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3 Epigenetic setting for long-term expression of estrogen receptor  $\alpha$  and androgen  
4 receptor in cells

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22

1 **Abstract**

2           Epigenetic regulation of the nuclear estrogen and androgen receptors, ER and  
3 AR, constitutes the molecular basis for the long-lasting effects of sex steroids on gene  
4 expression in cells. The effects prevail at hundreds of gene loci in the proximity of  
5 estrogen- and androgen-responsive elements and many more such loci through intra-  
6 and even inter-chromosomal level regulation. Such a memory system should be active  
7 in a flexible manner during the early development of vertebrates, and later replaced to  
8 establish more stable marks on genomic DNA. In mammals, DNA methylation is  
9 utilized as a very stable mark for silencing of the ER $\alpha$  and AR isoform expression  
10 during cancer cell and normal brain development. The factors affecting the DNA  
11 methylation of the ER $\alpha$  and AR genes in cells include estrogen and androgen. Since  
12 testosterone induces brain masculinization through its aromatization to estradiol in a  
13 narrow time window of the perinatal stage in rodents, the autoregulation of estrogen  
14 receptors, especially the predominant form of ER $\alpha$ , at the level of DNA methylation to  
15 set up the “cell memory” affecting the sexually differentiated status of brain function  
16 has been attracting increasing attention. The alternative usage of the androgen-AR  
17 system for brain masculinization and estrogenic regulation of AR expression in some  
18 species imply that the DNA methylation pattern of the AR gene can be established by  
19 closely related but different systems for sex steroid-induced phenomena, including brain  
20 masculinization.

21 **Key words:** estrogen receptor, androgen receptor, DNA methylation, brain  
22 masculinization, autoregulation, cell memory

1 **Introduction**

2           In mammals, sex-dependent compositions of the cells in the brains and the  
3 nature of many patterns of behaviors cannot be attributed directly to genetic differences.  
4 Rather, an important feature is that, in rodents for example, endocrine disturbance at the  
5 fetal and/or postnatal stages irreversibly changes behaviors such as lordosis (in females)  
6 and mounting (in males) that occur after the pubertal stage and are normally correlated  
7 with the genetic sex. In some cases, lordosis can even be observed in males, and  
8 mounting in females (Sodersten, 1978). In such phenomena, two classes of sex steroid  
9 hormones produced largely in gonadal tissues, estrogen and androgen, play many  
10 important physiological and pathological roles in a sex-dependent manner. In this  
11 review, I summarize the present understanding of the cellular impacts of the “genomic”  
12 effects of sex steroids, in particular the effect of estrogen through its interaction with its  
13 predominant nuclear receptor, ER $\alpha$ . Associated theoretical epigenetic pathways  
14 triggered by estrogen and androgen and potentially leading to the differential expression  
15 of mammalian behaviors will be featured.

16

17 **Sex Steroid Hormones: Epigenetic Regulators of Brain Masculinization in**  
18 **Rodents?**

19           In general, estradiol (E2) and testosterone (T) circulate predominantly in adult  
20 females and males of vertebrates, respectively. Therefore, one could readily assume that  
21 these hormones set up the molecular basis to establish the endocrine circumstances and  
22 thereby influence the expression of sex-dependent behavior. For example, removal of

1 the ovaries, the major source of E2, after the pubertal stage strongly deactivates the  
2 hypothalamus-pituitary-gonadal (HPG) axis, thereby disturbing the pulsatile- and  
3 surge-mode of luteinizing hormone secretion in rodents and many other mammals  
4 including sheep, goat, cow and pig (Bronson, 1981; Goodman, 1978; Kraeling et al.,  
5 1998; McCarthy and Swanson, 1976; Mori et al., 1987; Webb et al., 1981). In parallel,  
6 the hypothalamus-pituitary-adrenal (HPA) axis is affected in ovariectomized animals  
7 (Seale et al., 2004). Since these unregulated states are largely rescued by exogenous  
8 treatment with E2 (Christian et al., 2005), estrogen has been focused on as an important  
9 trigger for sexual reproduction and many sex-dependent behaviors. A similar situation is  
10 true for males, in that castration of the testes, the major source of T, perturbs the HPG  
11 and HPA axes, and T supplementation restores many sex-dependent behaviors (Putnam  
12 et al., 2003). These losses and recoveries occur on a day- or week-scale (Lindzey et al.,  
13 1998), and drastic changes of cell composition do not seem to be associated with these  
14 experimental events. In contrast, cell composition is drastically affected during the  
15 developmental stages. In fact, E2 triggers the cell fate specification at the perinatal stage  
16 in rodent brains (Schwarz and McCarthy, 2008). For example, the medial preoptic area  
17 (MPOA) and bed nucleus stria terminalis (BNST), which are famous sexually  
18 dimorphic brain nuclei, show fewer apoptotic cells after estradiol benzoate (EB) or  
19 testosterone propionate (TP) treatment at the perinatal stage in rodents (Chung et al.,  
20 2000; Hsu et al., 2001). Cell proliferation and migration can also be associated with  
21 estrogen signals (McCarthy, 2008). Paradoxically, cell death is increased in a subset of  
22 sexually dimorphic nuclei by estrogen signals. The anteroventral periventricular nucleus

1 is the best example so far in which TUNEL-positive cells are increased after neonatal  
2 treatment with EB or TP in male and female rats (Arai et al., 1994; Arai et al., 1996).  
3 Changes of the survival and migration of the cells by the presence or absence of  
4 estrogen could explain the phenomenon of “imprinting” of the brain at the critical  
5 period, also known as brain masculinization (Cooke et al., 1998; McCarthy, 2008).  
6 Since the nuclear estrogen receptor ER $\alpha$  can contribute to the molecular complex of  
7 histone-interacting proteins and histone-modifying enzymes (Heinzel et al., 1997), the  
8 highly ordered chromatin structure can be differentially established and somehow fixed  
9 long-term in ER $\alpha$ -positive cells. In this way, the estrogen-ER $\alpha$  complexes could change  
10 particular cell characteristics rather than causing cells to die, proliferate, or migrate in  
11 some cases. To decipher these complex ways of “imprinting” in specific brain regions, it  
12 would be simplest to start by first dissecting the mechanisms involved at the cellular  
13 level.

