Synthesis, structures, and aromaticity of phosphole-containing porphyrins and their metal complexes*

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Abstract: Our recent studies on the synthesis, structures, and aromaticity of phosphole-containing porphyrins and their metal complexes are summarized. Core-modified P,X,N2-porphyrins (X = S, N) are accessible from a σ4-phosphatripyrrane and the corresponding 2,5-di-functionalized heteroles in a few steps. X-ray structural analysis of the σ3-P,N3 porphyrin revealed that it possesses a slightly distorted 18π plane. The phosphorus atom incorporated at the core plays an important role in producing unprecedented reactivity and coordinating ability for the porphyrin ring. The P,N3 free base reacts with [RhCl(CO)2]2 in dichloromethane, ultimately yielding an 18π P,N3–rhodium(III) complex, whereas the P,S,N2 free base undergoes redox-coupled complexation with zero valent group 10 metals to afford the corresponding P,S,N2-isophlorin–metal(II) complexes. The aromaticity of the free-base porphyrins and the metal complexes was uncovered based on both experimental and theoretical results. It is of particular interest that the P,S,N2-isophlorin–metal complexes exhibit only a weak antiaromaticity in terms of the magnetic criterion.

Keywords: aromaticity; coordination; core-modification; phosphole; porphyrin.

INTRODUCTION

Core-modification is an indispensable method for altering the optical, electrochemical, and coordinating properties as well as the reactivity of a porphyrin π-system, and many examples have been reported for carbon- or chalcogen-modified derivatives [1]. The incorporation of a phosphorus atom at the core is also a promising strategy for developing new areas of porphyrin-based coordination and materials chemistry, because the phosphole subunit behaves both as a neutral P ligand and as a highly conjugative 1,3-dienic π-system [2]. Until recently, however, phosphole-containing porphyrins (phosphoporphyrins) have not been addressed in the literature [3,4], probably due to the limited access to their potential precursors. Recently, we established a convenient method for the synthesis of various 2,5-di-functionalized phospholes via titanacycle intermediates [5]. This enabled us to obtain the first examples of the phosphole-containing porphyrin family of the P,X,N2-type [6–8]. It has been clarified that this class of compounds exhibits unprecedented coordination behavior as mixed-donor macrocyclic ligands.

*Paper based on a presentation at the 9th International Conference on Heteroatom Chemistry (ICHAC-9), 30 June–4 July 2009, Oviedo, Spain. Other presentations are published in this issue, pp. 505–677.
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Our studies concerning the synthesis and coordination chemistry of the phosphole-containing porphyrin family were overviewed in a recent account [1j]. Herein we particularly focus on the phosphaporphyrins and their metal complexes, and describe their structures and aromaticity in detail.

SYNTHESIS OF PHOSPHAPORPHYRINS

The phosphole-containing hybrid porphyrins (denoted hereafter as P,X,N2-porphyrins) 4X (X = N, S) were successfully synthesized from P-masked phosphatripyrrane 1 and 2,5-difunctionalized heteroles 2X (X = N, S) [9,10] by three-step sequential reactions (Scheme 1) [6]: (i) BF3-promoted dehydrative condensation of 1 with 2X, (ii) desulfurization at the phosphorus center of 3X-S (X = N, S) with P(NMe2)3, and (iii) ring oxidation of σ3-porphyrinogens 3X (X = N, S) with 2,3-dichloro-5,6-dicyanobenzoquinone (DDQ). During the ring oxidation from 3X to 4X side reactions took place probably via P-oxidation (vide infra). The target P,X,N2-porphyrins 4N and 4S were isolated as reddish purple solids after column chromatography on alumina, and their structures were fully characterized by spectroscopy and X-ray crystallography (vide infra). In an attempt to synthesize a TPP-type phospha-porphyrin (TPP = 5,10,15,20-tetraphenylporphyrin) based on a different [3+1] approach, Mathey and co-workers isolated a small amount of “P-confused” carbaporphyrinoid instead of normal phosphaporphyrin [4]. These results indicate that a suitable choice of precursors is of utmost importance in promoting the desired porphyrin-ring formation.

