

1 Genetic consequences of rapid population decline and restoration of the critically endangered
2 herb *Polemonium kiushianum*

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14

15 **Abstract**

16 Many critically endangered species require not only in situ but also ex situ conservation to
17 reduce extinction risk. In this study, all five known wild populations and two artificially
18 managed ex situ populations outside the species' native range of *Polemonium kiushianum*, a
19 critically endangered herb species in Japan, were studied, using 10 polymorphic microsatellite
20 markers to assess the genetic consequences of habitat degradation on the wild populations and
21 the establishment of ex situ populations. Levels of genetic diversity among the wild populations
22 were similar to each other, whereas genetic diversity in the ex situ populations was considerably
23 lower than that of the wild populations. A significant level of genetic differentiation was
24 associated with a recent bottleneck and genetic drift in the wild populations. The STRUCTURE

25 analysis revealed that the genetic composition of the two ex situ populations differed from that
26 of the five wild populations. The low genetic diversity observed in the ex situ populations and
27 different genetic composition between wild and ex situ populations may be due to genetic drift
28 with few founders for the ex situ populations and the management strategy used for the ex situ
29 populations. Seed transfer simulations using simulated genotypes generated on computer
30 programs indicated that 1,000 or more seeds were needed to enhance genetic diversity and
31 recover the genetic composition of the present ex situ populations. These simulations used to
32 predict the genetic consequences of immigration represent a powerful tool for conservation
33 management of critically endangered species based on genetic data.

34

35 **Keyword:** ex situ conservation, genetic drift, genetic structure, microsatellite, *Polemonium*
36 *kiushianum*, seed transfer simulation

37

38 **1. Introduction**

39 Many plant species throughout the world are threatened with extinction due to habitat
40 destruction and fragmentation as a result of human activities. Populations of endangered species
41 in their natural habitat are vulnerable to loss of genetic diversity due to a decline and/or
42 fluctuation in population sizes, resulting in reduced gene flow among remnant populations,
43 inbreeding, and genetic drift (Lowe et al., 2005; Frankham et al., 2010). Empirical and
44 experimental studies also indicate that demographic decline and population bottlenecks cause or
45 contribute to a loss of genetic diversity (Cornuet and Luikart, 1996; Young et al., 1996). The
46 loss of genetic diversity associated with demographic decline can affect the viability of the
47 population in short term (Keller and Waller 2002) and limitations in the species' ability to
48 respond to the changing environment in long term (Young et al 1996).

49 Although preservation of the natural habitat is critical for the conservation of
50 ecological interactions, ex situ measures may be appropriate for safeguarding individual species
51 against extinction in the wild (Russello and Amato, 2007). The primary purpose of ex situ
52 conservation is to maintain wild species outside their natural habitat so that species recovery
53 and reintroduction can be attempted in the case of severe decline or extinction of wild
54 populations (Husband and Campbell, 2004). However, despite the significance of ex situ
55 conservation in endangered species management, these populations are exposed to forces
56 similar to those encountered by in situ populations, which may decrease genetic diversity. For
57 example, ex situ populations usually have a restricted founder source and small population size
58 and are susceptible to inbreeding and inbreeding depression (Frankel and Soulé, 1981; Williams
59 et al., 2002). Low genetic diversity in ex situ populations is likely to increase extinction risk to
60 an equal extent as seen in wild populations.

61 In cases of low genetic diversity in ex situ populations, restoration of genetic diversity
62 is achieved by the immigration of additional breeding stock from wild populations. Moreover,
63 information regarding the number of these stocks needed to enhance the genetic diversity of ex
64 situ populations is an important consideration. However, immigration from wild to ex situ
65 populations increases the short-term risk of extinction of wild populations by removing
66 individuals (Menges et al., 2004). Thus, prior prediction of the effects of immigration of
67 additional breeding stocks by simulation approaches prior to their removal from wild
68 populations will provide useful information regarding the quantities of additional breeding
69 stocks that are required for recovery of genetic diversity in ex situ populations.

70 *Polemonium kiushianum* Kitam. (Polemoniaceae) is an endangered perennial herb
71 endemic to the semi-natural grasslands of the Aso region of Kyushu, Japan (Environment
72 Agency of Japan, 2000). This species has experienced rapid population decline due to habitat

73 loss as a result of conifer plantation establishment and the abandonment of traditional grassland
74 management (Sei, 2006). Only a few hundred individuals and five populations of this species
75 remain, and most subpopulations have become locally extinct in the last three decades. As a
76 result, *P. kiushianum* has been categorized as ‘critically endangered’ (CR) in the Japanese Red
77 Data Book, and is now protected by the ‘Law for the Conservation of Endangered Species of
78 Wild Fauna and Flora’ in Japan. In response to this situation, ex situ populations outside the
79 species’ native range were founded to provide for the degradation of the wild populations. They
80 show promise as a temporary pool of genetic diversity that can be used to bolster wild
81 populations. *Polemonium kiushianum* provides an excellent model for comparing the impact on
82 genetic diversity that population decline of wild populations and the recent establishment of ex
83 situ populations has made, and allows for an examination of the potential use of simulations to
84 predict the impact of seed transfer for enhancing the genetic diversity of ex situ populations. In
85 the present study, the genetic status of all remaining wild populations of *P. kiushianum* and its
86 ex situ populations were assessed using polymorphic microsatellite markers. The study aimed to
87 evaluate the following: (1) the genetic diversity, genetic structure, and recent demographic
88 history in wild populations; (2) the genetic consequences of the foundation of ex situ
89 populations; and (3) the possibility of recovering genetic diversity in ex situ populations by seed
90 transfer from the remaining wild populations using simulated genotypes generated on a
91 computer program.