14           Until now, most studies on molecular mechanisms governing the epigenetic  
15 setting have focused on histone modifications and DNA methylation mainly occurring  
16 at the CG dinucleotide in animals. Histone modifications are commonly utilized in a  
17 wide range of species, including single cell organisms (Jenuwein and Allis, 2001). On  
18 the other hand, the overall DNA methylation level differs depending on the species. For  
19 example, only trace amounts of methylcytosine can be found in *Drosophila*  
20 *melanogaster* (Kunert et al., 2003; Lyko et al., 2000). In mammals, DNA methylation is  
21 a fundamental mechanism that differentiates the gene expression pattern in the brain  
22 (Imamura et al., 2001; Jones and Takai, 2001). Indeed, mutations in genes associated

1 with DNA methylation have frequently been shown to lead to many defects in neural  
2 systems. For example, mutations in MECP2, a methylcytosine interacting protein, is  
3 known to cause Rett syndrome (Amir et al., 1999). Mutations in a *de novo* DNA  
4 methyltransferase (DNMT), DNMT3B, lead to ICF syndrome (Hansen et al., 1999; Xu  
5 et al., 1999). Both of these syndromes show some characteristics of neuronal disorders.  
6 The DNA methylation system is also known to be critical for genomic imprinting  
7 (Heard et al., 1999), transposon silencing (Bird and Wolffe, 1999; Walsh and Bestor,  
8 1999), chromatin stability (Eden et al., 2003), and tissue-dependent gene expression  
9 (Shiota et al., 2002). In mammals, DNA methylation imposes restraints on the  
10 pluripotency because once the patterns are established during development they can be  
11 maintained through cell division (Sharif et al., 2007). Conversely, some fishes, which  
12 contain much lower DNA methylation activity (Cross et al., 1991), are found to easily  
13 and reversibly change their sex status according to the environmental context (Grober  
14 and Sunobe, 1996). These facts support the idea that sex-dependent patterns of  
15 behaviors are established through epigenetic processes. The sex-dependent patterns of  
16 mammalian behaviors could be acquired through highly irreversible processes during  
17 development by exposure to sex steroid hormones. In particular, it could be  
18 hypothesized that the long-term effects of the sex steroids at the developmental stage on  
19 behaviors after puberty are somehow marked at the genome level.

20

## 21 **Priming Effect of Sex Steroid Hormones on Gene Transcription in Cells**

22 The biogenesis of E2 from T is accomplished by the catalytic action of

1 aromatase P450. Although ER $\alpha$  is the predominant nuclear receptor for estrogen, ER $\beta$  is  
2 known to be another nuclear receptor (Couse and Korach, 1999). So far, AR is the only  
3 known receptor for androgen. In addition to these nuclear receptors, ER-X and two  
4 G-protein-coupled receptors, GPR30 and Gq-mER, bind to estrogen to transduce the  
5 estrogen signals rapidly to adjust the cellular status (Funakoshi et al., 2006; Qiu et al.,  
6 2003; Qiu et al., 2006; Revankar et al., 2005; Toran-Allerand, 2005). The differential  
7 presence of various forms of estrogen and androgen receptors in cells makes it difficult  
8 to understand the whole picture of sex steroid signaling. Although membrane-bound  
9 receptors might play some roles in gene regulation, it is simplest to first consider only  
10 the role of nuclear receptors in the epigenetic effects of estrogen and androgen.

11           Early work on the *Xenopus vitellogenin* gene identified a minimal estrogen  
12 responsive element (ERE) core sequence composed of two 6-base asymmetrical  
13 elements separated by three spacer nucleotides: 5'-GGTCAnnnTGACC-3'  
14 (Klein-Hitpass et al., 1986). A similar but not identical structure has been found for the  
15 androgen responsive element (ARE). Most AREs conform to a consensus sequence  
16 composed of two 6-base asymmetrical elements separated by three spacer nucleotides:  
17 5'-AGAACAnnnTGTTCT-3' ([http://www.genome.jp/htbin/www\\_bfind?transfac](http://www.genome.jp/htbin/www_bfind?transfac)).  
18 Occupancy of ERE and ARE by the steroid-nuclear receptor complex can lead to the  
19 acute upregulation of the physically associated gene. There are many examples of acute  
20 upregulation by the estrogen-ER complex together with other nuclear transcription  
21 factors (Gruber et al., 2004). Conversely, removal of steroid hormones also causes an  
22 acute decrease of gene transcription. However, there are examples in which

1 supplementation of steroid hormones to ER $\alpha$ -positive breast cells gradually upregulates  
2 the cell cycle, followed by DNA methylation, histone modification, and microRNA  
3 expression changes (Kovalchuk et al., 2007). These occurrences are associated with  
4 transformation into hyperplastic states such as those in carcinomas and metastatic cells.  
5 Another report showed that, on disruption of ER $\alpha$  signaling by small interfering RNA,  
6 polycomb repressors and histone deacetylases (HDACs) are recruited to initiate stable  
7 repression of the progesterone receptor (PR) gene, a known ER $\alpha$  target, in breast cancer  
8 cells (Leu et al., 2004). In these cells, ER $\alpha$  repression is accompanied by PR mRNA  
9 disappearance one day later. This event is also accompanied one week later by DNA  
10 methylation of the PR promoter, leaving a stable mark that can be inherited by cancer  
11 cell progeny. Reestablishing ER signaling alone is not sufficient to reactivate the PR  
12 gene, rather, reactivation of the PR gene also requires DNA demethylation. The removal  
13 of the nuclear estrogen signal induces progressive DNA methylation of multiple ER $\alpha$   
14 targets in breast cancer genomes (Leu et al., 2004). Considering this kind of long-term  
15 effect of sex steroid hormones on setting the target gene expression status, it would be  
16 important to see the precise timeline of epigenetic alterations occurring in cells.

