

1 **Parker's sneak-guard model revisited: why do reproductively parasitic males**  
2 **heavily invest in testes?**

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20 **ABSTRACT**

21           Alternative reproductive tactics are widespread in males and may cause  
22 intraspecific differences in testes investment. Parker's sneak-guard model predicts that  
23 sneaker males, who mate under sperm competition risk, invest in testes relatively more  
24 than bourgeois conspecifics that have lower risk. Given that sneakers are much smaller  
25 than bourgeois males, sneakers may increase testes investment to overcome their  
26 limited sperm productivity because of their small body sizes. In this study, we  
27 examined the mechanism that mediates differential testes investment across tactics in  
28 the Lake Tanganyika cichlid fish *Lamprologus callipterus*. In the Rumonge population  
29 of Burundi, bourgeois males are small compared to those in other populations and have  
30 a body size close to sneaky dwarf males. Therefore, if differences in relative testis  
31 investment depend on sperm competition, the rank order of relative testis investment  
32 should be dwarf males > bourgeois males in Rumonge = bourgeois males in the other  
33 populations. If differences in relative testis investment depend on body size, the rank  
34 order of relative testes investment should be dwarf males > bourgeois males in  
35 Rumonge > bourgeois males in the other populations. Comparisons of relative testis  
36 investment among the three male groups supported the role of sperm competition, as  
37 predicted by the sneak-guard model. Nevertheless, the effects of absolute body size on

- 38 testes investment should be considered to understand the mechanisms underlying
- 39 intraspecific variation in testes investment caused by alternative reproductive tactics.

40 **INTRODUCTION**

41 Sperm competition is now widely recognized as a powerful force in the evolution of  
42 male traits that contribute to fertilization success (Birkhead and Møller 1998; Simmons  
43 2001). A fundamental mechanism of sperm competition is the raffle process (Parker  
44 1990a), whereby a male's fertilization success is proportional to his relative  
45 contribution to all sperm competing for a female's ova. In this situation, increased  
46 probability of sperm competition occurring (i.e. sperm competition risk) is predicted to  
47 cause the evolution of increased male expenditure on the ejaculate (Parker 1998).  
48 Comparative studies across numerous taxa support this prediction (Byrne et al. 2002;  
49 Pitcher et al. 2005; Ramm et al. 2005; Simmons et al. 2007; Rowe and Pruett-Jones  
50 2011, for a review see Parker et al. 1997).

51 Sperm competition risks could also vary within species. A typical example comes  
52 from species with alternative reproductive tactics (ARTs) in which risk of sperm  
53 competition depends on the tactics expressed by different the groups. Parker's (1990)  
54 evolutionarily stable strategy model of sperm competition, the so-called sneak-guard  
55 (SG) model, predicts that males, that ejaculate constantly in roles with a higher risk of  
56 sperm competition (i.e. reproductively parasitic males), are selected to invest more in  
57 testes than conspecific males, that ejaculate usually in roles with a lower risk (i.e.

58 bourgeois males, see Taborsky 1997, for terminology). This prediction is also  
59 supported across a wide variety of taxa (Supplementary table 1, see also Taborsky  
60 1994, 2008, for review). Studies using intraspecific variation in relative testes  
61 investment are the powerful and instructive to test the effects of sperm competition on  
62 testes investment, because intraspecific comparisons do not carry the phylogenetic  
63 problems (Harvey & Pagel 1991). Thus, ARTs play an important role in our  
64 understanding of the effects of sperm competition on ejaculate investment strategies.  
65 The SG model is now widely accepted, but its prediction may not be definitive because  
66 alternative hypotheses are seldom tested. The prediction to date has been tested by  
67 comparing testes investment between tactics or between bourgeois males to whom  
68 sperm competitors are experimentally provided or not. However, parasitic males are  
69 often considerably smaller than bourgeois males (Supplementary table 1, see also  
70 Taborsky 1998), thus potentially confounding the effects of sperm competition and  
71 body size on relative testes investment. If the parasitic males just have relative testes  
72 investment equal to that of bourgeois males, their investment will be in absolute lower  
73 because of their small body size, thus accounting for an absolute deficiency in their  
74 sperm counts. As such, small parasitic males are expected to increase their testes  
75 investment to overcome a limited capacity for sperm storage in testes in order to raise

76 their fertility. Despite the wide prevalence of ARTs among animal species (Oliveira et  
77 al. 2008), this “body size effect” hypothesis alternative to the sperm competition effect  
78 is seldom examined (Immler et al. 2004).

