

A NOTE ON THE GEOMETRICITY OF OPEN HOMOMORPHISMS BETWEEN THE ABSOLUTE GALOIS GROUPS OF p -ADIC LOCAL FIELDS

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DECEMBER 2012

ABSTRACT. In the present paper, we prove that an open continuous homomorphism between the absolute Galois groups of p -adic local fields is *geometric* [i.e., roughly speaking, arises from an embedding of fields] if and only if the homomorphism is *HT-preserving* [i.e., roughly speaking, satisfies the condition that the pull-back by the homomorphism of every Hodge-Tate representation is Hodge-Tate].

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INTRODUCTION

Let p be a prime number. Write \mathbb{Q}_p for the p -adic completion of the field of rational numbers \mathbb{Q} . For $\square \in \{\circ, \bullet\}$, let k_\square be a p -adic local field [i.e., a finite extension of \mathbb{Q}_p] and \bar{k}_\square an algebraic closure of k_\square . Write $G_{k_\square} \stackrel{\text{def}}{=} \text{Gal}(\bar{k}_\square/k_\square)$. Let

$$\alpha: G_{k_\circ} \longrightarrow G_{k_\bullet}$$

be an open continuous homomorphism. In [1], [2], S. Mochizuki discussed the *geometricity* [cf. [2], Definition 3.1, (iv)] of such an α . In particular, Mochizuki proved that the following conditions are equivalent [cf. [2], Theorem 3.5, (i)]:

- (i) α is *geometric*, i.e., arises from an isomorphism of fields $\bar{k}_\bullet \xrightarrow{\sim} \bar{k}_\circ$ that determines an embedding $k_\bullet \hookrightarrow k_\circ$.
- (ii) α is of *CHT-type* [cf. [2], Definition 3.1, (iv)], i.e., α is *compatible* with the respective p -adic *cyclotomic characters* of G_{k_\circ} , G_{k_\bullet} , and, moreover, there exists an isomorphism of topological modules [but *not necessarily the topological fields*] $\bar{k}_\circ^\wedge \xrightarrow{\sim} \bar{k}_\bullet^\wedge$ — where, for $\square \in \{\circ, \bullet\}$, we write \bar{k}_\square^\wedge for the p -adic completion of \bar{k}_\square — that is *compatible* with the respective natural actions of G_{k_\circ} , G_{k_\bullet} on \bar{k}_\circ^\wedge , \bar{k}_\bullet^\wedge [relative to α].

2010 *Mathematics Subject Classification*. Primary 11S20; Secondary 11S31.

This research was supported by Grant-in-Aid for Scientific Research (C), No. 24540016, Japan Society for the Promotion of Science.

- (iii) α is of *01-qLT-type* [cf. [2], Definition 3.1, (iv)], i.e., for every pair of open subgroups $H_\circ \subseteq G_{k_\circ}, H_\bullet \subseteq G_{k_\bullet}$ of $G_{k_\circ}, G_{k_\bullet}$ such that $\alpha(H_\circ) \subseteq H_\bullet$, and every character $\phi: H_\bullet \rightarrow E^\times$ of *qLT-type* [cf. [2], Definition 3.1, (iii)] — where E is a p -adic local field all of whose \mathbb{Q}_p -conjugates are contained in the fixed fields $\overline{k_\circ}^{H_\circ}, \overline{k_\bullet}^{H_\bullet}$ — the composite $H_\circ \xrightarrow{\alpha|_{H_\circ}} H_\bullet \xrightarrow{\phi} E^\times$ is *Hodge-Tate*, and the set of *Hodge-Tate weights* of this composite is contained in $\{0, 1\}$.

We shall say that α is *HT-preserving* [cf. Definition 1.3, (i)] if α preserves the Hodge-Tate-ness of p -adic representations, i.e., for every finite dimensional continuous representation $\phi: G_{k_\bullet} \rightarrow \mathrm{GL}_n(\mathbb{Q}_p)$ of G_{k_\bullet} , if ϕ is Hodge-Tate, then the composite $G_{k_\circ} \xrightarrow{\alpha} G_{k_\bullet} \xrightarrow{\phi} \mathrm{GL}_n(\mathbb{Q}_p)$ is Hodge-Tate. Then it is immediate that

if α is of *CHT-type*, then α is *HT-preserving*.

Moreover, since a character of *qLT-type* is *Hodge-Tate*, and its set of Hodge-Tate weights is contained in $\{0, 1\}$, one verifies easily that

if α is not only *HT-preserving* but also preserves the sets of *Hodge-Tate weights* of Hodge-Tate representations, then α is of *01-qLT-type*.

On the other hand, it does not seem to be clear that the following assertion holds:

If α is *HT-preserving*, then α is either of *CHT-type* or of *01-qLT-type*.

In particular, the following question may be regarded as a natural question concerning the *geometricity* of open continuous homomorphisms between the absolute Galois groups of p -adic local fields:

Is every *HT-preserving* open continuous homomorphism between the absolute Galois groups of p -adic local fields *geometric*?

In the present paper, we answer this question in the affirmative by refining the argument of Mochizuki applied in [1], [2]. The main consequence of the present paper is as follows [cf. Corollaries 3.4; 3.5].

