

BHK interpretation and Bilateralism

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Bilateralism

Meaning = Assertion and Denial

- **Inferentialism** and **proof-theoretic semantics**.
- Smiley, Rumfitt, Restall, Ripley, etc.

vs. Unilateralism: Constructive PTS (BHK, Dummett, Prawitz)
and its dual version

- ♥ Two unilateralist semantics fit together?
 - Assertion & denial, proof & dual proof
 - How to show the fit between two meanings?

Introduction

Two unilateralist semantics

Bi-Intuitionist Logic

Bilateralist validity

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Bi-Intuitionist Logic

Bilateralist validity

BHK interpretation

- A proof of $A \wedge B$ is a pair $\langle a, b \rangle$ consisting of a proof a of A and a proof b of B .
- A proof of $A \vee B$ is a pair $\langle i, x \rangle$ such that $i = 0$ and x is a proof of A , or $i = 1$ and x is a proof of B .
- A proof of $A \rightarrow B$ is a construction that transforms any proof of A into a proof of B .
- \perp has no proof.

BHK interpretation and PTS

(Dummett 1991; Prawitz 2006; Schroeder-Heister 2006)

- Explanation of **conditions of assertion** in terms of the primitive notion of **proof** (or construction).
- Suitable for Intuitionist Logic.

Definition

A sequent $A_1, \dots, A_n \vdash B$ is *i-valid* if there is a construction that transforms any list $\alpha_1, \dots, \alpha_n$ of proofs of A_1, \dots, A_n into a proof of B .

Proposition (correctness)

If $A_1, \dots, A_n \vdash B$ is derivable in NJ (LJ), then it is *i-valid*.

Dual BHK

- Another unilateralist semantics
 - In terms of **dual proofs**
- ⇒ Dual-BHK and Dual-Intuitionist Logic

LJ for Int

- "Singleton on the right"

$$A \vdash A \text{ (Id)} \quad \Gamma, \perp \vdash C \text{ } (\perp\text{L}) \quad \frac{\Gamma \vdash A \quad A, \Gamma' \vdash C}{\Gamma, \Gamma' \vdash C} \text{ (Cut)}$$

$$\frac{\Gamma \vdash C}{\Gamma, A \vdash C} \text{ (Weakening)} \quad \frac{\Gamma, A, A \vdash C}{\Gamma, A \vdash C} \text{ (Contraction)}$$

$$\frac{\Gamma, A_i \vdash C}{\Gamma, A_0 \wedge A_1 \vdash C} \text{ } (\wedge\text{L}) \quad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \text{ } (\wedge\text{R})$$

$$\frac{\Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma, A \vee B \vdash C} \text{ } (\vee\text{L}) \quad \frac{\Gamma \vdash A_i}{\Gamma \vdash A_0 \vee A_1} \text{ } (\vee\text{R})$$

$$\frac{\Gamma \vdash A \quad \Gamma', B \vdash C}{\Gamma, \Gamma', A \rightarrow B \vdash C} \text{ } (\rightarrow\text{L}) \quad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} \text{ } (\rightarrow\text{R})$$

- $\neg A := A \rightarrow \perp$.

LDJ for dual-Int

(cf. Czermak 1977; Urbas 1996; Goré 2000)

- "Singleton on the left", \leftarrow : subtraction, exclusion

$$A \vdash A \text{ (Id)} \quad C \vdash \top, \Delta \text{ } (\perp L) \quad \frac{C \vdash A, \Delta \quad A \vdash \Delta'}{C \vdash \Delta, \Delta'} \text{ (Cut)}$$

$$\frac{C \vdash \Delta}{C \vdash A, \Delta} \text{ (Weakening)} \quad \frac{C \vdash A, A, \Delta}{C \vdash A, \Delta} \text{ (Contraction)}$$

$$\frac{A_i \vdash \Delta}{A_0 \wedge A_1 \vdash \Delta} \text{ } (\wedge L) \quad \frac{C \vdash A, \Delta \quad C \vdash B, \Delta}{C \vdash A \wedge B, \Delta} \text{ } (\wedge R)$$

