showed a normal morphology after freezing-thawing,  
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8 without islet fragments, and no obvious differences were observed between the three  
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10 groups (**Fig. 1**). The islet recovery rate in the 1-, 7- and 30-day groups were  $74.4 \pm$   
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12  $1.72\%$ ,  $69.6 \pm 3.97\%$  and  $62.8 \pm 3.2\%$ , respectively (7-day vs. 1-day:  $p > 0.05$ ; 30-day vs.  
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14 1-day:  $p < 0.05$ ; **Fig. 2**).  
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25 *3.2. Static incubation*  
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28 Static incubation was performed in the three groups to assess the function of the  
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30 PVA MEIs, after freezing-thawing. The MEIs in the three groups showed good insulin  
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32 secretion abilities in response to high glucose concentration (**Fig. 3 A**). The SI in the 1-,  
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34 7- and 30-day groups was  $1.84 \pm 0.07$ ,  $1.71 \pm 0.1$  and  $1.66 \pm 0.07$ , respectively. No  
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36 significant differences were found between three groups (**Fig. 3B**). However, the insulin  
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38 release in the basal (3.3 mM) and stimulation (16.7 mM) medium of the 30-day group  
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40 was lower than 1-day group ( $p < 0.05$ ; **Fig. 3C**).  
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54 *3.3. MEIs xeno-transplantation*  
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57 Mice in the 1-, 7- and 30-day groups showed a significant decrease in the NFBG  
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3 levels in comparison with those in DM group after PVA MEIs xeno-transplantation.  
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6 Moreover, mice in the 1- and 7-day groups achieved normoglycemia (NFBG<200mg/dl)  
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8 within 1 week after transplantation, and maintained normoglycemia for 4 weeks.  
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12 Although mice in the 30-day group did not achieve normoglycemia, the NFBG  
13  
14 significantly decreased from  $485.8 \pm 25.1$ mg/ml to  $246.3 \pm 19.6$ mg/dl (at the 4th week)  
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16 after transplantation (**Fig. 4A**).The MEIs groups maintained their body weight for 4  
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18 weeks. In contrast, the DM group showed a significant decrease in body weight in a  
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20 time-dependent manner (**Fig. 4B**).  
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### 31 32 3.4. IPGTT 33

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35 IPGTT was performed 2 weeks after transplantation. The blood glucose changes are  
36  
37 shown in **Figure 5A**. The 30-day group and DM group showed significantly higher area  
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39 under the curve (AUC), and the normal group showed a significantly lower AUC in  
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41 comparison to the 1-day group. No significant difference was observed in the AUC  
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43 between the 1-day and 7-day groups. Moreover, the AUC in 30-day group was lower  
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45 than that in DM group ( $p<0.05$ ; **Fig. 5B**).  
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### 54 55 3.5. Serum insulin and C-peptide 56 57 58 59 60 61 62 63 64 65

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3 The 1-, 7- and 30-day groups showed higher serum insulin (**Fig. 6A**) and C  
4 -peptide (**Fig. 6B**) concentrations than the DM group ( $p<0.05$ ), and no significant  
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6 differences were observed among the 1-, 7- and 30-day groups. The normal mouse  
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8 group was omitted in this assessment because of the species cross reaction between the  
9  
10 rat and mouse in the insulin and C-peptide level.  
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### 22 *3.6. Histological findings*

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25 HE staining of the pancreas of recipient mice was performed in each group to check  
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27 the regeneration of islets in STZ-induced diabetic mice. No intact islets were observed  
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29 in the STZ-induced diabetic mice (DM, 1-, 7- and 30-day groups). In contrast, large  
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31 islets with intact morphology were found in the normal group (**Fig. 7A**). These results  
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33 indicated that the regeneration of islet did not happen in the STZ-induced diabetic mice.  
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41 Insulin staining was performed in the MEIs group (1-, 7- and 30-day groups) to  
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43 confirm the surviving islets in the PVA MEIs 4 weeks after transplantation. The islets in  
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45 each MEIs group were positive for insulin staining (**Fig. 7B**). This result, together with  
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47 results of the serum insulin and C-peptide, indicated that MEIs still survived with a  
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49 normal insulin secretion function 4 weeks after transplantation.  
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## 57 **4. Discussion**

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3 Encapsulated islets can be divided into two categories: namely, micro-encapsulated  
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6 islets and macro-encapsulated islets. Both types have been emerged and each has their  
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9 own advantages [12-14]. Micro-encapsulated islets are one or a few islets enclosed in  
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12 semi-permeable membranes, which can provide a beneficial surface for diffusion, thus  
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15 maintaining the functions of islets inside. However, they are irretrievable after  
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18 transplantation [15]. In contrast, macro-encapsulated islets are retrievable so that the  
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21 functions of islets inside can be evaluated at anytime; that is the reason why the  
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24 macro-encapsulated islets were employed for transplantation.  
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28 In previous studies, islets were pretreated with Cell Banker, and 5% DMSO and 10%  
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30 EC solution were used as cryoprotective agents to reduce cryo-damage. Rajotte et al.  
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32 suggested that slow cooling and rapid thawing could protect islets better during  
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35 cryopreservation [16]. Therefore, MEIs were frozen with a slower cooling rate (4°C ~  
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38 -10°C: -3°C/min; -10°C ~ -30°C: -1°C /min) by a computer-controlled program freezer  
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41 to maintain the islets functions during long-term cryopreservation.  
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47 The MEIs in all three groups showed good insulin secretion ability in response to  
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50 glucose concentration changes (**Fig. 3A**) without significant difference in SI (**Fig. 3B**),  
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53 indicating that MEIs in three groups maintained comparable insulin secretion function.  
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57 However, **Figure 3C** showed that insulin release in the basal and stimulation medium of  
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3 the 30-day group was lower than the 1-day group. Considering the results of the islet  
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6 recovery rate (Fig 2), it is assumed that the difference in the number of surviving islets  
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9 after cryopreservation resulted in different insulin release *in vitro* (**Fig. 3C**) and  
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12 different results *in vivo* (**Figs. 4 and 5**).