Theorem. *Let p be a prime number. For $\square \in \{\circ, \bullet\}$, let k_\square be a p -adic local field and \overline{k}_\square an algebraic closure of k_\square . Write $G_{k_\square} \stackrel{\mathrm{def}}{=} \mathrm{Gal}(\overline{k}_\square/k_\square)$. Let*

$$\alpha: G_{k_\circ} \longrightarrow G_{k_\bullet}$$

*be an open continuous homomorphism. Then α is **geometric** [cf. [2], Definition 3.1, (iv)] if and only if α is **HT-preserving** [cf. Definition 1.3, (i)]. In particular, if we write*

$$\mathrm{Emb}(\overline{k}_\bullet/k_\bullet, \overline{k}_\circ/k_\circ)$$

for the set of isomorphisms of fields $\overline{k}_\bullet \xrightarrow{\sim} \overline{k}_\circ$ that determine embeddings $k_\bullet \hookrightarrow k_\circ$;

$$\mathrm{Emb}(k_\bullet, k_\circ)$$

for the set of embeddings of fields $k_\bullet \hookrightarrow k_\circ$;

$$\mathrm{Hom}_{\mathrm{HT}}^{\mathrm{open}}(G_{k_\circ}, G_{k_\bullet})$$

for the set of **HT-preserving** open continuous homomorphisms $G_{k_o} \rightarrow G_{k_\bullet}$, then we have a commutative diagram of natural maps

$$\begin{array}{ccc} \text{Emb}(\bar{k}_\bullet/k_\bullet, \bar{k}_o/k_o) & \xrightarrow{\sim} & \text{Hom}_{\text{HT}}^{\text{open}}(G_{k_o}, G_{k_\bullet}) \\ \downarrow & & \downarrow \\ \text{Emb}(k_\bullet, k_o) & \xrightarrow{\sim} & \text{Hom}_{\text{HT}}^{\text{open}}(G_{k_o}, G_{k_\bullet})/\text{Inn}(G_{k_\bullet}) \end{array}$$

— where the vertical arrows are **surjective**, and the horizontal arrows are **bijective**.

Remark. The various discussions given in the present paper may be regarded as just slight modifications or improvements of the discussions of [1], [2]. From this point of view, one may consider that some arguments in §2 and the observation that a similar technique of [1], §4, can be available in the situation of the proof of Theorem 3.3 are essentially the only new contributions of the present paper.

1. HT-PRESERVING HOMOMORPHISMS

In the present §1, we define the notion of an *HT-preserving* [i.e., “Hodge-Tate-preserving”] homomorphism [cf. Definition 1.3, (i), below]. Let p be a prime number. Write \mathbb{Q}_p for the p -adic completion of the field of rational numbers \mathbb{Q} . For $\square \in \{\circ, \bullet, \emptyset\}$, let k_\square be a p -adic local field [i.e., a finite extension of \mathbb{Q}_p] and \bar{k}_\square an algebraic closure of k_\square . Write \mathfrak{o}_{k_\square} for the ring of integers of k_\square , $G_{k_\square} \stackrel{\text{def}}{=} \text{Gal}(\bar{k}_\square/k_\square)$, $I_{k_\square} \subseteq G_{k_\square}$ for the inertia subgroup of G_{k_\square} , and $P_{k_\square} \subseteq I_{k_\square}$ for the wild inertia subgroup of G_{k_\square} . Now let us recall from *local class field theory* that we have a natural isomorphism

$$G_k^{\text{ab}} \xrightarrow{\sim} (k^\times)^\wedge$$

— where we write $(k^\times)^\wedge$ for the profinite completion of the topological group k^\times — that determines an isomorphism

$$(G_k^{\text{ab}} \supseteq) \quad \text{Im}(I_k \hookrightarrow G_k \twoheadrightarrow G_k^{\text{ab}}) \xrightarrow{\sim} \mathfrak{o}_k^\times \quad (\subseteq (k^\times)^\wedge).$$

In the following, let us regard \mathfrak{o}_k^\times as a closed subgroup of G_k^{ab} by means of this isomorphism, i.e., $\mathfrak{o}_k^\times \subseteq G_k^{\text{ab}}$.

Proposition 1.1. *Let $\alpha: G_{k_o} \rightarrow G_{k_\bullet}$ be an open continuous homomorphism. Then $\alpha(I_{k_o}), \alpha(P_{k_o}) \subseteq G_{k_\bullet}$ are **open** subgroups of $I_{k_\bullet}, P_{k_\bullet}$, respectively. Moreover, it holds that $\text{Ker}(\alpha) \subseteq P_{k_o}$.*

Proof. This follows immediately from [2], Proposition 3.4 [cf. also the proof of [2], Proposition 3.4]. \square

Definition 1.2.

- (i) Let A be a topological group; $\phi_1, \phi_2: G_k \rightarrow A$ continuous homomorphisms. Then we shall say that ϕ_1 is *inertially equivalent* to ϕ_2 if ϕ_1 and ϕ_2 coincide on an open subgroup of $I_k \subseteq G_k$ [cf. the discussion preceding [4], Chapter III, §A.5, Theorem 2].
- (ii) Let E be a finite Galois extension of \mathbb{Q}_p that admits an embedding $\sigma: E \hookrightarrow k$. Let $\pi \in \mathfrak{o}_k$ be a uniformizer of \mathfrak{o}_k . Then we shall write

$$\chi_{\sigma, \pi}^{\text{LT}}: G_k \longrightarrow E^\times$$

for the continuous character obtained by forming the composite

$$G_k \twoheadrightarrow G_k^{\text{ab}} \xrightarrow{\sim} (k^\times)^\wedge \xrightarrow{\sim} \mathfrak{o}_k^\times \times \widehat{\mathbb{Z}} \twoheadrightarrow \mathfrak{o}_k^\times \twoheadrightarrow \mathfrak{o}_E^\times \xrightarrow{\sim} \mathfrak{o}_E^\times \hookrightarrow E^\times$$

— where the first arrow is the natural surjection, the second arrow is the natural isomorphism arising from *local class field theory*, the third arrow is the isomorphism determined by the uniformizer $\pi \in \mathfrak{o}_k$, the fourth arrow is the first projection, the fifth arrow is the homomorphism induced by the norm map $k^\times \rightarrow E^\times$ [with respect to the embedding σ], the sixth arrow is the isomorphism given by mapping a to a^{-1} , and the seventh arrow is the natural inclusion [cf. [4], Chapter III, §A.4]. Since $I_k \subseteq G_k$ surjects onto $\mathfrak{o}_k \times \{1\} \subseteq \mathfrak{o}_k \times \widehat{\mathbb{Z}}$ [cf. the discussion at the beginning of §1], one verifies easily that the *inertial equivalence class* [cf. (i)] of $\chi_{\sigma, \pi}^{\text{LT}}$ does not depend on the choice of $\pi \in \mathfrak{o}_k$. Thus, we shall often write χ_σ^{LT} to denote $\chi_{\sigma, \pi}^{\text{LT}}$ for some unspecified choice of $\pi \in \mathfrak{o}_k$.

