

1 **Effects of 24-week add-on treatment with ciclesonide and montelukast on small airways**
2 **inflammation in asthma**

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4 *Hitoshi Nakaji^{1,2}, *Guergana Petrova¹, Hisako Matsumoto¹, Toshiyuki Iwata¹, Isao Ito¹,
5 Tsuyoshi Oguma¹, Hideki Inoue¹, Tomoko Tajiri¹, Tadao Nagasaki¹, Yoshihiro Kanemitsu¹,
6 Akio Niimi^{1,3}, Michiaki Mishima¹

7
8 ¹Department of Respiratory Medicine, Graduate School of Medicine, Kyoto University, Kyoto,
9 Japan

10 ²Department of Respiratory Medicine, Wakayama Red Cross Hospital, Wakayama, Japan

11 ³Division of Respiratory Medicine, Department of Medical Oncology and Immunology, Nagoya
12 City University School of Medical Sciences, Nagoya, Japan

13 * HN and GP equally contributed to this study

14 Trial registration; Registry ID UMIN000001083

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16 **Corresponding author:** Hisako Matsumoto, MD, PhD

17 Department of Respiratory Medicine

18 Postgraduate School of Medicine, Kyoto University

19 54 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan

20 Telephone: +81-75-751-3830; Fax: +81-75-751-4643

21 E-mail: hmatsumo@kuhp.kyoto-u.ac.jp

22

23

24 **Authors' contributions**

25 HN recruited and managed the patients, collected, analyzed and interpreted the data, and
26 prepared the manuscript. GP collected, analyzed and interpreted the data, and wrote the draft.
27 HM conceived the study, recruited and managed the patients, collected, analyzed and interpreted
28 the data, and revised the manuscript. TI collected and analyzed data and prepared the part of the
29 draft. AN and II recruited the patients, collected the data, and contributed to the edition of the
30 manuscript. TO and HI performed IOS measurements and collected the data and prepared the
31 part of the draft. TT, TN, and YK measured exhaled nitric oxide and analyzed and interpreted the
32 data, and prepared the part of the draft. MM contributed to the discussion of the data and critical
33 revision of the manuscript.

34

35 **Abstract**

36 **Background:** Eosinophilic inflammation of the small airways is a key process in asthma that
37 often smolders in treated patients. The long-term effects of add-on therapy on the persistent
38 inflammation in the small airways remain unknown.

39 **Objective:** To examine the effects of add-on therapy with either ciclesonide, an inhaled
40 corticosteroid with extrafine particles, or montelukast on small airway inflammation.

41 **Methods:** Sixty patients with stable asthma receiving inhaled corticosteroid treatment were
42 enrolled in a randomized, open-label, parallel comparison study of 24-week add-on treatment
43 with ciclesonide or montelukast. Patients were randomly assigned to 3 groups: ciclesonide (n =
44 19), montelukast (n = 22) and no add-on as controls (n = 19). At baseline and at weeks 4, 12 and
45 24, extended nitric oxide analysis; pulmonary function tests, including impulse oscillometry;
46 blood eosinophil counts; and asthma control tests (ACTs) were performed.

47 **Results:** A total of 18 patients in the ciclesonide group, 19 in the montelukast group and 15 in
48 the control group completed the study and were analysed. With repeated-measures analysis of
49 variance, ciclesonide produced a significant decrease in alveolar nitric oxide and a significant
50 improvement in ACT scores over time. Montelukast produced significant decreases in alveolar
51 nitric oxide concentrations and blood eosinophil counts over time and slightly improved ACT
52 scores, whereas no such changes were observed in the control group. Alveolar nitric oxide
53 concentrations with ciclesonide and reactance area at low frequencies with montelukast produced
54 greater improvements over time compared with control.

55 **Conclusions:** Ciclesonide add-on therapy and montelukast add-on therapy may act differently,
56 but both separately can improve small airway abnormalities and provide better asthma control.

57

58 Funding; funded by none

59

60 **Key words:** add-on treatment, alveolar nitric oxide, asthma control, ciclesonide, montelukast,

61 small airways

62

63

64 **Introduction**

65 Asthma is a chronic inflammatory disease of the airways characterized by variable, recurring
66 symptoms and reversible airflow obstruction. The immunohistopathologic features include
67 infiltration of eosinophils and lymphocytes, mast cell activation and epithelial cell injury. To
68 date, pathological^{1, 2}, physiologic³ and radiologic findings⁴ have provided sufficient evidence
69 to support not only large but also small airways involvement in inflammation and airflow
70 obstruction, particularly in patients with severe asthma^{5, 6}.

71 Recently, it was found that eosinophilic inflammation of the small airways could be
72 assessed by determining alveolar nitric oxide concentrations^{7, 8}. Small airway inflammation as
73 assessed by alveolar nitric oxide concentrations is increased in patients with refractory asthma⁸
74 and those with nocturnal asthma⁹ and is associated with disease severity^{10, 11} and small airways
75 dysfunction¹¹. Of note, 20% of asthmatic patients have increased alveolar nitric oxide
76 concentrations despite treatments with inhaled corticosteroids (ICSs) and long-acting β_2 agonists
77¹². Alveolar nitric oxide concentrations can also predict a future risk of disease exacerbation¹³.
78 These findings suggest that, even in apparently stable patients taking ICSs, additional treatment
79 targeting the small airways may lead to reaching total asthma control.

80 Few studies have evaluated the changes in alveolar nitric oxide concentrations based on
81 either an uncorrected⁷ or corrected¹⁴ model of add-on medication for persistent inflammation of
82 the small airways. Previous studies found that oral prednisolone¹⁰, but not double doses of
83 ICSs,⁸ could decrease alveolar nitric oxide concentrations. These results suggest that alveolar
84 nitric oxide concentrations may be resistant to a simple ICS dose elevation. In steroid-naive
85 patients, however, extrafine particle hydrofluoroalkane–ciclesonide resulted in decreased
86 alveolar nitric oxide concentrations¹⁵ and hydrofluoroalkane–beclomethasone propionate

87 improved peripheral airway dysfunction¹⁶. Collectively, an extrafine particle ICS is expected to
88 decrease alveolar nitric oxide concentrations when they are used as an add-on medication.
89 Leukotriene receptor antagonists (LTRAs) that are administered systemically are another
90 medication that are supposed to decrease alveolar nitric oxide concentrations. Treatment with
91 montelukast for 4 weeks improved small airway obstruction in steroid-naive patients, which
92 resulted in a decrease in regional air trapping¹⁷. So far published study data of an add-on LTRA
93 to ICS therapy for 3 to 8 weeks with regard to alveolar nitric oxide concentrations have been
94 conflicting^{18,19}. These effects require confirmation with a longer-term study.

95 For this study, we hypothesized that prolonged add-on therapy to the ICS treatment with
96 either ciclesonide or montelukast would have beneficial effects on the persistent inflammation of
97 the small airways and would improve pulmonary function. To test this hypothesis, our primary
98 objectives were to examine the effects of this add-on therapy on alveolar nitric oxide
99 concentrations and to compare its effects on small airways in patients with stable asthma who
100 had not been previously treated with extrafine particle ICSs or LTRAs.

