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Kyoto University
Level Set-Based Topology Optimization for the Design of an Electromagnetic Cloak with Ferrite Material

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This paper presents a structural optimization method for the design of an electromagnetic cloak made of ferrite material. Ferrite materials exhibit a frequency-dependent degree of permeability, due to a magnetic resonance phenomenon that can be altered by changing the magnitude of an externally applied DC magnetic field. Thus, such ferrite cloaks have the potential to provide novel functions, such as on-off operation in response to on-off application of an external magnetic field. The optimization problems are formulated to minimize the norm of the scattering field from a cylindrical obstacle. A level set-based topology optimization method incorporating a fictitious interface energy is used to find optimized configurations of the ferrite material. The numerical results demonstrate that the optimization successfully found an appropriate ferrite configuration that functions as an electromagnetic cloak.

Index Terms—Electromagnetic cloak, ferrite, level-set method, topology optimization.

I. INTRODUCTION

Electromagnetic cloaks are devices that render an object, illuminated by electromagnetic waves of certain wavelengths, undetectable by an observer. The distribution of electric permittivity and magnetic permeability for electromagnetic cylindrical cloaks are theoretically given using transformation optics [1]. However, the obtained theoretical values require that the values change continuously and extremely high values are encountered at the inner radius, so the practical realization of such cloaks is very challenging [2]. On the other hand, design approaches for a cloak made of a simple isotropic material have been reported [3][4], one of which uses a topology optimization method [5], the most flexible type of structural optimization method. Andkjær and Sigmund [3] presented a design method for an optical cloak made of a single-property dielectric material that achieved optical cloaking for waves at certain directions at desirable frequencies, and Andkjær et al. [4] extended this method to a polarization-independent cloak, namely a cloak that functions for both transverse electric (TE) and transverse magnetic (TM) waves.

This study discusses a level set-based topology optimization method for the design of an electromagnetic cloak using a ferrite material. Ferrite materials exhibit a frequency-dependent degree of permeability, due to a magnetic resonance phenomenon that can be altered by changing the magnitude of an externally applied DC magnetic field. Thus, such ferrite cloaks have the potential to provide novel functions, such as on-off operation in response to on-off application of an external magnetic field. For the structural design method of an electromagnetic device using a ferrite material, Otomori et al. [6] applied a level set-based topology optimization method incorporating a fictitious interface energy [7] for the design of a metallic waveguide loaded with ferrite. In this study, we extend the previous method, to design an electromagnetic cloak using a ferrite material and air. The extended method deals with both permeability and permittivity distributions, to distinctly represent the ferrite material and air. The objective of the optimization is to find optimized material configurations that minimize the norm of the scattering field from a cylindrical obstacle. Therefore, the objective function is set to minimize the norm of the difference between the electric field and a reference field, namely the electric field when there is no scattering object [3][4]. The Landau-Lifshitz model [8] is used to model the permeability of the ferrite material. The optimization algorithm uses the adjoint variable method for the sensitivity analysis and the finite element method (FEM) for solving the electromagnetic propagation and adjoint problems. The 2-dimensional numerical results show that the optimization successfully found an appropriate ferrite configuration that functions as an electromagnetic cloak.

II. FORMULATION

A. Ferrite material

Due to a magnetic resonance phenomenon between the precessional movements caused by electron spin and the impinging microwave radiation, ferrite materials exhibit a frequency-dependent permeability, and their relative permeability can be altered by changing the magnitude of an externally applied DC magnetic field. The magnetic permeability \( \mu_r \) of a ferrite material for a 3-dimensional problem is described using the Landau-Lifshitz model, as follows:

\[
\mu_r = \begin{bmatrix}
\mu & k_j & 0 \\
-k_j & \mu & 0 \\
0 & 0 & 1
\end{bmatrix},
\]

where \( \mu \) and \( k \) in the above equation are given as

\[
\mu = \frac{\omega^2 - \omega_{0n}^2}{\omega^2 - \omega_{0e}^2},
\]

\[
k = -\frac{\omega \omega_0}{\omega^2 - \omega_{0e}^2},
\]

where \( \omega \) and \( \omega_0 \) are the angular frequency and precession frequency, respectively. \( \omega_{0n} \) and \( \omega_{0e} \) are defined as

\[
\omega_{0n} = \sqrt{\omega_0 (\omega_0 + \omega_n)},
\]

\[
\omega_{0e} = \frac{\gamma_0 \mu_0 M_s}{2},
\]

\[
\omega_0 = \gamma_0 \mu_0 M_s.
\]
\[ n \cdot (\mu^{-1} \nabla \cdot E) + j k_0 \sqrt{\varepsilon \mu} E_z = j k_0 \sqrt{\varepsilon \mu} (l - k \cdot n) E_{inc} \] on \( \Gamma_{ABC} \)

\[ n \cdot (\mu^{-1} \nabla \cdot E) = 0 \] on \( \Gamma_{PEC} \)

where \( k \) is a spatial wave vector, \( n \) is an outward-pointing normal vector, and \( E_{inc} \) is the incident field.