17           Since the identification of a canonical ERE, several computational  
18 approaches have been undertaken to identify ER $\alpha$  target genes at the genome-wide level  
19 (Bajic et al., 2003; Bourdeau et al., 2004). For example, in excess of 70,000 putative  
20 EREs have been found in the human genome, over 17,000 of which are located within  
21 15 kb of the transcription start sites of genes (Bourdeau et al., 2004). Six hundred sixty  
22 of these are conserved between the mouse and human genomes, and a fraction of these



1 have been confirmed experimentally to function as genuine ER $\alpha$ -interacting sites. In  
2 addition, imperfect EREs compared with the consensus sequence frequently show ER $\alpha$   
3 binding activity (Gruber et al., 2004). Very recently, identifying long-distance chromatin  
4 interactions with ER $\alpha$  has been attempted at the genome-wide level (Fullwood et al.,  
5 2009). Using a combinatorial technique of chromatin immunoprecipitation and  
6 ligation-mediated PCR, called ChIA-PET, DNA regions physically nearby the  
7 ER $\alpha$ -bound regions have been extensively sequenced. The results using E2-treated  
8 MCF-7 cells showed 1451 intra-chromosomal and, surprisingly, 15 inter-chromosomal  
9 overlapping clusters. Each of these more than one thousand clusters contains several  
10 genes, many of which showed coordinated upregulation of their transcription by E2  
11 treatment. The regions close to the ER $\alpha$ -bound regions showed a tendency to rapidly  
12 constitute active chromatin structures reminiscent of the active gene transcription in  
13 response to E2. Even the genes in these clusters located relatively far from the  
14 ER $\alpha$ -bound region also seemed responsive to E2, resuming transcription two days later  
15 on average. Such a tendency was not seen for the genes outside of the clusters.  
16 Therefore, the time lag of transcription alteration between the immediate-early and  
17 other genes raises the interesting possibility that genes in a cluster constitute an  
18 intra-chromosomal loop structure for a commonly regulated epigenetic setting (Fig. 1).  
19 In this model, a specific gene cluster could be organized to restrict the epigenetic effects  
20 of the estrogen-ER $\alpha$  complex within a loop to strengthen the coordination of the  
21 transcription, and this structure would isolate this effect to prevent leaky transcription of  
22 the genes located outside of the loop. The differential ER $\alpha$ -triggered epigenetic setting

1 depending on cell type may account for the tissue- and sex-dependent differences of cell  
2 fates resulting from various degrees of responsiveness to estrogen.

3

#### 4 **Cell-Dependent Autoregulatory Loops of Sex-Steroid Receptor Genes**

5 Estrogen signaling frequently affects the ER $\alpha$  transcription in ER $\alpha$ -positive  
6 cells. That is, ER $\alpha$ -positive cells can sensitize or desensitize their estrogen signaling  
7 pathways in a cell-intrinsic manner. For example, a low dose of E2, given to  
8 ovariectomized animals to mimic the preovulatory estrogen surges, acutely enhanced  
9 ER $\alpha$  as well as PR gene expression in specific uterine cells (Ing and Tornesi, 1997).  
10 These promoters were also modulated by E2 in estrogen-responsive breast cancer cell  
11 lines (Donaghue et al., 1999; Saceda et al., 1988). In the case of T47D, ZR-75, and  
12 EFM-19 breast cancer cells, E2 increased ER $\alpha$  expression. In contrast, the ER $\alpha$   
13 promoter was downregulated by E2 in MCF-7 breast cancer cells, in which E2 reduced  
14 the receptor expression. Therefore, ER $\alpha$  regulation by estrogen may strongly differ in  
15 different cells. The kinetics of ER $\alpha$  mRNA and protein expression in MCF-7 cells were  
16 investigated after acute treatment with E2 (Saceda et al., 1988). The data have  
17 suggested that E2 downregulates ER $\alpha$  mRNA by inhibition of ER $\alpha$  gene transcription at  
18 early times and by a posttranscriptional effect on receptor mRNA at later times. Actually,  
19 three promoters have been identified for human ER $\alpha$ . The use of the three promoters  
20 was examined in ER-positive breast cancer cell lines, cell lines derived from other  
21 malignancies, and some normal tissues (Donaghue et al., 1999). Many  
22 estrogen-responsive breast cancer cells used all three promoters. Cell lines derived from

1 other malignancies and other normal tissues that express lower levels of ER $\alpha$  showed  
2 more selective promoter usage. This raised the possibility that the level of expression of  
3 ER $\alpha$  is determined by the number of promoters used, rather than the selective use of  
4 specific promoters. However, the number of known alternative ER $\alpha$  promoters is still  
5 growing in the human, mouse and rat (Kos et al., 2001; Wilson et al., 2008), and  
6 therefore, the possibility that the selective usage of specific promoters accounts for the  
7 tissue- or cell-specific ER $\alpha$  expression cannot be ruled out.