101 **Methods**

102 The full details of the study methods are given in the eMethods. In brief, adult patients with
103 stable asthma who regularly visited our outpatient asthma clinic were enrolled from April 2008
104 to August 2011. Asthma was diagnosed according to American Thoracic Society criteria ²⁰.
105 Patients were included if they were classified as being in treatment steps 2 to 5 of ICS treatment
106 according to the Global Initiative for Asthma guidelines ²¹. These patients had no exacerbations
107 3 months before enrollment, had alveolar nitric oxide concentrations of 5.0 ppb or higher, and
108 were either never-smokers or ex-smokers who had smoked fewer than 5 pack-years and had
109 stopped more than 1 year before. The threshold level for uncorrected alveolar nitric oxide
110 concentrations was set at 5.0 ppb; this value was the average minus 1 SD of uncorrected alveolar
111 nitric oxide concentrations of 70 patients with asthma taking ICSs in our previous study ²².

112 Exclusion criteria were current or previous use of extrafine particle ICSs or LTRAs.
113 Patients were also excluded if, during the study period, any adverse effects of the add-on therapy
114 or asthma exacerbations, including mild exacerbations, defined as an increased need for rescue
115 use of short-acting β_2 -agonists, were noted.

116 This study was approved by the ethics committees of our institute and was registered in
117 UMIN Clinical Trials Registry (Registry Identified UMIN000001083). Written informed consent
118 was obtained from all participants.

119

120 *Design and Measurements*

121 This was a randomized, open-label, parallel comparison study of 24-week add-on
122 treatment with either inhaled ciclesonide or montelukast. Patients were randomly assigned to 3
123 treatment groups: inhaled ciclesonide, 400 μ g once daily add-on (ciclesonide group);

124 montelukast, 10 mg once daily add-on (montelukast group); and control group, who were taking
125 current medication only. At weeks 0 (baseline), 4, 12, and 24 (end of study period) the patients
126 underwent extended nitric oxide analysis and pulmonary function tests, including tests with an
127 impulse oscillometry system (IOS), spirometry, and a nitrogen single-breath wash out test. At the
128 same time points, patients completed an asthma control test (ACT) questionnaire comprising 5
129 questions with a best possible score of 25²³ and were given a rhinitis symptom score (RSS), a
130 self-assessment questionnaire comprising 4 questions, the responses to which were ranked on a
131 Likert-type scale with a maximum of 5 points per answer. The RSS was determined based on the
132 Japanese Guideline for Allergic Rhinitis (best score, 20)²⁴ (eTable 1).

133 At the start and end of the study period, blood samples were obtained for blood
134 eosinophil counts and serum high sensitivity C-reactive protein²⁵, serum eosinophil cationic
135 protein,²⁶ and serum YKL-40, a chitinase like protein²⁷. Blood samples for eosinophil cationic
136 protein determinations were collected in SST tubes (Becton Dickinson, Mountain View,
137 California) and were processed as previously described²⁶. YKL-40 levels were determined using
138 an enzyme-linked immunosorbent assay kit (Quidel, San Diego, California) following the
139 manufacturer's instructions²⁷.

140 Nitric oxide levels were determined with a chemiluminescence analyzer (NOA 280;
141 Sievers, Boulder, Colorado) according to current guidelines, and as previously described alveolar
142 nitric oxide concentrations are provided as noncorrected⁷ and corrected values using a trumpet-
143 shaped model with axial back diffusion (eMethods)¹⁴.

144 After nitric oxide measurements, patients underwent prebronchodilator and
145 postbronchodilator (ie, inhalation of 200 µg of salbutamol) pulmonary function tests.

146 Spirograms were obtained as recommended by the American Thoracic Society/European
147 Respiratory Society²⁸. A nitrogen single-breath washout test was performed only before the
148 inhalation of salbutamol to assess ventilation inhomogeneity by measuring the slope of phase 3
149 of the nitrogen washout curve (ΔN_2).

150 Respiratory impedance was determined by IOS using a Jaeger MasterScreen, IOSTM
151 (Erich Jaeger, Hoechberg Germany) that met standard recommendations (eMethods).^{16, 22}

152

153 *Statistical analysis*

154 For sample size determinations, we originally sought to enroll 90 patients based on previous
155 findings^{15, 17, 19}. However, as described in the “Results” section, we decided to stop patient
156 enrollment at 60 because of the more frequent occurrence of exacerbations in the control group,
157 although these were mild.

158 Statistical analysis used JMP 6.00 (SAS Institute Inc., Cary, North Carolina) on a per-
159 protocol basis. For non-normally distributed results, comparisons were made by the Kruskal–
160 Wallis test, Fisher exact test or Wilcoxon signed-rank test as appropriate. For normally
161 distributed results, comparisons were made by analysis of variance (ANOVA) and the paired *t*-
162 test. Two-way repeated-measures ANOVA was used to assess the variations among the 3
163 treatment modalities and at different time points. For cases with unequal variations in the
164 treatment modalities, only 1-way repeated-measures ANOVA within 1 treatment group was used.
165 For correlation analysis, the Spearman rank-correlation test was used. Data are expressed as
166 mean \pm SD. $P \leq 0.05$ were considered statistically significant.

167

168 **Results**

169 *Enrollment, Dropout, and Exacerbation Rates and Baseline Characteristics*

170 Sixty asthmatic patients were enrolled in this study and randomly assigned to the groups:
171 19 in the ciclesonide group, 22 in the montelukast group, and 19 in the control group (Fig 1). The
172 reasons for patient dropout were as follows: in the ciclesonide group, 1 patient had a possible
173 adverse effect (urticaria); in the montelukast group, 3 patients had possible adverse effects (2
174 experienced mild gastrointestinal discomfort and they preferred to discontinue use of the
175 medication and 1 patient had mildly elevated transaminase levels); and in the control group, 3
176 had mild asthma exacerbations and they preferred to intensify medications and 1 patient
177 discontinued ICS treatment following a general practitioner's advice. As a result 18 patients in
178 the ciclesonide group, 19 in the montelukast group, and 15 in the control group completed the
179 study and were analyzed thereafter (Table 1). For these patients, adherence to the add-on and
180 current medications was satisfactory, which was confirmed by 2 of the authors (H.N. and H.M.)
181 on each visit by checking the residual number of medications.

182 When the exacerbation frequencies were compared between the 19 patients in the control
183 group and the 41 patients in the add-on therapy groups and assuming that the 5 patients who
184 dropped out for reasons other than exacerbation would complete the protocol without
185 exacerbation, the control group had a significantly higher rate of exacerbation ($p = 0.03$; by
186 Fisher exact test). The baseline patient characteristics, ICS doses, and biomarkers, including
187 fractional exhaled nitric oxide (FeNO) and alveolar nitric oxide concentrations, were not
188 significantly different among the 3 patients who later experienced mild exacerbations and the
189 other 57 patients.

190

191 ***ACT scores and RSSs***

192 By 1-way ANOVA, there was a significant improvement in ACT scores during the treatment
193 period within the ciclesonide group ($p = 0.02$; Fig 2), and there was a trend for improvement
194 within the montelukast group ($p = 0.08$). When subscores for the ACT components were
195 separately analyzed in the ciclesonide group, subscores for ACT question 3 concerning nocturnal
196 symptoms and question 5 for self-rating were marginally and insignificantly improved over time
197 ($p = 0.05$ and $p = 0.06$, respectively). Because of the unequal variations among the 3 treatment
198 modalities, we did not conduct 2-way ANOVA for the ACT scores. Details on ACT scores
199 across the treatment steps are presented in eTable 2.