92

93 **2. Material and methods**

94

95 *2.1. Study site and species*

96

The Aso region is located in central Kyushu, south-western Japan (Fig. 1), and consists

97 of central volcanic mountains (up to 1,592 m above sea level [a.s.l.]) and surrounding somma
98 (800–1,100 m a.s.l.). The mean annual temperature and annual precipitation between 1992 and
99 2011 were 13.4°C and 2,386 mm, respectively (Takamori Meteorological Observation Station, at
100 555 m a.s.l.). In the Aso region, vast semi-natural grasslands have been maintained by
101 human-related influences such as hand mowing, artificial burning, and livestock grazing
102 (Takahashi, 2009). Phytolith and microscopic charcoal analyses have shown that grasslands in
103 this region have been established for over 10,000 years, and formation of these grasslands has
104 been attributed to burning as a consequence of anthropogenic activities (Miyabuchi et al., 2012).
105 Since vast grassland vegetation has been maintained, the Aso region provides a habitat for rare
106 grassland herbs such as *Echinops setifer*, *Viola orientalis*, and *Campanula glomerata* var.
107 *dahurica* (Hotta, 1974), and has high grassland plant species diversity. However, due to
108 abandonment of the traditional management of grasslands and land-use change from grasslands
109 to conifer plantations or pastures, the area of the semi-natural grasslands has decreased
110 dramatically in recent years and, the populations of many grassland plant species have
111 undergone a severe decline (Takahashi, 2009).

112 *Polemonium kiushianum* is an endangered plant of eastern somma of the Aso region.
113 This species is a diploid ($2n = 18$, Inaba et al., 2010) perennial herb that occurs in sunny
114 meadows maintained by mowing at altitudes of approximately 700-900 m. This species is not a
115 clonal plant and the individual longevity might be 3–4 years, with a maximum of up to 10 years
116 (Yokogawa et al., unpublished results). Pollinators of this species are bumblebees or small
117 solitary bees (Yokogawa et al., unpublished results) and seeds are dispersed by gravity. Whereas
118 46 wild populations were identified from 1988 to 1993 (Sei, 2006), only seven wild populations
119 were found in 2004 (Matoba et al., 2011). This number had declined to only five wild
120 populations by 2009 (Fig. 1; Table 1). The habitats of three of the remaining five wild

121 populations have been degraded by artificial conifer plantations (mainly Japanese cedar,
122 *Cryptomeria japonica* (L.f.) D. Don), and thus the population size is very small (Table 1;
123 population W3, W4, and W5). In contrast, the other two populations that remain in mowed
124 grassland have relatively large population sizes (Table 1; population W1 and W2). However, the
125 sizes of these populations were very small 10 years ago due to the abandonment of mowing.
126 After the population decline, conservation activities such as the restart of mowing were
127 conducted, and the population sizes subsequently recovered. The two ex situ populations were
128 established outside the species' native range, and from seeds collected from the W2 and W3
129 populations in 1999-2001 (Table 1; population E6 and E7). The seed sources of each ex situ
130 population, whether from one seed source population (W2 or W3) or both seed source
131 populations (W2 and W3), could not be clearly distinguished. Populations E6 and E7 are about
132 5 km and 25 km away from the edge of the species' native range, respectively. The growing
133 environment of *P. kiushianum* in E6 is the deciduous forest floor with mowing in autumn and
134 that of E7 is sunny grasslands with mowing in autumn.

135

136 2.2. Sampling and microsatellite analysis

137 In 2008 and 2009, leaf samples of 182 individuals of *P. kiushianum* were collected
138 from the five wild populations (Fig. 1) and the two ex situ populations. In large populations
139 without sampling restriction, we randomly selected over 20 individuals spaced at least 2 m apart,
140 or all individuals if less than 20 were present (in population W3). The population size and
141 sample size of each population are indicated in Table 1 and Table 2, respectively. Genomic DNA
142 was extracted using a modified CTAB method (Milligan, 1992).

143 The genotypes of each individual were characterized at 10 microsatellite loci. Seven
144 out of the 10 loci were developed by Yokogawa et al. (2009): *Pkiu006*, *Pkiu059*, *Pkiu129*,

145 *Pkiu135*, *Pkiu208*, *Pkiu212*, and *Pkiu227*. We designed three additional microsatellite primer
146 pairs, *Pkiu593*, *Pkiu627*, and *Pkiu965* (Table A.1), using the same protocol as Yokogawa et al.
147 (2009). The PCR amplifications were performed following the standard protocol of the Qiagen
148 Multiplex PCR kit (Qiagen), in a final volume of 6 μ L, which contained 5 ng of extracted DNA,
149 3 μ L of 2 \times Multiplex PCR Master Mix, and 0.2 mmol/L of each multiplexed primer. The PCR
150 amplifications were carried out with a GeneAmp PCR System 2700 thermal cycler (Applied
151 Biosystems), using the following conditions: initial denaturation at 95°C for 15 min, followed
152 by 28 cycles of denaturation at 94°C for 30 s, annealing of the designed specific primers at the
153 designated temperatures for 1 min 30 s, extension at 72°C for 1 min, and final extension at 60°C
154 for 30 min. The sizes of the PCR products were measured using an ABI PRISM 3100 Genetic
155 Analyser and Genotyper software (Applied Biosystems).

156

157 2.3. Statistical analysis of genetic diversity and structure

158 For each population, the genetic diversity was evaluated in terms of Nei's unbiased
159 expected heterozygosity (H_E ; Nei, 1987) and observed heterozygosity (H_O), the average number
160 of alleles per locus (A), allelic richness (AR ; El Mousadik and Petit, 1996), the summed number
161 of rare alleles with frequencies less than 5% among the total population (RA), the summed
162 number of private alleles that are only present in a single population (Pr), and the fixation index
163 (F_{IS}). With the exception of the numbers of rare and private alleles, all of these parameters were
164 calculated using FSTAT ver. 2.9.3 software (Goudet, 2001). Deviation from Hardy-Weinberg
165 equilibrium was determined using FSTAT.

166 Recent bottlenecks in the populations were evaluated by BOTTLENECK ver. 1.2.02
167 (Piry et al., 1999). We simulated equilibrium conditions (10,000 replications) assuming the
168 infinite allele mutation model (IAM) and the two-phase model (TPM, mutations with 95%

169 single-step mutations and 5% multistep mutations, with a variance among multiple steps of
170 approximately 12). We used the Wilcoxon signed rank test to determine a significant excess of
171 heterozygosity. Population W3 was excluded from this analysis due to its small sample size.

