

# UNIMODULAR FOURIER MULTIPLIERS ON MODULATION SPACES $M^{p,q}$ FOR $0 < p < 1$

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## 1. INTRODUCTION

In this note, we consider the boundedness of the Fourier multiplier operator  $e^{i|D|^\alpha}$  on modulation spaces, where  $\alpha > 0$  and  $e^{i|D|^\alpha}$  is defined by

$$e^{i|D|^\alpha} f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} e^{ix \cdot \xi} e^{i|\xi|^\alpha} \widehat{f}(\xi) d\xi.$$

In the case  $\alpha = 2$ ,  $u(t, x) = e^{it|D|^2} u_0(x)$  is the formal solution to the Schrödinger equation

$$\begin{cases} i \frac{\partial u}{\partial t}(t, x) = \Delta_x u(t, x) & (t > 0, x \in \mathbb{R}^n), \\ u(0, x) = u_0(x) & (x \in \mathbb{R}^n). \end{cases}$$

Modulation spaces  $M_s^{p,q}$  were introduced by Feichtinger [3, 4] (see also Gröchenig [5]). We recall the definition of modulation spaces. Let  $0 < p, q \leq \infty$ ,  $s \in \mathbb{R}$ , and let  $\psi \in \mathcal{S}(\mathbb{R}^n)$  be such that

$$(1.1) \quad \text{supp } \psi \subset [-1, 1]^n \quad \text{and} \quad \sum_{k \in \mathbb{Z}^n} \psi(\xi - k) = 1 \quad \text{for all } \xi \in \mathbb{R}^n.$$

Then the modulation space  $M_s^{p,q}(\mathbb{R}^n)$  consists of all  $f \in \mathcal{S}'(\mathbb{R}^n)$  such that

$$\|f\|_{M_s^{p,q}} = \left( \sum_{k \in \mathbb{Z}^n} (1 + |k|)^{sq} \|\psi(D - k)f\|_{L^p}^q \right)^{1/q} < \infty,$$

where  $\psi(D - k)f = \mathcal{F}^{-1}[\psi(\cdot - k)\widehat{f}]$ . If  $s = 0$ , we simply write  $M^{p,q}(\mathbb{R}^n)$  instead of  $M_0^{p,q}(\mathbb{R}^n)$ . We remark that  $M_s^{2,2}$  coincides with the Sobolev space  $W^{s,2}$ .

It is known that  $e^{i|D|^2}$  is bounded on  $L^p$  if and only if  $p = 2$  (Hörmander [7]). However,  $e^{i|D|^\alpha}$  is bounded on  $M^{p,q}(\mathbb{R}^n)$  for all  $1 \leq p, q \leq \infty$  (see Gröchenig-Heil [6], Toft [10], Wang-Zhao-Guo [11], Bényi-Gröchenig-Okoudjou-Rogers [1]). This is one of differences between  $L^p$ -spaces and modulation spaces. Bényi-Gröchenig-Okoudjou-Rogers ([1]) proved that if  $0 \leq \alpha \leq 2$  then  $e^{i|D|^\alpha}$  is bounded on  $M^{p,q}(\mathbb{R}^n)$  for all  $1 \leq p, q \leq \infty$ . Furthermore, in the case  $\alpha > 2$ , Miyachi-Nicola-Rivetti-Tabacco-Tomita [9] showed that, for  $1 \leq p, q \leq \infty$  and  $s \in \mathbb{R}$ ,  $e^{i|D|^\alpha}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if and only if  $s \geq (\alpha - 2)n|1/p - 1/2|$  (see [9] for more general results). In particular, this says that if  $\alpha > 2$  and  $p \neq 2$  then  $e^{i|D|^\alpha}$  is not bounded on modulation spaces  $M^{p,q}$ .