200 Among the 3 groups, neither the proportions of patients with allergic rhinitis nor their
201 baseline RSSs differed. However, a significant difference was seen in the time trends for RSS
202 among the 3 treatment modalities ($p = 0.004$; eFig 1); in particular, using 2-way ANOVA,
203 significant differences were seen for the symptom of nasal obstruction ($p = 0.046$). When
204 comparing 2 different treatment modalities in a post hoc analysis, the montelukast group
205 exhibited a significantly better time trend for the RSS than the control group ($p < 0.001$) and a
206 trend for better scores than the ciclesonide group ($p = 0.07$; eFig 1). A significant increase in
207 RSS over time was found only in the montelukast group ($p < 0.001$, by 1-way ANOVA).

208 There were no associations between changes in ACT or RSS from baseline to the end of
209 the treatment period and changes in alveolar nitric oxide concentrations or corrected alveolar
210 nitric oxide concentrations in either treatment group.

211

212 ***Nitric Oxide Results***

213 No significant differences were found in the time trends for FeNO at an expiratory flow rate of
214 50 mL/s among the 3 treatment modalities or within each of the groups (results not shown).

215 The time trends for uncorrected alveolar nitric oxide concentrations were significantly
216 different among the 3 treatment groups ($p = 0.048$, by 2-way ANOVA). When comparing 2
217 different treatment modalities in a post hoc analysis, the ciclesonide group had a greater decrease
218 in alveolar nitric oxide concentrations over time than the control group ($p = 0.03$, by 2-way
219 ANOVA). By 1-way ANOVA, alveolar nitric oxide concentrations in the control group did not
220 change during the study period, whereas in both of the add-on treatment groups, alveolar nitric
221 oxide concentrations significantly decreased over time ($p = 0.01$ for the ciclesonide and
222 montelukast groups; Fig 3).

223 For corrected alveolar nitric oxide concentrations, 1-way ANOVA showed that there was
224 an insignificant decrease over time in the ciclesonide group ($p = 0.06$).

225

226 *Pulmonary Function Tests*

227 None of the spirometry indices, ΔN_2 , or IOS indices of respiratory resistance at 5 Hz (Rrs_5),
228 respiratory resistance at 20 Hz (Rrs_{20}), or respiratory reactance at 5 Hz (Xrs_5) revealed any
229 difference among the 3 treatment modalities during the treatment period regardless of
230 prebronchodilator or postbronchodilator conditions. No significant changes were observed within
231 any of the 3 groups (data not shown).

232 A significant difference was found in the time trends for the reactance area (AX) among
233 the 3 treatment modalities ($p = 0.04$, by 2-way ANOVA). The AX levels in the montelukast
234 group improved over time when compared with the control group ($p = 0.05$, by 2-way ANOVA;
235 Fig 4). For Rrs_5 – Rrs_{20} , 2-way ANOVA was not used because of the unequal variations among

236 the 3 treatment modalities; however, 1-way ANOVA revealed that there was a trend for a change
237 over time in the ciclesonide group ($p = 0.09$).

238 Although there were associations between corrected alveolar nitric oxide concentrations
239 and IOS indices of AX or Rrs₅-Rrs₂₀ at baseline ($r = 0.30$, $p < 0.05$ for both, $n = 52$), no
240 associations were found between changes in pulmonary function data from baseline to the end of
241 the treatment period and changes in alveolar nitric oxide concentrations or corrected alveolar
242 nitric oxide concentrations in either treatment group.

243

244 ***Blood Test Results***

245 Blood samples were obtained at baseline and at the end of the treatment period to determine
246 blood eosinophil counts and serum levels of eosinophil cationic protein, high sensitivity C-
247 reactive protein, and YKL-40. No significant changes were found in these tests results between
248 the beginning and the end of the treatment period, except for the montelukast group in which the
249 eosinophil counts significantly declined after treatment ($2.9 \pm 2.2\%$ at 24 weeks)($p = 0.02$, paired
250 t test).

251

252 **Discussion**

253 To the best of our knowledge, this is the first long-term study that clarified the benefits and
254 potential role of add-on therapy with either ciclesonide of extrafine particle ICS or montelukast
255 in steroid-treated patients with stable asthma. Ciclesonide may have attenuated smoldering
256 inflammation of the small airways and significantly improved asthma control over time.
257 Montelukast ameliorated the remnant dysfunction of the small airways and reduced nasal
258 symptoms and blood eosinophil counts. To a lesser extent than ciclesonide, montelukast also
259 improved smoldering inflammation of the small airways.

260 Alveolar nitric oxide concentration is an established marker of small airway
261 inflammation and is correlated with eosinophil counts in bronchoalveolar lavage fluid ⁸. In the
262 ciclesonide group, alveolar nitric oxide concentrations significantly decreased over time when
263 compared with the control group and the ciclesonide intragroup analysis. Our data confirmed
264 earlier findings of the effects of 5-week treatment with ciclesonide on alveolar nitric oxide
265 concentrations in steroid-naïve patients ¹⁵ and reinforced the advantage of extrafine particle ICSs
266 to treat smoldering inflammation of the small airways, even in patients already taking ICSs.
267 There remains the possibility that the addition of ciclesonide to the patients' current medication
268 may have exerted anti-inflammatory effects *via* the increase in the total amount of ICS, which
269 may have suppressed the remnant inflammation throughout the airways. However, this is
270 unlikely because FeNO at 50 mL/s did not change over time. Taken the results of the previous
271 short-term study and current study together, ciclesonide would be capable of treating the small
272 airways potentially because of its particles size, which was sufficiently small to reach the
273 peripheral airways.

274 In contrast to uncorrected alveolar nitric oxide concentrations, corrected alveolar nitric
275 oxide concentrations only showed a trend toward being decreased in the ciclesonide group ($p =$
276 0.06 , 1-way ANOVA). Although corrected alveolar nitric oxide concentrations reflect airway
277 dysfunction^{22, 29}, as do alveolar nitric oxide concentrations, corrected alveolar nitric oxide
278 concentrations do not reflect disease severity^{14, 22} or asthma control status²⁹. It is also not
279 increased during asthma exacerbations in adults³⁰, a finding that is in contrast to several lines of
280 evidence for alveolar nitric oxide concentrations. Although alveolar nitric oxide concentrations
281 are contaminated with bronchial nitric oxide, potentially from small conducting airways where
282 diffusion begins to replace bulk flow, our findings on alveolar nitric oxide concentrations imply
283 that relatively small airways, albeit not actual peripheral airways, are still important in the
284 management of asthma.

285 Studies of add-on medication using LTRAs that have evaluated changes in alveolar nitric
286 oxide concentrations in persistent inflammation of the small airways reported inconsistent
287 findings. Previous add-on studies of montelukast to fluticasone¹⁸ or fluticasone and salmeterol
288 treatment¹² did not find any significant benefits for montelukast with regard to decreases in
289 alveolar nitric oxide concentrations after montelukast add-on therapy. However, these earlier
290 studies were relatively short-term, with treatment periods of only 3 to 4 weeks. Yasui et al.
291 investigated pranlukast use in patients with stable asthma and found significant decreases in both
292 corrected and uncorrected alveolar nitric oxide concentrations after 8-week crossover of add-on
293 therapy with pranlukast¹⁹. In agreement with that study, we found that alveolar nitric oxide
294 concentrations in the montelukast group decreased during the 24-week add-on period, although
295 these levels were not significantly different from the control group. As with the ciclesonide
296 group, FeNO at 50 mL/s did not change over time. These findings indicate that add-on treatment

297 with LTRAs for longer than 8 weeks suppresses the remnant inflammation in the small airways.
298 In addition, our intervention study that covered the 2 seasons for allergic rhinitis (spring and
299 autumn) provided additional evidence of the established benefit of montelukast on allergic
300 rhinitis³¹ and justified a role for LTRA in the therapy for patients with stable asthma with
301 concomitant allergic rhinitis, even those with minimal symptoms.