79 One way to consider both effects at the same time would be to control the sperm  
80 competition risk in small males. However, this would be difficult to achieve because of  
81 the lack of small bourgeois males that are size-matched to sneakers, in species with  
82 ARTs. The Lake Tanganyika shell-breeding cichlid fish *Lamprologus callipterus* may  
83 provide a unique opportunity to address this issue. In this fish, bourgeois ‘nest males’  
84 are generally much larger than parasitic ‘dwarf males’ (Sato et al. 2004; Ota et al.  
85 2010a). In the Rumonge population of Burundi, however, nest males are small and of a  
86 size close to dwarf males of other populations (Fig. 1). Furthermore, nest males  
87 experience a lower risk of sperm competition because they effectively guard their nests,  
88 whereas dwarf males have higher sperm competition risks and invest more in testes than  
89 nest males (Sato et al. 2004). In this study, we examined which mechanism accounts for  
90 differences in testes investment by *L. callipterus* across different reproductive tactics by  
91 interpopulation comparison:

92 (1) the sperm competition effect; this hypothesis predicts that differences in

93 relative testes investment are shaped by tactic-specific sperm competition  
94 risks (i.e. SG model, Parker 1990) and the rank order of testis investment  
95 should be dwarf males > nest males in Rumonge = nest males in other  
96 populations, or

97 (2) the body size effect; this hypothesis predicts that body size determines relative  
98 testes investment and the rank order of testis investment should be dwarf  
99 males > nest males in Rumonge > nest males in other populations.

100

## 101 **MATERIALS AND METHODS**

### 102 Study species

103 *L. callipterus* is an obligate shell brooder using empty gastropod shells as  
104 breeding substrate (Sato 1994; Sato and Gashagaza 1997; Ota et al. 2010b).  
105 Reproductive behaviours differ considerably between the sexes, resulting in an extreme  
106 male-biased sexual size dimorphism (Schütz and Taborsky 2005; Schütz et al.2006; Ota  
107 et al. 2010b). Nest males grow large so that they can collect shells. However, they  
108 remain small in the Rumonge population because there they can use aggregations of  
109 shells formed by the digging activities of other cichlid species without having to carry

110 shells by themselves (Sato and Gashagaza 1997; Ota et al. 2010b). This difference in  
111 nesting behaviour leads to remarkable size variations among different populations  
112 (Schütz and Taborsky 2005; Ota et al. 2010b). Among males in the other populations,  
113 nest males are larger in the populations where larger shells are available (Ota et al.  
114 2010b), because larger males can carry larger shells (Schütz and Taborsky 2005), which  
115 explains the inter-population variation in their body size (Fig. 1).

116         Gravid females come from outside of the nests to spawn. Females visit the nests  
117 for spawning. If a female chooses a shell in a nest, she enters it completely and deposits  
118 all eggs by sticking them onto its inner wall. A spawning event by a female lasts for  
119 nine hours on average during which she lays eggs one by one, so that each egg requires  
120 a separate ejaculation (Schütz et al. 2010). She exclusively occupies the shell for 12–14  
121 days to care for the broods inside (Sato 1994). This breeding ecology limits female  
122 growth (Schütz and Taborsky 2005) and consequently they grow larger in populations  
123 where larger shells are available, which causes inter-population variation (Ota et al.  
124 2010b). Although spawning may periodically occur on a lunar cycle, the synchronicity  
125 is considerably weak and the brood-caring females can be always found in the nests  
126 irrespective of the age of the moon (i.e., days since new moon) but less frequently  
127 around new moon (Nakai et al. 1990).