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16 Although MEIs in the 30-day group showed a slightly worse function *in vitro* in  
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19 comparison to that seen in the 1-day group, and the recipient mice in the 30-day group  
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21  
22 did not achieve normoglycemia, there were still some therapeutic benefits with 30-day  
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25 cryopreserved PVA MEIs in comparison to the DM group *in vivo* (**Figs. 4 and 5**). In fact,  
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28 the survival rate of recipients 4 weeks after transplantation was 100% in the 30-day  
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31 group and 17% in the DM group. The results of the NFBG (**Fig. 4A**), body weight (**Fig.**  
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34 **4B**) and IPGTT (**Fig. 5**) in the 30-day group also showed apparent improvements from  
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37 the DM group. In addition, the MEIs in the 7-day group showed similar results to the  
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41 1-day group *in vitro* and *in vivo*. These results indicated that the immediate use of PVA  
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44 MEIs after 1 day freezing is not mandatory, furthermore, 7 days is sufficient for islet  
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47 accumulation for transplantation, islet shipping worldwide and an evaluation of islet  
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50 quality before transplantation. These results lead us to conclude the use of PVA MEIs  
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53 therefore appears to be an effective modality which can be used for clinical islet  
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57 transplantation in the near future.  
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3 **5. Conclusions**  
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6 Long- term cryopreserved PVA MEIs showed similar effects to the original PVA  
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9 MEIs (1-day group) both *in vitro* and *in vivo*. These results suggest that the PVA MEIs  
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12 have advantages over other MEIs which may therefore make it possible to overcome the  
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15 obstacles of insufficient donors and the side effects of immuno-suppressive drugs,  
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18 because the encapsulation process with cryopreservation technique allows islet  
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21 accumulation, as well as the shipping and quality control in the field of islet  
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24 transplantation. Therefore, the use of PVA MEIs appears to be an effective modality for  
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26  
27 improving clinical DM therapy.  
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## Figure Captions:

Figure 1: Microscope images of PVA MEIs in the 1-day (left), 7-day (middle) and 30-day (right) groups after thawing.

Figure 2: Recovery rate of MEIs after thawing (\*  $p < 0.05$ ; vs. 1-day group).

Figure 3: Insulin secretion test. (A) MEIs in all three groups showed good insulin secretion ability in response to glucose concentration changes (\*  $p < 0.05$ ). (B) No significant differences of SI were found between the three groups. (C) MEIs in 30-day group showed lower insulin release in comparison to those in the 1-day group (\*  $p < 0.05$ ; vs. 1-day group).

Figure 4: After MEIs transplantation, the NFBG (A) and body weight (B) changes of recipient mice (n=6).

Figure 5: IPGTT was performed 2 weeks after MEIs transplantation (DM group: n=5; other groups: n=6). (A) Blood glucose changes of the recipient mice. (B) AUC (\*  $p < 0.05$ ; vs. 1-day group).

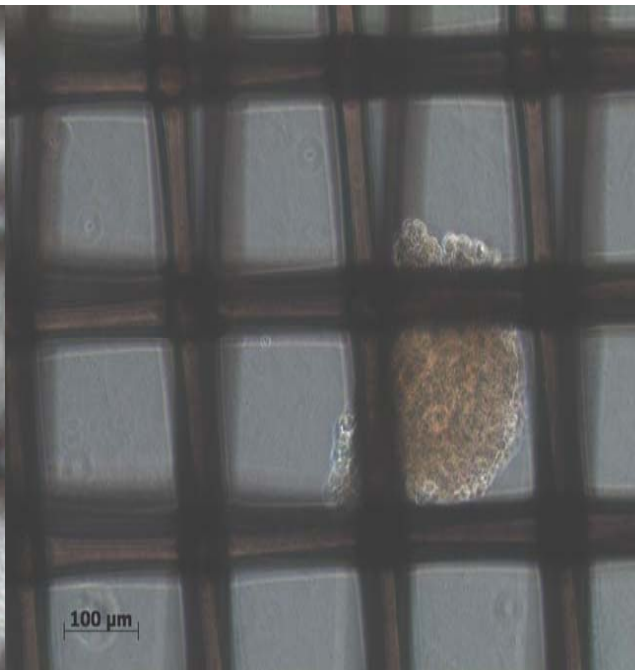
Figure 6: Serum insulin (A) and C-peptide (B) levels. No significant differences were found among 1-, 7- and 30-day groups (n=3). In this evaluation experiment, at 4 weeks after transplantation, only one recipient mouse survived (n=1) in DM group, and because of the species cross reaction between rat and mouse in rat insulin and C-peptide, the normal mouse group was omitted.

Figure 7: Histological assessments 4 weeks after transplantation. (A) H&E staining of recipients' pancreas (arrow: islets). (B) Insulin staining of MEIs in 1-day, 7-day and 30-day groups (arrow: islets).