$$\frac{A \vdash \Delta \quad B \vdash \Delta}{A \vee B \vdash \Delta} \text{ } (\vee L) \quad \frac{C \vdash A_i, \Delta}{C \vdash A_0 \vee A_1, \Delta} \text{ } (\vee R)$$

$$\frac{A \vdash B, \Delta}{A \leftarrow B \vdash \Delta} \text{ } (\leftarrow L) \quad \frac{C \vdash A, \Delta \quad B \vdash \Delta'}{C \vdash A \leftarrow B, \Delta, \Delta'} \text{ } (\leftarrow R)$$

- $\sim A := \top \leftarrow A$.

Dual-BHK interpretation (cf. Wansing 2010)

- A **dual proof** of $A \wedge B$ is a pair $\langle i, x \rangle$ such that $i = 0$ and x is a dual proof of A , **or** $i = 1$ and x is a dual proof of B .
- A dual proof of $A \vee B$ is a pair $\langle a, b \rangle$ consisting of a dual proof a of A **and** a dual proof b of B .
- A dual proof of $A \leftarrow B$ is a construction that transforms any dual proof of B **into** a dual proof of A .
- \top has no dual proof.

Dual-BHK interpretation

- Explanation of **conditions of denial** in terms of dual proof (yet another kind of construction).
- Suitable for Dual Intuitionist Logic.

Definition

A sequent $A \vdash B_1, \dots, B_m$ is *d-valid* if there is a construction that transforms any list of dual proofs of B_1, \dots, B_m into a dual proof of A .

Proposition (correctness)

If $A \vdash B_1, \dots, B_m$ is derivable in LDJ, then it is *d-valid*.

Problems

- BHK and Dual-BHK: two unilateralist semantics.
- ♥ How do they **agree** or **disagree** on the meaning?
- Bi-Intuitionist Logic: A logic with the features of Intuitionist and Dual Intuitionist Logic.

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Model theory for Bilnt

Definition (Language)

$$\mathcal{L} := \{\wedge, \vee, \rightarrow, \leftarrow\}.$$

Let $\perp := p \leftarrow p$ and $\top := p \rightarrow p$ for some fixed atom p .
And define $\neg A := A \rightarrow \perp$ and $\sim A := \top \leftarrow A$.

Definition (Model)

A **Bilnt model** is a triple $\langle W, \leq, V \rangle$ where

- W : a non-empty set (of possible worlds)
- \leq : a reflexive and transitive relation on W
- $V : \text{Atom} \rightarrow 2^W$, a valuation which is **persistent**, i.e.

$$(\forall w, w' \in W)(w \in V(p) \text{ and } w \leq w' \Rightarrow w' \in V(p)).$$

Model theory for Bilnt (cont.)

Definition

Given a Bilnt model $\langle W, \leq, V \rangle$, write $w \models p$ for $w \in V(p)$. The relation \models extends as follows:

$w \models A \wedge B$ if $w \models A$ and $w \models B$

$w \models A \vee B$ if $w \models A$ or $w \models B$

$w \models A \rightarrow B$ if $(\forall v \geq w)(v \models A \Rightarrow v \models B)$

$w \models A \leftarrow B$ if $(\exists v \leq w)(v \models A \text{ and } v \not\models B)$

Model theory for Bilnt (cont.)

Proposition (Persistence)

\models satisfies the persistence condition, i.e. for any formula A ,

$$(\forall w, w' \in W)(w \models A \text{ and } w \leq w' \Rightarrow w' \models A).$$

Definition (Validity)

For any formula A and B , we define

$$A \models B \Leftrightarrow_{\text{def.}} \text{for any Bilnt model } \langle W, \leq, V \rangle \text{ and any } w \in W, \text{ if } w \models A \text{ then } w \models B.$$

Characteristic validity and invalidity

Recall $\perp = p \leftarrow p$, $\top = p \rightarrow p$ and

$\neg A := A \rightarrow \perp$ (intuitionist negation)

$\sim A := \top \leftarrow A$ (dual intuitionist negation)

- $\top \not\models A \vee \neg A$ but $\top \models A \vee \sim A$.
- $A \wedge \neg A \models \perp$ but $A \wedge \sim A \not\models \perp$.
- $\not\models (A \rightarrow (B \vee C)) \rightarrow ((A \rightarrow B) \vee C)$
- $\not\models (A \wedge (B \leftarrow C)) \rightarrow ((A \wedge B) \leftarrow C)$.