The purpose of this note is to consider the case  $0 < p < 1$ , and our main result is the following:

**Theorem 1.1.** *Let  $0 < p < 1$ ,  $0 < q \leq \infty$ ,  $\alpha > n(1/p - 1)$  and  $s \in \mathbb{R}$ . Then  $e^{i|D|^\alpha}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if and only if  $s \geq \max\{0, \alpha - 2\}n(1/p - 1/2)$ .*

We remark that Bényi-Okoudjou [2] considered the cases  $0 \leq \alpha \leq 2$ ,  $1 \leq p \leq \infty$  and  $0 < q \leq \infty$ , and  $\alpha \in \{1, 2\}$ ,  $n/(n+1) < p \leq \infty$  and  $0 < q \leq \infty$ . In Remark 3.5, we also treat the case  $\alpha \geq 0$ ,  $1 \leq p \leq \infty$  and  $0 < q \leq \infty$ .

We end this section by explaining the organization of this note. In Section 2, we give the relation between  $L^p$ -boundedness and  $M^{p,q}$ -boundedness. In Section 3, we give the proof of Theorem 1.1.

## 2. RELATION BETWEEN $L^p$ -BOUNDEDNESS AND $M^{p,q}$ -BOUNDEDNESS

Let  $\mathcal{S}(\mathbb{R}^n)$  and  $\mathcal{S}'(\mathbb{R}^n)$  be the Schwartz spaces of all rapidly decreasing smooth functions and tempered distributions, respectively. We define the Fourier transform  $\mathcal{F}f$  and the inverse Fourier transform  $\mathcal{F}^{-1}f$  of  $f \in \mathcal{S}(\mathbb{R}^n)$  by

$$\mathcal{F}f(\xi) = \widehat{f}(\xi) = \int_{\mathbb{R}^n} e^{-ix \cdot \xi} f(x) dx \quad \text{and} \quad \mathcal{F}^{-1}f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} e^{ix \cdot \xi} f(\xi) d\xi.$$

For  $m \in \mathcal{S}'(\mathbb{R}^n)$ , we define the Fourier multiplier operator  $m(D)$  by

$$m(D)f = \mathcal{F}^{-1}[m\widehat{f}] = [\mathcal{F}^{-1}m] * f \quad \text{for all } f \in \mathcal{S}(\mathbb{R}^n).$$

To avoid the fact that  $\mathcal{S}(\mathbb{R}^n)$  is not dense in  $M_s^{p,q}(\mathbb{R}^n)$  if  $p = \infty$  or  $q = \infty$ , we use the following definition of the boundedness of Fourier multiplier operators on modulation spaces: We say that  $m(D)$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if there exists a constant  $C > 0$  such that  $\|m(D)f\|_{M^{p,q}} \leq C\|f\|_{M_s^{p,q}}$  for all  $f \in \mathcal{S}(\mathbb{R}^n)$ , and set

$$\|m(D)\|_{\mathcal{L}(M_s^{p,q}, M^{p,q})} = \sup\{\|m(D)f\|_{M^{p,q}} \mid f \in \mathcal{S}(\mathbb{R}^n), \|f\|_{M_s^{p,q}} = 1\}.$$

Similarly, we set

$$\|m(D)\|_{\mathcal{L}(L^p, L^q)} = \sup\{\|m(D)f\|_{L^q} \mid f \in \mathcal{S}(\mathbb{R}^n), \|f\|_{L^p} = 1\},$$

and simply write  $\|m(D)\|_{\mathcal{L}(L^p)} = \|m(D)\|_{\mathcal{L}(L^p, L^p)}$  if  $p = q$ .

The notation  $A \asymp B$  stands for  $C^{-1}A \leq B \leq CA$  for some positive constant  $C$  independent of  $A$  and  $B$ . For  $1 \leq p \leq \infty$ ,  $p'$  is the conjugate exponent of  $p$  (that is,  $1/p + 1/p' = 1$ ). Throughout the rest of this note,  $\psi \in \mathcal{S}(\mathbb{R}^n)$  is the same as in (1.1).

**Lemma 2.1** ([8, Lemma 2.6]). *Let  $0 < p < 1$ , and let  $\Gamma$  be a compact subset of  $\mathbb{R}^n$ . Then there exists a constant  $C > 0$  such that*

$$\|f * g\|_{L^p} \leq \|f\|_{L^p} \|g\|_{L^p}$$

for all  $f, g \in L^p(\mathbb{R}^n)$  with  $\text{supp } \widehat{f} \subset \xi + \Gamma$  and  $\text{supp } \widehat{g} \subset \xi' + \Gamma$ , where  $C > 0$  is independent of  $\xi, \xi' \in \mathbb{R}^n$ .