128 Life-histories of male *L. callipterus* are fixed for life (Taborsky 2001). Nest males  
129 grow rapidly and employ bourgeois tactics in which they guard and mate with multiple  
130 females in their nests (Sato 1994; Taborsky 2001; Sato et al. 2004). On the other hand,  
131 dwarf males remain very small throughout their life and employ sneak tactics in which  
132 they covertly fertilize eggs by entering the shells, passing by the females and ejaculating  
133 at the innermost whorl of the shells until the spawning is over (Taborsky 2001; Sato et  
134 al. 2004). Dwarf males, as well as nest males, can continue to ejaculate while in close  
135 proximity to female spawners once entering the shells (Sato et al. 2004). Consequently,  
136 they can sometimes sire more offspring than bourgeois males (Meidl 1999).

137

#### 138 Field surveys

139 We conducted field studies at eight populations in Lake Tanganyika using  
140 SCUBA diving: Kalundu (3°49'S, 29°14'E) and Muzimo (4°05'S, 29°24'E) from August  
141 1987 to January 1988 by TS; Rumonge (3°58'S, 29°03'E) in January 1993 by TS;  
142 Isanga (8°39'S, 31°11'E), Kasakalawe (8°47'S, 31°04'E), Nkumbula Island (8°45'S,  
143 31°05'E) and Wonzye Point (8°43'S, 31°08'E) from October to December 2005, 2006  
144 and 2007 by KO; Mtondwe Island (8°42'S, 31°07'E) in November 2010 by KO, in each

145 of which we set a study area (150-3400 m<sup>2</sup>) at a depth of 5-30 m (see Ota et al. 2010b).  
146 During the study periods, we captured nest males and dwarf males using gill nets in and  
147 around the study area (see Ota et al. 2010a, b for detailed methods). We brought them to  
148 the laboratory and measured their standard length (SL; nearest to 0.1 mm) and body  
149 mass (BM; nearest to 0.001 g). We gently wiped their body surface dry with disposable  
150 paper towels (KimTowel, Crecia, Japan) before measuring BM. They were sacrificed  
151 after chilling them on crushed ice or anaesthetizing them with eugenol. Immediately  
152 after sacrifice, their gonads were carefully removed from their abdomen and their testes  
153 mass (TM) was weighed (nearest to 0.001 g). Mature testes mainly consist of sperm in  
154 fish (Billard 1986), and thus TM will be a good measurement for sperm production in  
155 fish species including Lake Tanganyika cichlids (Uglem et al. 2001; Awata et al. 2008,  
156 but see Leach and Montgomerie 2000). Indeed, nest male *L. callipterus* have high  
157 percentage of sperm cells in milts (mean spermatocrit = 86 %,  $n = 7$  sampled at Wonzye  
158 in 2007; Ota et al., unpublished data). We used the samples from 4th to 25th moon age  
159 (i.e. samples except around new moon) in the following analyses to avoid possible  
160 effect of maturity of testes according to lunar spawning cycle. A total of 132 nest males  
161 (Isanga:  $n = 22$ ; Kalundu:  $n = 10$ ; Kasakalawe:  $n = 8$ ; Mtondwe:  $n = 24$ ; Muzimo:  $n =$   
162  $22$ ; Nkumbula:  $n = 9$ ; Rumonge:  $n = 15$ ; Wonzye:  $n = 22$ ) and 94 dwarf males (Isanga:  $n =$

163 = 13; Kalundu: n = 8; Kasakalawe: n = 12; Mtondwe: n = 33; Muzimo: n = 3;  
164 Nkumbula: n = 8; Rumonge: n = 1; Wonzye: n = 16) were analyzed. Dwarf males were  
165 found in all populations, but we captured only one dwarf male in Rumonge. This is  
166 because the site location (deep and far from the shore) prevented us from taking enough  
167 time to search and capture dwarf males, but this will not mean that dwarf males are rare  
168 in Rumonge.