8           In rodents, ER $\alpha$  mRNA is expressed in several brain regions, including the  
9 MPOA (Shughrue et al., 1992). In male mice, a high density of ER $\alpha$  was found in a  
10 small number of hypothalamic cells of the MPOA, arcuate, and ventromedial nuclei  
11 (Agarwal et al., 2000). A low or medium density of ER $\alpha$  was observed in cells of the  
12 lateral preoptic area, supraoptic nucleus, BNST, and in the central, medial and anterior  
13 cortical amygdaloid nuclei. Estrogens are believed to downregulate their own receptors  
14 in most rodent brain regions, because ovariectomy and subsequent EB supplementation  
15 very frequently increase and decrease the ER $\alpha$  mRNA level, respectively (Hamada et al.,  
16 2005; Lauber et al., 1991; Shughrue et al., 1992). This general tendency was also  
17 assessed with aromatase knockout (ArKO) mice in which conversion of T to E2 is  
18 impaired (Agarwal et al., 2000). The number of cells containing ER $\alpha$  protein was  
19 significantly increased in the MPOA of the ArKO male mice. Similarly, male rats  
20 treated with an aromatase inhibitor escaped from the inhibitory effect of T on ER $\alpha$   
21 expression in many brain regions, suggesting that T functions through its aromatization  
22 to E2 for the downregulation of ER $\alpha$  expression (Clancy and Michael, 1994). Thus,

1 estrogen can downregulate ER $\alpha$  in brain cells.

2           However, ER $\alpha$  regulation by estrogen seems to differ according to the brain  
3 region. For example, EB was shown to decrease immunostaining intensity for ER $\alpha$  in  
4 the ventrolateral hypothalamus and BNST, but not in the periventricular preoptic area or  
5 medial amygdala of female rats (DonCarlos et al., 1995; Lauber et al., 1991). Moreover,  
6 EB treatment fails to significantly downregulate ER $\alpha$  mRNA levels in male rats, in  
7 contrast to the downregulation in female rats (Lauber et al., 1991).

8

### 9 **DNA Methylation of the ER $\alpha$ Promoter**

10           To reconcile the mutually opposite effects of estrogen on ER $\alpha$  expression,  
11 namely, its upregulation and downregulation according to the cell type, it is necessary to  
12 think of the other factors that modulate the quantity of ER $\alpha$  mRNA. It should be noted  
13 that long-term deprivation of estrogen in the culture media of ER $\alpha$ -positive breast  
14 cancer cells can generate ER $\alpha$ -negative subclones that are completely insensitive to  
15 estrogen for their cell growth (Pink and Jordan, 1996). This phenomenon strongly  
16 suggests the involvement of an epigenetic mechanism to preset the local chromatin  
17 structure for the basal ER $\alpha$  expression. In this context, formation of transcriptional  
18 repression complexes including DNMT, HDAC and/or methyl-CpG binding protein is  
19 emerging as an important mechanism in silencing a variety of methylated tissue-specific  
20 and imprinted genes (Imamura et al., 2001). In fact, methylation of the ER $\alpha$  CpG island  
21 is associated with loss of ER $\alpha$  expression in human breast cancer cells (Ottaviano et al.,  
22 1994). Treatment of ER $\alpha$ -negative human breast cancer cells with the DNMT1 inhibitor

1 5-aza-2'-deoxycytidine (5-aza-dC) leads to ER $\alpha$  mRNA and protein re-expression  
2 (Ferguson et al., 1995). Also, the HDAC inhibitor trichostatin A (TSA) could induce  
3 ER $\alpha$  transcripts (Yang et al., 2000). In addition, the combination of 5-aza-dC and TSA  
4 induced a synergistic increase in ER $\alpha$  transcripts, occurring concomitantly with  
5 markedly reduced soluble DNMT1 expression and activity, partial demethylation of the  
6 ER $\alpha$  CpG island, and increased acetylation of histones H3 and H4. These data suggest  
7 that the activities of both DNMT1 and HDAC are key regulators of  
8 methylation-mediated ER $\alpha$  gene silencing.

9           It has been demonstrated that thousands of CpG islands show tissue- or  
10 cell-dependent patterns of DNA methylation (Shiota et al., 2002). Therefore, distinct  
11 DNA methylation patterns in the respective cells can establish or fix cellular phenotypes.  
12 On the one hand, each differentiated cell maintains its DNA methylation pattern (Shiota  
13 and Yanagimachi, 2002). On the other hand, dynamic DNA methylation changes occur  
14 during development, and cell differentiation is always associated with DNA methylation  
15 and demethylation, forming cell-specific patterns (Ohgane et al., 2002). DNA  
16 demethylation occurs through either passive mechanisms by inhibiting the DNMT1 or  
17 through active enzymatic reactions. Active demethylation has been observed in many  
18 cells but the mechanisms involved are relatively unknown (Collas, 1998; Fremont et al.,  
19 1997; Imamura et al., 2004; Jost et al., 1997; Jost and Jost, 1994; Jost et al., 1995; Jost  
20 et al., 1999; Kim et al., 2009; Ma et al., 2009). Although long-term maintenance of  
21 DNA methylation patterns is a prerequisite for an animal's life, the overall  
22 methylcytosine content gradually decreases in parallel with aging processes. Since gene

1 body regions account for only a small percentage of the genome, gain or loss of the  
2 overall methylcytosine content might largely affect “bulk” sequences such as  
3 transposable elements constituting heterochromatin structure to maintain chromosomal  
4 stability. In line with this tendency, most cancer cells show a hypomethylated status at  
5 the transposable elements (Baylin et al., 1998). Paradoxically, an overall decrease of  
6 DNA methylation content is associated with local induction of the DNA methylation at  
7 multiple gene loci (Baylin et al., 1998). Considering that the circulating and local  
8 contents of sex steroid hormones dynamically change according to the developmental  
9 and aging context, DNA methylation changes related to ER $\alpha$  expression could occur in  
10 various brain cells, including neurons, in a spatiotemporal manner.