Definition 1.3. Let $\alpha: G_{k_\circ} \rightarrow G_{k_\bullet}$ be an open continuous homomorphism.

- (i) We shall say that α is *HT-preserving* [i.e., “Hodge-Tate-preserving”] if, for every finite dimensional continuous representation $\phi: G_{k_\bullet} \rightarrow \text{GL}_n(\mathbb{Q}_p)$ of G_{k_\bullet} that is Hodge-Tate, the composite $G_{k_\circ} \xrightarrow{\alpha} G_{k_\bullet} \xrightarrow{\phi} \text{GL}_n(\mathbb{Q}_p)$ is Hodge-Tate.
- (ii) We shall say that α is of *HT-qLT-type* [i.e., “Hodge-Tate-quasi-Lubin-Tate” type] (respectively, of *weakly HT-qLT-type* [i.e., “weakly Hodge-Tate-quasi-Lubin-Tate” type]) if, for
 - every pair of respective finite extensions $k'_\circ (\subseteq \bar{k}_\circ)$, $k'_\bullet (\subseteq \bar{k}_\bullet)$ of k_\circ , k_\bullet such that $\alpha(G_{k'_\circ}) \subseteq G_{k'_\bullet}$,
 - every finite Galois extension E of \mathbb{Q}_p that admits a pair of embeddings $\sigma_\circ: E \hookrightarrow k'_\circ$, $\sigma_\bullet: E \hookrightarrow k'_\bullet$,

the composite

$$G_{k'_\circ} \xrightarrow{\alpha|_{G_{k'_\circ}}} G_{k'_\bullet} \xrightarrow{\chi_{\sigma_\bullet}^{\text{LT}}} E^\times$$

[cf. Definition 1.2, (ii)] is Hodge-Tate (respectively, is inertially equivalent [cf. Definition 1.2, (i)] to a continuous character $G_{k'_\circ} \rightarrow E^\times$ that factors through the natural open injection $G_{k'_\circ} \hookrightarrow \text{Gal}(\bar{k}_\circ/E)$ determined by the embeddings $E \xrightarrow{\sigma_\circ} k'_\circ \hookrightarrow \bar{k}_\circ$) [cf. Proposition 1.1]. [Here, we note that, as is well-known — cf., e.g., [4], Chapter III, §A.1, Corollary 2 — the issue of whether or not a finite dimensional continuous representation is *Hodge-Tate* depends only on the *inertial equivalence class* of the given representation.]

Lemma 1.4. Let $\alpha: G_{k_\circ} \rightarrow G_{k_\bullet}$ be an open continuous homomorphism. Consider the following four conditions:

- (1) α is **HT-preserving** [cf. Definition 1.3, (i)].
- (1') For every pair of respective finite extensions $k'_\circ (\subseteq \bar{k}_\circ)$, $k'_\bullet (\subseteq \bar{k}_\bullet)$ of k_\circ , k_\bullet such that $\alpha(G_{k'_\circ}) \subseteq G_{k'_\bullet}$, the restriction $\alpha|_{G_{k'_\circ}}: G_{k'_\circ} \rightarrow G_{k'_\bullet}$ is **HT-preserving**.
- (2) α is of **HT-qLT-type** [cf. Definition 1.3, (ii)].
- (3) α is of **weakly HT-qLT-type** [cf. Definition 1.3, (ii)].

Then we have an equivalence and implications

$$(1) \iff (1') \implies (2) \implies (3).$$

Proof. The implication (1') \Rightarrow (1) is immediate. Next, let us verify that the implication (1) \Rightarrow (1') follows from the following *well-known argument*: Let $k'_\circ (\subseteq \bar{k}_\circ)$, $k'_\bullet (\subseteq \bar{k}_\bullet)$ be respective finite extensions of k_\circ , k_\bullet such that $\alpha(G_{k'_\circ}) \subseteq G_{k'_\bullet}$; $\phi: G_{k'_\bullet} \rightarrow \text{GL}_n(\mathbb{Q}_p)$ a finite dimensional continuous representation of $G_{k'_\bullet}$.

that is *Hodge-Tate*. Now let us observe [cf., e.g., [4], Chapter III, §A.1, Corollary 2] that, to verify that the composite $\phi \circ \alpha|_{G_{k'_o}}$ is *Hodge-Tate* — by replacing k'_o, k'_\bullet by suitable finite extensions of k'_o, k'_\bullet , respectively — we may assume without loss of generality that k'_o, k'_\bullet are *Galois* over k_o, k_\bullet , respectively. Write ϕ_{k_\bullet} for the finite dimensional continuous representation of G_{k_\bullet} obtained by inducing ϕ from $G_{k'_\bullet}$ to G_{k_\bullet} . Then since [one verifies easily that] $\phi_{k_\bullet}|_{G_{k'_\bullet}}$ is isomorphic to the direct product of $[k'_\bullet : k_\bullet]$ copies of ϕ , it holds that ϕ_{k_\bullet} is *Hodge-Tate*. Thus, since α is *HT-preserving*, it holds that $\phi_{k_\bullet} \circ \alpha$, hence also $(\phi_{k_\bullet} \circ \alpha)|_{G_{k'_o}}$, is *Hodge-Tate*. On the other hand, one verifies easily that $\phi \circ \alpha|_{G_{k'_o}}$ is isomorphic to a subrepresentation of $(\phi_{k_\bullet} \circ \alpha)|_{G_{k'_o}}$. In particular, we conclude that $\phi \circ \alpha|_{G_{k'_o}}$ is *Hodge-Tate*. This completes the proof of the implication (1) \Rightarrow (1').