169       The critical determinant of hypothesis is whether the relative testis investment of  
170 Rumonge nest males is similar to that of nest males in the other populations or the  
171 investment of dwarf males. We therefore compared relative testis investment among  
172 male groups (i.e., dwarf males, Rumonge nest males and nest males in the other  
173 populations). Gonado-somatic index or GSI (i.e.  $TM \times 100 / BM$ ) had been long used as  
174 an estimate of testes investment when comparing between tactics with different size  
175 classes. However, GSI is flawed when it disproportionately changes with body size  
176 because of allometric growth. To account for testes allometry, we followed the method  
177 of Tomkins and Simmons (2002): a linear mixed model was constructed with  $\log_{10}$  TM  
178 (response variable),  $\log_{10}$  soma mass (SM) (=  $BM - TM$ , covariate), the three male  
179 groups (fixed factor), and moon age and populations (random factors). We included the  
180 interaction (male groups  $\times \log_{10}$  SM) in the full model and refined it using a backward

181 elimination. For simplicity, we assumed no interaction between fixed and random  
182 factors. After the refinement, we compared the intercepts among the three groups after  
183 adjusting the critical  $\alpha$  level at  $0.05/3 = 0.017$  according to the Bonferroni method.  
184 Analyses using GSI were also conducted, but the results were consistent with testes  
185 allometry analysis (Supplementary figure 1).

186         Other factors that may affect testes investment should also be considered. We  
187 examined the potential effects of the number of mates (degree of polygyny) and female  
188 fecundity (i.e. female body size, Ota et al. 2010b) on relative testis investment. We  
189 considered these effects because more sperm are required to fertilize the eggs of more  
190 females and of larger, more fecund females (Shapiro et al. 1994). Because a female *L.*  
191 *callipterus* spawns in a nest, brood-caring females found in a nest can be considered as  
192 mates of the nest owner. Therefore, the degree of polygyny was examined by counting  
193 the number of brood-caring females in the shells (but see Maan & Taborsky 2008). We  
194 counted the number of them in a total of 77 nests (Isanga: n = 6 nests; Kalundu: n = 7;  
195 Kasakalawe: n = 8; Mtondwe: n = 9; Muzimo: n = 8; Nkumbula: n = 9; Rumonge: n =  
196 8; Wonzye: n = 22) in the study area throughout the study periods except around new  
197 moon (see above). We were able to easily identify the nests because these were clumps  
198 of shells. Brood-caring females were also readily identifiable since their caudal fins

199 were visible from the shell entrance (Ota et al. 2010b). The degree of polygyny was  
200 compared among the eight populations using a linear mixed model with populations  
201 (fixed factor) and two random factors (moon age and populations). For simplicity, we  
202 assumed no interaction between fixed and random factors. For female size, we used data  
203 that have been published elsewhere (Ota et al. 2010b) but newly examined in Mtondwe  
204 ( $n = 34$ ) using the same methods. To examine the effects of the degree of polygyny and  
205 female fecundity on testes investment, we performed Pearson correlations between  
206 these parameters and testes investment. For these analyses, relative testis investment  
207 was quantified by the residuals of TM on SM using the common slope of the refined  
208 and final linear mixed model.