The P,X,N2-porphyrins 4X exhibit unique reactivities depending on the heteroatom (X) (Scheme 2). On treatment with H2O2, the 18π σ3-P,X,N2-porphyrins 4N and 4S were gradually transformed into 22π σ4-P,N2-porphyrin 6 and 20π σ4-P,S,N2-porphyrin 7, respectively, as major isolable products [6b,c]. These reactions are probably initiated by oxygenation at the phosphorus center, though intermediates 5X could not be isolated due to their instability. The major route for the second step for 5N is dehydrogenation of the fused five-membered ring, whereas that for 5S is hydrogenation of the macrocycle. The completely different behavior observed for 4N and 4S demonstrates that the combination of core heteroatoms plays a crucial role in determining reaction courses for the phosphole-containing porphyrin π-systems.

Scheme 1 Synthesis of P,X,N2-porphyrins.
COMPLEXATION WITH TRANSITION METALS

With P,X,N₂ free bases 4X in hand, we examined their complexation reactions with late transition metals. As shown in Scheme 3, treatment of the σ³-P,N₃-porphyrin 4N with [RhCl(CO)₂]₂ in CH₂Cl₂ at room temperature gave Rh–P,N₃ complex 8 as a sole isolable product [11]. During this complexation, Rh(I) is oxidized to Rh(III), and the P,N₃ platform behaves as a monoanionic ligand while keeping the 18π circuit. The σ³-P,S,N₂-porphyrin 4S reacted with Pd(dba)₂ to produce Pd–P,S,N₂ complex 9Pd in quantitative yield within a few minutes at room temperature (Scheme 3), while no complexation took

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place between S₂N₂-porphyrin 10 and Pd(dbta)₂ even after refluxing in 1,2-dichlorobenzene for 5 h [12]. The theoretical studies (density functional theory, DFT, calculations) on model compounds support the observed results; the palladium complexation with a model of 4S is thermodynamically favorable, whereas that with a model of 10 is unfavorable. These results show that the core-phosphorus atom in 4S contributes significantly to the facile complexation with palladium.

Both experimental and theoretical studies indicate that the oxidation states of the palladium center and the macrocyclic P,S,N₂ ligand in 9Pd are +2 and −2, respectively. It is therefore likely that the redox-coupled complexation, namely, simultaneous or stepwise processes including P-to-metal coordination and metal-to-ligand electron transfer, occurs in the reaction between 4S and the zero valent palladium. When Ni(cod)₂ or Pt(dbta)₂ was used in place of Pd(dbta)₂, a similar reaction occurred to produce Ni–P,S,N₂ complex 9Ni and Pt–P,S,N₂ complex 9Pt, respectively [12]. Complexes 9M have a dianionic 20π P,S,N₂-porphyrin (P,S,N₂-isophlorin) ligand, and are the first examples of air stable core-modified isophlorin–metal complexes.

CRISTAL STRUCTURES

Figure 1 depicts the structures of 4N, 6, 8, and 9Pd [6b,c,11,12]. The deviation parameters, which are distances of the 24 atoms from the 24-atom mean plane, and interatomic distances between the two facing core heteroatoms are also included.