Display Calculus δ -Bilnt (Belnap 1982; Wansing 2010)

- A generalization of sequent calculus
- A sequent $X \vdash Y$ consists of **structures** X and Y instead of sequences, multisets or sets of formulas.

Definition (structures)

The set of structures for δ -Bilnt is defined by:

$$X ::= A \mid \mathbf{I} \mid X \circ X \mid X \bullet X.$$

Intuitively, \mathbf{I} represents an empty structure and \circ (\bullet) corresponds to a comma on LHS (RHS).

Logical rules for δ -Bilnt

$$\frac{A \circ B \vdash Y}{A \wedge B \vdash Y} (\wedge L) \quad \frac{X \vdash A \quad Y \vdash B}{X \circ Y \vdash A \wedge B} (\wedge R)$$

$$\frac{A \vdash X \quad B \vdash Y}{A \vee B \vdash X \bullet Y} (\vee L) \quad \frac{X \vdash A \bullet B}{X \vdash A \vee B} (\vee R)$$

$$\frac{X \vdash A \quad B \vdash Y}{A \rightarrow B \vdash X \circ Y} (\rightarrow L) \quad \frac{X \vdash A \circ B}{X \vdash A \rightarrow B} (\rightarrow R)$$

$$\frac{A \bullet B \vdash Y}{A \leftarrow B \vdash Y} (\leftarrow L) \quad \frac{X \vdash A \quad B \vdash Y}{X \bullet Y \vdash A \leftarrow B} (\leftarrow R)$$

Display rules

Definition (display rules)

The display calculus δ -Bilnt has the following **display rules**:

$$\frac{\frac{X \circ Y \vdash Z}{X \vdash Y \circ Z}}{Y \circ X \vdash Z} \qquad \frac{\frac{Z \vdash X \bullet Y}{Z \bullet X \vdash Y}}{Z \vdash Y \bullet X}$$

Intuitively,

$$\frac{\frac{A \wedge B \vdash C}{A \vdash B \rightarrow C}}{B \wedge A \vdash C} \qquad \frac{\frac{C \vdash A \vee B}{C \leftarrow A \vdash B}}{C \vdash B \vee A}$$

Structural rules for δ -Bilnt

$p \vdash p$ (Id)

$$\frac{X \vdash Y}{X \circ \mathbf{I} \vdash Y} \quad \frac{X \vdash Y}{X \vdash Y \bullet \mathbf{I}}$$

$$\frac{X \vdash Y}{X \circ Z \vdash Y} \text{ (lm)} \quad \frac{X \vdash Y}{X \vdash Y \bullet Z} \text{ (rm)}$$

$$\frac{X \circ X \vdash Y}{X \vdash Y} \text{ (lc)} \quad \frac{X \vdash Y \bullet Y}{X \vdash Y} \text{ (rc)}$$

$$\frac{(X \circ Y) \circ Z \vdash W}{X \circ (Y \circ Z) \vdash W} \text{ (la)} \quad \frac{W \vdash (X \bullet Y) \bullet Z}{W \vdash X \bullet (Y \bullet Z)} \text{ (ra)}$$

Display property

Definition (antecedent and succedent part)

Given a sequent $S = X \vdash Y$, we define

- X is AP (an antecedent part) of S ;
- Y is SP (a succedent part) of S ;
- $(W \circ Z)$ is AP $\Rightarrow W, Z$ are AP;
- $(W \circ Z)$ is SP $\Rightarrow W$ is AP and Z is SP;
- $(W \bullet Z)$ is AP $\Rightarrow W$ is AP and Z is SP;
- $(W \bullet Z)$ is SP $\Rightarrow W, Z$ are SP.