209 All analyses were performed using S-Plus v. 8.0 (Insightful, Seattle, WA, USA).

210

## 211 **RESULTS**

212 The interaction (male groups  $\times$   $\log_{10}$  SM) was not significant in the full model  
213 (linear mixed model,  $F_{2,164} = 2.05$ ,  $P = 0.13$ ), indicating that TM-SM allometric slopes  
214 are homogeneous among the male groups. The reduced final model showed that the  
215 intercepts were different across the male groups ( $F_{2,166} = 686.63$ ,  $P < 0.001$ ) and TM

216 correlated positively with SM ( $F_{1,166} = 115.52$ ,  $P < 0.001$ ) (Fig. 2). A post-hoc  
217 Bonferroni test revealed that the intercepts of nest males in Rumonge and the other  
218 populations were not different ( $P = 0.54$ ) but smaller the intercept of dwarfs (Rumonge  
219 nest males vs dwarfs:  $P < 0.001$ , nest males in the other populations vs dwarfs:  $P =$   
220  $0.002$ , both of which were smaller than the adjusted significant level). This indicates  
221 that the rank order of relative testis investment is dwarf males > Rumonge nest males =  
222 nest males in the other populations.

223         The degree of polygyny did not differ among the populations (Isanga: mean  $\pm$   
224 SD =  $5.2 \pm 3.1$  females per nest; Kalundu:  $3.9 \pm 2.0$ ; Kasakalawe:  $4.1 \pm 4.4$ ; Mtondwe:  
225  $3.8 \pm 3.3$ ; Muzimo:  $4.8 \pm 0.7$ ; Nkumbula Is.:  $5.1 \pm 4.6$ ; Rumonge:  $2.2 \pm 1.6$ ; Wonzye:  
226  $6.5 \pm 3.7$ , mixed model,  $F_{7,53} = 1.36$ ,  $P = 0.26$ ). Relative testis investment was neither  
227 accounted for by the degree of polygyny (Pearson correlation, nest male:  $r = 0.38$ ,  $P =$   
228  $0.35$ ; dwarf male:  $r = -0.36$ ,  $P = 0.38$ ;  $n = 8$ ) nor female size (nest male:  $r = 0.41$ ,  $P =$   
229  $0.32$ ; dwarf male:  $r = 0.07$ ,  $P = 0.88$ ;  $n = 8$ ).

230

## 231 **DISCUSSION**

232         In the present study, we examined the mechanism underlying variations of  
233 relative investment in testes across reproductive tactics in *L. callipterus*. In our sample,

234 Rumonge nest males had smaller body sizes than nest males in seven other populations  
235 and their body sizes were rather close to parasitic dwarf males. Nevertheless, they had  
236 a greatly reduced relative testis investment that is equal to nest males in the other  
237 populations. This suggests that relative testis investment is not simply a function of  
238 body size effect, but tactic-specific, supporting the Parker's SG model.

239           However, the results could also occur if nest males in Rumonge face other  
240 selection pressures shaping decreased relative testis investment. First, their testes  
241 investment may result from the decreased degree of polygyny and female fecundity at  
242 this population. In coral reef fish and insects, ejaculate size increases with the number  
243 of eggs available (Shapiro et al. 1994; Gage and Barnard 1996; Gage 1998). Thus, as  
244 the degree of polygyny and female fecundity increases, larger sperm storage is needed.  
245 However, we found no significant correlations between testes investment and the  
246 degree of polygyny or female fecundity. These alternative explanatory factors therefore  
247 may play less important roles in the testes investment of *L. callipterus*, although the  
248 sample sizes, and therefore presumably the statistical power of these tests, were small  
249 and thus further studies are needed. Second, their decreased testes investment may  
250 result from a lower relative abundance of dwarf males to nest males compared to other  
251 populations. Theoretically, when parasites are abundant and thus bourgeois males face

252 a high risk of sperm competition that is equal to parasites, they invest in testes as much  
253 as parasitic males (Parker 1990, see also Simmons et al. 1999 2007 for empirical  
254 examples). Although we cannot completely rule out the possibility, this does not appear  
255 to be the case in *L. callipterus*. The spaces in the shells where the females were  
256 spawning were large enough for the dwarfs to enter the shells and obtain fertilization  
257 opportunities (Sato et al. 2004) in all populations, including Rumonge (Ota et al. 2010a,  
258 Ota and Sato unpubl. data). We believe that there is little or insufficient differences in  
259 the relative proportion of dwarfs among these populations to shape the difference in  
260 testes investment. Overall, the observed variations in relative testes investment by male  
261 *L. callipterus* would be primarily accounted for by sperm competition.