172 To estimate the genetic differentiation among populations, F_{ST} values (Weir and
173 Cockerham, 1984) were calculated. The significance of F_{ST} values was tested by comparison to
174 the 95% confidence intervals derived from 1,000 bootstrap permutations. Pairwise F_{ST} values
175 were calculated by randomizing multilocus genotypes between two populations with Bonferroni
176 corrections. We also calculated standardized values of G'_{ST} (Hedrick, 2005) using averaged
177 values of heterozygosity within populations at Hardy-Weinberg equilibrium (H_S), the expected
178 heterozygosity of all populations pooled (H_T), and genetic differentiation among populations
179 (G_{ST}).

180 We evaluated genetic relationships among populations using Bayesian clustering
181 STRUCTURE ver. 2.3 (Prichard et al., 2000), which assigns individuals into K clusters.
182 Population structure was simulated with values of $K = 1-10$ under an admixture model, the
183 correlated allele frequencies model (Falush et al., 2003), and the LOCPRIOR model (Hubisz et
184 al., 2009). All runs involved 1,000,000 Markov chain Monte Carlo generations, after a burn-in
185 period of 100,000 iterations. Twenty runs were performed for each value of K . The number of
186 clusters was determined by comparing mean values and variability of log likelihoods in each
187 run. To select the optimal value of K , we also used the ΔK method (Evanno et al., 2005). The F
188 value, the amount of genetic drift between each cluster and a common ancestral population, and
189 the expected heterozygosity were calculated for each cluster.

190

191 *2.4. The Optimization method and Simulation analysis of seed transfer from wild to ex situ*
192 *populations*

193 First, to evaluate the necessity of each wild population as seed sources to restore
194 genetic diversity in ex situ populations, we carried out optimization method with simulated
195 annealing algorithm (Possingham et al. 2000). Although the presence-absence matrix of species
196 was used for optimization analysis in the original paper, an allele matrix by population was used
197 as being directly analogous to species in the present study. We defined alleles as our
198 conservation goal and the optimization problem was to find the smallest number of wild
199 populations that better complement the allele composition already preserved in ex situ
200 populations (see Diniz-Filho et al. 2012). We used the selection frequency at which each
201 population appeared in 100 analyzed solutions as an indicator of the importance of the seed
202 source. We also assumed that the ex situ populations were already protected. These analyses
203 were conducted using the Marxan software (Ball et al. 2009).

204 Second, to evaluate the genetic effects of transferring seeds from wild to ex situ
205 populations, simulation analyses of seed translocation were conducted using the current data of
206 both wild and ex situ populations. We generated seed genotypes based on the actual genotypes
207 of wild populations using HYBLIDLAB (Nielsen et al., 2006) and simulated the possible
208 changes in genetic diversity and genetic composition of the ex situ populations after the addition
209 of these seed genotypes. This analysis was undertaken using a 2-stage process. Firstly, 20 seed
210 genotypes per seed parent were generated assuming random mating using HYBRIDLAB. Each
211 seed genotype was generated from genotype data of the seed parent source population. This
212 process was undertaken for 10 independent runs, where the number of wild individuals from
213 which seeds were artificially collected ranged from 10 (200 generated genotypes) to 100 (2000
214 generated genotypes), with the sample size for each run differing by increments of 10. Of the
215 total number of seeds generated for each run, only 20% of seeds were retained for analysis (with
216 the remaining 80% randomly deleted), a strategy simulating the 80% mortality rate of *P*.

217 *kiushianum* observed by Otaki (2000). The second step involved crossing of the individuals in
218 the ex situ population with the generated seed genotypes using HYBRIDLAB. For each of the
219 10 runs, 30 F₁ seeds were generated and used for the analysis of genetic diversity. The genetic
220 diversity of each run was evaluated in terms of expected heterozygosity and allelic richness.
221 Simulated genotypes were analyzed for genetic structure using STRUCTURE ver. 2.3 with
222 genotypes of the wild and ex situ populations. The same procedures described above for the
223 STRUCTURE analysis were performed with $K = 2$.

224

225 **3. Results**

226

227 *3.1 Genetic diversity and population bottlenecks*

228 Levels of genetic diversity among wild populations were similar to each other, and
229 genetic diversity in the ex situ populations was considerably lower than that in the wild
230 populations. For the 10 polymorphic loci genotyped, a total of 57 alleles were observed among
231 182 individuals of *Polemonium kiushianum*. The number of alleles per locus (A) ranged from 2
232 to 13, with an average of 5.7. The allelic richness within each population (AR) ranged from 2.45
233 to 2.94, with an average of 2.70. The average observed heterozygosity (H_O) and expected
234 heterozygosity (H_E) within each population ranged from 0.34 to 0.47, with an average of 0.39,
235 and from 0.37 to 0.47, with an average of 0.40, respectively (Table 2). The fixation index (F_{IS})
236 value did not deviate significantly from zero in any population. Twenty-eight alleles (49%) were
237 rare alleles (RA) with frequencies of less than 5%, and 16 alleles (28%) were private alleles (Pr)
238 that were only present in a single population. Many rare or private alleles were found in wild
239 populations, whereas only one rare and one private allele were found in the ex situ populations
240 (Table 2). BOTTLENECK (tested by Wilcoxon's signed rank test) analysis indicated recent

241 population bottlenecks in all analysed wild populations. Under the IAM, a significant excess of
242 heterozygosity was detected in all analysed wild populations, which was not detected in the two
243 ex situ populations (Table 2). There was no excess of heterozygosity in any of the six
244 populations under the TPM (Table 2).

245

246 3.2 Genetic differentiation and structure of wild and ex situ populations

247 Significant genetic differentiation was observed among the populations. The F_{ST} value
248 was 0.100 with 95% confidence intervals of 0.073 to 0.124 across all seven populations, and
249 0.092 with 95% confidence intervals of 0.063 to 0.126 across the five wild populations,
250 respectively. Pairwise F_{ST} estimates ranged from 0.03 to 0.21 (Table A.2) and all of these were
251 significantly larger than 0 despite the small geographic distance between the wild populations.
252 The G'_{ST} value was 0.180 across all seven populations and 0.166 across the five wild
253 populations.