The following is on the relation between  $L^p$ -boundedness and  $M^{p,q}$ -boundedness which is a slight modification of [9, Lemma 2.2]:

**Lemma 2.2.** *Let  $0 < p, q \leq \infty$ ,  $s \in \mathbb{R}$  and  $m \in \mathcal{S}'(\mathbb{R}^n)$ . Then  $m(D)$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if and only if there exists a constant  $C > 0$  such that*

$$(2.1) \quad \|\psi(D - k)m(D)f\|_{L^p} \leq C(1 + |k|)^s \|\psi(D - k)f\|_{L^p}$$

for all  $k \in \mathbb{Z}^n$  and  $f \in \mathcal{S}(\mathbb{R}^n)$ .

*Proof.* We assume that (2.1) holds for some constant  $C > 0$ . Then, by our assumption,

$$\begin{aligned} \|m(D)f\|_{M^{p,q}} &= \left( \sum_{k \in \mathbb{Z}^n} \|\psi(D - k)m(D)f\|_{L^p}^q \right)^{1/q} \\ &\leq C \left( \sum_{k \in \mathbb{Z}^n} (1 + |k|)^{sq} \|\psi(D - k)f\|_{L^p}^q \right)^{1/q} = C \|f\|_{M_s^{p,q}} \end{aligned}$$

for all  $f \in \mathcal{S}$ , and we obtain the boundedness of  $m(D)$  from  $M_s^{p,q}$  to  $M^{p,q}$ .

We next assume that  $0 < p < 1$  and  $m(D)$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$ . Let  $\varphi \in \mathcal{S}$  be such that  $\varphi = 1$  on  $\text{supp } \psi$ ,  $\text{supp } \varphi \subset [-2, 2]^n$  and  $|\sum_{k \in \mathbb{Z}^n} \varphi(\xi - k)| \geq C > 0$  for all  $\xi \in \mathbb{R}^n$ . Note that  $\|f\|_{M_s^{p,q}} \asymp (\sum_{k \in \mathbb{Z}^n} (1 + |k|)^{sq} \|\varphi(D - k)f\|_{L^p}^q)^{1/q}$ . Since  $\psi = \varphi \psi$ ,  $\text{supp } \psi(\cdot - (k + \ell)) \subset (k + \ell) + [-1, 1]^n$  and  $\text{supp } \psi(\cdot - k)\widehat{f} \subset k + [-1, 1]^n$  for all  $k, \ell \in \mathbb{Z}^n$ , we have by Lemma 2.1 and the boundedness of  $m(D)$  from  $M_s^{p,q}$  to  $M^{p,q}$

$$\begin{aligned} \|\psi(D - k)m(D)f\|_{L^p} &= \|\varphi(D - k)(m(D)\psi(D - k)f)\|_{L^p} \\ &\leq \left( \sum_{\ell \in \mathbb{Z}^n} \|\varphi(D - \ell)(m(D)\psi(D - k)f)\|_{L^p}^q \right)^{1/q} \\ &\leq C \|m(D)(\psi(D - k)f)\|_{M^{p,q}} \leq C \|m(D)\|_{\mathcal{L}(M_s^{p,q}, M^{p,q})} \|\psi(D - k)f\|_{M_s^{p,q}} \\ &= C \|m(D)\|_{\mathcal{L}(M_s^{p,q}, M^{p,q})} \left( \sum_{|\ell| \leq 2\sqrt{n}} (1 + |k + \ell|)^{sq} \|\psi(D - (k + \ell))\psi(D - k)f\|_{L^p}^q \right)^{1/q} \\ &\leq C \|m(D)\|_{\mathcal{L}(M_s^{p,q}, M^{p,q})} \left( \sum_{|\ell| \leq 2\sqrt{n}} (1 + |k + \ell|)^{sq} \|\mathcal{F}^{-1}[\psi(\cdot - (k + \ell))]\|_{L^p}^q \|\psi(D - k)f\|_{L^p}^q \right)^{1/q} \\ &\leq C(1 + |k|)^s \|m(D)\|_{\mathcal{L}(M_s^{p,q}, M^{p,q})} \|\mathcal{F}^{-1}\psi\|_{L^p} \|\psi(D - k)f\|_{L^p} \end{aligned}$$