In all compounds, the P-phenyl group is located above the π plane, which exemplifies typical geometry of the σ³ (trigonal pyramidal) and σ⁴ (tetrahedral) phosphorus centers. The shape and the deviation from planarity of the porphyrin π plane are strongly dependent on the geometry of the phosphorus atom as well as on the components of the π-systems. The 18π σ³-P,N₃-porphyrin 4N possesses a slightly distorted 18π plane, wherein the phosphole and three pyrrole rings are somewhat tilted from the 24-atom mean plane (Fig. 1a) [6b]. It seems likely that the porphyrin 18π circuit does not involve the lone electron pair of the σ³-P atom. On the contrary, the 22π σ³-P,N₃-porphyrin 6 has a highly ruffled structure, where the phosphorus atom is deviated significantly from the porphyrin π plane (1.20 Å for 6 vs. 0.34 Å for 4N) to avoid the steric congestion at the core (Fig. 1b) [6b]. Accordingly, the P•••N₂₃ distance of 6 is considerably lengthened as compared with that of 4N. In addition, inclinations of N₂₂ and N₂₄-containing pyrrole rings from the mean π plane of 6 are larger than those of 4N. The observed distance between the oxygen and nitrogen atoms (O•••N₂₂ and O•••N₂₄, each 2.65 Å) suggests that the unusual 22π network is stabilized through the intramolecular P–O•••H–N hydrogen-bonding interaction. It has been elucidated that the 20π σ⁴-P,S,N₂-porphyrin 7 is also stabilized by a similar interaction [6c]. Figure 1c depicts the crystal structure of 8, in which the Rh(III) center adopts an octahedral geometry with two chlorine atoms above and below the π plane [11]. It should be noted that the phosphorus and three nitrogen atoms are almost on the same plane. The P,N₂-porphyrin platform is more distorted than that of 4N, which is reflected in the deviation parameters at the phosphorus atom (0.75 Å for 8 vs. 0.34 Å for 4N) and the elongation of the P•••N₂₃ distance from 3.45 to 4.22 Å. As shown in Fig. 1d, the palladium center of 9Pd adopts a square planar geometry coordinated by four core heteroatoms, and the phosphorus and sulfur atoms are displaced largely from the mean π plane comprised of the 24 atoms [12]. In this complex, the phosphorus and sulfur atoms are deviated toward opposite directions (0.89 Å for P and −0.88 Å for S) against the mean π plane. Importantly, the P,X,N₂-hybrid ligands change their shapes to bind metals under the most suitable coordination environments at the core. The peripheral carbon–carbon bond alternation (not shown here) of these phosphole-containing porphyrins implies the significant contribution of 18π (4N and 8), 20π (7 and 9Pd), or 22π (6) annulene circuits depicted in Schemes 1–3. With this structural information in hand, a few fundamental questions arise. Are they aromatic, antiaromatic, or nonaromatic? How large is the aromaticity of each compound? Which factors determine the aromaticity of this class of compounds? These issues are discussed in the following section.

AROMATICITY

Aromaticity has long been the subject of numerous studies in porphyrin chemistry, because it depends on a variety of factors such as ring size, peripheral substituents, central metals, and core elements. In this context, the aromaticity is a key index for characterizing the porphyrin π-systems, and has been generally evaluated in terms of energetic (resonance stabilization), structural (bond length alternation, planarity), and magnetic (ring current effects) criteria. Among them, the magnetic ring current effects

Fig. 1 Side views and deviations of (a) 4N, (b) 6, (c) 8, and (d) 9Pd. In the side views, hydrogen atoms (except for NH) and meso-phenyl groups are omitted for clarity. The values in the middle column are distances (in Å) of the 24 atoms from the 24-atom mean plane. Solid circles and open circles indicate directions of the deviation (plus and minus) from the mean plane.

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of the \( \pi \) circuit are experimentally observable as unusually shielded or deshielded chemical shifts of the inner and outer (peripheral) protons, and are theoretically predictable as nucleus-independent chemical shifts (NICS) or ring-current densities [13]. Based on the observed and calculated data, the effects of core elements, \( \pi \)-conjugation modes, and central metals on the aromaticity are discussed briefly.

To evaluate diatropic and paratropic ring current effects quantitatively, \( \text{P,}\text{X,}\text{N}_2 \)-calixpyrins 11X (\( \text{X} = \text{N, S} \)), 12, and 13, wherein the \( \pi \)-circuits are disrupted at two sp\(^3\) \text{meso} carbon, are used as references [7]. That is, upfield/downfield shifts (\( \Delta \delta \)) of the heterole-\( \beta \) (peripheral) protons and P-phenyl ortho/meta/para protons of 4X, 8, and 9Pd from the corresponding protons of 11X, 12, and 13 (Fig. 2) represent the character of the aromaticity, and the absolute values of \( \Delta \delta \) reflect the degree of the ring current effects.