254 STRUCTURE analysis indicated that populations of *P. kiushianum* are divided into
255 distinct genetic clusters (Fig. 2). The ΔK value representing the hierarchical approach for
256 STRUCTURE analysis was clearly the highest at $K = 3$ (Fig. 2b). Thus, $K = 3$ was the
257 uppermost hierarchical level of genetic structure. Meanwhile, although the variance of log
258 likelihood among runs was high, and the results of membership analyses were unstable and
259 multimodal among runs at $K \geq 5$ (Fig. 2a), the variance of log likelihood among runs was low
260 and no multimodalities were detected at $K = 4$. Therefore, $K = 4$ also yielded meaningful results.
261 Consequently, the results obtained with $K = 3$ and $K = 4$ are shown herein (Fig. 2c). When $K = 3$,
262 individuals were clearly divided into 3 clusters. Wild populations W1, W2, and W3, wild
263 populations W4 and W5, and ex situ populations E6 and E7 were assigned to cluster I, cluster II,
264 and cluster III, respectively (Fig. 2). The F values of clusters I and II were lower than that of

265 cluster III, and the expected heterozygosity of cluster I, II, and III was 0.46, 0.43, and 0.38,
266 respectively (Fig. 2). These results suggested that ex situ populations have undergone larger
267 genetic drift compared to the wild populations. When $K = 4$, cluster II at $K = 3$ was divided into
268 2 clusters, cluster II-a, and II-b (Fig 2). The F value of cluster I was low, cluster II-a was
269 moderate, and that of cluster II-b and III was high. The expected heterozygosity of cluster I
270 (0.45) was the highest, cluster II-b (0.42) was moderate, and that of cluster II-a (0.39) and III
271 (0.38) was the lowest.

272

273 *3.3 Simulation of genetic diversity and composition of seed transfers to the ex situ population*

274 According to the results of the optimization method with simulated annealing
275 algorithm (Possingham et al. 2000), the solution frequencies of all wild populations were 100%
276 (i.e., they were found in 100% of the solutions). Thus, all five wild populations were necessary
277 as seed source for ex situ populations to preserve all alleles in ex situ populations. Seed transfer
278 simulations indicated that random seed transfer from wild to ex situ populations required a
279 substantially large number of seeds in order to recover the genetic diversity and genetic
280 composition of the ex situ population. When the number of seeds transferred from wild to ex
281 situ populations was between 600 and 1000, the allelic richness and expected heterozygosity of
282 the simulated ex situ populations reached a plateau (Fig. 3), although the number of individuals
283 in each of the two ex situ populations was approximately 100. The assignment probability of
284 wild clusters of simulated ex situ populations peaked when the number of seeds transferred
285 from wild to ex situ populations was 1600 (Fig. 3). To achieve ex situ population genetic
286 compositions that closely approximated those of the wild populations, an abundance of seeds
287 from the wild populations was needed.

288

289 **4. Discussion**

290 *4.1 Genetic characteristics and demographic history of wild populations*

291 Although population sizes of the large wild populations (W1 and W2) of *Polemonium*
292 *kiushianum* were more than 10 times larger than those of the small wild populations (W3, W4,
293 and W5), the levels of allelic diversity and heterozygosity among the wild populations were
294 similar to each other. In general, allelic diversity and heterozygosity are positively correlated
295 with population size (e.g. Leimu et al., 2006). However, in the presence of a population
296 undergoing size fluctuations, genetic diversity is most strongly influenced by the generation of a
297 minimum population size (Frankham et al., 2010). The relatively low genetic diversity in large
298 wild populations W1 and W2 may be explained by fluctuations in the sizes of these populations.
299 Small W1 and W2 population sizes were observed 10 years prior to this study due to the
300 abandonment of mowing and short-term population bottleneck effects were also indicated by the
301 BOTTLENECK analysis under IAM in the present study. Therefore, the genetic diversity of the
302 large wild populations may reflect their small size observed 10 years prior to this study. On the
303 other hand, population W3 has high genetic diversity with 2 private alleles compared to the
304 other populations (Table 2) despite having the smallest population size (Table 1). This
305 population had a large population size 20 years ago (Otaki 2000); this suggests that population
306 W3 is likely to harbor past genetic diversity.

307 Despite the small geographic range of *P. kiushianum*, there was significant genetic
308 differentiation among the wild populations. The wild populations of this species experienced
309 genetic drift and population bottleneck. Given the short longevity of this species (3-4 years and
310 up to 10 years; Yokogawa et al. unpublished results) and the drastic decrease in the semi-natural
311 grassland, including the habitat of this species, over the past century in the study area (Shoji,
312 2006), this genetic differentiation and drift are likely to have occurred in association with

313 habitat fragmentation. Other studies on endangered plants in fragmented grassland on similar
314 geographic scales (10–20 km) suggest that genetic drift and bottlenecks can lead to population
315 genetic differences after fragmentation of grassland areas (Honnay et al., 2006; Jacquemyn et al.,
316 2010). Population differentiation and the effects of genetic drift in *P. kiushianum* may indicate
317 that gene flow has been disrupted by population fragmentation. Given that pollinators of this
318 species are bumblebees and small solitary bees (Yokogawa et al. unpublished data) and their
319 maximum foraging distance are several hundred meter and up to 1.5 km (Knight et al., 2003;
320 Zurbuchen et al., 2010), pollinator-mediated gene flow between remnant populations (minimum
321 pairwise geographic distance is 1.4 km; Table A2) is unlikely to occur. Thus, it is important to
322 restore local extinct populations as stepping-stones to increase gene flow between the remnant
323 wild populations.

324

325 *4.2 Genetic diversity and composition of ex situ populations*

326 The genetic diversity of the ex situ populations of *P. kiushianum* was generally lower
327 than that of the wild populations, particularly for rare and private alleles. These results indicate
328 that the genetic diversity in ex situ populations may not be sufficient to maintain the genetic
329 diversity of the species in the case of extinction of wild populations. In plant population genetic
330 studies comparing genetic diversity between ex situ populations and wild populations, similar
331 observed levels of genetic diversity in wild and ex situ populations have been found in some of
332 the populations that were studied, for example the short-lived herb *Cynoglossum officinale*
333 (Enßlin et al., 2011) and the endangered Chinese tree *Vatica guangxiensis* (Li et al., 2002). The
334 high genetic diversity observed in ex situ populations could be due to mating among plants from
335 several populations of different origin (Enßlin et al., 2011). In contrast, genetic diversity in ex
336 situ populations was lower than that of natural populations of transplanted eelgrass *Zostera*

337 *marina* (Williams and Davis, 1996), fruit tree *Inga edulis* (Hollingsworth et al., 2005), and
338 evergreen oak *Cyclobalanopsis myrsinaefolia* (Liu et al., 2008). The low genetic diversity in ex
339 situ or planted populations could be due to founder effects associated with the establishment of
340 these populations. The ex situ populations of *P. kiushianum* would also have lost genetic
341 diversity during establishment.