for all  $k \in \mathbb{Z}^n$  and  $f \in \mathcal{S}$ , where we have used  $(1 + |k + \ell|)^s \leq (1 + |k|)^s(1 + |\ell|)^s$ . Hence, we obtain (2.1) with  $0 < p < 1$ . For  $1 \leq p \leq \infty$ , by using Young's inequality ( $\|f * g\|_{L^p} \leq \|f\|_{L^1} \|g\|_{L^p}$ ) instead of Lemma 2.1, we can prove (2.1) in the same way.  $\square$

### 3. PROOF OF THEOREM 1.1

The proof of the following lemma is based on that of [9, Lemma 3.1]:

**Lemma 3.1.** *Let  $0 < p < 1$ ,  $N = [n(1/p - 1/2)] + 1$  and  $\alpha > n(1/p - 1)$ , where  $[n(1/p - 1/2)]$  stands for the largest integer  $\leq n(1/p - 1/2)$ . If  $m$  is a  $C^N(\mathbb{R}^n \setminus \{0\})$ -function with compact support satisfying*

$$|\partial^\beta m(\xi)| \leq C_\beta |\xi|^{\alpha - |\beta|} \quad \text{for all } \xi \neq 0 \text{ and } |\beta| \leq N,$$

then  $\mathcal{F}^{-1}m \in L^p(\mathbb{R}^n)$ .

*Proof.* Assume that  $\text{supp } m \subset \{|\xi| \leq 2^{j_0}\}$ , where  $j_0 \in \mathbb{Z}$ . Let  $\varphi \in \mathcal{S}$  be such that  $\text{supp } \varphi \subset \{1/2 \leq |\xi| \leq 2\}$  and  $\sum_{j \in \mathbb{Z}} \varphi(\xi/2^j) = 1$  for all  $\xi \neq 0$ . Since  $\text{supp } \varphi(\cdot/2^j) \subset \{2^{j-1} \leq |\xi| \leq 2^{j+1}\}$ , we see that

$$m(\xi) = \sum_{j=-\infty}^{j_0} \varphi(\xi/2^j) m(\xi) = \sum_{j=-\infty}^{j_0} m_j(\xi/2^j),$$

where  $m_j(\xi) = \varphi(\xi) m(2^j \xi)$ . By using  $p < 1$ , we have

$$(3.1) \quad \|\mathcal{F}^{-1}m\|_{L^p}^p \leq \sum_{j=-\infty}^{j_0} \|2^{jn}(\mathcal{F}^{-1}m_j)(2^j \cdot)\|_{L^p}^p = \sum_{j=-\infty}^{j_0} 2^{jn(p-1)} \|\mathcal{F}^{-1}m_j\|_{L^p}^p.$$

Let  $r$  be the conjugate exponent of  $2/p$ , and set  $N = [n/(pr)] + 1$ . Then  $N = [n(1/p - 1/2)] + 1$ . By Hölder's inequality and Plancherel's theorem,

$$(3.2) \quad \begin{aligned} \|\mathcal{F}^{-1}m_j\|_{L^p} &= \|(1 + |\xi|)^{-N} (1 + |\xi|)^N \mathcal{F}^{-1}m_j\|_{L^p} \\ &\leq \|(1 + |\xi|)^{-pN}\|_{L^r}^{1/p} \|(1 + |\xi|)^N \mathcal{F}^{-1}m_j\|_{L^2} \leq C \sum_{|\beta| \leq N} \|\partial^\beta m_j\|_{L^2} \end{aligned}$$

for all  $j \in \mathbb{Z}$ , where we have used the fact  $prN > n$ . Since  $\text{supp } \varphi \subset \{2^{-1} \leq |\xi| \leq 2\}$ , we have by our assumption