262         The effects of small body size of parasitic males on their investment in testes  
263 may be negligible when the fertilization opportunities of them are limited (Thomaz et  
264 al. 1997; Avise et al. 2002; Rios-Cardenas and Webster 2008) and thus their sperm may  
265 seldom be in short supply. In several species with ARTs, the fertilization opportunity of  
266 a parasitic male is considerably variable and sometimes exceeds that of bourgeois  
267 males. A sneaker of the European bitterling, for example, can sire an average of 40%  
268 eggs (range = 0–83%, Reichard et al. 2004). Such high variation in the contribution to  
269 fertilization by parasitic males is also found in Sockeye salmon (mean = 42%, range =

270 3–93%, Foote et al. 1997). These indicate that greater fertilization opportunities could  
271 be available even for reproductively parasitic males. This is the case in *L. callipterus*;  
272 dwarf males can usually sire more than half of the eggs (range = 50–81%, Meidl 1999).  
273 In this case, relative testes investment which is as large as that of territorial males will  
274 be often too small to enjoy the greater fertilization opportunities, possibly resulting in  
275 an absolute deficiency in their sperm counts. Therefore, we think that larger relative  
276 investment in testes will be favoured for parasitic males, regardless of sperm  
277 competition, but their larger relative testis investment seemed to be justified by sperm  
278 competition, rather than by small body size. Therefore, their testes investment  
279 strategies may not be highly influenced by their small body sizes. This conclusion  
280 might be supported by the study of Immler et al. (2004) who showed that sneaker-sized  
281 males of black goby *Gobius niger* kept and pair-spawned with females in the aquarium  
282 had small investment in testes (calculated as GSI) compared to sneakers sampled from  
283 the field, but similar investment to sneakers kept alone in the aquarium and bourgeois  
284 males sampled from the field.

285         There is often a difference in relative testes investment between tactics in species  
286 with ARTs, but we claimed whether this difference really results from sperm  
287 competition its prediction is still controversial, particularly in the view of the possible

288 inevitable effects that are inherent in ARTs, i.e. the effects of the absolutely small body  
289 size. The model should be carefully tested, thereby developing our understanding of  
290 the mechanisms that underlie differences in relative testis investment across different  
291 reproductive tactics. In this study, we reconsidered the selection pressures underlying  
292 the testes investment strategies of small parasitic males while accounting for testes  
293 allometry and both the effects of body size and sperm competition. Nevertheless, their  
294 increased testes investment seems to result from their responses to sperm competition,  
295 rather than body size, suggesting that the prediction of the SG model is robust.