302 Symptoms and airway obstruction are integral to the definition of asthma, and represent
303 important components for assessing asthma control in both clinical practice and clinical trials.
304 Therefore, one of the end points in our study was ACT scores. Despite the disadvantage in
305 adherence to inhalation use, as reported previously on adherence to LTRA of 67.7% vs. 33.8%
306 for ICS³², ACT scores significantly improved over time in the ciclesonide group. In addition,
307 there was a marginal improvement in the subscore of ACT question 3 concerning nocturnal
308 symptoms in the ciclesonide group. To date, a number of studies have confirmed that
309 eosinophilic inflammation worsens in patients with nocturnal asthma, particularly in the
310 peripheral airways³³. Lehtimaki et al⁹ reported that nocturnal symptoms in asthmatic patients
311 were related to higher alveolar nitric oxide concentrations. These results are in accordance with
312 our results showing that ciclesonide add-on treatment reduced inflammation in the small airways,
313 as assessed by alveolar nitric oxide concentrations, and improved nocturnal symptoms, as
314 assessed by ACT subscores. Care must be taken when interpreting these findings, however,
315 because the minimally important difference in ACT scores that reflects a clinically meaningful
316 change is considered to be 3 points³⁴, and the increase in ACT composite scores in our
317 ciclesonide group did not achieve this. Despite this minimal change, these statistically significant
318 changes would still favour add-on therapy for patients with seemingly stable asthma.

319 We did not find any significant changes in spirometry function results or ΔN_2 between
320 the control and therapy add-on groups, which may seem unexpected. Spirometry is not sensitive
321 enough to detect early small airway involvement because the small airways are pathways of very
322 low resistance and only contribute to approximately 10% of the total airway resistance³⁵. Instead
323 of using ΔN_2 , ventilation heterogeneity within conductive and acinar airways could have been
324 separately assessed using a nitrogen multiple-washout test³⁶. Another possible reason could be
325 that our patients had already good pulmonary function, so that changes in alveolar nitric oxide
326 concentrations were not reflected in the airway function. However, in the montelukast group, the
327 AX^{16,22} significantly decreased over time when compared with the control group, as was found
328 in our previous intervention study in steroid-naïve patients¹⁶. Montelukast may have reversed
329 remodeling in the airway walls by reducing airway smooth muscle layer thickening and
330 subepithelial fibrosis in long-term treatment, as has been shown in an animal model³⁷. More
331 significant findings might be expected in extended studies in a larger number of patients.

332 A limitation of our study was that it was a parallel, open-label, and unblinded study,
333 which might have influenced subjective measures, such as asthma symptoms and rescue use of
334 short-acting β_2 agonists. Another issue is the use of 2 different inhalers for corticosteroids,
335 although we achieved good adherence in the ciclesonide group. In future studies with more
336 patients and longer treatment periods, this issue could be resolved.

337 In addition, we may have missed some patients with occult inflammation in the small
338 airways by excluding those with alveolar nitric oxide concentrations less than 5 ppb, given that
339 some patients who have high FeNO and low alveolar nitric oxide concentrations exhibit
340 paradoxical increases in alveolar nitric oxide concentrations after treatment³⁸, possibly because
341 of dilatation of constricted small airways from terminal to respiratory bronchioles. However, by

342 setting this threshold for alveolar nitric oxide concentrations during patient enrollment, the
343 changes of alveolar nitric oxide concentrations in this study could be simply interpreted.

344 Finally, from the ethical standpoint, we stopped enrollment at 60 patients because of a
345 higher, albeit mild, exacerbation rate in the control group, which was consistent with the finding
346 that elevated alveolar nitric oxide concentration was associated with risk of asthma
347 exacerbation¹³. Thus, some of the insignificant findings, particularly of the pulmonary function
348 data in this study, may be due to lesser statistical power. Lack of associations between the
349 changes in alveolar nitric oxide concentrations and changes in pulmonary function data or ACT
350 scores might be another issue. However, we did not set the sample size to seek significant
351 associations between changes in alveolar nitric oxide concentrations and any other clinical
352 indices because of their potentially large variations during the treatment period, although
353 alveolar nitric oxide concentrations, pulmonary function, and ACT were intuitively thought to
354 behave in parallel. Despite these limitations, the current findings of a decrease in alveolar nitric
355 oxide concentrations with add-on treatment are sufficient to be used as a future reference when
356 intensifying treatment with extrafine particle ICS or LTRA add-on therapy, even in patients with
357 seemingly stable asthma who are receiving ICS treatment but still have evidence of small
358 airways inflammation as assessed by alveolar nitric oxide concentrations.

359 We conclude that ciclesonide and montelukast may act differently but that both
360 separately can improve small airway abnormalities (eTable 3). By coadministration of these
361 medications, cumulative effects on inflammation and small airways function can be expected and
362 should be clarified in a future study. We can achieve additional benefits by treating inflammation
363 of the small airways in patients with stable asthma to reach the ultimate asthma treatment goal:
364 ideal control.

366 **Acknowledgments**

367 The authors are grateful to Ms Aya Inazumi and Ms Yuko Maeda for their technical assistance.

368

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370

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Figure legends

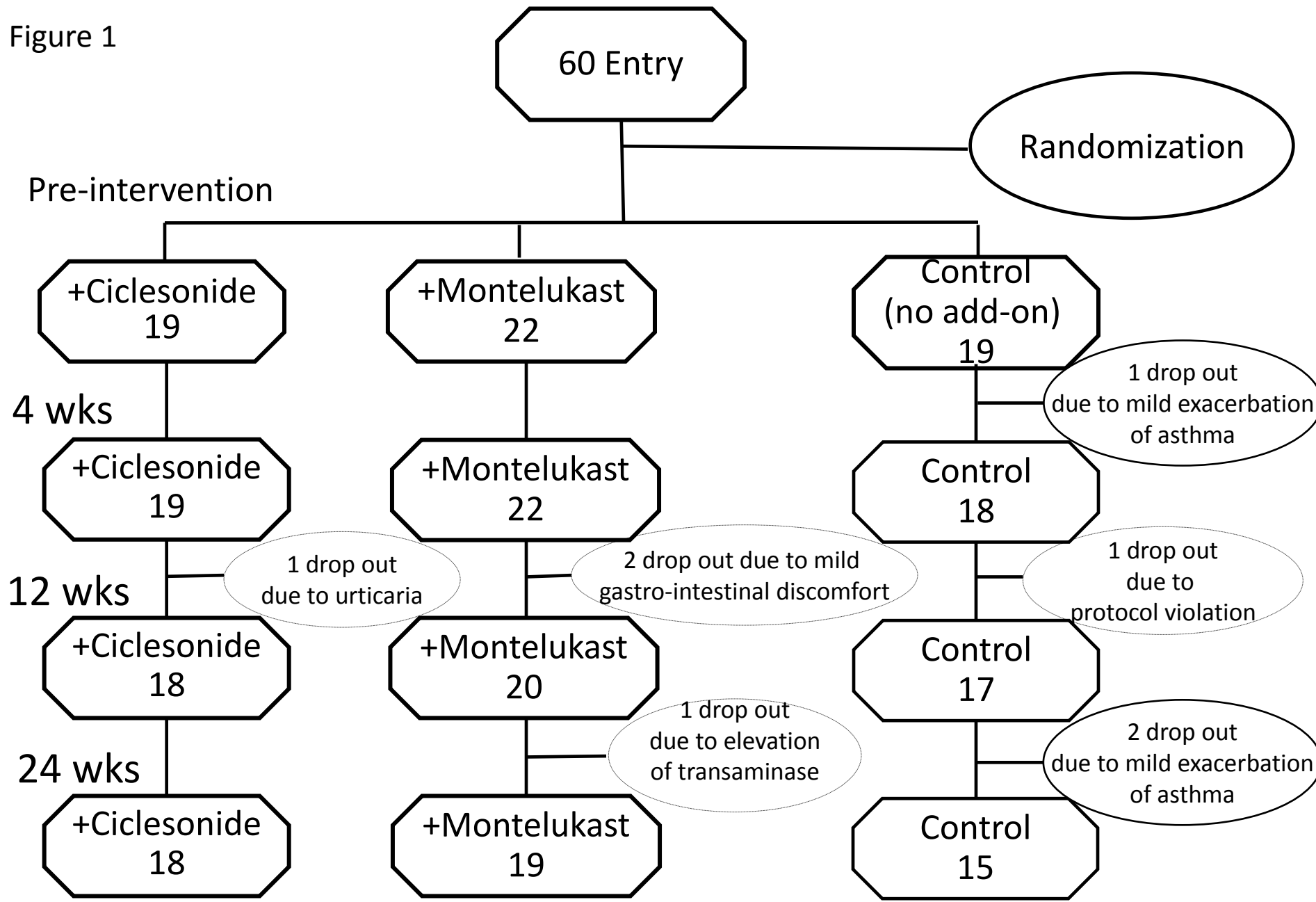
Figure 1. Registration and randomization