The implication (1') \Rightarrow (2) follows from the fact that “ $\chi_{\sigma, \pi}^{\text{LT}}$ ” defined in Definition 1.2, (ii), is *Hodge-Tate* [cf. [4], Chapter III, §A.5, Corollary]. Finally, we verify the implication (2) \Rightarrow (3). We shall apply the notational conventions established in Definition 1.3, (ii). Then since α is of *HT-qLT-type*, the character $\chi: G_{k'_o} \rightarrow E^\times$ obtained by forming the composite

$$G_{k'_o} \xrightarrow{\alpha|_{G_{k'_o}}} G_{k'_\bullet} \xrightarrow{\chi_{\sigma, \pi}^{\text{LT}}} E^\times$$

is *Hodge-Tate*. Thus, since E is *Galois* over \mathbb{Q}_p , it follows immediately from [4], Chapter III, §A.5, Corollary, that χ is *inertially equivalent* [cf. Definition 1.2, (i)] to the character

$$\prod_{\sigma \in \text{Gal}(E/\mathbb{Q}_p)} (\chi_{\sigma \circ \sigma}^{\text{LT}})^{n_\sigma}: G_{k'_o} \longrightarrow E^\times$$

for some choices of integers n_σ . On the other hand, one verifies easily from *local class field theory* that this character is *inertially equivalent* to the restriction to $G_{k'_o} \subseteq \text{Gal}(\bar{k}_o/E)$ of the character

$$\prod_{\sigma \in \text{Gal}(E/\mathbb{Q}_p)} (\chi_\sigma^{\text{LT}})^{n_\sigma}: \text{Gal}(\bar{k}_o/E) \longrightarrow E^\times.$$

This completes the proof of the implication (2) \Rightarrow (3), hence also of Lemma 1.4. \square

Remark 1.4.1. In the notation of Lemma 1.4, consider the following four conditions:

- (4) α is of *qLT-type* [cf. [2], Definition 3.1, (iv)].
- (5) α is of *01-qLT-type* [cf. [2], Definition 3.1, (iv)].
- (6) α is of *CHT-type* [cf. [2], Definition 3.1, (iv)].
- (7) α is of *HT-type* [cf. [2], Definition 3.1, (iv)].

Then we have equivalences and implications

$$(7) \iff (4) \iff (5) \iff (6) (\implies (1) \iff (1') \implies (2) \implies (3)).$$

Indeed, the equivalences (4) \Leftrightarrow (5) \Leftrightarrow (6) follow from [2], Theorem 3.5, (i); the implications (6) \Rightarrow (1) and (6) \Rightarrow (7) are immediate. If, moreover, α is *injective*, then we have equivalences and implications

$$(4) \iff (5) \iff (6) \iff (7) (\implies (1) \iff (1') \implies (2) \implies (3)).$$

Indeed, the implication (7) \Rightarrow (6) follows immediately from [1], Proposition 1.1.

2. INJECTIVITY RESULT

In the present §2, we prove that every open continuous homomorphism of *weakly HT-qLT-type* is *injective* [cf. Proposition 2.4 below]. We maintain the notation of the preceding §1.

Definition 2.1.

- (i) Let G be a profinite group. Then we shall write

$$(G \twoheadrightarrow) G^{p\text{-ab-free}}$$

for the maximal pro- p abelian torsion-free quotient of G .

- (ii) Let A be an *abelian* topological group and $\phi: G_k \rightarrow A$ a continuous homomorphism. Then we shall write

$$\text{iner-dim}(\phi) \stackrel{\text{def}}{=} \dim_{\mathbb{Q}_p}(\phi(I_k)^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$$

[cf. (i)] and refer to $\text{iner-dim}(\phi)$ as the *inertial dimension* of ϕ .

Lemma 2.2. *Let A be an abelian topological group and $\phi: G_k \rightarrow A$ a continuous homomorphism. Then the following hold:*

- (i) *It holds that*

$$0 \leq \text{iner-dim}(\phi) \leq [k : \mathbb{Q}_p]$$

[cf. Definition 2.1, (ii)].

- (ii) *Let $H \subseteq I_k$ be a closed subgroup of I_k . Suppose that H **contains** an open subgroup of P_k [e.g., H is an open subgroup of I_k or P_k]. Then*

$$\text{iner-dim}(\phi) = \dim_{\mathbb{Q}_p}(\phi(H)^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p)$$

[cf. Definition 2.1, (i)].

- (iii) *Let $\phi': G_k \rightarrow A$ be a continuous homomorphism that is **inertially equivalent** to ϕ [cf. Definition 1.2, (i)]. Then*

$$\text{iner-dim}(\phi) = \text{iner-dim}(\phi').$$

- (iv) *In the notation of Definition 1.2, (ii), it holds that*

$$\text{iner-dim}(\chi_\sigma^{\text{LT}}) = [E : \mathbb{Q}_p]$$

[cf. (iii)].

- (v) *Let $\alpha: G_{k_\circ} \rightarrow G_k$ be an **open** continuous homomorphism. Then it holds that*

$$\text{iner-dim}(\phi) = \text{iner-dim}(\phi \circ \alpha).$$

Proof. First, I claim that the following assertion holds:

Claim 2.2.A: The natural surjection $I_k \twoheadrightarrow \phi(I_k)^{p\text{-ab-free}}$ *factors* through the natural surjection $I_k \twoheadrightarrow \mathfrak{o}_k^\times \twoheadrightarrow (\mathfrak{o}_k^\times)^{p\text{-ab-free}}$ [cf. the discussion at the beginning of §1].

Indeed, this follows immediately from our assumption that A is *abelian*. This completes the proof of Claim 2.2.A.