**Fig 1**



**1-day**

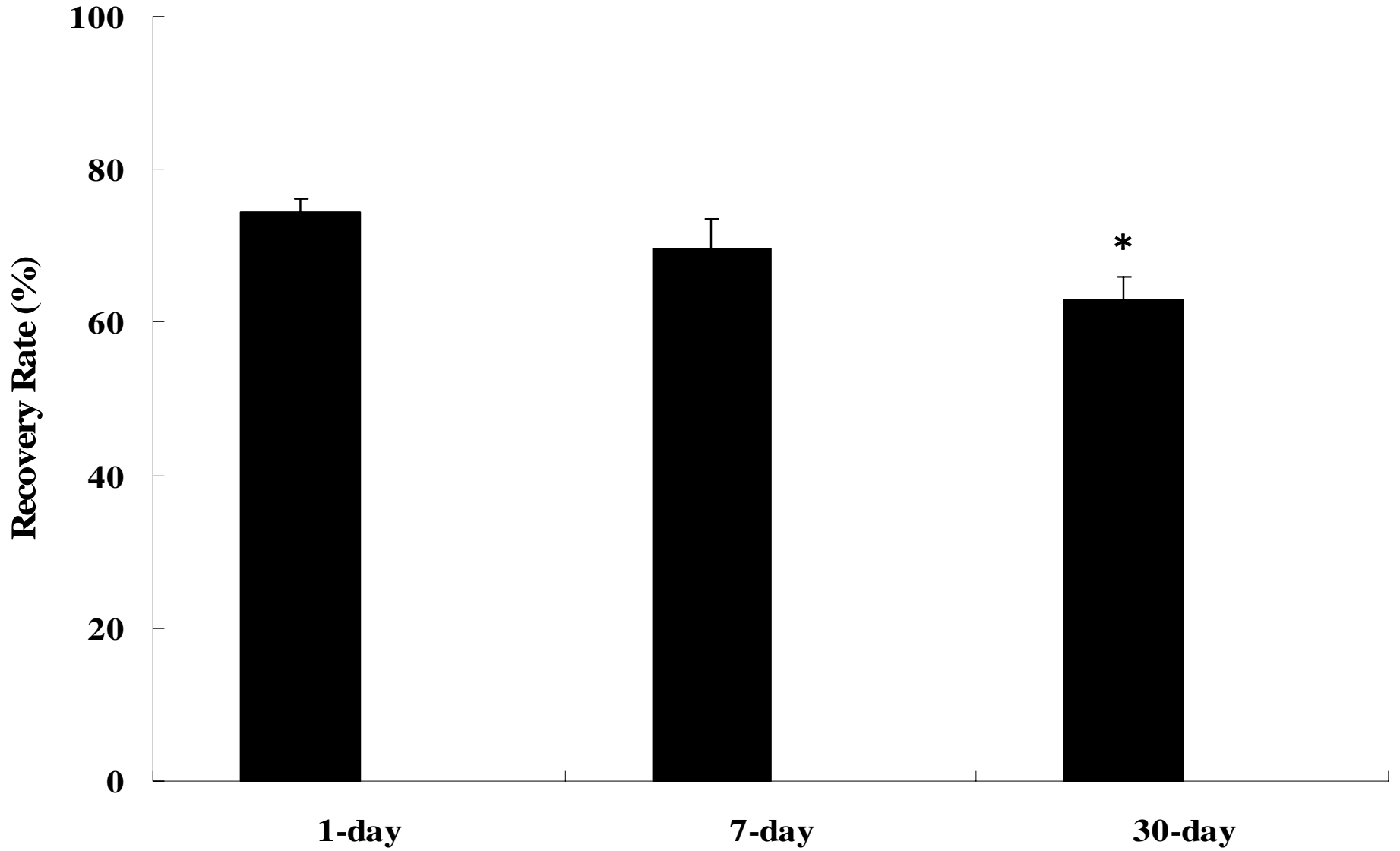


**7-day**

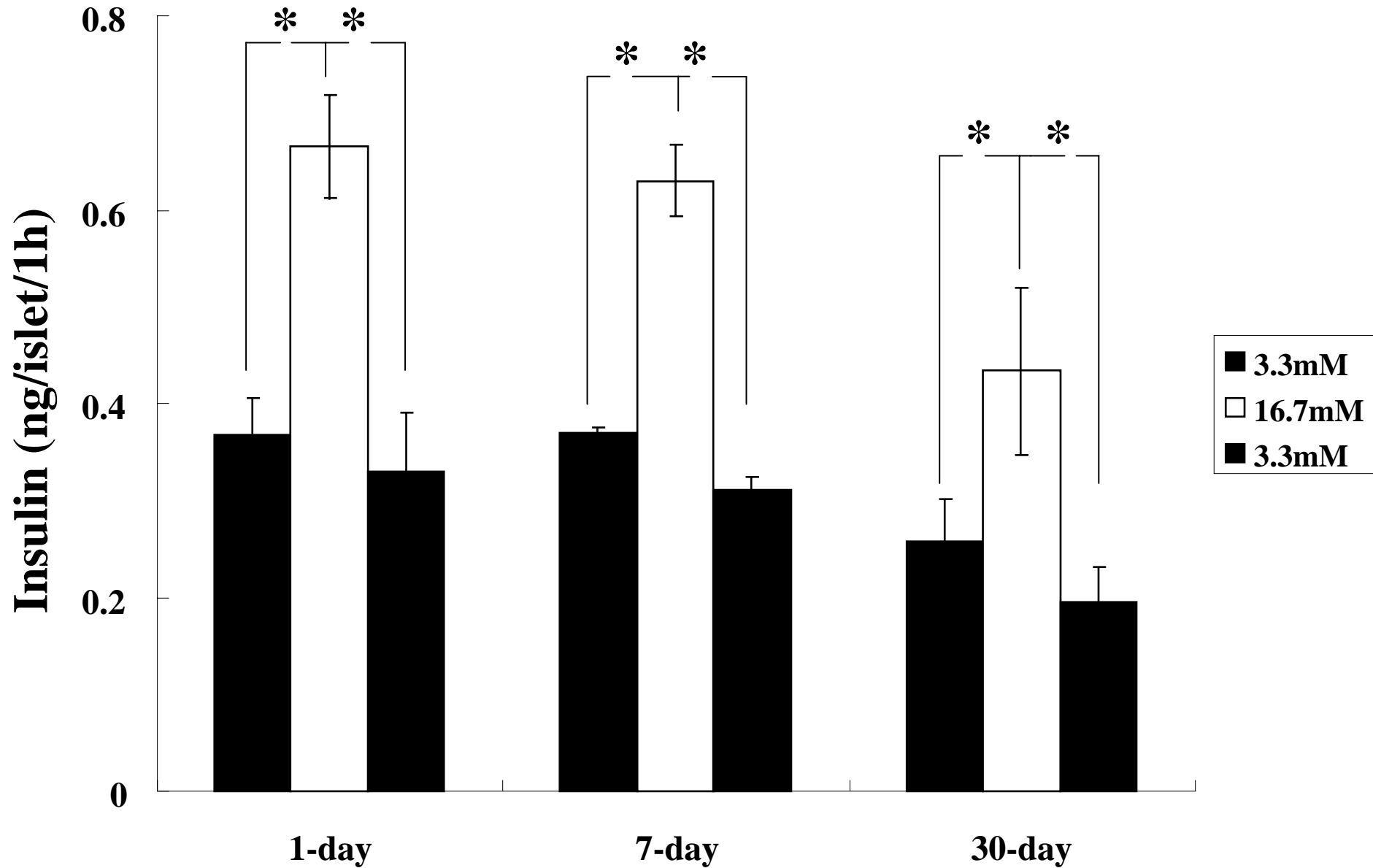