342 The results of the STRUCTURE analyses indicated not only the reduction of genetic
343 diversity but also changes in genetic composition in the ex situ populations compared with those
344 of the wild populations. These results may have been caused by founder effects that occurred
345 when the ex situ populations were established and/or genetic drift after establishment of the ex
346 situ populations associated with small population size and management strategies. Genetic
347 differences between wild and artificial populations caused by insufficient sampling of founders
348 for artificial populations have been reported in other plant species (Li et al., 2005). Many
349 individuals in restored populations of *P. kiushianum* have been regenerated every year to obtain
350 nursery stock for markets, and alternation of generations in ex situ populations would be more
351 rapid than that in wild populations. These management strategies could drive genetic drift after
352 the establishment of ex situ populations. Population bottlenecks in ex situ populations were not
353 detected by BOTTLENECK analysis, as the ex situ populations achieved near mutation-drift
354 equilibrium (see Priy, 1999) as a consequence of management for faster alternation of
355 generations, while F values (as indicators of genetic drift in the STRUCTURE analysis) were
356 high in the ex situ populations. The F_{ST} values for both wild and ex situ populations were higher
357 than the F_{ST} values for wild populations. Moreover, although the ex situ populations E6 and E7
358 were established using seeds collected from populations W2 and W3, these populations were
359 not clustered together in the STRUCTURE analysis (Fig 2). These results also suggest that two
360 ex situ populations experienced severe genetic drift, and that the genetic composition of these

361 populations differed substantially compared to the wild populations. The low genetic diversity
362 and different genetic composition observed in ex situ populations may indicate genetic
363 deterioration in ex situ populations through the process of establishment and management of
364 these populations.

365

366 *4.3 Restoration of genetic diversity in ex situ populations and effectiveness of seed transfer* 367 *simulation*

368 The genetic deterioration in ex situ populations used as reintroduction sources leads
369 directly to the success or failure of reintroduction, and individuals used for reintroduction
370 should have high genetic diversity (Frankham et al. 2010). Optimization analysis revealed that
371 additional seed sources for ex situ populations of *Polemonium kiushianum* have to be collected
372 from all five wild populations to preserve all alleles. Moreover, the simulation of seed transfer
373 from wild to ex situ populations demonstrated that more than 1,000 seeds were needed to
374 achieve ex situ population genetic composition that closely approximated that of the wild
375 populations. These results indicated that the transfer of many seeds from wild to ex situ
376 populations could be useful for enhancing genetic diversity in ex situ populations.

377 However, seed transfer from wild to ex situ population could negatively affect
378 population demographics by seed removal. Demographic models of the effects of seed
379 collection on extinction risk of 22 perennial species revealed that harvesting 10% of seeds
380 typically does not increase their extinction risks (Menges et al., 2004). The estimated mean
381 value and standard deviation of the number of seeds per individual of *P. kiushianum* was $412 \pm$
382 430 (Yokogawa et al., unpublished data). Collecting less than 40 seeds from each wild
383 individual would result in less than 10% of seeds being collected, which may have little impact
384 on population viability. Additionally, the transfer of a small number of seeds from wild to ex situ

385 populations every year would be a useful approach for enhancing the genetic diversity of ex situ
386 populations, while at the same time minimally impacting the viability of wild populations. This
387 constant immigration from wild to ex situ population would also reduce the rate of genetic
388 adaptation to the ex situ environment (Woodworth et al., 2002).

389 In general, using local seed sources to maximize local adaptation and prevent out
390 breeding depression is recommended in the restoration of endangered species (Mijnsbrugge et
391 al., 2010, Aavik et al. 2012). However, strict use of local seed sources can decrease the
392 availability of high-quality seeds for restoration in highly modified landscapes (Broadhurst et al.,
393 2008). We conducted seed transfer simulation with random seed collection from all wild
394 populations; in other words, each population was not treated separately because the genetic
395 differentiation observed in *P. kiushianum* is likely to be caused by habitat fragmentation with
396 highly fragmented grassland landscapes. However, contributing factors to genetic differentiation
397 vary among different endangered species. The management and conservation strategy of genetic
398 diversity in ex situ populations have to be determined based on the genetic data of the target
399 species and its surrounding landscape.

400 Given the extinction crisis that is occurring throughout the world, ensuring the
401 maintenance of genetically viable ex situ populations of endangered species is crucial. This
402 study shows that the simulation method using simulated genotypes can be used to aid
403 conservation programs for critically endangered species based on genotype data. Most
404 importantly, the effectiveness of any transfer of individuals or seeds can be simulated before the
405 removal of seeds from wild populations is undertaken. These conservation approaches could
406 provide a means to ensure efficient genetic management of ex situ populations.

407

408 **5. Conclusions**

409 The findings of the present study have important implications for the conservation
410 management of *Polemonium kiushianum* and other critically endangered species both in situ and
411 ex situ. Our results show that despite the differences in population sizes, the levels of genetic
412 diversity in all the remaining wild populations were similar to each other. Moreover, these
413 populations underwent genetic differentiation and severe drift associated with habitat
414 degradation, and show decreased gene flow between the remnant populations. The removal of
415 barriers to gene flow and improvement of connectivity between remnant populations will be the
416 priority for the conservation of this species. In other words, it is important to restore grasslands
417 including the habitat of this species, by restarting the management of grasslands and removing
418 artificial conifer plantations that have fragmented grasslands in the study area.