Assertion (i) follows immediately from Claim 2.2.A, together with the fact that $(\mathfrak{o}_k^\times)^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ is of dimension $[k : \mathbb{Q}_p]$. Assertion (ii) follows immediately from Claim 2.2.A, together with the [easily verified] fact that the composite $P_k \hookrightarrow I_k \twoheadrightarrow \mathfrak{o}_k^\times$ is *open*. Assertion (iii) follows immediately from assertion (ii). Assertion (iv) follows immediately from the definition of the character χ_σ^{LT} , together with the fact that $(\mathfrak{o}_E^\times)^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ is of dimension $[E : \mathbb{Q}_p]$. Finally, we verify assertion (v). Let us first observe that it follows from Proposition 1.1 that α determines an *open* homomorphism $P_{k_\circ} \rightarrow P_k$. Thus, assertion (v) follows immediately from assertion (ii). This completes the proof of assertion (v). \square

Lemma 2.3. *Let $N \subseteq G_k$ be a **nontrivial** normal closed subgroup of G_k . Then there exists an open subgroup $H \subseteq G_k$ of G_k such that the image of the composite $N \cap H \hookrightarrow H \twoheadrightarrow H^{p\text{-ab-free}}$ [cf. Definition 2.1, (i)] is **nontrivial**.*

Proof. Assume that, for every open subgroup $H \subseteq G_k$ of G_k , the image of the composite $N \cap H \hookrightarrow H \twoheadrightarrow H^{p\text{-ab-free}}$ is *trivial*, i.e., if we write $J_H \subseteq H$ for the kernel of the natural surjection $H \twoheadrightarrow H^{p\text{-ab-free}}$, then $N \cap H \subseteq J_H$. Now since N is *nontrivial*, it is immediate that there exists a normal open subgroup $H \subseteq G_k$ such that the composite $N \hookrightarrow G_k \twoheadrightarrow G_k/H$ is *nontrivial*. In particular, one verifies easily that, to verify Lemma 2.3, by replacing G_k by the inverse image of the image of N in G_k/H via $G_k \twoheadrightarrow G_k/H$, we may assume without loss of generality that the composite $N \hookrightarrow G_k \twoheadrightarrow G_k/H$ is [nontrivial and] *surjective*. Thus, since [we have assumed that] $N \cap H \subseteq J_H$, it follows immediately that the composite $N \hookrightarrow G_k \twoheadrightarrow G_k/J_H$ determines a *splitting* of the exact sequence of profinite groups

$$1 \longrightarrow H^{p\text{-ab-free}} \longrightarrow G_k/J_H \longrightarrow G_k/H \longrightarrow 1.$$

[Here, we note that since $H \subseteq G_k$ is *normal*, and $J_H \subseteq H$ is *characteristic*, one verifies easily that J_H is *normal* in G_k .] In particular, since $N \subseteq G_k$ is *normal*, the natural action [determined by the above exact sequence] of G_k/H on $H^{p\text{-ab-free}}$, hence also on $H^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$, is *trivial*. On the other hand, if we write $k' (\subseteq \bar{k})$ for the finite Galois extension of k corresponding to $H \subseteq G_k$, then it follows immediately from *local class field theory* that there exists a $G_k/H (= \text{Gal}(k'/k))$ -equivariant injection of \mathbb{Q}_p -vector spaces $k' \hookrightarrow H^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$, which *contradicts* the fact that the action of G_k/H on $H^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ is *trivial*. This completes the proof of Lemma 2.3. \square

Next, we prove the main result of the present §2. Note that the *injectivity* result was shown in the proof of the implication (c) \Rightarrow (d) of [2], Theorem 3.5, (i), for homomorphisms of *qLT-type*, and that Proposition 2.4 is its improvement for homomorphisms of *weakly HT-qLT-type*.

Proposition 2.4. *Let $\alpha: G_{k_\circ} \rightarrow G_{k_\bullet}$ be an open continuous homomorphism. Suppose that α is **of weakly HT-qLT-type** [cf. Definition 1.3, (ii)]. Then α is **injective**.*

Proof. Assume that the homomorphism α is *not injective*. Then it follows immediately from Lemma 2.3 that there exists a finite Galois extension E of \mathbb{Q}_p that admits a pair of embeddings $E \hookrightarrow \bar{k}_\circ, E \hookrightarrow \bar{k}_\bullet$ such that if we write $E_\circ \subseteq \bar{k}_\circ, E_\bullet \subseteq \bar{k}_\bullet$ for the respective images of these embeddings [so $E_\circ \xrightarrow{\sim} E \xrightarrow{\sim} E_\bullet$], then $k_\circ \subseteq E_\circ, k_\bullet \subseteq E_\bullet$, and, moreover, the image of the composite $\text{Ker}(\alpha) \cap G_{E_\circ} \hookrightarrow G_{E_\circ} \twoheadrightarrow G_{E_\circ}^{p\text{-ab-free}}$ [cf. Definition 2.1, (i)] is *nontrivial*.

Let $k'_\circ (\subseteq \bar{k}_\circ)$ be a finite extension of k_\circ such that $E_\circ \subseteq k'_\circ$, and, moreover, $\alpha(G_{k'_\circ}) \subseteq G_{E_\bullet}$. Write χ for the composite

$$G_{k'_\circ} \xrightarrow{\alpha|_{G_{k'_\circ}}} G_{E_\bullet} \xrightarrow{\chi_{\text{id}}^{\text{LT}}} E_\bullet^\times \quad (\simeq E^\times \simeq E_\circ^\times)$$

[cf. Definition 1.2, (ii)]. Then since $\alpha|_{G_{k'_\circ}}$ is *open*, it follows from Lemma 2.2, (iv), (v), that

$$\text{iner-dim}(\chi) = \text{iner-dim}(\chi_{\text{id}}^{\text{LT}}) = [E_\bullet : \mathbb{Q}_p]$$

[cf. Definition 2.1, (ii)]. On the other hand, since α is of *weakly HT-qLT-type*, the character χ is *inertially equivalent* to the continuous character factors as the composite

$$G_{k'_\circ} \longrightarrow G_{E_\circ} \xrightarrow{\chi_{E_\circ}} E_\circ^\times \quad (\simeq E^\times \simeq E_\bullet^\times)$$

of the natural open injection $G_{k'_o} \hookrightarrow G_{E_o}$ and a continuous character $\chi_{E_o} : G_{E_o} \rightarrow E_o^\times$. Thus, it follows from Lemma 2.2, (iii), (v), that

$$([E_\bullet : \mathbb{Q}_p] =) \quad \text{iner-dim}(\chi) = \text{iner-dim}(\chi_{E_o}).$$