Fig. 2 Upfield/downfield shifts (\( \Delta \delta \)) of the \( \beta \) and P-phenyl protons of (a) P,N\(_3\) derivatives 4N and 8 from those of 11N and 12, and (b) P,S,N\(_2\) derivatives 4S and 9Pd from those of 11S and 13.
In the $^1$H NMR spectra of the free bases, the heterole-$\beta$ protons of 4X are deshielded as compared with the corresponding protons of calixphyrins 11X, whereas the P-phenyl protons as well as the NH proton (4N) are shielded relative to those of 11X [6,7]. Obviously, the significant downfield and upfield shifts stem from large diatropic ring current effects of the porphyrin $18\pi$ circuit in 4X. The degree of shielding effects on the P-phenyl-$ortho$, $meta$, and $para$ protons decreases in this order (Fig. 2), indicating that the P-phenyl group is located above the 18\pi plane. It is noteworthy that the core modification with phosphorus provides additional information about the ring current effects, as the $^{31}\text{P}$ nucleus is NMR active. Indeed, the $^{31}\text{P}$ peaks of porphyrins 4N ($\delta$ 5.2) and 4S ($\delta$ 18.6) are very deshielded relative to the respective peaks of calixphyrins 11N ($\delta$ 24.0) and 11S ($\delta$ 26.7). The Rh–P,N$_3$ complex 8 also shows diatropic ring current effects in its $^1$H NMR spectrum (Fig. 2a) [11], although the shielding effect on the P-phenyl group is somewhat smaller than that observed for the free base 4N. This is ascribable to the difference in relative conformation of the phosphole–$18\pi$ planes between 8 and 4N (vide supra). In sharp contrast to 8, the M–P,S,N$_2$ complexes 9M display very weak paratropic ring current effects on their NMR chemical shifts (Fig. 2b) [12]. For example, the peripheral and P-phenyl protons of 9Pd are slightly shielded and deshielded, respectively, as compared with those of 13. These observations indicate that the 20\pi P,S,N$_2$ circuit of 9M contains only a little antiaromatic character in terms of the magnetic criterion. As indicated by no detectable change in the spectral pattern and chemical shifts in the temperature range from –50 to 50 °C, the 20\pi-system in 9M is sufficiently rigid and does not undergo rapid $\pi$-bond shift in solution.

The NICS values (negative = aromatic; positive = antiaromatic; zero = nonaromatic) calculated for model compounds (Fig. 3) basically support the above-mentioned NMR spectral features. The degree of aromaticity is reflected in absolute NICS values; the more negative or more positive the NICS values are, the more aromatic or more antiaromatic the $\pi$ circuits are. As listed in Fig. 3, the NICS values at positions $a$ and $b$ in the P,X,N$_2$ models 4X–m are indicative of a fully aromatic character, although they are not as negative as the corresponding values of the parent N$_4$-porphyrin and the S$_2$N$_2$ model 10–m [6,13a]. The small, negative NICS values calculated for 9Pd–m may support the experimentally observed results; the 20\pi P,S,N$_2$-isophlorin ring in 9Pd–m contains very weak antiaromaticity based on the DFT results. To rationalize the loss of paratropicity observed and calculated for the isophlorin complexes 9M, at least three factors should be taken into consideration: (i) the electronic effects of core elements, (ii) the electronic effects of central metals, and (iii) the deviation from planarity of $\pi$ circuits [14]. To evaluate these factors, NICS values of Pd–S$_2$N$_2$ and Mg–P,S,N$_2$ model complexes (Pd–S$_2$N$_2$–m and 9Mg–m) were also calculated as references [12]. At the optimized structure, NICS values of Pd–S$_2$N$_2$–m are close to those of 9Pd–m, implying that the replacement of phosphorus with sulfur does not alter the ring current effects much. This is probably due to the similarity of these two heteroatoms in terms of the electron number and covalent bond radii. On the other hand, 9Mg–m displays rather positive NICS values of +2.66 and +3.03, despite the fact that the optimized geometry of its $\pi$-system is similar to that of 9Pd–m. It is therefore likely that the lack of paratropic ring currents in 9M–m stems partially from the electronic nature of the central group 10 metals.