C. Optimization Problem

The purpose of the optimization problem is to find the configuration of ferrite material in the cloak domain that makes the electromagnetic waves propagate as if the scattering object does not exist. Therefore, the objective function is set to minimize the norm of the difference between the electric field when no scattering object is present, as follows [3][4]:

\[
\inf_{\phi} F(\phi) = \int_{\Omega} |E_{\phi} - E_{\phi}^0|^2 |E_{\phi}^0 - E_{\phi}^0| \, d\Omega
\]

subject to Helmholtz equation

\[
\text{Boundary conditions}
\]

where \( E_{\phi}^0 \) is the reference field and \( * \) denotes a complex conjugate. The optimization algorithm uses the adjoint variable method for the sensitivity analysis and the FEM for solving the electromagnetic propagation and adjoint problems.

In the presented method, the relative permeability and permittivity are represented using the characteristic function \( \chi_\phi \) as follows:

\[
\mu_{e}^{-1} = (\mu_{e} - \mu_{d}^{-1}) \chi_\phi + \mu_{d}^{-1}
\]

\[
\varepsilon_{e} = (\varepsilon_{e} - \varepsilon_{d}) \chi_\phi + \varepsilon_{d}
\]

The characteristic function \( \chi_\phi \) is defined using the level set function, which is explained in the next subsection. We note that, since the reciprocal form of the relative permeability is included in the governing equation and therefore it is also included in the sensitivity formulation, the reciprocal formulation is used for the permeability representation as Eq.(15), to simplify the derivation of the sensitivity analysis. Further details are provided in [9]. The reciprocal and linear formulations respectively represent the lower and upper theoretical bounds of the effective properties of the composite material [10], so the reciprocal formulation is also physically reasonable.

D. Level Set-Based Topology Optimization

Topology optimizations are formulated using a fixed design domain \( D \) that consists of a solid domain \( \Omega \) filled with material and a void domain \( D \setminus \Omega \), with structural boundaries \( \partial \Omega \). In a level set-based method, the solid and void domains and the structural boundaries \( \partial \Omega \) are expressed using the iso-surface of the level set function \( \phi \), as follows:

\[
\begin{cases}
0 < \phi(x) \leq 1 & \text{if } \forall x \in \Omega \setminus \partial \Omega \\
\phi(x) = 0 & \text{if } \forall x \in \partial \Omega \\
-1 \leq \phi(x) < 0 & \text{if } \forall x \in D \setminus \Omega
\end{cases}
\]

The characteristic function \( \chi_\phi \) that represents the material distribution is described as follows using the level set function defined above:

\[
\chi_\phi = \begin{cases} 
1 & \text{if } \phi \geq 0 \\
0 & \text{if } \phi < 0
\end{cases}
\]
The optimization problem is then formulated as follows using the above level set-based boundary expressions:

\[
\inf_{\mathcal{X}_\rho} \quad \mathcal{F}(\mathcal{X}_\rho) = \int f(x, \mathcal{X}_\rho) d\Omega, \\
\text{s.t} \quad \mathcal{G}(\mathcal{X}_\rho) = \int g(x, \mathcal{X}_\rho) d\Omega - G_{\max} \leq 0,
\]

(19) (20)

where \( f \) is the density function of the objective functional, \( g \) is the density function of the constraint functional, and \( G_{\max} \) is the upper limit value of the constraint functional. Because the above defined level set function is allowed to be discontinuous at every point, the optimization problem is an ill-posed problem, so regularization must be applied. In the level set method used here [7], the Tikhonov regularization method is used. A regularization term \( R \) is defined as follows, using a regularization parameter \( \tau \),

\[
R = \int \frac{1}{2} \tau |\nabla \phi|^2 d\Omega,
\]

(21)

and this regularization term is added to the primary objective functional. The above optimization problem is then replaced with the following optimization problem:

\[
\inf_{\mathcal{X}_\rho} \quad \mathcal{F}_R(\mathcal{X}_\rho, \phi) = \mathcal{F} + R,
\]

(22)

\[
\text{s.t} \quad \mathcal{G}(\mathcal{X}_\rho) \leq 0.
\]

(23)

Next, the Karush-Kuhn-Tucker (KKT) conditions of the above optimization problem are derived as follows:

\[
\delta \mathcal{F}_R = 0, \quad \delta \mathcal{G} = 0, \quad \lambda \geq 0, \quad G \leq 0,
\]

(24)

where \( \mathcal{F}_R = \mathcal{F} + \lambda \mathcal{G} \) \( \lambda \) is the Lagrange multiplier.