296

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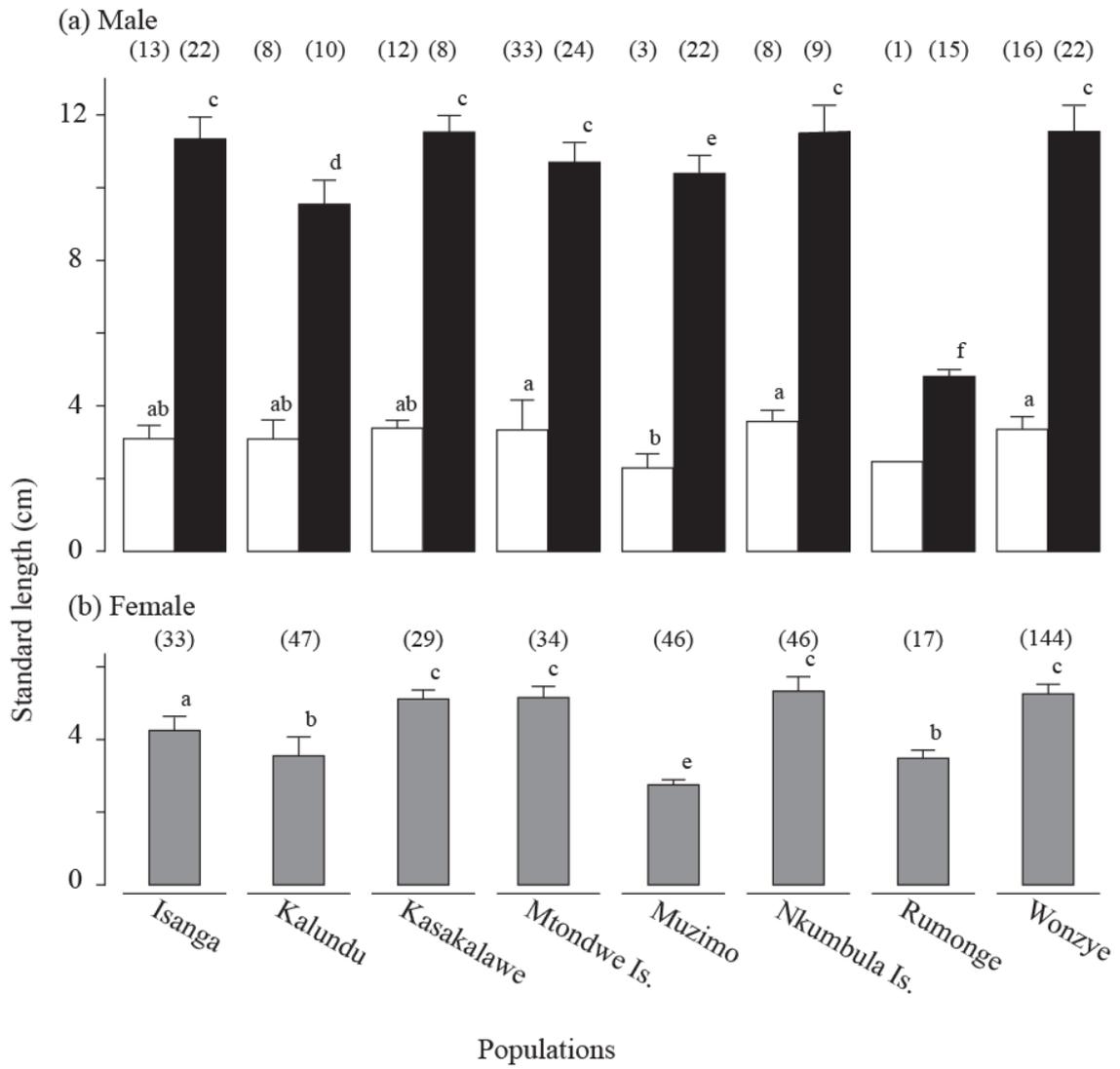
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419 **FIGURE LEGENDS**

420 **Fig. 1** Differences in (a) male and (b) female body size among eight populations  
421 (dwarf males, blank bar; bourgeois males, filled bar , two-factor ANOVA,  
422 tactic\*population,  $F_{7,210}=24.3$ ,  $P < 0.001$ , tactic:  $F_{1,217}=6894.7$ ,  $P < 0.001$ , population:  
423  $F_{7,210}=176.9$ ,  $P < 0.001$ ). Error bars represent SD. Different letters over the error bars  
424 indicate statistical significances determined using Bonferroni. Dwarf males in  
425 Rumonge were not included in the post-hoc test. Sample sizes are in parentheses above  
426 the bars.