$$(3.3) \quad \begin{aligned} |\partial^\beta m_j(\xi)| &= \left| \sum_{\beta_1 + \beta_2 = \beta} C_{\beta_1, \beta_2} (\partial^{\beta_1} \varphi)(\xi) 2^{j|\beta_2|} (\partial^{\beta_2} m)(2^j \xi) \right| \\ &\leq \sum_{\beta_1 + \beta_2 = \beta} C_{\beta_1, \beta_2} |(\partial^{\beta_1} \varphi)(\xi)| 2^{j|\beta_2|} (C_{\beta_2} |2^j \xi|^{\alpha - |\beta_2|}) \leq C_\beta 2^{j\alpha} \end{aligned}$$

for all  $j \in \mathbb{Z}$  and  $|\beta| \leq N$ . On the other hand,  $\text{supp } m_j \subset \{2^{-1} \leq |\xi| \leq 2\}$  for all  $j \in \mathbb{Z}$ . Therefore, by (3.1)-(3.3),

$$\begin{aligned} \|\mathcal{F}^{-1} m\|_{L^p}^p &\leq \sum_{j=-\infty}^{j_0} 2^{jn(p-1)} \|\mathcal{F}^{-1} m_j\|_{L^p}^p \\ &\leq C \sum_{j=-\infty}^{j_0} 2^{-jn(1/p-1)p} \sum_{|\beta| \leq N} \|\partial^\beta m_j\|_{L^2}^p \leq C \sum_{j=-\infty}^{j_0} 2^{j(\alpha - n(1/p-1))p} < \infty. \end{aligned}$$

The proof is complete.  $\square$

For  $\alpha > 0$  and  $k \in \mathbb{Z}^n$ , we set

$$(3.4) \quad \sigma_\alpha(\xi) = |\xi|^\alpha \quad \text{and} \quad \tau_{\alpha, k}(\xi) = \sigma_\alpha(\xi + k) - \sigma_\alpha(k) - (\nabla \sigma_\alpha)(k) \cdot \xi.$$

**Lemma 3.2.** *Let  $0 < p < 1$  and  $\alpha > n(1/p - 1)$ . Then there exists a constant  $C > 0$  such that*

$$\|\psi(D - k) e^{i\sigma_\alpha(D)} f\|_{L^p} \leq C \|\psi(D - k) f\|_{L^p}$$

for all  $|k| < 4\sqrt{n}$  and  $f \in \mathcal{S}(\mathbb{R}^n)$ .

*Proof.* Let  $\eta$  be a Schwartz function with compact support. Then

$$|\partial_\xi^\beta [\eta(\xi)(e^{i\sigma_\alpha(\xi)} - 1)]| \leq C_\beta |\xi|^{\alpha - |\beta|}$$

for all  $\xi \neq 0$  and  $\beta$ . Hence, it follows from Lemma 3.1 that

$$\mathcal{F}^{-1}[\eta e^{i\sigma_\alpha}] = \mathcal{F}^{-1}[\eta(e^{i\sigma_\alpha} - 1)] + \mathcal{F}^{-1}\eta \in L^p.$$

Take  $\varphi \in \mathcal{S}$  such that  $\text{supp } \varphi$  is compact and  $\varphi = 1$  on  $\text{supp } \psi$ . Then, by Lemma 2.1 and the first part of this proof with  $\eta = \varphi(\cdot - k)$ , for  $|k| < 4\sqrt{n}$ ,

$$(3.5) \quad \begin{aligned} \|\psi(D - k) e^{i\sigma_\alpha(D)} f\|_{L^p} &= \|\varphi(D - k) e^{i\sigma_\alpha(D)} \psi(D - k) f\|_{L^p} \\ &\leq C \|\mathcal{F}^{-1}[\varphi(\cdot - k) e^{i\sigma_\alpha}]\|_{L^p} \|\psi(D - k) f\|_{L^p} \\ &\leq C \|\psi(D - k) f\|_{L^p} \end{aligned}$$

for all  $f \in \mathcal{S}$ . This completes the proof.  $\square$

**Lemma 3.3.** *Let  $0 < p < 1$ . Then there exists a constant  $C > 0$  such that*

$$\|\psi(D - k) e^{i\sigma_\alpha(D)} f\|_{L^p} \leq C |k|^{\max\{0, \alpha - 2\}n(1/p - 1/2)} \|\psi(D - k) f\|_{L^p}$$

for all  $|k| \geq 4\sqrt{n}$  and  $f \in \mathcal{S}(\mathbb{R}^n)$ .