Display property

Proposition (display property)

For any sequent $S = X \vdash Y$ and any substructure Z of it, we can **display** the occurrence of Z , i.e. there is a sequent S' such that:

- S and S' are interderivable by means of display rules only,
- If Z is AP of S , then S' is of the form $Z \vdash Y'$ and
- If Z is SP of S , then S' is of the form $X' \vdash Z$.

Theorem (Cut elimination)

Cut is eliminable from any derivation in $\delta\text{-BiInt} + \text{Cut}$.

Completeness

Definition

The translations τ_1 and τ_2 from structures into formulas are defined inductively as:

$$\begin{array}{ll}
 \tau_1(\mathbf{A}) := \mathbf{A} & \tau_2(\mathbf{A}) := \mathbf{A} \\
 \tau_1(\mathbf{I}) := \top (= p \rightarrow p) & \tau_2(\mathbf{I}) := \perp (= p \leftarrow p) \\
 \tau_1(\mathbf{X} \circ \mathbf{Y}) := \tau_1(\mathbf{X}) \wedge \tau_1(\mathbf{Y}) & \tau_2(\mathbf{X} \circ \mathbf{Y}) := \tau_1(\mathbf{X}) \rightarrow \tau_2(\mathbf{Y}) \\
 \tau_1(\mathbf{X} \bullet \mathbf{Y}) := \tau_1(\mathbf{X}) \leftarrow \tau_2(\mathbf{Y}) & \tau_2(\mathbf{X} \bullet \mathbf{Y}) := \tau_2(\mathbf{X}) \vee \tau_2(\mathbf{Y})
 \end{array}$$

Theorem (Completeness)

$X \vdash Y$ is derivable in δ -Bilnt if and only if $\tau_1(X) \models \tau_2(Y)$.

Logical rules for δ -BilInt (revised)

$$\frac{A_i \vdash Y}{A_0 \wedge A_1 \vdash Y} (\wedge L) \quad \frac{X \vdash A \quad X \vdash B}{X \vdash A \wedge B} (\wedge R)$$

$$\frac{A \vdash Y \quad B \vdash Y}{A \vee B \vdash Y} (\vee L) \quad \frac{X \vdash A_i}{X \vdash A_0 \vee A_1} (\vee R)$$

$$\frac{X \vdash A \quad B \vdash Y}{A \rightarrow B \vdash X \circ Y} (\rightarrow L) \quad \frac{X \vdash A \circ B}{X \vdash A \rightarrow B} (\rightarrow R)$$

$$\frac{A \bullet B \vdash Y}{A \leftarrow B \vdash Y} (\leftarrow L) \quad \frac{X \vdash A \quad B \vdash Y}{X \bullet Y \vdash A \leftarrow B} (\leftarrow R)$$

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Fit?

- Proofs and dual proofs coexist in δ -BiInt.
- Two kind of meanings fit together in δ -BiInt?
- BHK and Dual-BHK must be extended to interpret \leftarrow and \rightarrow .

BHK interpretation extended (cf. Wansing 2010)

- A proof of $A \wedge B$ is a pair $\langle a, b \rangle$ consisting of a proof a of A and a proof b of B .
- A proof of $A \vee B$ is a pair $\langle i, x \rangle$ such that $i = 0$ and x is a proof of A , or $i = 1$ and x is a proof of B .
- A proof of $A \rightarrow B$ is a construction that transforms any proof of A into a proof of B .
- A proof of $A \leftarrow B$ is a pair $\langle a, b \rangle$ consisting of a proof a of A and a dual proof b of B .