419 While the ex situ populations of *P. kiushianum* have a lower genetic diversity and
420 different genetic composition compared with those of the wild populations, seed transfer
421 simulations revealed that seed transfer from wild populations would be a useful approach to
422 enhance the genetic diversity of ex situ populations. These results also indicated that more than
423 1,000 seeds were needed to achieve the desired genetic composition in ex situ populations. We
424 recommend the constant immigration of seeds from all wild populations to ex situ populations
425 to maintain genetic diversity and ability of ex situ populations to serve as reintroduction sources.
426 Seed source collections without genetic information cause loss of genetic diversity and/or
427 changes in genetic composition in ex situ conservation (Li et al. 2005; Enßlin et al. 2011).
428 Before seed transfer management or foundation of ex situ populations, it is preferable to predict
429 their effects to preserve the genetic diversity of endangered species in ex situ conservation.

430

431 **Appendix A. Supplementary material**

432 Characteristics of three new microsatellite loci for *Polemonium kiushianum* (Table

433 A.1) and pairwise F_{ST} values and geographic distance among populations (Table A. 2).

434

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442

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577 **Table 1.** Population characteristics of *Polemonium kiushianum* examined using microsatellite

578 markers.

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582

583 **Table 2.** Genetic diversity measurements of each sampled population of *Polemonium*
584 *kiushianum*. N , numbers of samples; A , numbers of alleles per locus; AR , allelic richness; RA ,
585 summed number of rare alleles; Pr , summed number of private alleles; H_O ; expected
586 heterozygosity; H_E , observed heterozygosity; F_{IS} , fixation index; IAM, infinite allele model;
587 TPM two phase model; N.A., population that was not analysed.
588

589 **Fig 1.** (a) Location of the study site, the Aso region. (b) Relative location of the wild
590 populations analyzed in this study. To prevent illegal digging, the precise latitude and longitude,
591 cardinal direction, and topography are not shown in (b).

592

593

594

595 **Fig 2.** Results of Bayesian clustering in STRUCTURE analysis (Prichard et al., 2000). (a) Value
596 of $\ln P(X/K)$ for $K = 1$ through $K = 10 \pm \text{SE}$ averaged across 20 runs from the simulation in the
597 STRUCTURE (Prichard et al., 2000). (b) ΔK based on the rate of change in the log probability
598 of data between successive K values (Evanno et al., 2005). (c) The proportion of the
599 membership coefficient of 182 individuals in seven populations for each of the inferred clusters
600 for $K = 3$ and $K = 4$. Each column represents an individual.

601

602

603 **Fig 3.** Relationship between the number of seeds transferred from wild to ex situ populations
604 and allelic richness (a), expected heterozygosity (b), and inferred cluster of wild populations
605 defined using STRUCTURE analysis (c) for assumed seed transfer populations. The dashed line
606 indicates the value for the total wild population. Genotype data of ex situ population E6 was
607 used.

608

609

610

611 **Table A.1.** Characteristics of three new microsatellite loci for *Polemonium kiushianum* and their
612 variability. Deviation from Hardy–Weinberg equilibrium (HWE) and linkage disequilibrium
613 between loci were tested with FSTAT ver 2.9.3 software (Goudet 2001) using genotype data of
614 population W2. Significance levels were adjusted using Bonferroni correction for multiple
615 testing. Although significant deviations ($P < 0.05$) from HWE were detected for Pkiu965, no
616 significant deviations from HWE were detected for other two loci. There was no evidence of
617 significant linkage disequilibrium between any two of the loci.
618

619 **Table A. 2.** Pairwise F_{ST} values (above diagonal) and pairwise geographic distance (below
620 diagonal) between *Polemonium kiushianum* populations. The significances were indicated by
621 asterisks (* $P < 0.05$, ** $P < 0.01$).
622

Table 1.

Population	Wild or Ex situ	Number of flowering individuals	Habitat type	Maintenance
W1	wild	ca. 400	grasslands	mowing in autumn
W2	wild	ca. 250	grasslands	mowing in autumn
W3	wild	3	edge of conifer plantation	abandonment
W4	wild	50	gap of conifer plantation	mowing in autumn
W5	wild	21	gap of conifer plantation	abandonment
E6	ex situ	ca. 100	broad-leaved deciduous forest	mowing in autumn
E7	ex situ	ca. 100	grassland with deciduous trees	mowing in autumn

Table 2.

Population	N	A	AR	RA	Pr	H_O	H_E	F_{IS}	P value of	
									Bottleneck analysis	
									IAM	TPM
Wild populations										
W1	34	3.80	2.68	4	1	0.38	0.39	0.01	0.01	0.58
W2	32	3.80	2.94	4	5	0.47	0.47	0.04	0.04	0.46
W3	7	2.90	2.90	2	2	0.34	0.42	0.26	N.A.	N.A.
W4	32	3.70	2.71	6	5	0.36	0.38	0.06	0.02	0.47
W5	21	3.20	2.56	4	2	0.46	0.41	-0.07	0.01	0.28
Ex situ populations										
E6	32	3.10	2.45	1	1	0.34	0.39	0.08	0.10	0.67
E7	24	3.10	2.66	0	0	0.35	0.37	0.06	0.15	0.71
	average	3.37	2.70	3.0	2.3	0.39	0.40			

Fig 1.