Figure 2. Asthma control test (ACT) scores in the 3 study groups. *Significant changes in ACT scores within the ciclesonide add-on group ($p = 0.02$, by 1-way analysis of variance).

Figure 3. Alveolar nitric oxide concentrations in the 3 study groups. *Significant difference in the time trends for alveolar nitric oxide concentrations among the 3 treatment modalities ($p = 0.048$, by 2-way analysis of variance [ANOVA]). †Significant changes in alveolar nitric oxide concentrations in the ciclesonide add-on group ($p = 0.03$ vs the control group, by 2-way ANOVA) ($p = 0.01$, by 1-way ANOVA). ‡Significant changes within montelukast add-on group ($p = 0.01$, by 1-way ANOVA).

Figure 4. Reactance area (AX) levels in the 3 study groups. *Significant difference in the time trends for AX levels among the 3 treatment modalities ($p = 0.04$, by 2-way analysis of variance [ANOVA]), †posthoc analysis between the montelukast add-on and control groups ($p = 0.05$, by 2-way ANOVA).

Figure 1



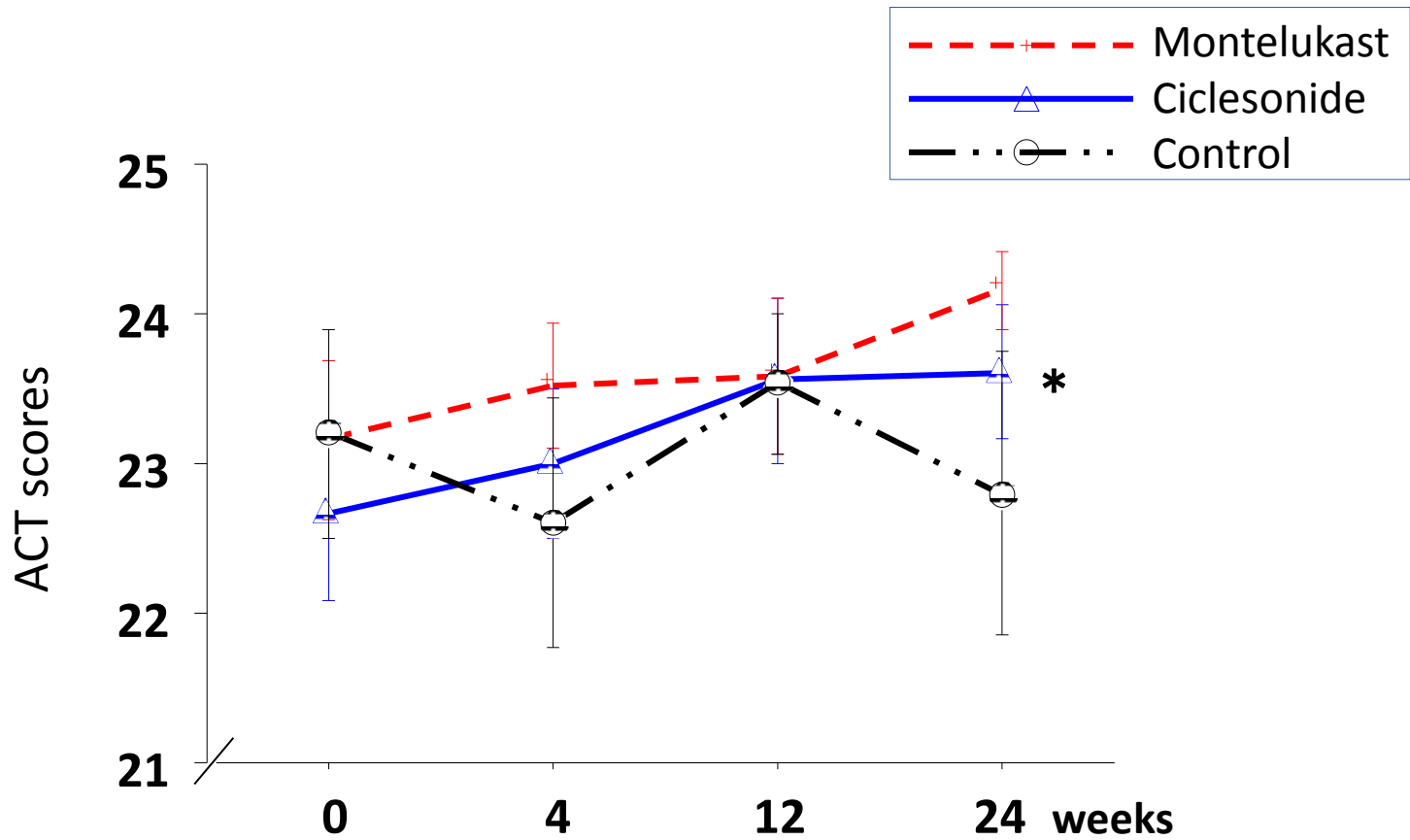


Figure 2

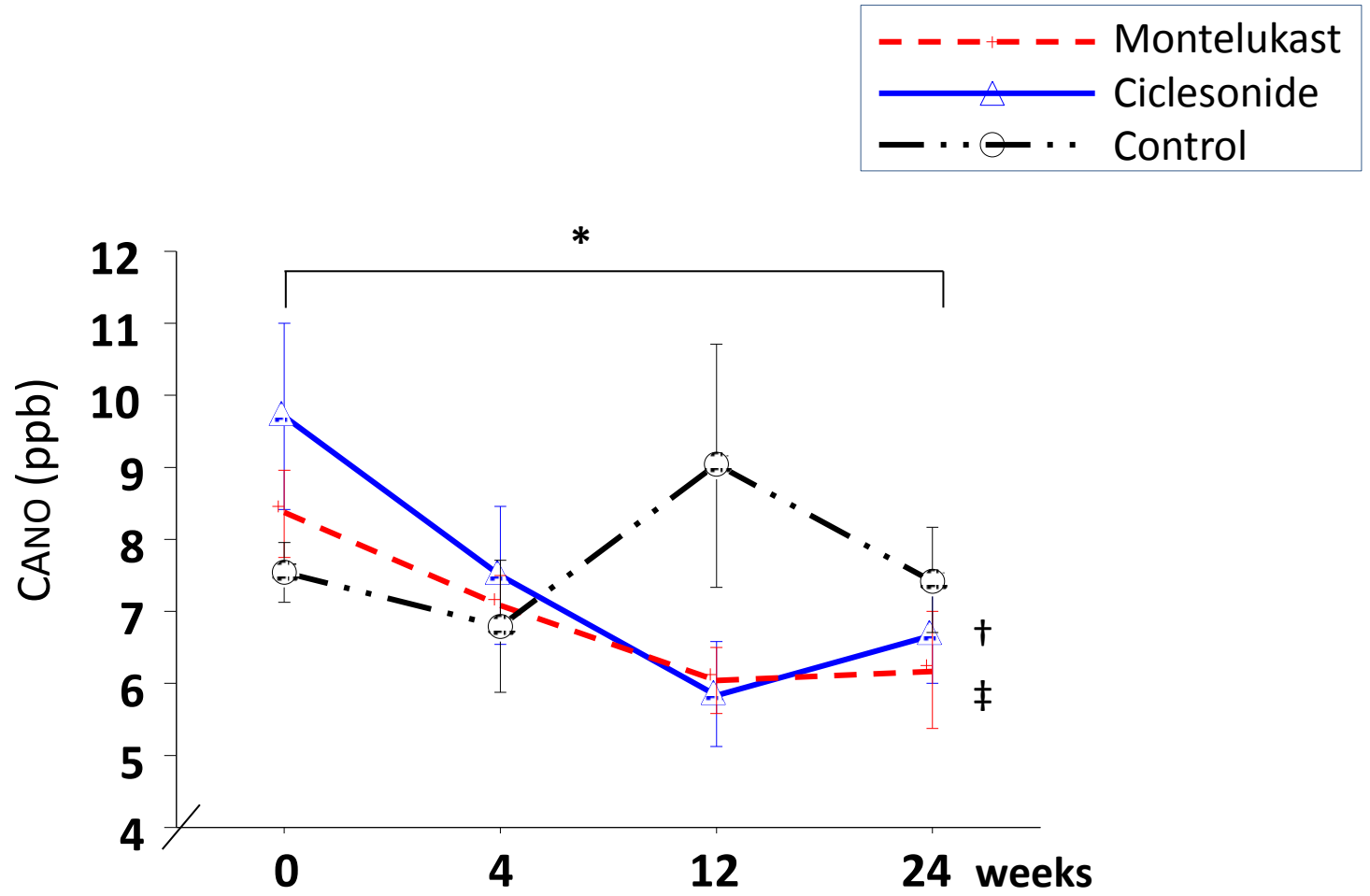


Figure 3

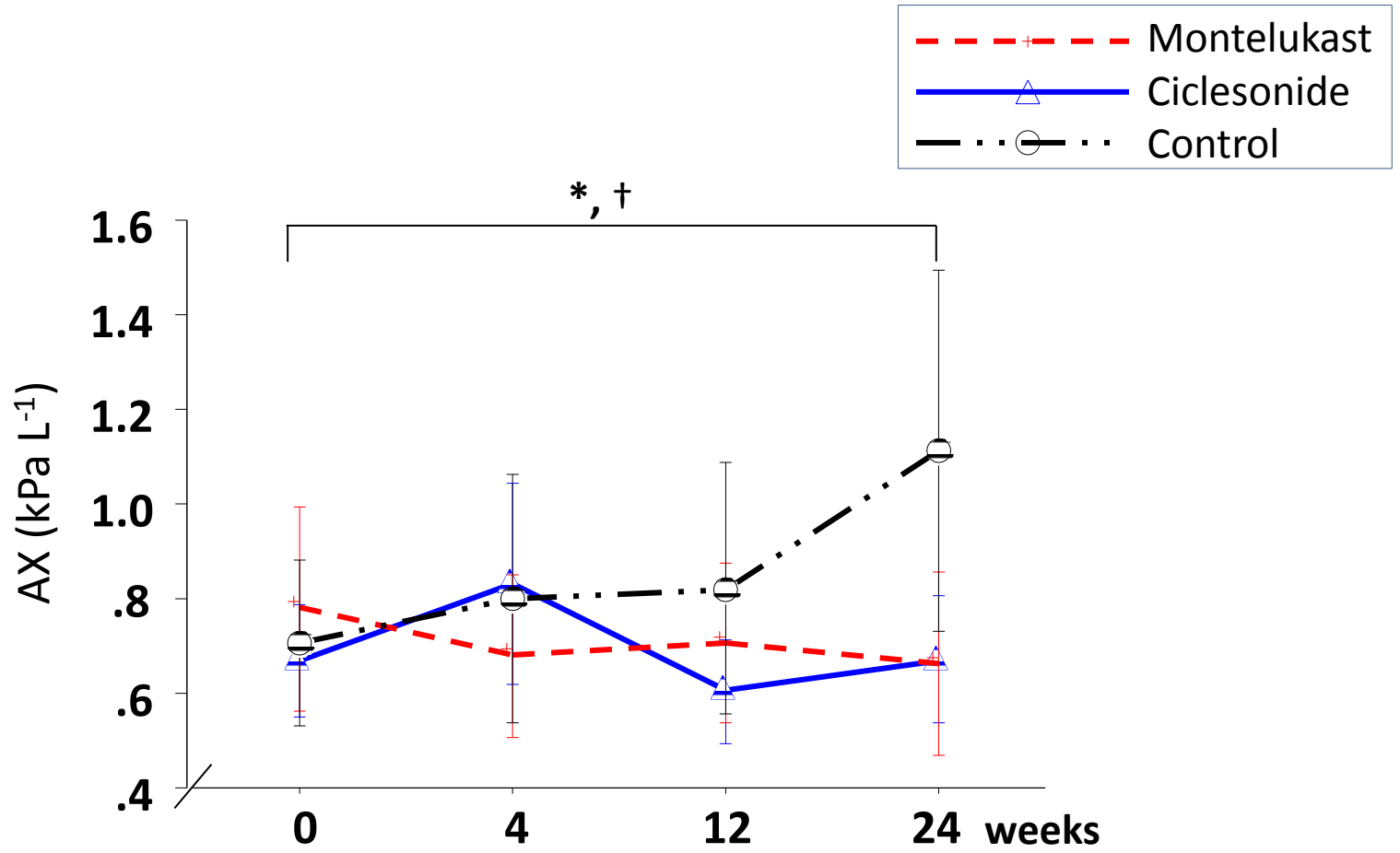


Figure 4

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480 **Table 1.** Characteristics of the study patients