Care must be taken to quantitatively evaluate the third factor, deviation from planarity, as the shape, the size, and the rigidity (flexibility) of $4n\pi$-systems should all be considered when interpreting the paratropism of [4n]annulenes. In the pioneering studies on the structure–property relationship of core-modified isophlorins, Vogel and co-workers exemplified conformation-induced loss of paratropism in a saddle-shaped tetra-$N$-methyl $\beta$-octaethylporphyrin (N$_4$-isophlorin) [15]. Chen’s $\beta$-tetrakis(trifluoromethyl)-meso-tetraphenylporphyrin also adopts a highly distorted saddle conformation and shows almost complete conformation-induced loss of paratropicity in the 20\pi isophlorin system [16]. By contrast, Setsune’s N$_{21}$,N$_{22}$-bridged N$_4$-isophlorins are not highly deviated from planarity and exhibit paratropic ring current effects in the $^1$H NMR spectra [17]. Anand’s core-modified O$_4$- and O$_2$S$_2$-isophlorins bearing meso-pentafluorophenyl groups have a completely planar structure and display antiaromatic character [18]. In addition to these isophlorin free bases, a few TPP-type metal–N$_4$-
isophlorin complexes have recently been characterized by spectroscopy and/or X-ray crystallography [19,20]. Interestingly, Vaid's silicon(IV)– and germanium(IV)–N4-isophlorin complexes display significant antiaromatic character, despite having highly ruffled \(20\pi\)-systems [19]. These studies have revealed some intrinsic factors controlling the paratropicity of isophlorin derivatives. However, the number of structurally characterized examples is quite limited, and the effects of other factors such as the highest occupied molecular orbital–lowest unoccupied molecular orbital (HOMO–LUMO) gap and heavy-atom effects should also be investigated. In this regard, understanding of the structure–aromaticity relationship of this unusual \(20\pi\)-system is still a challenging task from both experimental and theoretical points of view.

**OPTICAL AND ELECTROCHEMICAL PROPERTIES**

The optical and electrochemical properties of porphyrin derivatives are closely related to the electronic structures as well as the aromatic characters of their \(\pi\) circuits. In this section, the absorption and redox properties of some P,X,N2 porphyrin derivatives are compared with each other. In the UV–vis absorption spectra of the \(18\pi\) \(\sigma^3\)-P,X,N2-porphyrins 4X, the characteristic two transitions, B (Soret) and Q bands, are clearly observed, wherein the lowest-energy Q band reaches the near infrared region (700–800 nm) [6]. The Soret bands of 4N (\(\lambda_{\text{max}}\) 431 nm) and 4S (\(\lambda_{\text{max}}\) 440 nm) are red-shifted relative to those of TPP (\(\lambda_{\text{max}}\) 411 nm) [21] and S2,N2 reference 10 (\(\lambda_{\text{max}}\) 426 nm). These results show that the incorporation of a phosphorus atom considerably reduces both the S0–S2 and S0–S1 excitation energies. The \(18\pi\) Rh–P,N3 complex 8 also shows characteristic Soret and Q bands, whereas the \(20\pi\) Pd–P,S,N2-isophlorin complex 9Pd displays broad and blue-shifted Soret-like bands and no detectable Q bands, which is typical of highly ruffled, nonaromatic \(4n\pi\) porphyrinoids [22].