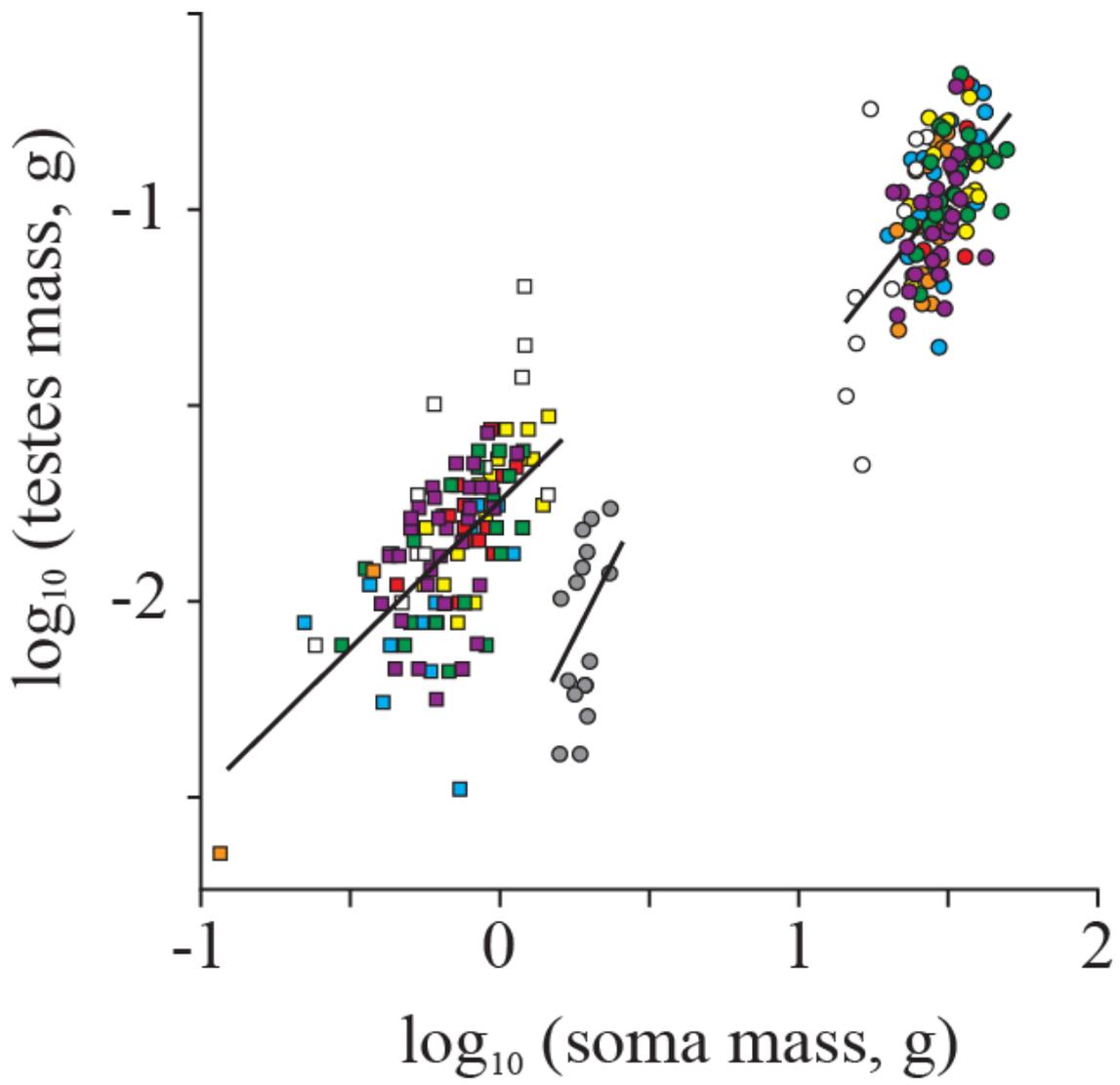
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428 **Fig. 2** The relationships between soma mass and testes mass in both dwarf (squares)  
429 and nest (circles) males across populations (blue, Isanga; white, Kalundu; red,  
430 Kasakalawe; purple, Mtondwe; orange, Muzimo; yellow, Nkumubla; grey, Rumonge;  
431 green, Wonzye). There was only one sample of dwarf male in Rumonge. Solid lines  
432 indicate the slopes of the regressions fit for each tactic.



433  
 434  
 435  
 436

**Fig. 1**



437

438 **Fig. 2**

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