| | Ciclesonide group (n = 18) | Montelukast group (n = 19) | Control group (n = 15) |
|-----|------------------------------------------------------------|-------------------------------|---------------------------|
| 481 | | | |
| 482 | | | |
| 483 | Female / male | 13 / 5 | 13 / 6 |
| 484 | Age, y | 64.5 ± 9.9 | 61.8 ± 10.6 |
| 485 | Treatment Step 2/ 3/ 4/ 5 ¹⁾ | 6 / 11 / 1 / 0 | 6 / 10 / 3 / 0 |
| 486 | Smoking history | | |
| 487 | (never / ex-smoker) | 17 / 1 | 15 / 4 |
| 488 | Atopy (yes / no) ²⁾ | 10 / 8 | 12 / 7 |
| 489 | Total IgE, IU/mL | 120 (7-25000) | 159 (8-1900) |
| 490 | Daily dose of ICS, µg ³⁾ | 361 ± 263 | 353 ± 174 |
| 491 | Use of LABA (yes / no) | 11 / 7 | 10 / 9 |
| 492 | Use of theophylline (yes / no) | 3 / 15 | 3 / 16 |
| 493 | FeNO ₅₀ , ppb | 42.4 ± 32.1 | 44.5 ± 36.4 |
| 494 | Alveolar nitric oxide | | |
| 495 | concentrations, ppb | 9.7 ± 5.6 | 8.4 ± 2.7 |
| 496 | Corrected alveolar nitric oxide | | |
| 497 | concentrations, ppb | 7.0 ± 5.5 | 5.7 ± 3.3 |
| 498 | FEV ₁ , % predicted | 93.9 ± 17.5 | 93.7 ± 20.3 |
| 499 | FEV ₁ /FVC, % | 74.7 ± 18.6 | 74.2 ± 18.2 |
| 500 | ΔN ₂ , % | 1.8 ± 1.7 | 1.8 ± 1.7 |
| 501 | Rrs ₅ , kPa sL ⁻¹ | 0.43 ± 0.15 | 0.40 ± 0.13 |
| 502 | Rrs ₂₀ , kPa sL ⁻¹ | 0.35 ± 0.11 | 0.31 ± 0.09 |
| 503 | Rrs ₅ -Rrs ₂₀ , kPa sL ⁻¹ | 0.08 ± 0.05 | 0.09 ± 0.07 |
| 504 | Xrs ₅ , kPa sL ⁻¹ | -0.14 ± 0.06 | -0.14 ± 0.06 |
| 505 | AX, kPa L ⁻¹ | 0.67 ± 0.51 | 0.78 ± 0.91 |
| 506 | ACT score | 22.7 ± 2.5 | 23.2 ± 2.3 |
| 507 | Rhinitis symptom score | 16.9 ± 2.1 | 16.4 ± 2.1 |
| 508 | Blood eosinophils, % | 5.3 ± 3.9 | 4.7 ± 2.9 |
| 509 | Serum ECP, µg/L | 16.6 ± 17.6 | 11.4 ± 11.1 |
| 510 | Serum hsCRP, mg/dL | 0.21 ± 0.37 | 0.10 ± 0.20 |
| 511 | Serum YKL-40, ng/dL | 115.2 ± 86.0 | 123.2 ± 83.7 |

512 Data are presented as number or mean ± SD, except for IgE, which is presented as median
513 (range); p>0.05 for all characteristics according to the analysis of variance, the Kruskal-Wallis
514 test or Fisher's exact test. 1) According to the 2006 Global Initiative for Asthma guidelines, 2)
515 Atopy was determined based on the presence of specific serum IgE antibodies to at least 1
516 common inhalant allergen, including cat dander, dog dander, weed pollens, grass pollens, molds,
517 or house dust mite, 3) equivalent to fluticasone propionate

518 **E-Supplement material**

519

520 Effects of 24-week add-on treatment with ciclesonide and montelukast on small airways
521 inflammation in asthma

522

523 *Hitoshi Nakaji^{1,2}, *Guergana Petrova¹, Hisako Matsumoto¹, Toshiyuki Iwata¹, Isao Ito¹,
524 Tsuyoshi Oguma¹, Hideki Inoue¹, Tomoko Tajiri¹, Tadao Nagasaki¹, Yoshihiro Kanemitsu¹,
525 Akio Niimi^{1,3}, Michiaki Mishima¹

526

527 ¹Department of Respiratory Medicine, Graduate School of Medicine, Kyoto University, Kyoto,
528 Japan

529 ²Department of Respiratory Medicine, Wakayama Red Cross Hospital, Wakayama, Japan

530 ³Division of Respiratory Medicine, Department of Medical Oncology and Immunology, Nagoya
531 City University School of Medical Sciences, Nagoya, Japan

532 * HN and GP equally contributed to this study

533 Trial registration; Registry ID UMIN000001083

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535 Corresponding author: Hisako Matsumoto, MD, PhD

536 Department of Respiratory Medicine

537 Postgraduate School of Medicine, Kyoto University

538 54 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan

539 Telephone: +81-75-751-3830; Fax: +81-75-751-4643

540 E-mail: hmatsumo@kuhp.kyoto-u.ac.jp

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543 **eMethods**

544 Adult patients with stable asthma who regularly visited our outpatient asthma clinic were
545 enrolled from April 2008 to August 2011. Asthma was diagnosed according to American
546 Thoracic Society criteria based on a history of recurrent episodes of wheezing and chest tightness,
547 with or without cough, and documented airway reversibility with a bronchodilator or
548 hyperresponsiveness to inhaled methacholine ^{e1}.

549 Nitric oxide levels were determined with a chemiluminescence analyzer (NOA 280;
550 Sievers, Boulder, Colorado) according to current guidelines and as previously described ^{e2}. The
551 analyzer was daily calibrated with gas without nitric oxide and a standard concentration of 640
552 ppb nitric oxide. Lower detection limit for nitric oxide was 2 ppb. The concentrations were
553 determined using a data analysis program (NOA Analysis™ Software; Sievers). Seated patients
554 inserted a mouthpiece, inhaled orally to total lung capacity, exhaled immediately against a
555 resistance and maintained mouth pressure at 20 cm H₂O, displayed on a pressure gauge. The
556 steady-state nitric oxide plateau was taken as the fractional exhaled nitric oxide (FeNO) value.
557 By varying expiratory resistances, we measured FeNO levels at 3 expiratory flows of 50, 100
558 and 200 mL/s in that order. Alveolar nitric oxide concentrations are provided as non-corrected^{e3}
559 and corrected values using trumpet-shaped model and axial back diffusion ^{e2, e4}.

560 After nitric oxide measurements, patients underwent prebronchodilator and
561 postbronchodilator (ie, inhalation of 200 µg of salbutamol) pulmonary function tests. Respiratory
562 impedance was determined by impulse oscillometry system (IOS) followed by spirometric test
563 and a nitrogen single-breath washout test. Forced vital capacity, forced expiratory volume in 1
564 second, and forced midexpiratory flow were determined using a ChestGraph HI-701 spirometer
565 (Chest MI Corp., Tokyo, Japan). Spirograms were obtained in triplicate, and the best of 3
566 reproducible measurements was recorded, as recommended by the American Thoracic
567 Society/European Respiratory Society ^{e5}. A nitrogen single-breath washout test was performed
568 only before the inhalation of salbutamol to assess ventilation inhomogeneity by measuring the
569 slope of phase 3 of the nitrogen washout curve.

570 Respiratory impedance was determined using a Jaeger MasterScreen, IOS (Erich Jaeger,
571 Hoechberg Germany), which met standard recommendations^{e6}. In brief, rectangular mechanical
572 impulses containing a continuous power spectrum ranging from 0 to 100 Hz, generated by a
573 loudspeaker at intervals of 0.2 second, were applied to the respiratory system through a
574 mouthpiece during tidal breathing. The resulting pressure and flow signals were measured next
575 to the mouthpiece and were analyzed for amplitude and phase differences using a fast Fourier
576 transform to determine respiratory resistance (Rrs) and respiratory reactance (Xrs) of the total
577 respiratory system. To reduce loss of energy in the upper airways, the chin and cheeks were
578 supported by the patients' hands. As proxies for peripheral airway function, we used the negative
579 frequency dependence of Rrs between 5 and 20 Hz (Rrs5-Rrs20), Xrs at 5 Hz (Xrs5), and
580 reactance area (AX) that is the integral of Xrs from 5 Hz to the resonant frequency at which Xrs
581 crosses zero^{e2,e7}.