Now let us recall from Proposition 1.1 that $\text{Ker}(\alpha) \subseteq P_{k_o}$. In particular, it holds that $\text{Ker}(\alpha) = \text{Ker}(\alpha) \cap I_{k_o}$, which thus implies that $\text{Ker}(\alpha) \cap I_{k'_o}$ is *open* in $\text{Ker}(\alpha)$. On the other hand, it follows from the definition of χ that $\text{Ker}(\alpha) \cap I_{k'_o}$ ($= \text{Ker}(\alpha) \cap G_{k'_o}$) $\subseteq \text{Ker}(\chi)$. Thus, since χ is *inertially equivalent* to $\chi_{E_o}|_{G_{k'_o}}$, we conclude that there exists an *open* subgroup $J \subseteq \text{Ker}(\alpha)$ of $\text{Ker}(\alpha)$ such that $J \subseteq \text{Ker}(\chi_{E_o}) \subseteq G_{E_o}$. Now since $J \subseteq \text{Ker}(\alpha)$ is *open* in $\text{Ker}(\alpha)$, and [we have assumed that] the image of the composite $\text{Ker}(\alpha) \cap G_{E_o} \hookrightarrow G_{E_o} \twoheadrightarrow G_{E_o}^{p\text{-ab-free}}$ is *nontrivial*, it follows that the image of the composite $J \hookrightarrow G_{E_o} \twoheadrightarrow G_{E_o}^{p\text{-ab-free}}$ is *nontrivial*. Thus, one verifies easily that the image of the homomorphism $J \rightarrow \mathfrak{o}_{E_o}^\times (\subseteq G_{E_o}^{\text{ab}})$ [cf. the discussion at the beginning of §1] determined by the composite $J \hookrightarrow G_{E_o} \twoheadrightarrow G_{E_o}^{\text{ab}}$ [where we recall that $J \subseteq I_{E_o}$] is *infinite*. In particular, since $J \subseteq \text{Ker}(\chi_{E_o})$, we conclude that the kernel of the character $(I_{E_o} \twoheadrightarrow) \mathfrak{o}_{E_o}^\times \rightarrow E_o^\times$ determined by the restriction of χ_{E_o} to $I_{E_o} \subseteq G_{E_o}$ is *infinite*. Thus, we obtain an inequality

$$([E_\bullet : \mathbb{Q}_p] =) \quad \text{iner-dim}(\chi_{E_o}) < \dim_{\mathbb{Q}_p}((\mathfrak{o}_{E_o}^\times)^{p\text{-ab-free}} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) = [E_o : \mathbb{Q}_p],$$

which *contradicts* the fact that $E_o \xleftarrow{\sim} E \xrightarrow{\sim} E_\bullet$. This completes the proof of Proposition 2.4. \square

3. THE MAIN RESULTS

In the present §3, we prove the main theorem of the present paper [cf. Theorem 3.3 below]. We maintain the notation of §1.

Definition 3.1. Let $\alpha : G_{k_o} \xrightarrow{\sim} G_{k_\bullet}$ be a continuous *isomorphism* and $\beta : k_\bullet \xrightarrow{\sim} k_o$ an isomorphism of fields. Then we shall say that β is *inertially compatible* with α if the composite

$$\mathfrak{o}_{k_\bullet}^\times \hookrightarrow k_\bullet^\times \xrightarrow{\sim} k_o^\times \hookrightarrow (k_o^\times)^\wedge$$

— where the second arrow is the isomorphism determined by β — and the composite

$$\mathfrak{o}_{k_\bullet}^\times \hookrightarrow G_{k_\bullet}^{\text{ab}} \xrightarrow{\sim} G_{k_o}^{\text{ab}} \xrightarrow{\sim} (k_o^\times)^\wedge$$

— where the first arrow is the natural inclusion arising from local class field theory [cf. the discussion at the beginning of §1], the second arrow is the isomorphism determined by α^{-1} , and the third arrow is the isomorphism arising from local class field theory — coincide on an open subgroup of $\mathfrak{o}_{k_\bullet}^\times$.

Lemma 3.2. Let $\alpha : G_{k_o} \xrightarrow{\sim} G_{k_\bullet}$ be a continuous *isomorphism*; $\beta_1, \beta_2 : k_\bullet \xrightarrow{\sim} k_o$ *isomorphisms of fields*. Suppose that β_1, β_2 are **inertially compatible** with α [cf. Definition 3.1]. Then $\beta_1 = \beta_2$.

Proof. Since β_1, β_2 are *inertially compatible* with α , one verifies easily from the various definitions involved that there exists an open subgroup $S_\bullet \subseteq \mathfrak{o}_{k_\bullet}^\times$ of $\mathfrak{o}_{k_\bullet}^\times$ such that $\beta_1|_{S_\bullet} = \beta_2|_{S_\bullet}$. On the other hand, let us recall from [1], Lemma 4.1, that the sub- \mathbb{Q}_p -vector space of k_\bullet generated by S_\bullet *coincides* with k_\bullet . Thus, the equality $\beta_1|_{S_\bullet} = \beta_2|_{S_\bullet}$ implies the equality $\beta_1 = \beta_2$. This completes the proof of Lemma 3.2. \square

Next, we prove the main theorem of the present paper. Note that the argument given in the proof of Theorem 3.3 is essentially the same as the argument applied in [1] to prove the main theorem of [1].

Theorem 3.3. *Let p be a prime number. For $\square \in \{\circ, \bullet\}$, let k_\square be a p -adic local field and \bar{k}_\square an algebraic closure of k_\square . Write $G_{k_\square} \stackrel{\text{def}}{=} \text{Gal}(\bar{k}_\square/k_\square)$. Let*

$$\alpha: G_{k_\circ} \longrightarrow G_{k_\bullet}$$

*be an open continuous homomorphism. Suppose that α is of **HT-qLT-type** [cf. Definition 1.3, (ii)]. Then α is **geometric** [cf. [2], Definition 3.1, (iv)], i.e., arises from an isomorphism of fields $\bar{k}_\bullet \xrightarrow{\sim} \bar{k}_\circ$ that determines an embedding $k_\bullet \hookrightarrow k_\circ$.*

Proof. First, let us observe that it follows from Proposition 2.4, together with the implication (2) \Rightarrow (3) of Lemma 1.4, that α is *injective*. Next, let us observe that, to verify Theorem 3.3, by replacing G_{k_\bullet} by the image of α , we may assume without loss of generality that α is an *isomorphism*.