*Proof.* Throughout this proof, we assume that  $|k| \geq 4\sqrt{n}$  and  $f \in \mathcal{S}$ . Let  $\varphi \in \mathcal{S}$  be such that  $\text{supp } \varphi \subset [-2, 2]^n$  and  $\varphi = 1$  on  $\text{supp } \psi$ . Then, by Lemma 2.1,

$$\begin{aligned}
(3.6) \quad \|\psi(D-k)e^{i\sigma_\alpha(D)}f\|_{L^p} &= \|\varphi(D-k)e^{i\sigma_\alpha(D)}\psi(D-k)f\|_{L^p} \\
&= \|(\mathcal{F}^{-1}[\varphi(\cdot-k)e^{i\sigma_\alpha}]) * \psi(D-k)f\|_{L^p} \\
&\leq C\|\mathcal{F}^{-1}[\varphi(\cdot-k)e^{i\sigma_\alpha}]\|_{L^p}\|\psi(D-k)f\|_{L^p} \\
&= C\|\mathcal{F}^{-1}[\varphi e^{i\sigma_\alpha(\cdot+k)}]\|_{L^p}\|\psi(D-k)f\|_{L^p}.
\end{aligned}$$

Let us estimate  $\|\mathcal{F}^{-1}[\varphi e^{i\sigma_\alpha(\cdot+k)}]\|_{L^p}$ . Since

$$\mathcal{F}^{-1}[\varphi e^{i\sigma_\alpha(\cdot+k)}](x) = e^{i\sigma_\alpha(k)}\mathcal{F}^{-1}[\varphi e^{i\tau_{\alpha,k}}](x + (\nabla\sigma_\alpha)(k)),$$

where  $\tau_{\alpha,k}$  is defined by (3.4), we see that

$$(3.7) \quad \|\mathcal{F}^{-1}[\varphi e^{i\sigma_\alpha(\cdot+k)}]\|_{L^p} = \|\mathcal{F}^{-1}[\varphi e^{i\tau_{\alpha,k}}]\|_{L^p}.$$

By Taylor's formula,

$$(3.8) \quad \tau_{\alpha,k}(\xi) = 2 \sum_{|\beta|=2} \frac{\xi^\beta}{\beta!} \int_0^1 (1-t) (\partial^\beta \sigma_\alpha)(k+t\xi) dt.$$

If  $\xi \in [-2, 2]^n$ , then  $|k+t\xi| \asymp |k|$  for all  $0 \leq t \leq 1$ . Since  $|\partial^\gamma \sigma_\alpha(\eta)| \leq C_\gamma |\eta|^{\alpha-|\gamma|}$ , we have by (3.8)

$$\begin{aligned}
|\partial^\gamma \tau_{\alpha,k}(\xi)| &= \left| \sum_{|\beta|=2} \sum_{\gamma_1+\gamma_2=\gamma} C_{\beta,\gamma_1,\gamma_2} \partial^{\gamma_1}(\xi^\beta) \int_0^1 (1-t) t^{|\gamma_2|} (\partial^{\beta+\gamma_2} \sigma_\alpha)(k+t\xi) dt \right| \\
&\leq C_\beta \sum_{|\beta|=2} \sum_{\gamma_1+\gamma_2=\gamma} |\partial^{\gamma_1}(\xi^\beta)| \int_0^1 |k+t\xi|^{\alpha-|\beta|-|\gamma_2|} dt \leq C_\beta |k|^{\alpha-2}
\end{aligned}$$

for all multi-indices  $\gamma$ . Hence, by noting  $|k|^{\max\{0,\alpha-2\}} \geq 1$ , we have