582

583 **eReferences**

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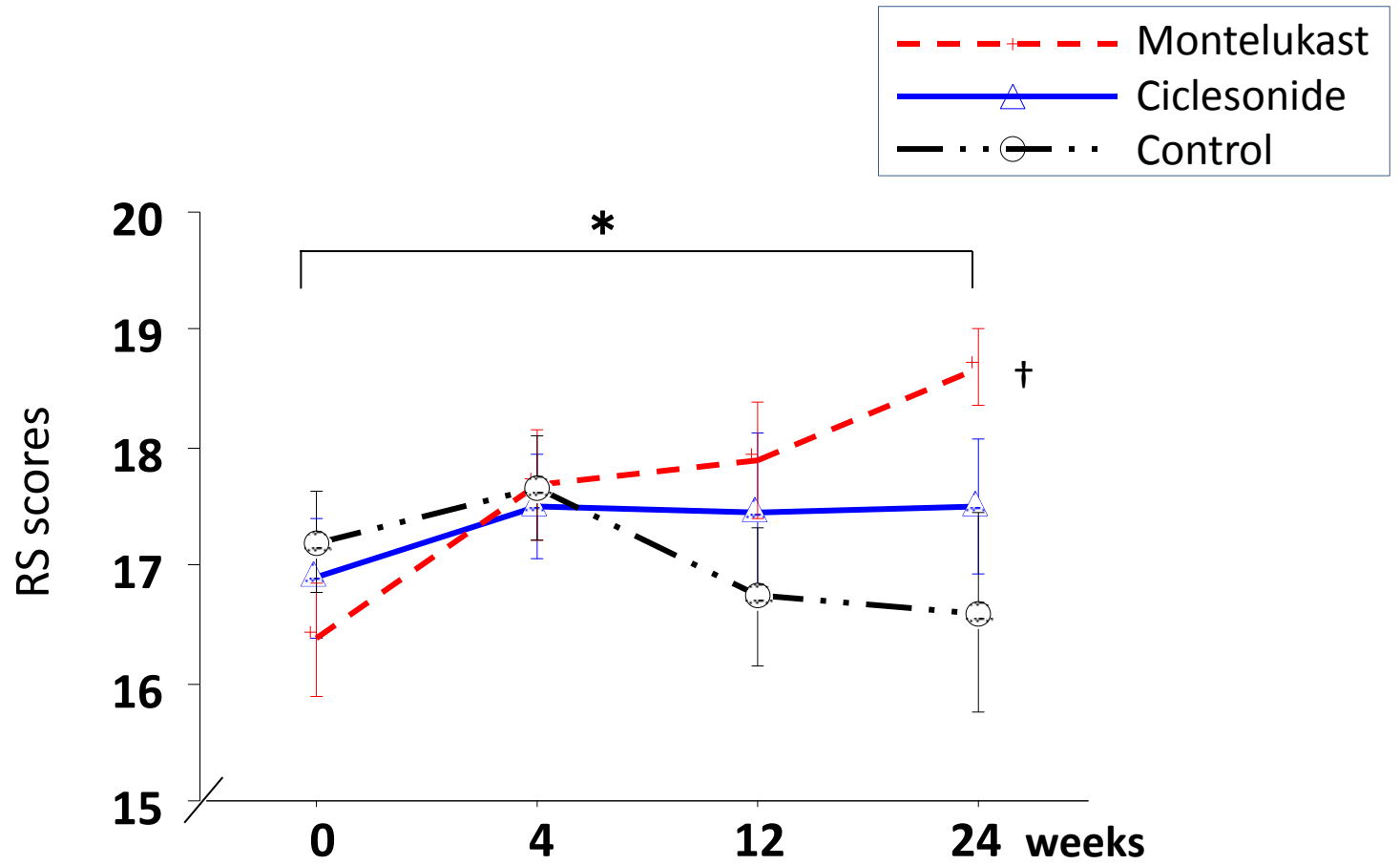
608 **eFigure legends**

609 eFigure 1. Rhinitis symptom scores (RSS) in the 3 study groups. *Significant difference in the time trends
610 for RSS among the 3 treatment modalities ($p = 0.004$, by 2-way analysis of variance [ANOVA]).

611 †Significant changes in RSS in montelukast add-on group ($p < 0.001$ vs control group, by 2-way
612 ANOVA) ($p < 0.001$, by 1-way ANOVA).

613

614



eFigure 1

615

616 **eTable 1.**

617 Rhinitis symptom scores^{e8} (originally in Japanese)

618

619 A. Mean number of episodes of paroxysmal sneezing in a day

620 1. ≥ 21 times 2. 20-11 times 3. 10-6 times 4. 5-1 times 5. none

621

622 B. Mean number of episodes of nasal discharge a day

623 1. ≥ 21 times 2. 20-11 times 3. 10-6 times 4. 5-1 times 5. none

624

625 C. Nasal blockage

626 1. completely obstructed all day

627 2. severe nasal blockage causing prolonged oral breathing in a day

628 3. severe nasal blockage causing occasional oral breathing in a day

629 4. nasal blockage without oral breathing

630 5. not obstructed / no symptoms

631

632 D. Disturbance of daily activity (troubles with work, study, household work, sleep, going out, etc)

633 1. impossible

634 2. painful and complicating daily life

635 3. intermediate between 2) and 4)

636 4. few troubles

637 5. not disturbed at all

638

639 **eTable 2.** Asthma control test (ACT) scores and distribution of control status at baseline according to the
 640 treatment steps

| | | Treatment steps | | p value |
|-------------------------|----------------------------|-----------------|------------|---------|
| | | 2 and 3 | 4 and 5 | |
| Ciclesonide (n = 18) | ACT scores | 23.1 ± 1.9 | 16 | NS |
| | total/good/no control (n) | 7/10/0 | 0/0/1 | <0.01 |
| Montelukast (n = 19) | ACT scores | 23.3 ± 2.4 | 22.3 ± 2.3 | NS |
| | total/ good/no control (n) | 7/7/2 | 1/2/0 | NS |
| Control (n = 15) | ACT scores | 23.7 ± 1.7 | 21.3 ± 5.5 | NS |
| | total/good/no control (n) | 5/7/0 | 1/1/1 | NS |

641 Data are presented as mean ± SD.

642 Control status is defined as total when ACT score was equal to 25 points, good when ACT score was 20
 643 or higher, no control when ACT score was less than 20.

644 NS; no significant difference by Wilcoxon rank-sum test or χ^2 test.

645

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648

649 **eTable 3.** Summary of the results

| | | Ciclesonide add-on | Montelukast add-on | Control |
|------------------------------------------------------|-------------------------------|-----------------------------------------------|-----------------------------------------------|---------|
| FeNO | | NS | NS | NS |
| Alveolar nitric oxide concentrations | <i>vs</i> other groups | Significant decrease <i>vs</i> controls | NS | - |
| | within the treatment modality | Decreased | Decreased | NS |
| Corrected alveolar nitric oxide concentrations | within the treatment modality | Insignificantly decreased | NS | NS |
| AX | <i>vs</i> other groups | NS | Significant decrease <i>vs</i> controls | - |
| Blood eosinophils | within the treatment modality | NS | Decreased | NS |
| ACT | within the treatment modality | Improved | Insignificantly improved | NS |

650 ACT: asthma control test

651 AX: reactance area at low frequencies

652 NS; no significant difference or no significant changes

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655