Dual-BHK interpretation extended (cf. Wansing 2010)

- A dual proof of $A \wedge B$ is a pair $\langle i, x \rangle$ such that $i = 0$ and x is a dual proof of A , or $i = 1$ and x is a dual proof of B .
- A dual proof of $A \vee B$ is a pair $\langle a, b \rangle$ consisting of a dual proof a of A and a dual proof b of B .
- A dual proof of $A \leftarrow B$ is a construction that transforms any dual proof of B into a dual proof of A .
- A dual proof of $A \rightarrow B$ is a pair $\langle a, b \rangle$ consisting of a proof a of A and a dual proof b of B .

i-validity fails

A rule in δ -BiInt that is not i-valid.

$$\frac{Z \bullet X \vdash Y}{Z \vdash X \bullet Y} \cong \frac{A \leftarrow B \vdash C}{A \vdash B \vee C}$$

- • on RHS: sequents become multiple-conclusion.
- Impossible to interpret it as intuitionist's disjunction with disjunction property.
- Proof is not preserved from LHS to RHS.
- At most *impossibility of dual proof* of RHS

The same applies to d-validity.

Bilateralist reading of sequents (cf. Restall 2005)

Definition

$A \vdash B$ is **b-valid** if it is not the case that A has a proof and B has a dual proof.

- to assert A and to deny B is to make a mistake;
- if A has a proof then B can't have a dual proof;
- if B has a dual proof then A can't have a proof.

\Rightarrow Two criteria of fit between proof & dual proof

Criterion 1: Identity

$$A \vdash A$$

- A can't have both a proof and a dual proof.
- No clash between proofs and dual proofs.
- No overlap between assertion and denial.
- Established directly by BHK and Dual-BHK on the assumptions $p \vdash p$.
- Derivable in δ -BiInt.

Criterion 2: Cut

$$\frac{X \vdash A \quad A \vdash Y}{X \vdash Y} \text{ (Cut)}$$

- $X \vdash A$: a proof of X excludes dual-provability of A
- $A \vdash Y$: a dual proof of Y excludes provability of A , then
- $X \vdash Y$: the proof of X clashes with the dual proof of Y .

I.e. it is impossible to exclude both provability and dual-provability of A without any clash.

- No gap between assertion and denial.

Fit

- Cut & Identity: criteria of fit between proofs & dual proofs.
 - Agreement on meanings between BHK and dual-BHK
- No problem with Identity. How about Cut?
- Seems difficult to establish directly by BHK and dual-BHK.
- Cut elimination for δ -BiInt tells us something?

Failure of b-validity

Almost all rules in δ -BiInt are b-valid *except for*:

$$\frac{X \vdash A \quad B \vdash Y}{A \rightarrow B \vdash X \circ Y} (\rightarrow L) \quad \frac{X \vdash A \quad B \vdash Y}{X \bullet Y \vdash A \leftarrow B} (\leftarrow R)$$

A proof of $A \rightarrow B$ requires a *proof* of A . But $X \vdash A$ gives at most impossibility of dual proof of A .

To make them b-valid:

$$\frac{B \vdash Y}{A \rightarrow B \vdash A \circ Y} (\rightarrow L') \quad \frac{X \vdash A}{X \bullet B \vdash A \leftarrow B} (\leftarrow R')$$

Weak Cut-elimination

- $\delta\text{-BiInt}'$ = the system with $\rightarrow L'$ and $\leftarrow R'$
 - Every rule is **b**-valid.
 - $\delta\text{-BiInt}' + \text{Cut}' \cong \delta\text{-BiInt}$

Fact (cf. Schroeder-Heister *forthcoming*)

$\delta\text{-BiInt}' + \text{Cut} \cong \delta\text{-BiInt}' + \text{Cut}' \not\cong \delta\text{-BiInt}'$.

- $\delta\text{-BiInt}'$: **b**-valid but Cut' is not admissible.
- $\delta\text{-BiInt}$: Cut is admissible but not **b**-valid.

Example of failure of Cut'

$$\frac{p \vdash p \quad \frac{\frac{r \vdash r}{(p \vee q) \rightarrow r \vdash p \vee q \circ r}}{p \vee q \vdash (p \vee q) \rightarrow r \circ r}}{p \vdash p \vee q \quad p \vee q \vdash (p \vee q) \rightarrow r \circ r} (\text{Cut}')$$

$$\frac{X \vdash A \quad A \vdash Y}{X \not\vdash Y} \text{ (Cut)}$$

Failure of Cut indicates:

- there may be a combination of a proof of X and a dual proof of Y such that:
- the former excludes dual-provability of A ,
- the latter excludes provability of A ,
- but they don't cause any clash in δ -BiInt'.