**30-day**

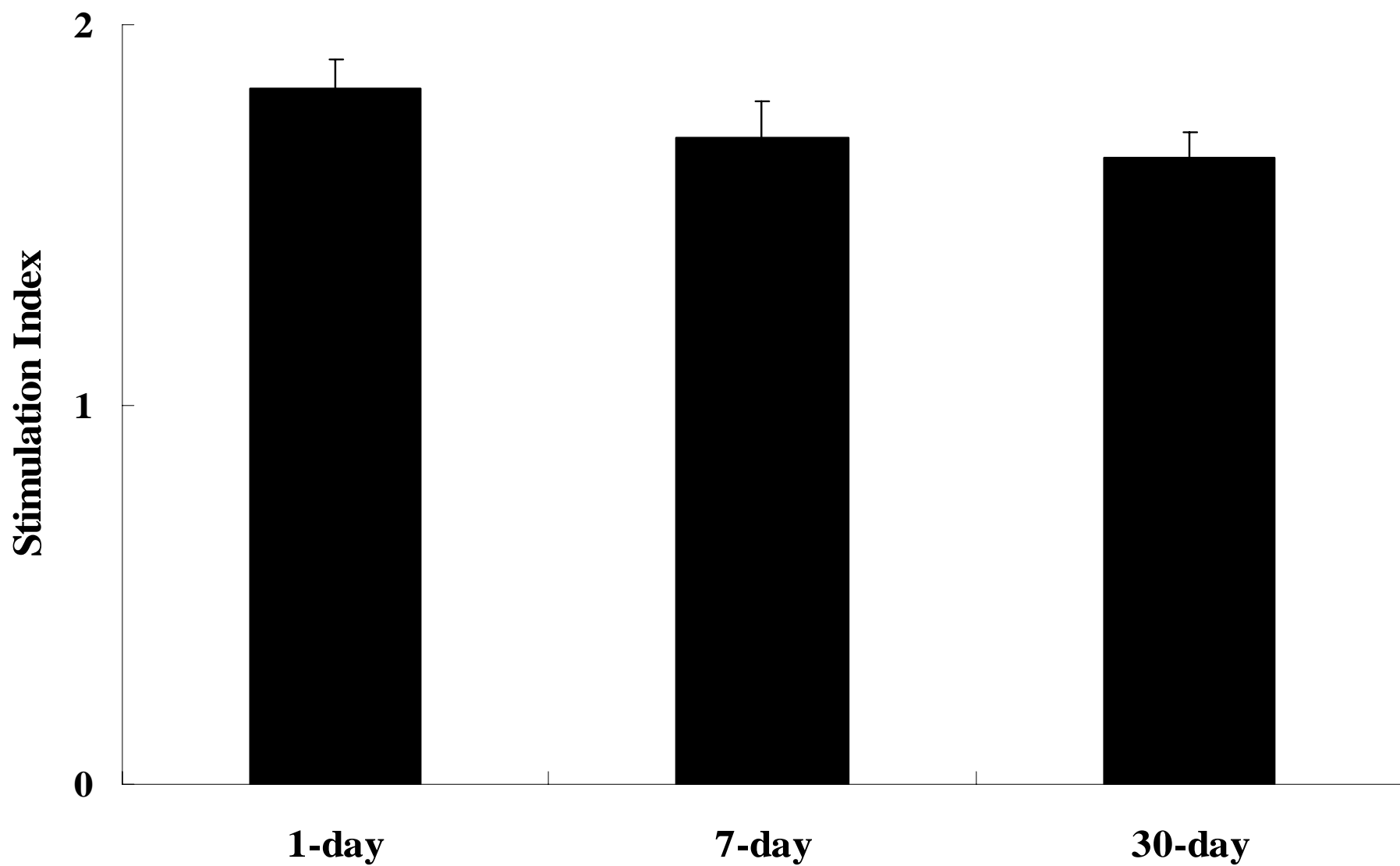
**Fig 2**



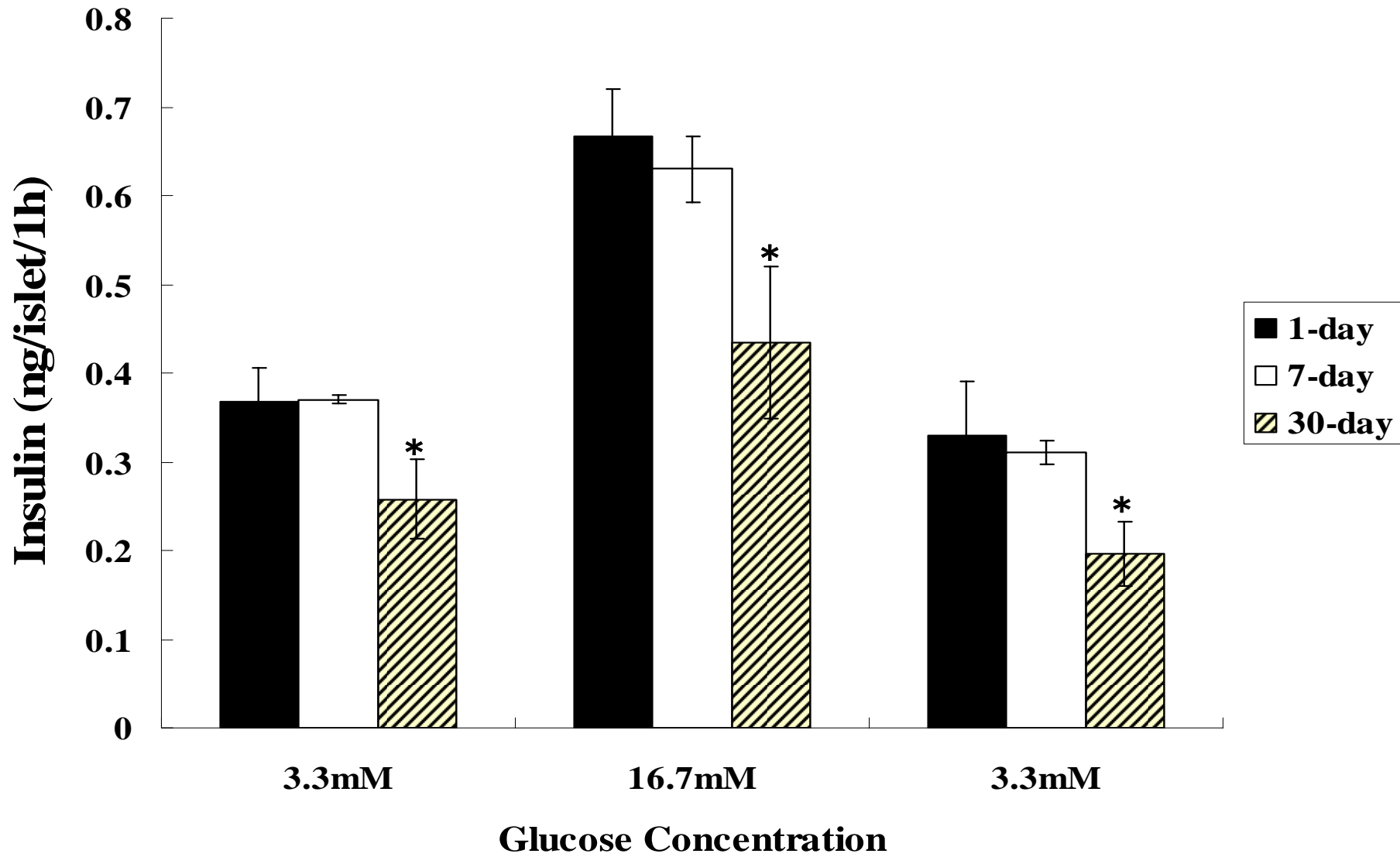