$$\begin{aligned}
&|\partial^\gamma(\varphi(\xi) e^{i\tau_{\alpha,k}(\xi)})| \\
&= \left| \sum_{N=0}^{|\gamma|} \sum_{\mu+\nu_1+\dots+\nu_N=\gamma} C_{\mu,\nu_1,\dots,\nu_N} (\partial^\mu \varphi(\xi)) (\partial^{\nu_1} \tau_{\alpha,k}(\xi)) \dots (\partial^{\nu_N} \tau_{\alpha,k}(\xi)) e^{i\tau_{\alpha,k}(\xi)} \right| \\
&\leq C_\gamma \sum_{N=0}^{|\gamma|} \sum_{\mu+\nu_1+\dots+\nu_N=\gamma} \|\partial^\mu \varphi\|_{L^\infty} (C_{\nu_1} |k|^{\alpha-2}) \dots (C_{\nu_N} |k|^{\alpha-2}) \\
&\leq C_\gamma |k|^{\max\{0,\alpha-2\}|\gamma|}.
\end{aligned}$$

Then, setting  $\varphi_{\alpha,k}(\xi) = \varphi(\xi) e^{i\tau_{\alpha,k}(\xi)}$ , we have

$$(3.9) \quad |\partial_\xi^\gamma [\varphi_{\alpha,k}(\xi/|k|^{\max\{0,\alpha-2\}})]| \leq C_\gamma \chi_{[-2|k|^{\max\{0,\alpha-2\}}, 2|k|^{\max\{0,\alpha-2\}}]^n}(\xi)$$

for all multi-indices  $\gamma$ , where  $\chi_A$  denote the characteristic function of  $A$ . Therefore, by (3.2), (3.6), (3.7) and (3.9),

$$\begin{aligned}
&\|\psi(D-k)e^{i\sigma_\alpha(D)}f\|_{L^p} \leq C\|\mathcal{F}^{-1}\varphi_{\alpha,k}\|_{L^p}\|\psi(D-k)f\|_{L^p} \\
&= C|k|^{\max\{0,\alpha-2\}n(1/p-1)}\|\mathcal{F}^{-1}[\varphi_{\alpha,k}(\cdot/|k|^{\max\{0,\alpha-2\}})]\|_{L^p}\|\psi(D-k)f\|_{L^p} \\
&\leq C|k|^{\max\{0,\alpha-2\}n(1/p-1)} \left( \sum_{|\gamma|\leq N} \|\partial^\gamma [\varphi_{\alpha,k}(\cdot/|k|^{\max\{0,\alpha-2\}})]\|_{L^2} \right) \|\psi(D-k)f\|_{L^p}
\end{aligned}$$

$$\leq C|k|^{\max\{0, \alpha-2\}n(1/p-1/2)} \|\psi(D-k)f\|_{L^p},$$

where  $N = [n(1/p - 1/2)] + 1$  and  $C > 0$  is independent of  $k$  satisfying  $|k| \geq 4\sqrt{n}$ . The proof is complete.  $\square$

Before proving Theorem 1.1, we give the following remark on the case  $0 \leq \alpha \leq 2$ :

**Remark 3.4.** Let  $0 \leq \alpha \leq 2$  and  $1 \leq p \leq \infty$ . In this case,  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  only if  $s \geq 0$ .

We first consider the case  $p = 2$ . By Plancherel's theorem,

$$\begin{aligned} \|e^{i\sigma_\alpha(D)}f\|_{M^{2,q}} &= \left( \sum_{k \in \mathbb{Z}^n} \|\psi(D-k)e^{i\sigma_\alpha(D)}f\|_{L^2}^q \right)^{1/q} \\ &= \left\{ \sum_{k \in \mathbb{Z}^n} \left( \frac{1}{(2\pi)^{n/2}} \|\psi(\cdot - k)e^{i\sigma_\alpha} \widehat{f}\|_{L^2} \right)^q \right\}^{1/q} \\ &= \left\{ \sum_{k \in \mathbb{Z}^n} \left( \frac{1}{(2\pi)^{n/2}} \|\psi(\cdot - k)\widehat{f}\|_{L^2} \right)^q \right\}^{1/q} = \|f\|_{M^{2,q}}. \end{aligned}$$

Hence, the boundedness of  $e^{i\sigma_\alpha(D)}$  from  $M_s^{2,q}$  to  $M^{2,q}$  implies the embedding  $M_s^{2,q} \hookrightarrow M^{2,q}$ . Therefore,  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{2,q}$  to  $M^{2,q}$  only if  $s \geq 0$ .