Now I claim that the following assertion holds:

Claim 3.3.A: Suppose that k_\circ is *Galois* over \mathbb{Q}_p . Then there exists a(n) [necessarily *unique* — cf. Lemma 3.2] isomorphism of fields $\beta_{k_\bullet, k_\circ}: k_\bullet \xrightarrow{\sim} k_\circ$ that is *inertially compatible* with α [cf. Definition 3.1].

Indeed, let E be a finite Galois extension of \mathbb{Q}_p that admits embeddings $E \hookrightarrow \bar{k}_\circ, E \hookrightarrow \bar{k}_\bullet$ such that if we write $E_\circ \subseteq \bar{k}_\circ, E_\bullet \subseteq \bar{k}_\bullet$ for the respective images of these embeddings [so $E_\circ \xleftarrow{\sim} E \xrightarrow{\sim} E_\bullet$], then $k_\circ \subseteq E_\circ, k_\bullet \subseteq E_\bullet$. Let $k'_\circ (\subseteq \bar{k}_\circ)$ be a finite Galois extension of k_\circ such that k'_\circ contains E_\circ , and, moreover, the finite [necessarily Galois] extension $k'_\bullet (\subseteq \bar{k}_\bullet)$ of k_\bullet corresponding to the open subgroup $\alpha(G_{k'_\circ}) \subseteq G_{k'_\bullet}$ contains E_\bullet . For $\square \in \{\circ, \bullet\}$, write $\sigma_\square: E_\square \hookrightarrow k'_\square$ for the natural inclusion. Write χ for the composite

$$G_{k'_\circ} \xrightarrow{\sim} G_{k'_\bullet} \xrightarrow{\chi_{\sigma_\bullet}^{\text{LT}}} E_\bullet^\times \quad (\xleftarrow{\sim} E^\times \xrightarrow{\sim} E_\circ^\times).$$

Then since α is of *HT-qLT-type*, it holds that χ is *Hodge-Tate*. Thus, since E_\circ is *Galois* over \mathbb{Q}_p , it follows from [4], Chapter III, §A.5, Corollary, that χ is *inertially equivalent* to the character

$$\prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\chi_{\sigma_\circ \circ \sigma}^{\text{LT}})^{n_\sigma}: G_{k'_\circ} \longrightarrow E_\circ^\times \quad (\xleftarrow{\sim} E^\times \xrightarrow{\sim} E_\bullet^\times)$$

for some choices of integers n_σ .

For $\square \in \{\circ, \bullet\}$, write $\text{Ver}_{k'_\square/k_\square}: G_{k'_\square}^{\text{ab}} \rightarrow G_{k'_\square}^{\text{ab}}$ for the *Verlagerung map* with respect to the finite Galois extension k'_\square/k_\square . Then since χ is *inertially equivalent* to $\prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\chi_{\sigma_\circ \circ \sigma}^{\text{LT}})^{n_\sigma}$, and [one verifies easily from *local class field theory* that] $\text{Ver}_{k'_\square/k_\square}$ maps $\mathfrak{o}_{k'_\square}^\times \subseteq G_{k'_\square}^{\text{ab}}$ [cf. the discussion at the beginning of §1] to $\mathfrak{o}_{k'_\square}^\times \subseteq G_{k'_\square}^{\text{ab}}$, we conclude that there exists an open subgroup $S_\circ \subseteq \mathfrak{o}_{k'_\circ}^\times (\subseteq G_{k'_\circ}^{\text{ab}})$ of $\mathfrak{o}_{k'_\circ}^\times$ such that if we write $S_\bullet \subseteq \mathfrak{o}_{k'_\bullet}^\times$ for the image of $S_\circ \subseteq \mathfrak{o}_{k'_\circ}^\times$ by the isomorphism

$$(G_{k'_\circ}^{\text{ab}} \supseteq) \quad \mathfrak{o}_{k'_\circ}^\times \xrightarrow{\sim} \mathfrak{o}_{k'_\bullet}^\times \quad (\subseteq G_{k'_\bullet}^{\text{ab}})$$

induced by α [where let us recall from Proposition 1.1 that α induces an isomorphism $I_{k_\circ} \xrightarrow{\sim} I_{k_\bullet}$], then the diagram of topological modules

$$\begin{array}{ccccccc} S_\circ & \longrightarrow & G_{k'_\circ}^{\text{ab}} & \xrightarrow{\text{Ver}_{k'_\circ/k_\circ}} & G_{k'_\circ}^{\text{ab}} & \xrightarrow{\prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\chi_{\sigma_\circ \circ \sigma}^{\text{LT}})^{n_\sigma}} & E_\circ^\times & \xleftarrow{\sim} & E^\times \\ \downarrow \wr & & & & & & & & \parallel \\ S_\bullet & \longrightarrow & G_{k'_\bullet}^{\text{ab}} & \xrightarrow{\text{Ver}_{k'_\bullet/k_\bullet}} & G_{k'_\bullet}^{\text{ab}} & \xrightarrow{\chi_{\sigma_\bullet}^{\text{LT}}} & E_\bullet^\times & \xleftarrow{\sim} & E^\times \end{array}$$