# Fig 3A



**Fig 3B**

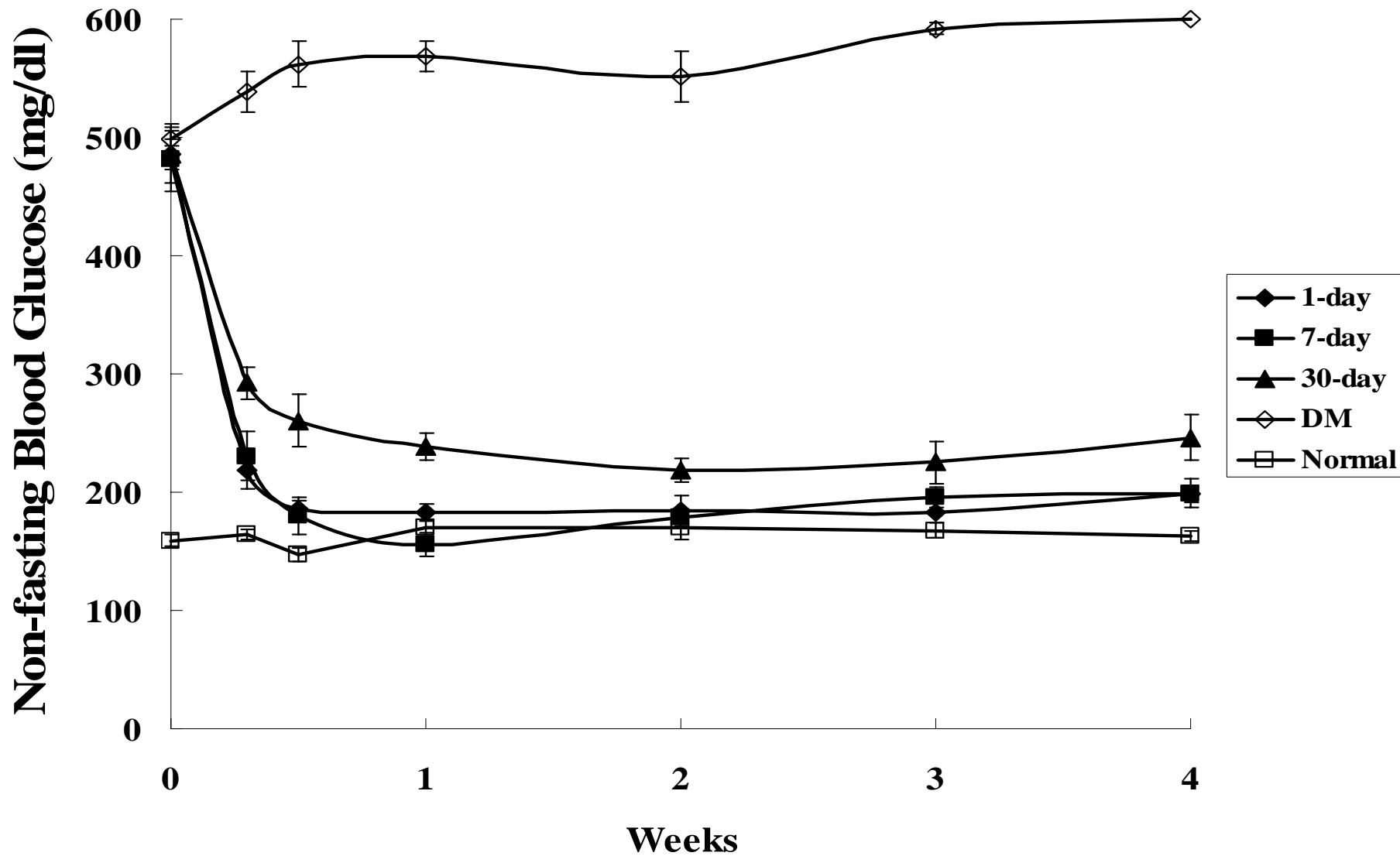


# Fig 3C

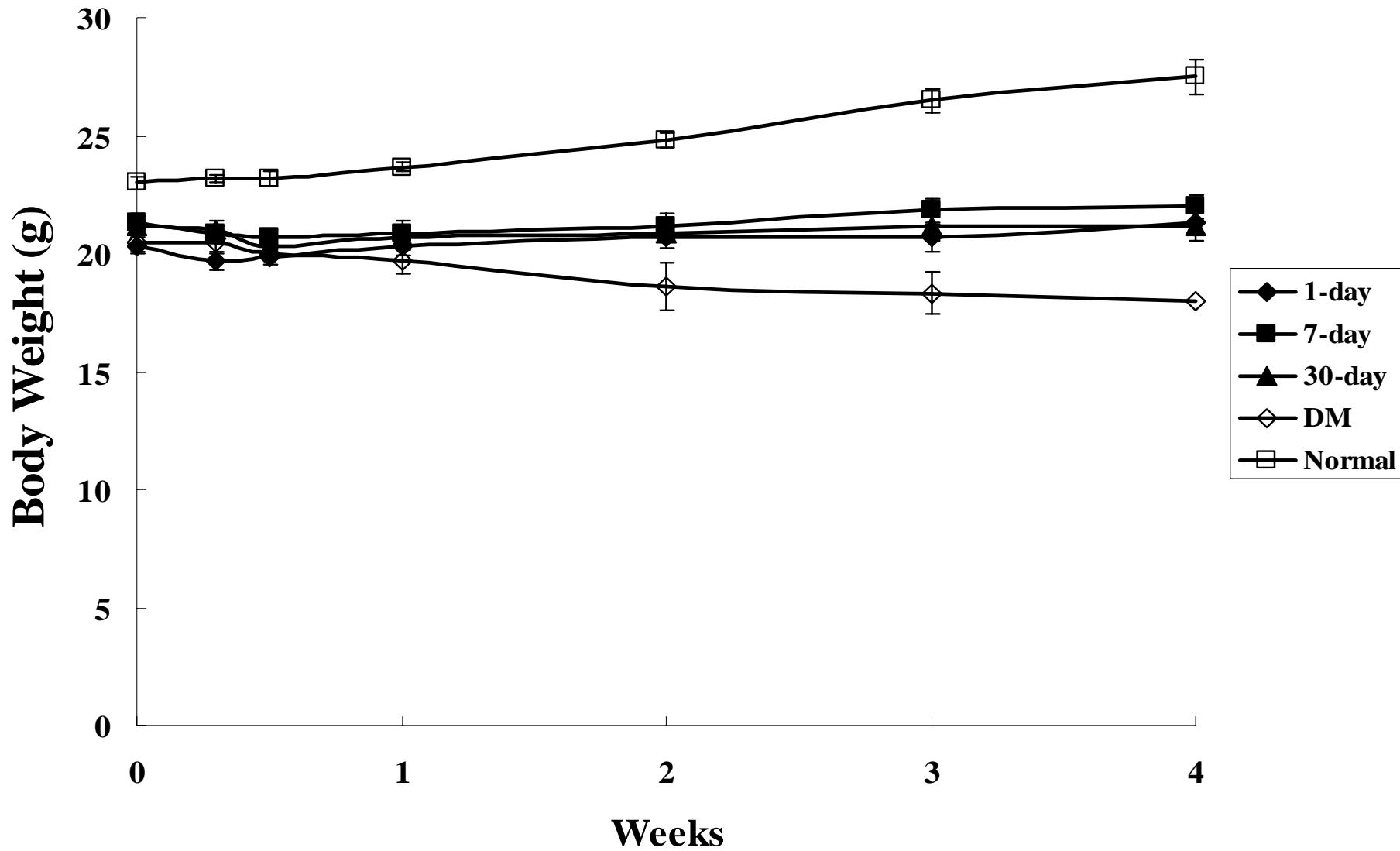