11 In fact, recent studies have shown that the DNA methylation status of ER $\alpha$  in  
12 physiologically normal cells differs during development depending on the cell type. In  
13 the mouse cortex, ER $\alpha$  mRNA expression is high early in postnatal development but  
14 declines starting at postnatal day (P) 10 and is virtually absent in the adult cortex.  
15 Several regions of the ER $\alpha$  promoter displayed a significant increase in methylation at  
16 P18 and P25 compared with P4 (Westberry et al., 2010). In the mouse cortex, DNMT3A  
17 (the de novo DNMT) peaked at P10 and was decreased by P25. DNMT1 increased  
18 across development and stayed high in the adult cortex. A chromatin  
19 immunoprecipitation assay showed a correlation between association of MECP2 with  
20 the ER $\alpha$  promoter and the increase in DNA methylation and decrease in ER $\alpha$  expression  
21 after P10 (Westberry et al., 2010).

22 External stimuli also seem to affect the establishment of the methylation

1 pattern of the ER $\alpha$  promoter. Maternal care of rat pups can lead to long-term effects  
2 affecting the life-long response to stress in the offspring (Liu et al., 1997). Mothers that  
3 have high rates of licking and grooming behavior have offspring with a more modest  
4 response to stress. Adult offspring of mothers that exhibit high licking and grooming  
5 activity have increased expression of ER $\alpha$  mRNA in the MPOA, and this increased  
6 expression is associated with less methylation at the ER $\alpha$  promoter, while the ER $\alpha$   
7 promoter in offspring from low licking and grooming mothers is hypermethylated  
8 (Francis et al., 1999). In addition, variations in the rates of licking and grooming are  
9 inherited. Mothers with high rates of licking and grooming activity have pups that later  
10 exhibit similar behavior when they become mothers (Francis et al., 1999).

11

## 12 **A Model for the Estrogen Effect on ER $\alpha$ mRNA Expression**

13           With the analogy of brain learning, cells with the potential to transcribe ER $\alpha$   
14 may memorize the surrounding estrogen availability for the expression of specialized  
15 cell function. In line with this idea, during >8 months of deprivation of E2 from the  
16 culture media, breast cancer cells with a low level of ER $\alpha$  protein gradually lose the  
17 ability of ER $\alpha$  transcription (Pink and Jordan, 1996). After 2-month resupplementation  
18 of E2 in the media, these cells occasionally recover ER $\alpha$  expression competence. Such  
19 cells show steady ER $\alpha$  upregulation by E2 treatment. On the other hand, different cells  
20 originating from the same organ but with relatively higher levels of ER $\alpha$  mRNA might  
21 be affected by the possible destabilization activity of an E2-ER $\alpha$  complex that has been  
22 proved to bind to the coding sequence of ER $\alpha$  mRNA (Kaneko et al., 1993). This would

1 be possible if an excess amount of E2-ER $\alpha$  complex that could not enter into the  
2 nucleus due to physical or biochemical blockage remained located in the cytoplasm.  
3 Based on the several lines of information described above, a schematic representation of  
4 possible ER $\alpha$ -triggered alteration of the cell status is shown in Fig. 2.

5           Phases A and B: Starting from the low expression status of ER $\alpha$  at the early  
6 stage, cells show the capacity to incorporate the extracellular estrogen signal and try to  
7 amplify this signal by upregulation of ER $\alpha$ . This phase could be in a learning state of  
8 the cell in terms of establishing intra- and inter-chromosomal structures through the  
9 interaction of increased E2-ER $\alpha$  complex with widespread ERE in the genome for the  
10 large-scale epigenetic setting triggered by E2. After a considerable level of ER $\alpha$  is  
11 reached, cells behave to maintain the estrogen signal to keep the equilibrium state,  
12 which is reminiscent of the homeostatic state.

13           Phase C: During aging, overall DNA methylation and other chromatin  
14 modification activities gradually decrease in cells, while gene loci including ER $\alpha$  are  
15 targeted by local DNA methylation activity. In parallel, circulating E2 also decreases.  
16 This decrease within a certain time range is further memorized in cells to accelerate the  
17 DNA methylation of the ER $\alpha$  promoter, which is also frequently seen in ER $\alpha$ -negative  
18 cancer cells.

19           Phase D: If cells do not have the capacity or chance to incorporate the  
20 estrogen signals, a concomitant increase of general DNA methylation activity  
21 specifically or non-specifically closes the chromatin structure at the ER $\alpha$  *cis*-regulatory  
22 regions by DNA methylation and/or histone modifications such as methylation at lysine



1 9 of histone H3. Once cells learn the expression downregulation via DNA methylation  
2 and other epigenetic modifications, such cells would rarely express ER $\alpha$ , thereby  
3 greatly reducing the possibility of its expression except after abnormal treatment such as  
4 long-term exposure to estrogen.

5           The model shown here has been made based largely on cancer cell studies.  
6 Since the estrogen regulation system varies widely, it would be valuable to test several  
7 simple models of the phases described above. Of course, mechanisms mediating  
8 epigenetic alterations may differ in postmitotic brain cells and cancer cells of different  
9 origins. Nonetheless, it should be noted that acquiring the unmethylated status of the  
10 ER $\alpha$  promoter by either passive mechanisms (lack of faithful replication of DNA  
11 methylation patterns) or active epigenetic mechanisms (enzymatic mechanisms) is  
12 prerequisite for sensing the estrogen signal in postmitotic cells as well as cancer cells.  
13 Even in cells where estrogen can function to acutely downregulate the ER $\alpha$  transcription  
14 as represented by phase B, the ER $\alpha$  promoter is expected to be in unmethylated status.  
15 In other words, estrogen-sensing cells have learned to establish the unmethylated status  
16 in the ER $\alpha$  promoter. On the other hand, once DNA methylation occurs at the ER $\alpha$   
17 promoter during the course of development or aging as represented by phases C and D,  
18 it seems very hard to remove this methylation. Accordingly, defining the cells  
19 represented by the phase A would greatly accelerate clarification of the mechanism of  
20 brain masculinization.