A gap between proof and dual proof.

Conclusion

Under Bilateralist reading of sequents,

- Cut & Identity: Criteria of fit between two aspects
- Failure of Cut' : a gap between proof and dual proof.
- Cut admissibility in $\delta\text{-BiInt}$:
 - Proof and dual proof are adjusted implicitly.
 - \rightarrow L and \leftarrow R are where the adjustment occurs.

Relativized b-validity

To make this observation more precise, b-validity (and extensions of (dual-)BHK) should be defined more carefully:

Definition (Atomic base)

An **atomic base** is a pair $\langle s, t \rangle$ of two sets of atomic formulas with $s \cap t = \emptyset$. Define the relation \Vdash between atomic bases and signed atomic formulas as:

$$\langle s, t \rangle \Vdash +p \quad \Leftrightarrow \quad p \in s;$$

$$\langle s, t \rangle \Vdash -p \quad \Leftrightarrow \quad p \in t.$$

Relativized b-validity

Definition

\Vdash extends as follows:

$$\begin{aligned} \langle s, t \rangle \Vdash +\top & & \langle s, t \rangle \not\Vdash +\perp \\ \langle s, t \rangle \Vdash +(A \wedge B) & \Leftrightarrow \langle s, t \rangle \Vdash +A \text{ and } \langle s, t \rangle \Vdash +B \\ \langle s, t \rangle \Vdash +(A \vee B) & \Leftrightarrow \langle s, t \rangle \Vdash +A \text{ or } \langle s, t \rangle \Vdash +B \\ \langle s, t \rangle \Vdash +(A \rightarrow B) & \Leftrightarrow \forall s' \supseteq s. \langle s', t \rangle \Vdash +A \text{ implies } \langle s', t \rangle \Vdash +B \\ \langle s, t \rangle \Vdash +(A \leftarrow B) & \Leftrightarrow \exists s' \subseteq s \exists t' \supseteq t. \\ & \quad \langle s', t' \rangle \Vdash +A \text{ and } \langle s', t' \rangle \Vdash -B \end{aligned}$$

Dually for $\langle s, t \rangle \Vdash -A$. Especially,

$$\begin{aligned} \langle s, t \rangle \Vdash -(A \rightarrow B) & \Leftrightarrow \exists t' \subseteq t \exists s' \supseteq s. \\ & \quad \langle s', t' \rangle \Vdash +A \text{ and } \langle s', t' \rangle \Vdash -B \end{aligned}$$

Relativized b-validity

Proposition

(1) Persistence:

- $\langle s, t \rangle \Vdash +A$ and $s' \supseteq s$ implies $\langle s', t \rangle \Vdash +A$.
- $\langle s, t \rangle \Vdash -A$ and $t' \supseteq t$ implies $\langle s, t' \rangle \Vdash -A$.

(2) Irrelevance:

- If $\langle s, t \rangle \Vdash +A$, then for any t' , $\langle s, t' \rangle \Vdash +A$.
- If $\langle s, t \rangle \Vdash -A$, then for any s' , $\langle s', t \rangle \Vdash -A$.

(3) Consistency: It is not the case that $\langle s, t \rangle \Vdash +A$ and $\langle s, t \rangle \Vdash -A$.

Relativized b-validity

Definition (b-validity)

A sequent $A \vdash B$ is $\langle s, t \rangle$ -valid if it is *not* the case that

$$\langle s, t \rangle \Vdash +A \text{ and } \langle s, t \rangle \Vdash -B.$$

$A \vdash B$ is **b-valid** if it is $\langle s, t \rangle$ -valid for any $\langle s, t \rangle$.

Proposition (Soundness)

If $X \vdash Y$ is derivable in δ -BiInt', then it is b-valid.

Proposition

Cut', Intuitionist LEM, $(A \rightarrow (B \vee C)) \rightarrow ((A \rightarrow B) \vee C)$ etc. are not b-valid.

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