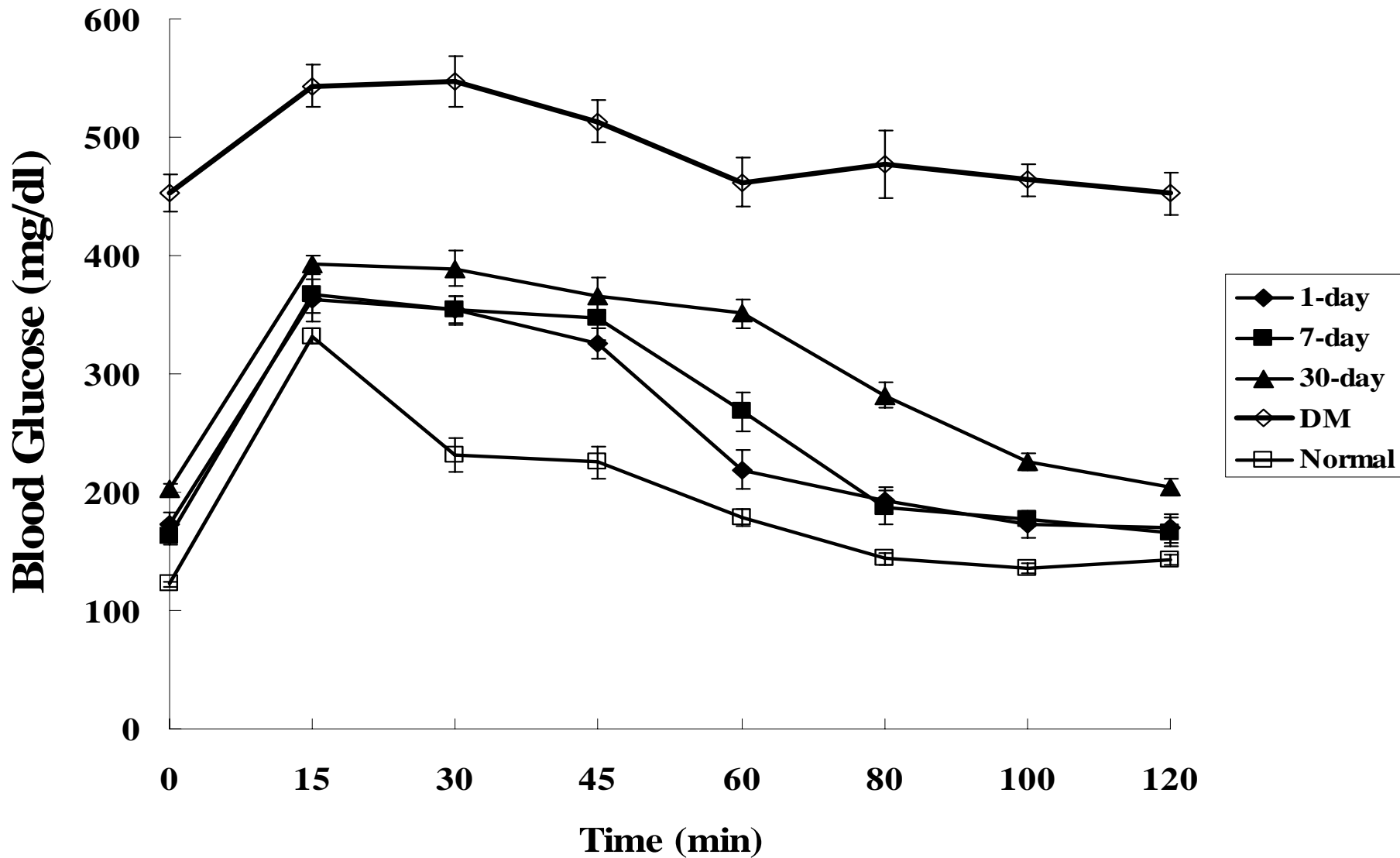
# Fig 4A



# Fig 4B

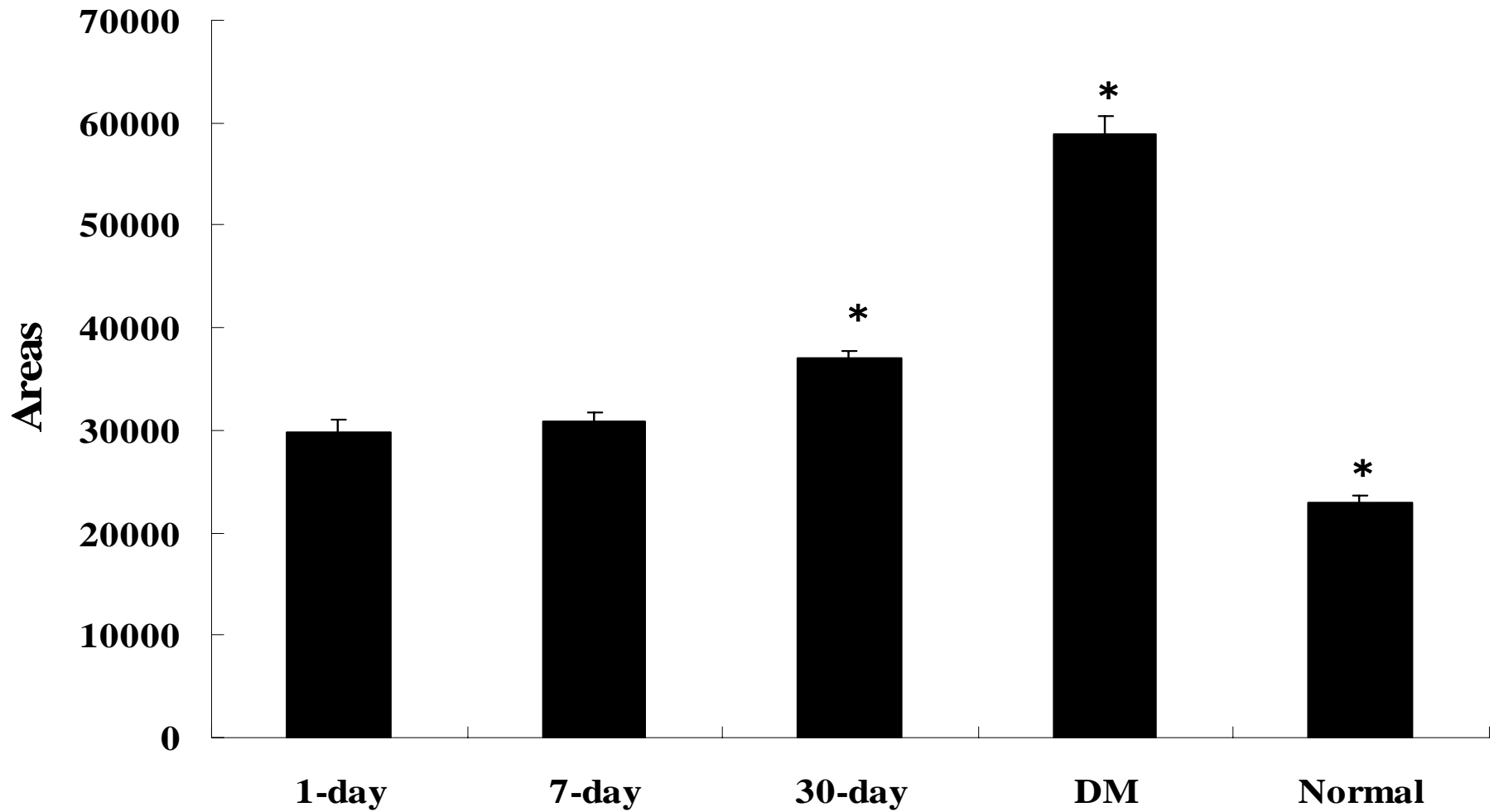