Level set functions that satisfy the above KKT conditions can be candidate solutions of the optimization problem, but such solutions are difficult to find directly. Here, we introduce a fictitious time \( t \) and assume that the variation of the level set function with respect to time \( t \) is proportional to the gradient of Lagrangian \( \mathcal{F}_R \), as follows:

\[
\frac{\partial \phi}{\partial t} = -K(\phi) \delta \mathcal{F}_R,
\]

(25)

where \( K(\phi) \) is a coefficient of proportionality.

Substituting Eq. (22) into Eq. (25), and setting an appropriate boundary condition, the following time evolutional equation is obtained:

\[
\begin{aligned}
\frac{\partial \phi}{\partial t} &= -K(\phi)(\delta \mathcal{F} - \tau \nabla^2 \phi) \\
\frac{\partial \phi}{\partial n} &= 0 \quad \text{on} \quad \partial D \setminus \partial D_N \\
\phi &= 0 \quad \text{on} \quad \partial D_N
\end{aligned}
\]

(26)

The optimized problem is then replaced by a problem to solve the above time evolutional equation to update the level set function. We note that the obtained level set function after convergence in the optimization satisfies \( \delta \mathcal{F}_R = 0 \). The constraint functionals are handled using the augmented Lagrangian method [11] by estimating the Lagrange multiplier \( \lambda \) at every iteration to satisfy \( \mathcal{G}(t + \Delta t) = 0 \). Here, the variation \( \delta \mathcal{F} \) is considered as the topological derivatives [7][12]. Further details are provided in [7].

### III. NUMERICAL EXAMPLES

Here we apply our method to a cylindrical cloak and a carpet cloak [13] design problem. The conceptual ferrite material used in the two numerical examples has the following parameter values: \( \chi = 1.759 \times 10^{11} \text{T}^{-1} \text{s}^{-1} \), \( \mu_0 H_0 = 300\text{mT}\), \( \Delta H = 1\text{mT}\), and \( \mu_0 M_s = 173\text{mT} \), assuming that the ferrite material resembles a Yttrium-iron garnet (YIG). The relative permittivity of the ferrite and air are set as \( \varepsilon_r = 10 \) and \( \varepsilon_r = 1 \), respectively. A configuration filled with ferrite material is used as the initial configuration for both cases. The magnitude of the regularization parameter \( \tau \) is set to \( 1 \times 10^{-6} \).

#### A. Example 1: Cylindrical cloak design problem

The design domain and boundary conditions are shown in Fig. 1. The radii of the inner, middle, and outer domains are set to 0.2, 1.0, and 2.5m, respectively, and the operating frequency is set as 0.2 GHz.

Fig. 2 shows the optimized configuration of ferrite material. Fig. 3(a) shows the electric field without the cloak and Fig. 3(b) shows the electric field of the optimized cloak during operation. As Fig. 3(a) shows, the electro-magnetic waves scattered by the cylindrical object without the cloak, but scattering is much reduced with the cloak operating, as shown in Fig. 3(b). The values of the objective function without and with the cloak are 1.18 and 0.04, respectively. These results indicate that the optimization successfully found an appropriate ferrite configuration for a cylindrical electromagnetic cloak.

#### B. Example 2: Carpet cloak design problem

The design domain and boundary conditions for the carpet cloak are shown in Fig. 4. Here, the inner semi-ellipse located at the bottom center represents the scattering object that is to be hidden by the cloak. The major axis radius of the inner semi-ellipse is set 0.3m and the minor axis radius is set to 0.2m. The major axis radius of the outer semi-ellipse of the cloaking domain is set to 1.0m and the minor axis radius is set to 0.5m. The radius of the outer hemispheres is set to 5.0m, and the operating frequency is set as 0.5 GHz. Here, incident waves enter the scattering domain from the left top, and the electromagnetic waves are reflected at the bottom boundary. The boundary conditions are described as follows:
The optimization method was formulated to handle the ferrite material and air while considering the relative permeability and permittivity distributions. The objective function was formulated to minimize the norm of the difference between the electric field and a reference field. Numerical examples for two cloak design problems demonstrated that the optimization method successfully found ferrite configurations that function as an electromagnetic cloak. It should be possible to extend the presented method to three-dimensional problems. The current designs are sensitive to the incident wave angle and polarization dependent, and work for a narrow frequency band, but the method for designing cloaks could be improved to handle multi-directional or wide-band cloak designs, by including such requirements into the optimization problems.

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