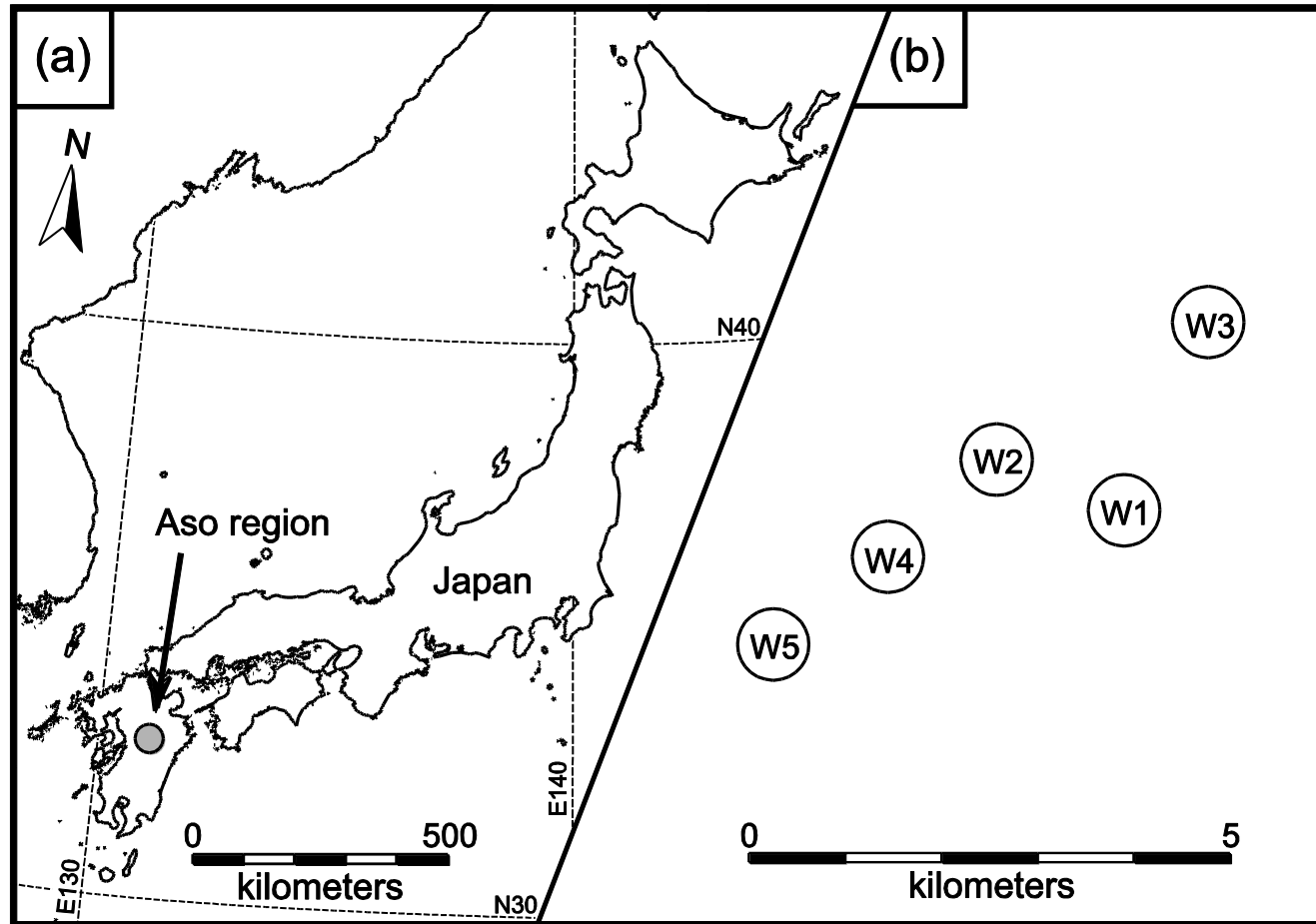


Fig 2.

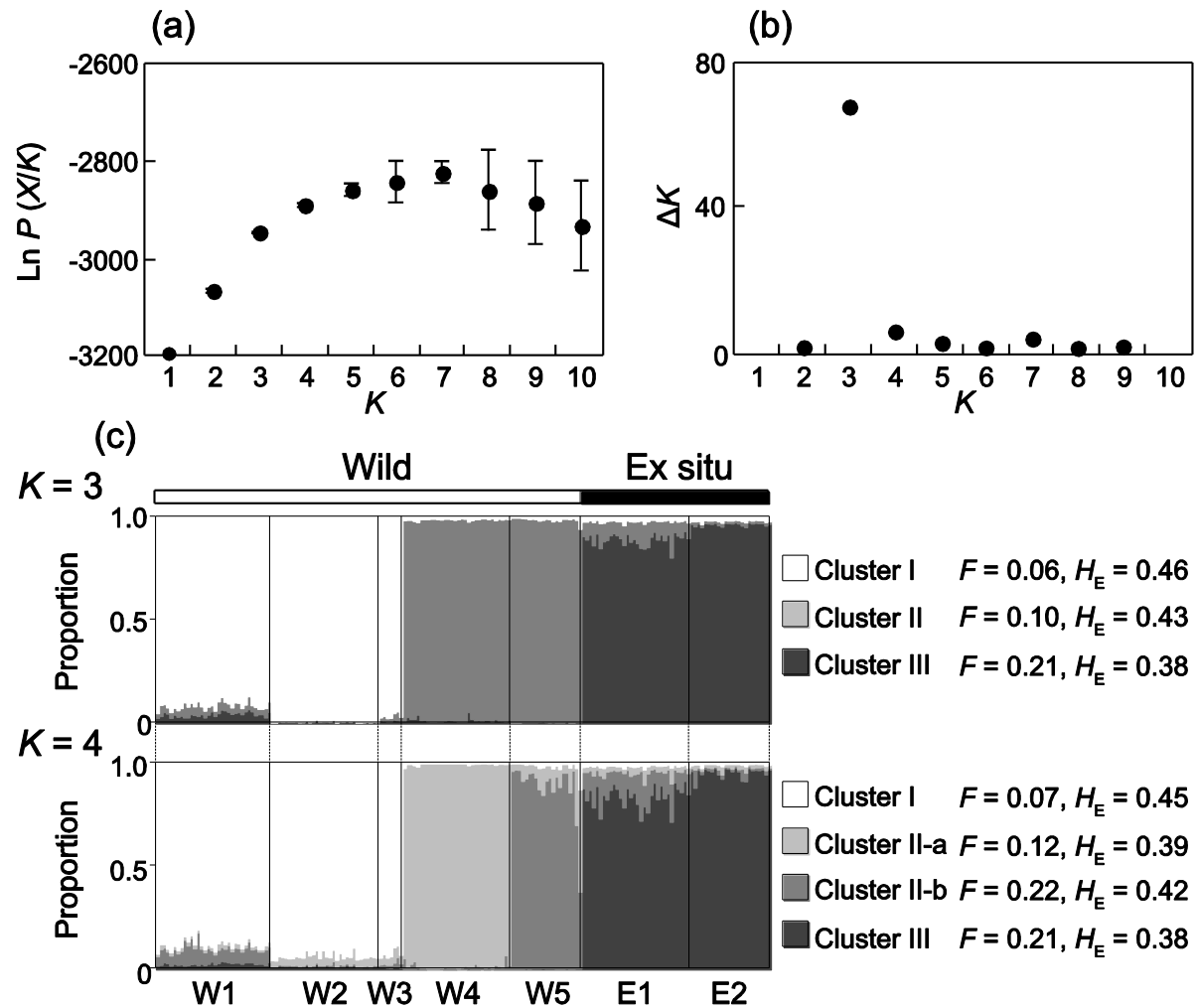


Fig 3.