# Fig 5A

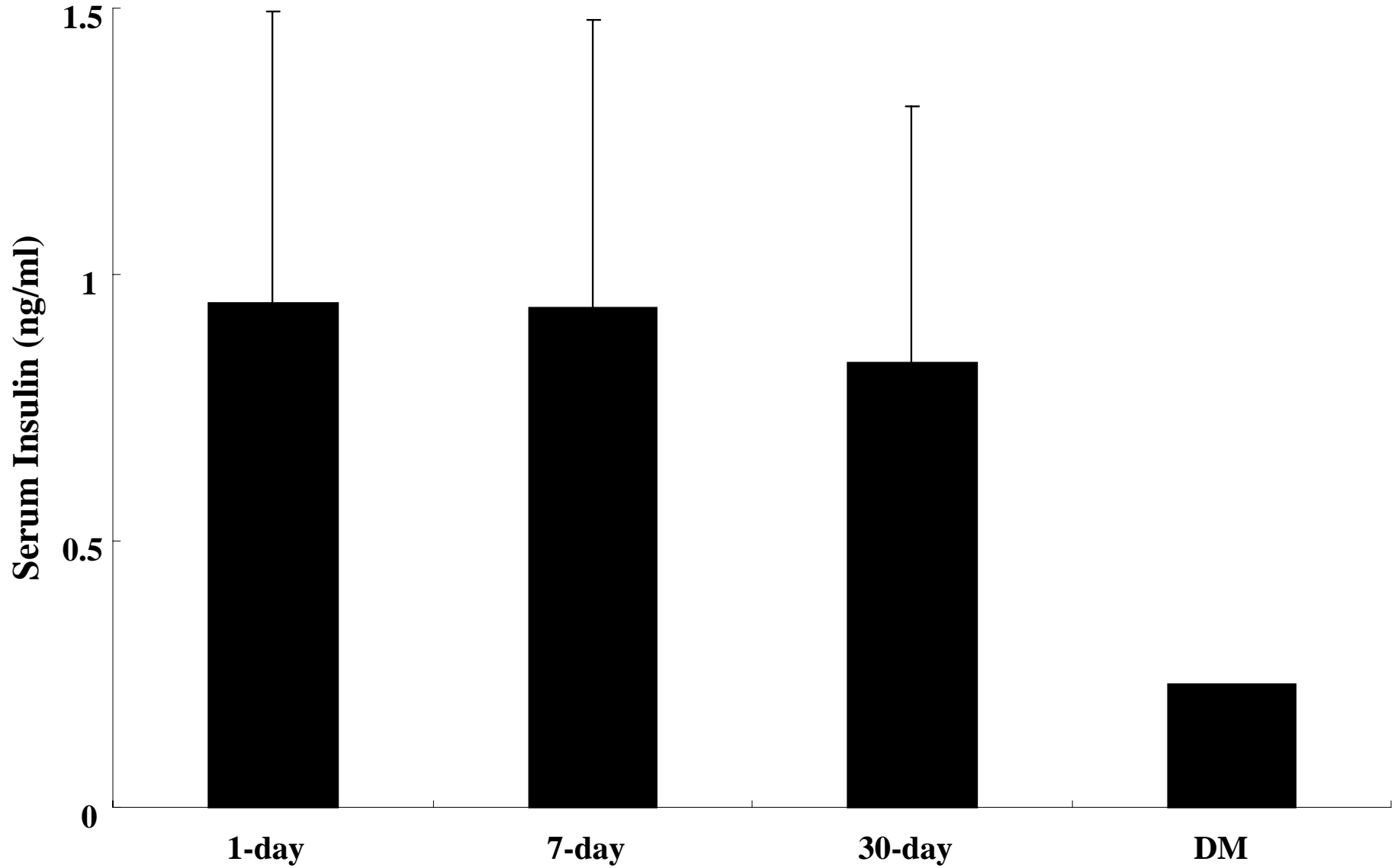


# Fig 5B

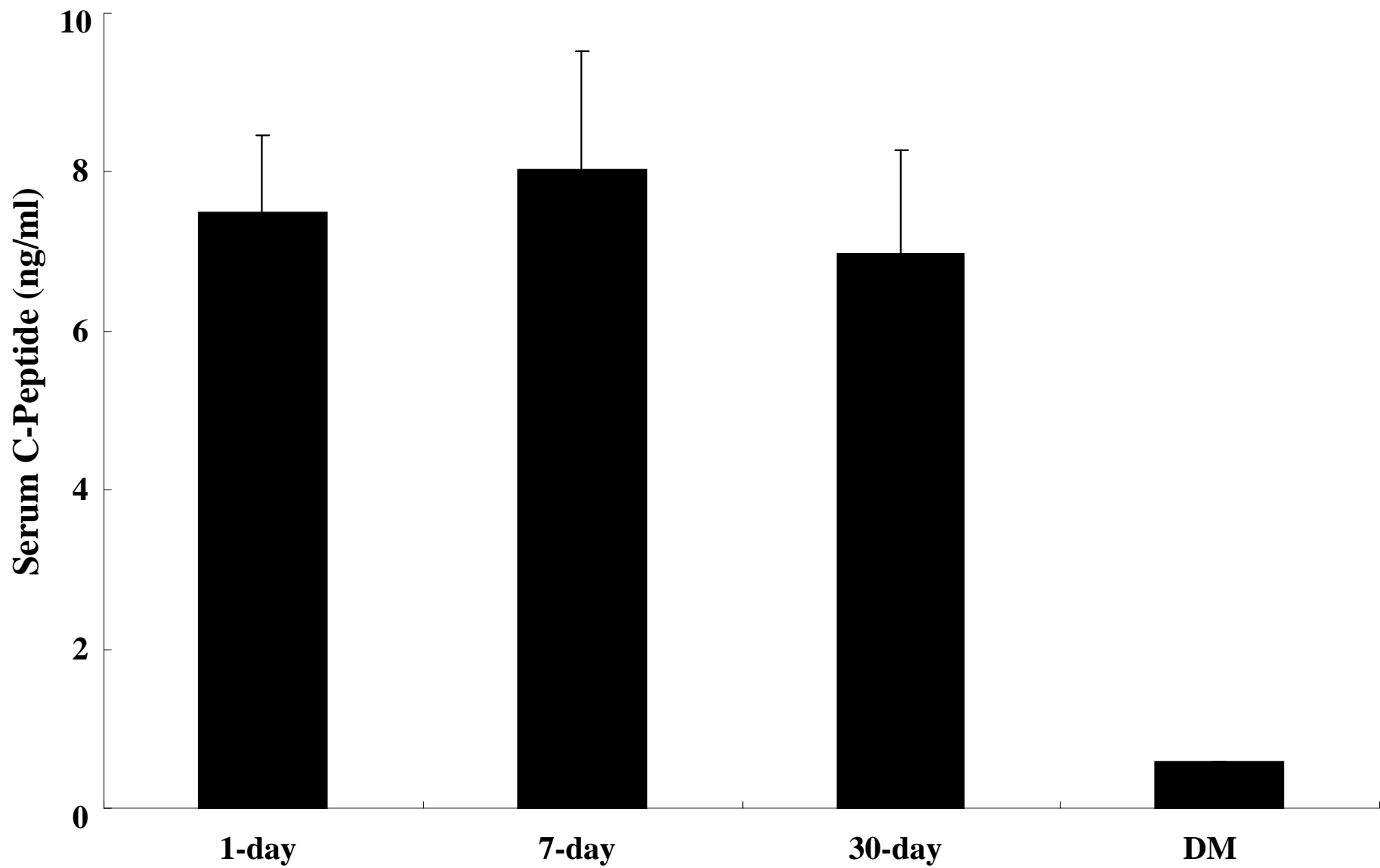
## Area Under the Curve(AUC)