We next consider the case  $1 \leq p \leq \infty$  and  $p \neq 2$ . If  $m(D)$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$ , then  $m(D)$  is also bounded from  $M_s^{p',q}$  to  $M^{p',q}$ . This follows from the facts that  $m(D)$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$  if and only if  $\sup_{k \in \mathbb{Z}^n} (1+|k|)^{-s} \|\psi(D-k)m(D)\|_{\mathcal{L}(L^p)} < \infty$  ([9, Lemma 2.2]) and  $\|\psi(D-k)m(D)\|_{\mathcal{L}(L^p)} = \|\psi(D-k)m(D)\|_{\mathcal{L}(L^{p'})}$ . Then, by interpolation, if  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$  for some  $s < 0$ , then  $e^{i\sigma_\alpha(D)}$  is also bounded from  $M_s^{2,q}$  to  $M^{2,q}$ . Therefore,  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$  only if  $s \geq 0$ .

We are now ready to prove Theorem 1.1.

*Proof of Theorem 1.1.* Let  $0 < p < 1$ ,  $0 < q \leq \infty$ ,  $\alpha > n(1/p - 1)$  and  $s \in \mathbb{R}$ .

We first assume that  $s \geq \max\{0, \alpha - 2\}n(1/p - 1/2)$ . By Lemmas 3.2 and 3.3,

$$\begin{aligned} \|\psi(D-k)e^{i\sigma_\alpha(D)}f\|_{L^p} &\leq C(1+|k|)^{\max\{0, \alpha-2\}n(1/p-1/2)} \|\psi(D-k)f\|_{L^p} \\ &\leq C(1+|k|)^s \|\psi(D-k)f\|_{L^p} \end{aligned}$$

for all  $k \in \mathbb{Z}^n$  and  $f \in \mathcal{S}$ . Hence, by Lemma 2.2, we have the boundedness of  $e^{i\sigma_\alpha(D)}$  from  $M_s^{p,q}$  to  $M^{p,q}$ .

We next assume that  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}$  to  $M^{p,q}$ . By Lemma 2.2, we may assume  $q \geq 1$ . We note that  $e^{i\sigma_\alpha(D)}$  is bounded on  $M^{2,q}$  (see Remark 3.4). Hence, it follows from interpolation with the boundedness on  $M^{2,q}$  that, if  $s < \max\{0, \alpha - 2\}n(1/p - 1/2)$ , then  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{\tilde{p},q}$  to  $M^{\tilde{p},q}$ , where  $1 < \tilde{p} < 2$  and  $\tilde{s} < \max\{0, \alpha - 2\}n(1/\tilde{p} - 1/2)$ . However, in the case  $1 < \tilde{p} < 2$ ,  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{\tilde{p},q}$  to  $M^{\tilde{p},q}$  only if  $\tilde{s} \geq \max\{0, \alpha - 2\}n(1/\tilde{p} - 1/2)$  (see Remark 3.4 and [9]). Therefore,  $s$  must satisfy  $s \geq \max\{0, \alpha - 2\}n(1/p - 1/2)$ .  $\square$

We end this note by giving the following remark on the case  $1 \leq p \leq \infty$  and  $0 < q < 1$ :

**Remark 3.5.** Let  $\alpha \geq 0$ ,  $1 \leq p \leq \infty$  and  $s \in \mathbb{R}$ . Lemma 2.2 says that  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  for some  $0 < q \leq \infty$  if and only if  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  for all  $0 < q \leq \infty$ . In particular, the boundedness of  $e^{i\sigma_\alpha(D)}$  from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  with  $0 < q < 1$  is equivalent to that with  $1 \leq q \leq \infty$ . On the other

hand, by [1, 9] and Remark 3.4,  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if and only if  $s \geq \max\{0, \alpha - 2\}n|1/p - 1/2|$ , where  $1 \leq q \leq \infty$ . Combining these facts, we see that  $e^{i\sigma_\alpha(D)}$  is bounded from  $M_s^{p,q}(\mathbb{R}^n)$  to  $M^{p,q}(\mathbb{R}^n)$  if and only if  $s \geq \max\{0, \alpha - 2\}n|1/p - 1/2|$ , where  $0 < q < 1$ .

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