As shown in Fig. 4 (for 4S), the electrochemical oxidation of \(18\pi\) P,X,N2-porphyrins 4X proceeds irreversibly, whereas the reduction proceeds reversibly in cyclic voltammetry [6]. The first oxidation and reduction potentials (\(E_{\text{ox}}\) and \(E_{\text{red}}\) relative to Fe/Fe\(^{+}\) couple) of 4N (\(E_{\text{ox}}\) = +0.38 V; \(E_{\text{red}}\) = –1.51 V) are shifted to the negative and positive sides, respectively, as compared with those of TPP (\(E_{\text{ox}}\) = +0.58 V; \(E_{\text{red}}\) = –1.73 V) [21]. It is evident that the incorporation of a phosphorus atom raises the HOMO level, lowers the LUMO level, and, as a consequence, narrows the HOMO–LUMO gap of the porphyrin \(\pi\)-system. Further incorporation of the sulfur atom (from 4N to 4S) induces positive shifts of both \(E_{\text{ox}}\) and \(E_{\text{red}}\) (\(E_{\text{ox}}\) = +0.45 V; \(E_{\text{red}}\) = –1.36 V). In contrast to 4S, Pd– and Pt–P,S,N2 complexes 9Pd and 9Pt show reversible oxidation processes in the considerably negative region (\(E_{\text{ox}}\) = –0.26 V; \(E_{\text{red}}\) = –2.07 V for 9Pd, \(E_{\text{ox}}\) = –0.24 V; \(E_{\text{red}}\) = –2.07 V for 9Pt), which clearly reflects the 20\(\pi\) isophlorin structures of these compounds [12]. Despite having an electrochemically oxidizable \(\pi\) circuit, both 9Pd and 9Pt are chemically stable and resist aerobic oxidation under ambient conditions. This is a remarkable property endowed by the core-modification with phosphorus, because most of the isophlorins and their metal complexes reported so far are air sensitive. There are only small differences in the redox poten-
tials between \( \text{9Pd} \) and \( \text{9Pt} \), suggesting that the central metal in \( \text{9M} \) does not significantly alter the electronic character of the \( \text{P,S,N}_2 \)-isophlorin \( \pi \) circuit [12].

**CONCLUSION**

Phosphole-containing \( \text{P,X,N}_2 \)-porphyrins are now accessible from a phosphatripyrrane and the corresponding 2,5-difunctionalized heteroles. Our recent studies have disclosed that the reactivity and coordination behavior of these hybrid porphyrins rely deeply on the combination of the core heteroatoms. In the P-oxidation, the 18\( \pi \) \( \text{P,X,N}_2 \)-porphyrins undergo \( \pi \)-reorganization to afford different types of unusual \( \pi \)-systems depending on \( \text{X} \). In the complexation, the \( \text{P,N}_3 \) free base binds rhodium at the core without changing the \( \pi \)-system to give the aromatic 18\( \pi \) \( \text{Rh–P,N}_3 \) complex, whereas the \( \text{P,S,N}_2 \) free base undergoes redox-coupled complexation with zero valent nickel, palladium, and platinum to afford the weakly antiaromatic 20\( \pi \) \( \text{M–P,S,N}_2 \) complexes. Notably, the latter result provides a conceptually new approach to construct chemically stable 20\( \pi \) porphyrins (isophlorins) by the combined use of two methodologies, core modification and metal complexation. The observed structures, reactivities, and coordinating properties of the \( \text{P,X,N}_2 \)-porphyrins are undoubtedly produced by the phosphorus atom at the core. In this context, the phosphole-containing porphyrins are regarded as metal-affinitive macrocyclic \( \pi \)-systems and could be developed as new classes of metal sensors, sensitizers, and catalysts. Our next research projects, which include exploration of the coordination chemistry of phosphole-containing expanded porphyrins, are currently underway in our laboratory.

**ACKNOWLEDGMENTS**

We deeply thank our co-workers, Mr. Makoto Nakashima, Mr. Shinya Fujishige, Mr. Tooru Miyajima, and Prof. Haruyuki Nakano, for their contributions to the chemistry presented herein. This research was partially supported by the Grant-in-Aid from the Ministry of Education, Culture, Sports, Science and Technology of Japan (Nos. 19027030, 20036028, and 20038039) and the Sumitomo foundation. TN thanks a JSPS fellowship for young scientists.
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