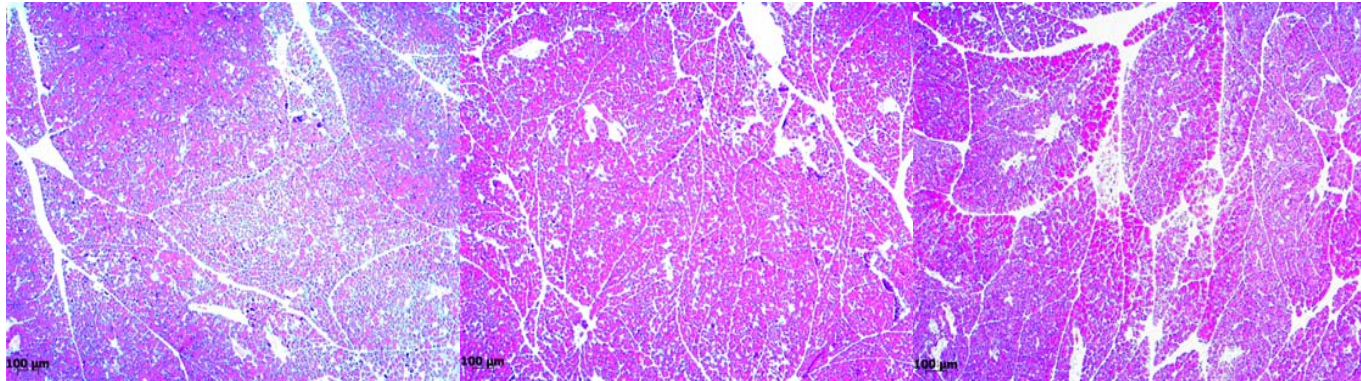
**Fig 6A**



**Fig 6B**



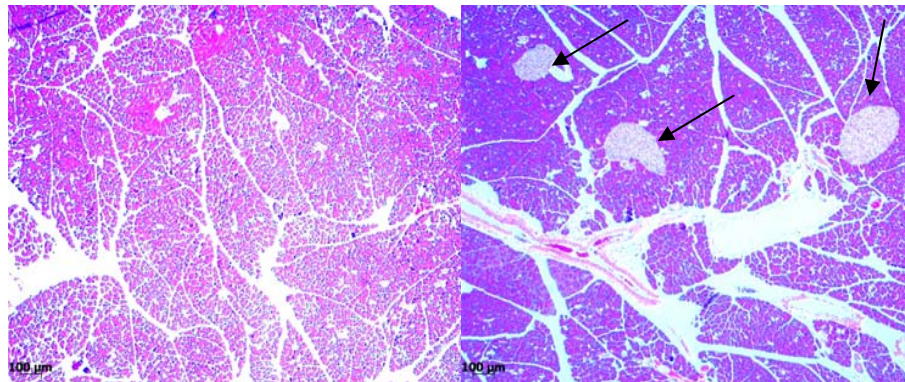
# Fig 7A



**1-day**

**7-day**

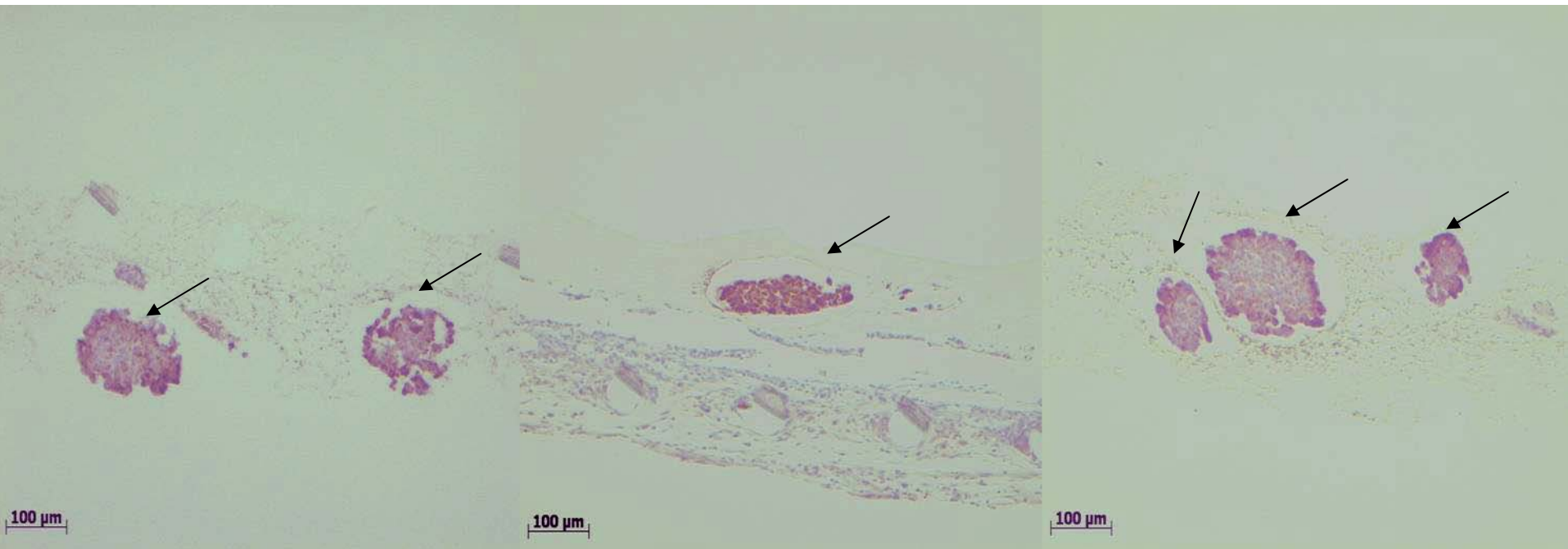
**30-day**



**DM**

**Normal**

**Fig 7B**



**1-day**

**7-day**

**30-day**