21

22 **Differential Impact of AR Expression Setting Depending on Cells and Species**

1           In many androgen target tissues, androgens promote downregulation of AR  
2 mRNA levels (Hackenberg et al., 1992; Krongrad et al., 1991; Quarmby et al., 1990;  
3 Shan et al., 1990; Wolf et al., 1993). The amount of AR mRNA has been shown to  
4 increase with androgen withdrawal and to decrease below control levels after androgen  
5 stimulation in rat ventral prostate, coagulating gland, epididymis, seminal vesicle,  
6 kidney, and brain, and in several prostate cancer cell lines. In the case of the rat ventral  
7 prostate, AR mRNA increased within 24 h after castration and remained elevated for 4  
8 days (Quarmby et al., 1990). TP treatment beginning 24 h after this castration reduced  
9 ventral prostate AR mRNA within 8 h. In this case, E2 administration after castration  
10 had no significant effect on prostatic AR mRNA. Although the general tendency is  
11 androgen-mediated downregulation of AR, androgenic upregulation of AR mRNA has  
12 also been observed in a few tissues (Antonio et al., 1999; Gonzalez-Cadavid et al.,  
13 1993; Kerr et al., 1995; Khetawat et al., 2000; Nastiuk and Clayton, 1994; Wiren et al.,  
14 1997), which is reminiscent of the diversified autoregulation of ER $\alpha$  by estrogen.  
15 Administration of a non-aromatizable androgen, dihydrotestosterone (DHT), to  
16 castrated male rats has been shown to upregulate AR levels in the bulbocavernosus and  
17 levator ani muscles (Antonio et al., 1999). In humans, megakaryocyte and  
18 erythroleukemia AR expression is upregulated dose-dependently by T (up to 10 nmol/L),  
19 but downregulated by a much higher level of T (100 nmo/L) (Khetawat et al., 2000).  
20 Treatment of osteoblastic cells with DHT increased AR mRNA steadily in a time- and  
21 dose-dependent fashion (Wiren et al., 1997). Reporter assays with the proximal  
22 5'-flanking region of the human AR promoter reproduced this effect of DHT on RNA

1 expression (Wiren et al., 1997).

2           In the brain, AR mRNA expression occurs predominantly in the hypothalamus.  
3 The highest density of AR mRNA is localized in the central part of the MPOA and the  
4 principal portion of the BNST (Handa et al., 1996). Castration of adult male rats causes  
5 an increase in AR mRNA density in both brain areas. Therefore, the negative regulation  
6 of the expression of AR by androgen seems to be largely restricted to the predominant  
7 area of AR function. However, a situation similar to that of the differential  
8 autoregulation of ER $\alpha$  together with estrogen could again be observed for AR. In fact,  
9 comparable levels of AR mRNA can be found in specific cells of the hippocampus. CA1  
10 pyramidal cells are AR-positive and form the major signal output of the hippocampal  
11 trisynaptic circuit. A significant decrease occurs in the AR mRNA content of the  
12 hippocampus in rats after castration or in intact male rats after daily injections of the AR  
13 antagonist flutamide (Kerr et al., 1995).

14           It has been proposed that methylation of CpG sites in the AR promoter may  
15 influence the long-term but reversible inactivation of transcription of the AR gene in  
16 androgen-independent metastatic prostate cancers (Jarrard et al., 1998; Kinoshita et al.,  
17 2000). Normal prostate epithelial cell strains showed no DNA methylation of the AR  
18 gene. In contrast, increased methylation was seen in the AR expression-negative cell  
19 lines Du145, DuPro, TSU-PR1, and PPC1, as well as in normal female breast and  
20 ovarian tissues. Exposure of AR-negative prostate cancer cell lines to 5-aza-dC induced  
21 the reexpression of AR mRNA in AR expression-negative cell lines (Jarrard et al.,  
22 1998).

1            Interestingly, in the male and female mouse brain cortex, methylation of a few  
2 CG sites in the AR core promoter has been shown to be increased by TP, but decreased  
3 by E2 (Kumar and Thakur, 2004a). These sex steroid hormones concomitantly affected  
4 DNase I accessibility to the AR core promoter (Kumar and Thakur, 2004b), suggesting  
5 that setting of the chromatin structure on the AR promoter occurs with ER $\alpha$  and AR. In  
6 fact, in the adult male rat, androgen and estrogen act synergistically in the regulation of  
7 male reproductive behaviors (Baum, 1979; Feder et al., 1974; Morali et al., 1977). In  
8 the MPOA and BNST of the adult male rat, AR and ER $\alpha$  mRNAs have been found to be  
9 distributed in unique but overlapping patterns (Handa et al., 1996). In this case,  
10 treatment of castrated adult males with DHT reversed the effects of castration on AR  
11 mRNA in both the short- and long-term castrated animals, but had no effect on ER $\alpha$   
12 mRNA in either of these brain nuclei, whereas EB treatment increased AR mRNA in the  
13 long-term castrates only and decreased ER $\alpha$  mRNA in both long- and short-term  
14 castrates. This suggests a complex regulation of AR in specific brain regions. So far,  
15 little information is available about the DNA methylation pattern on the AR as well as  
16 the ER $\alpha$  gene after the short- and long-term androgen exposure or removal. Nonetheless,  
17 AR has been shown to regulate the masculinization of the mouse brain (Sato et al.,  
18 2004). Furthermore, several lines of pharmacological evidence have suggested that AR  
19 is the predominant target for androgen-triggered brain masculinization in some species.  
20 In rhesus monkeys, prenatal administration of the nonaromatizable androgen DHT to  
21 females caused coital masculinization as readily as T (Pomerantz et al., 1986). In a  
22 carnivore, the ferret, neonatal exposure to T, but not its metabolites E2 or DHT, caused

1 coital masculinization (Baum, 1976; Baum et al., 1983; Baum et al., 1982). Therefore,  
2 in addition to the setting of the chromatin modifications on ER $\alpha$ , that on AR by  
3 androgen and estrogen could cause comparable impacts on brain masculinization.