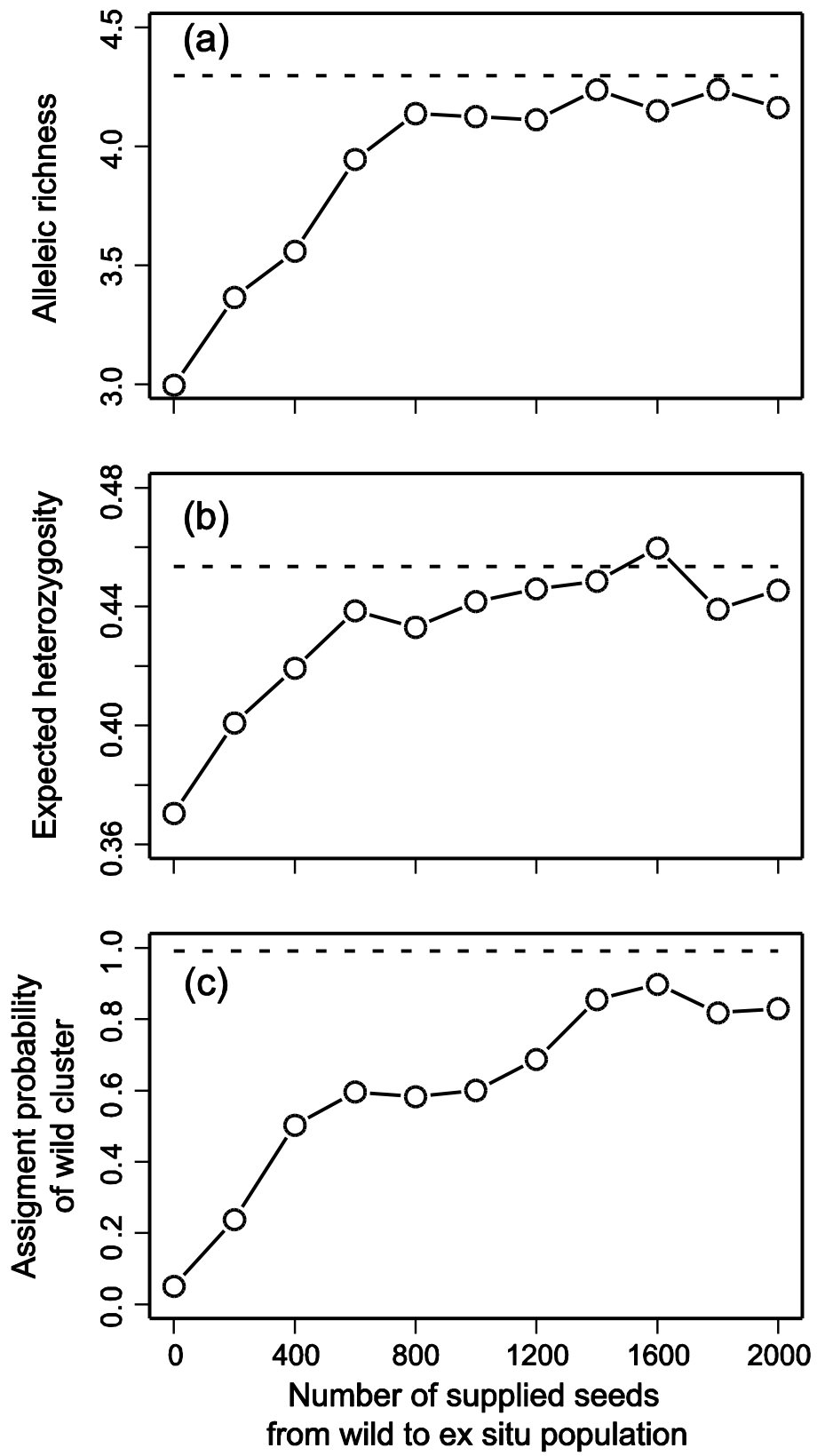


Table A. 1.

Locus	Repeat motif	Primer sequence (5'-3')	T_a (°C)	Size range (bp)	A	H_O	H_E	Accession number
Pkiu593	(AG) ₆ (AC) ₁₁	AGAGAGAGAGAGACACACACAC CAGACAACTCCATGTTTGAGAT	57	189-204	4	0.688	0.604	AB721308
Pkiu627	(AC) ₆ (TC) ₇	ACACACACACACTCTCTCTCTC GAGGGACAGAGAGATCAAGAAC	57	257-275	3	0.129	0.177	AB721309
Pkiu965	(AG) ₆ (AC) ₁₀	AGAGAGAGAGAGACACAC TAATAGTCATAAAATAAGAGGT	45	154-174	4	0.483*	0.699	AB721310

Table A. 2. Pairwise F_{ST} values (above diagonal) and pairwise geographic distance (below diagonal) between *Polemonium kiushianum* populations.

The significances were indicated by asterisks (* $P < 0.05$, ** $P < 0.01$).

Population	Wild					Restored	
	W1	W2	W3	W4	W5	R6	R7
W1	---	0.03*	0.07**	0.09**	0.12**	0.10**	0.10**
W2	1.4	---	0.08**	0.08**	0.11**	0.10**	0.09**
W3	2.1	2.7	---	0.17**	0.18**	0.21**	0.18**
W4	2.5	1.5	4.2	---	0.13**	0.09**	0.08**
W5	4.0	3.0	5.7	1.51	---	0.14**	0.16**
R6	6.9	6.4	5.3	7.45	8.49	---	0.08**
R7	32.2	33.6	31.8	34.5	35.6	35.5	---