4

## 5 **Concluding Remarks**

6 Nuclear estrogen and androgen signaling pathways are very complex.  
7 Diversified autoregulatory loops of ER $\alpha$  or AR caused by its direct interaction with  
8 estrogen or androgen further make it difficult to understand the whole picture of sex  
9 steroid signaling. Epigenetic modifications, especially DNA methylation of the ER $\alpha$  and  
10 AR gene regions, could partially explain the highly irreversible changes of cell  
11 responsiveness to sex steroid hormones in mammals. Local epigenetic engineering of  
12 ER $\alpha$  and AR, if it can be achieved, could be used to determine the exact degree of the  
13 contribution of DNA methylation to cell fate specification during development and  
14 aging in future studies (Imamura et al., 2004). This would be especially important for  
15 making the next breakthrough in understanding the irreversibility of sex-dependent  
16 behaviors.

17

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- 19

1 **Figure Legends**

2

3 Figure 1. ER $\alpha$  target gene regulation by chromatin setting through ERE-ER $\alpha$   
4 interactions.

5 In this model, E2 alters the chromatin structure by E2-ER $\alpha$  association with the estrogen  
6 responsive element (ERE). On the ERE-ER $\alpha$  complex, several cofactors are recruited to  
7 concordantly establish the highly ordered chromatin structure. The E2 effect is exerted  
8 on many genes within an ERE-containing cluster. Genes proximal to the ERE tend to  
9 show rapid upregulation whereas expression of distal genes occurs later on. The ERE  
10 effect could be restricted by insulators to prevent changes of the expression of genes  
11 located outside of the cluster.

12

13 Figure 2. Cells with differential ER $\alpha$  expression setting by estrogen and DNA  
14 methylation during development and senescence.

15 Panel A indicates a cell in which E2 starts being incorporated at early developmental  
16 stages, such as the perinatal stage of mice corresponding to the critical period of brain  
17 masculinization. ER $\alpha$  could enter into the nucleus to upregulate the ER $\alpha$  mRNA  
18 expression. After a number of cycles of synergistic increase of ER $\alpha$  by an increase of  
19 circulating E2, E2-ER $\alpha$  association with ER $\alpha$  mRNA occurs in the cytoplasm. This kind  
20 of blockage and other biochemical signals could prevent the entry of E2-ER $\alpha$  into the  
21 nucleus, as shown in panel B. These negative regulations of ER $\alpha$  mRNA expression  
22 allow the stable existence of ER $\alpha$  in a cell. Panel C represents an aged cell in which



1 overall DNA methylation activities, represented by hexagons, are diminishing. Decrease  
2 of the circulating E2 is accompanied by senescence. Long-term decrease of E2 and the  
3 resultant reduced content of ER $\alpha$  could be sensed by the cell, which would as a result  
4 change the DNA methylation status of the ER $\alpha$  promoter from hypomethylated (open  
5 lollipops) to hypermethylated (filled lollipops) according to the lack of need for ER $\alpha$   
6 even if the overall DNA methylation level were lowered. In contrast to the senescence  
7 stage, developmental stage cells contain much higher DNA methylation activities which  
8 react with genes as well as heterochromatin regions. If a cell is not exposed to E2, there  
9 is no chance of ER $\alpha$  expression, leading to long-term repression by DNA methylation  
10 and other modifications reminiscent of the closed chromatin structure, as shown in  
11 panel D.

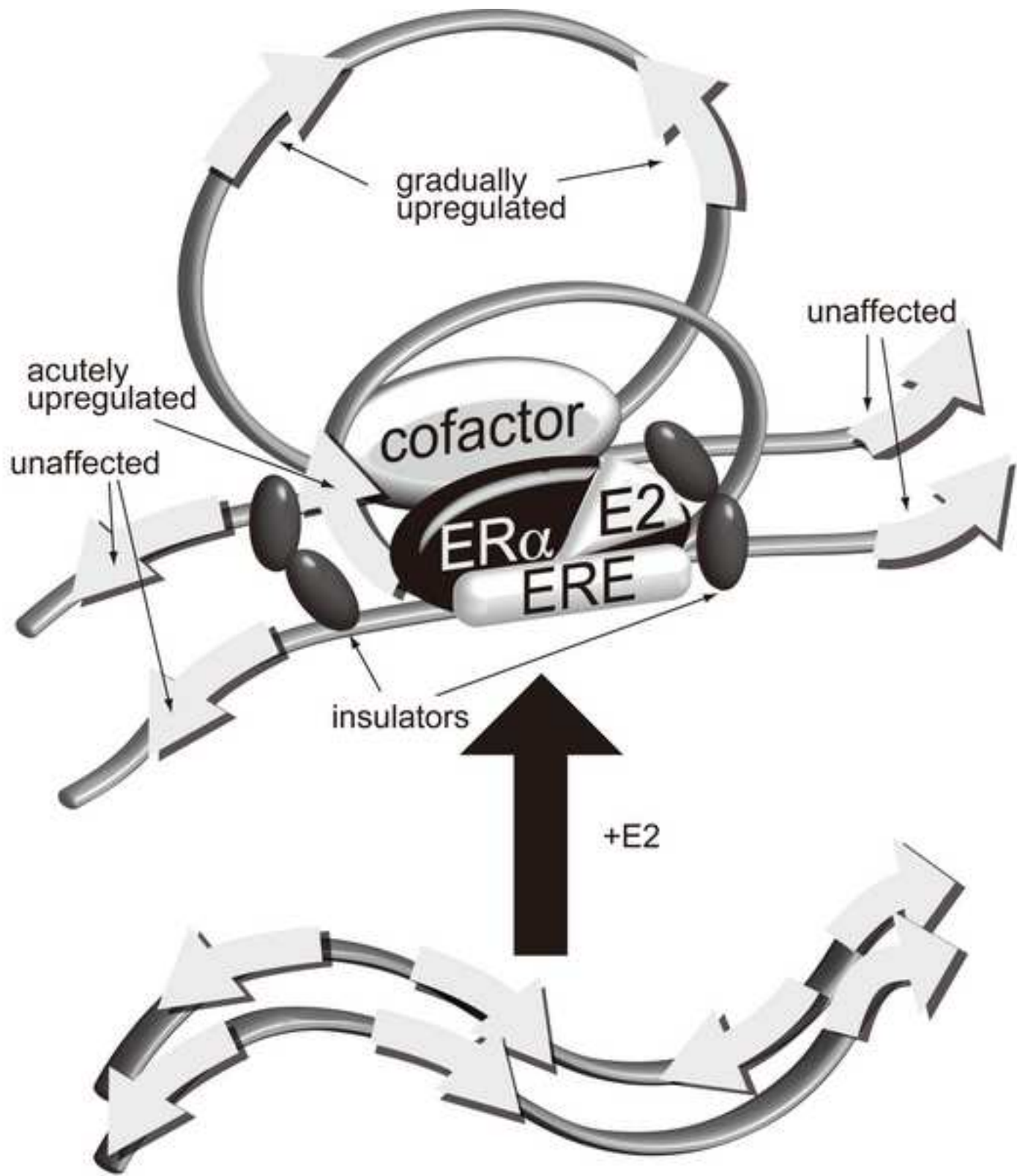


Figure 1

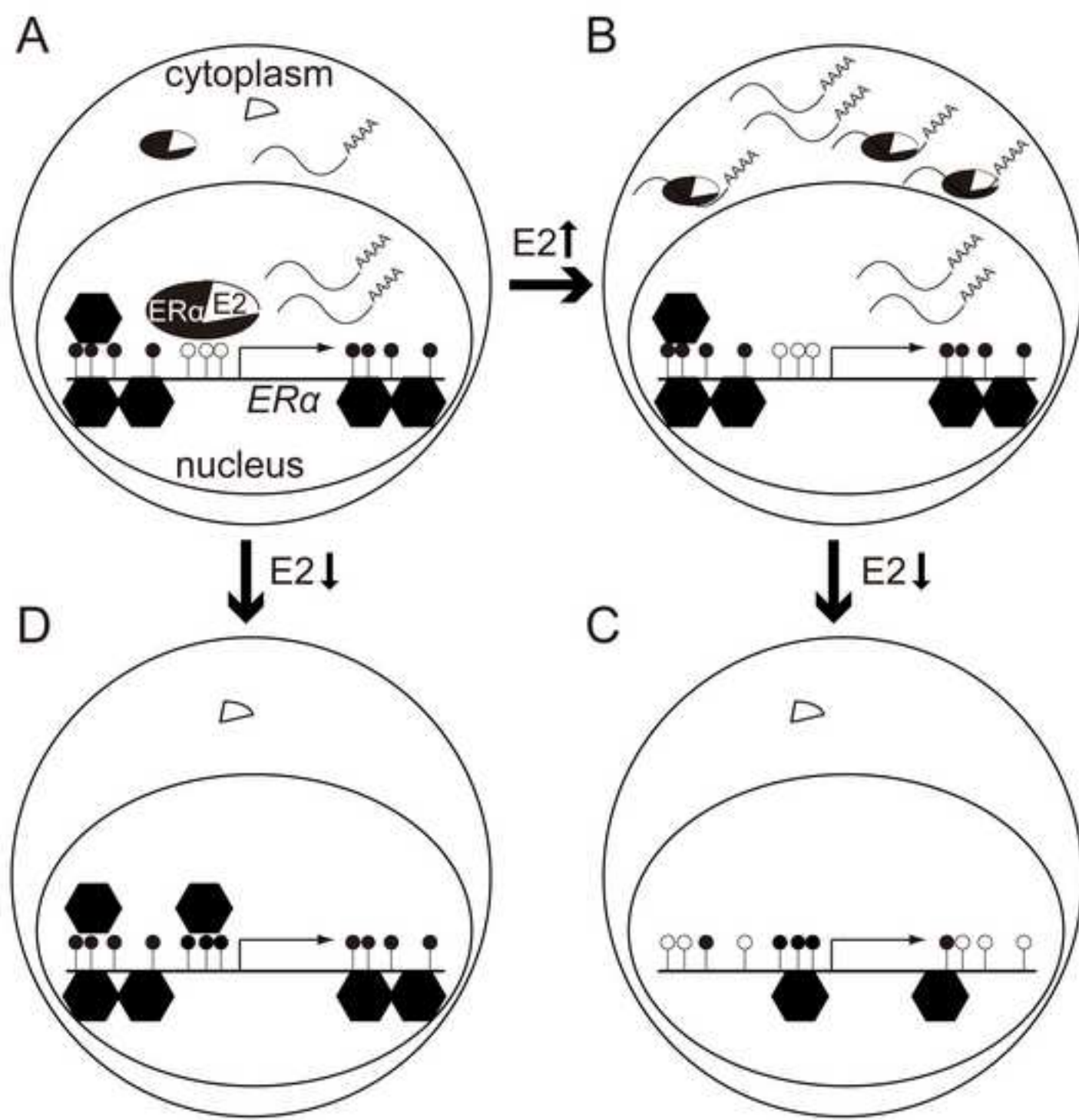


Figure 2