— where the left-hand vertical arrow is the isomorphism induced by α , and the left-hand horizontal arrows are the natural inclusions — *commutes*. On the

other hand, it follows immediately from *local class field theory*, together with Definition 1.2, (ii), that, for $\square \in \{\circ, \bullet\}$, if we write $\text{Im}(I_{k_\square}) \subseteq G_{k_\square}^{\text{ab}}$ for the image of the composite $I_{k_\square} \hookrightarrow G_{k_\square} \twoheadrightarrow G_{k_\square}^{\text{ab}}$ [i.e., “ $\mathfrak{o}_{k_\square}^\times \subseteq G_{k_\square}^{\text{ab}}$ ” — cf. the discussion at the beginning of §1], then we have commutative diagrams of topological modules

$$\begin{array}{ccccc} \text{Im}(I_{k_\circ}) & \xrightarrow{\text{Ver}_{k'_\circ/k_\circ}} & \text{Im}(I_{k'_\circ}) & \xrightarrow{\prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\chi_{\sigma_\circ \circ \sigma}^{\text{LT}})^{n_\sigma}} & E_\circ^\times \xrightarrow{\sim} E^\times \\ \wr \downarrow & & \wr \downarrow & & \parallel \\ \mathfrak{o}_{k_\circ}^\times & \longrightarrow & \mathfrak{o}_{k'_\circ}^\times & \xrightarrow{\prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\sigma^{-1} \circ \text{Nm}_{k'_\circ/E_\circ})^{n_\sigma}} & E_\circ^\times \xrightarrow{\sim} E^\times, \\ & & \text{Im}(I_{k_\bullet}) & \xrightarrow{\text{Ver}_{k'_\bullet/k_\bullet}} & \text{Im}(I_{k'_\bullet}) & \xrightarrow{\chi_{\sigma_\bullet}^{\text{LT}}} & E_\bullet^\times \xrightarrow{\sim} E^\times \\ & & \wr \downarrow & & \wr \downarrow & & \parallel \\ & & \mathfrak{o}_{k_\bullet}^\times & \longrightarrow & \mathfrak{o}_{k'_\bullet}^\times & \xrightarrow{\text{Nm}_{k'_\bullet/E_\bullet}} & E_\bullet^\times \xrightarrow{\sim} E^\times \end{array}$$

— where the left-hand and middle vertical arrows are isomorphisms that arise from *local class field theory*; the lower left-hand horizontal arrows are the homomorphisms induced by the natural inclusions $k_\circ \hookrightarrow k'_\circ$, $k_\bullet \hookrightarrow k'_\bullet$, respectively; we write “Nm” for the *norm map*. In particular, if, for $\square \in \{\circ, \bullet\}$, we write $\text{Im}(S_\square) \subseteq E_\square^\times$ for the image of S_\square in E_\square^\times , then the following hold:

- (a) Since $k_\circ \subseteq E_\circ \subseteq k'_\circ$, and k_\circ is *Galois* over \mathbb{Q}_p [which thus implies that every $\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)$ *preserves* $k_\circ \subseteq E_\circ$], it holds that

$$\text{Im}(S_\circ) = \prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} (\sigma^{-1} \circ \text{Nm}_{k'_\circ/E_\circ})(S_\circ)^{n_\sigma} = \prod_{\sigma \in \text{Gal}(E_\circ/\mathbb{Q}_p)} \sigma^{-1}(S_\circ^{n_\sigma \cdot [k'_\circ:E_\circ]}) \subseteq k_\circ^\times,$$

i.e., that the subgroup $\text{Im}(S_\circ) \subseteq E_\circ^\times$ is *contained* in $k_\circ^\times \subseteq E_\circ^\times$.

- (b) Since $k_\bullet \subseteq E_\bullet \subseteq k'_\bullet$, it holds that the subgroup $\text{Im}(S_\bullet) \subseteq E_\bullet^\times$ *coincides* with the subgroup $(\mathfrak{o}_{k'_\bullet}^\times)^{[k'_\bullet:E_\bullet]} \subseteq E_\bullet^\times$, which thus implies that the subgroup $\text{Im}(S_\bullet) \subseteq E_\bullet^\times$ is an *open* subgroup of $\mathfrak{o}_{k'_\bullet}^\times \subseteq E_\bullet^\times$.

For each $\square \in \{\circ, \bullet\}$, write $V_\square \subseteq E_\square$ for the sub- \mathbb{Q}_p -vector space of E_\square generated by $\text{Im}(S_\square) \subseteq E_\square$. Now we have a commutative diagram of topological modules

$$\begin{array}{ccccc} \text{Im}(S_\circ) & \longrightarrow & E_\circ^\times & \xleftarrow{\sim} & E^\times \\ \wr \downarrow & & & & \parallel \\ \text{Im}(S_\bullet) & \longrightarrow & E_\bullet^\times & \xleftarrow{\sim} & E^\times \end{array}$$

— where the left-hand vertical arrow is the isomorphism induced by α , and the left-hand horizontal arrows are the natural inclusions. Thus, it is immediate that the isomorphisms of fields $E_\bullet \xrightarrow{\sim} E \xrightarrow{\sim} E_\circ$ determine an isomorphism $V_\bullet \xrightarrow{\sim} V_\circ$, which thus implies that $\dim_{\mathbb{Q}_p}(V_\circ) = \dim_{\mathbb{Q}_p}(V_\bullet)$. Moreover, it follows from (a) (respectively, (b), together with [1], Lemma 4.1) that $V_\circ \subseteq k_\circ \subseteq E_\circ$ (respectively, $V_\bullet = k_\bullet \subseteq E_\bullet$). Thus, since $[k_\circ : \mathbb{Q}_p] = [k_\bullet : \mathbb{Q}_p]$ [cf. [1], Proposition 1.2], we conclude that $V_\circ = k_\circ$, $V_\bullet = k_\bullet$, and, moreover, the isomorphism of \mathbb{Q}_p -vector spaces $V_\bullet \xrightarrow{\sim} V_\circ$ [determined by the *isomorphisms of fields* $E_\bullet \xrightarrow{\sim} E \xrightarrow{\sim} E_\circ$] is *compatible* with the structures of fields of k_\circ , k_\bullet . In particular, we obtain an *isomorphism of fields* $\beta_{k_\bullet, k_\circ} : k_\bullet = V_\bullet \xrightarrow{\sim} V_\circ = k_\circ$. On the other hand, it follows from the definition of $\beta_{k_\bullet, k_\circ}$, together with the above discussion concerning $\text{Im}(S_\square)$, that $\beta_{k_\bullet, k_\circ}$ is *inertially compatible* with α . This completes the proof of Claim 3.3.A.

Next, I claim that the following assertion holds:

Claim 3.3.B: For every pair of respective finite extensions k'_\circ ($\subseteq \bar{k}_\circ$), k'_\bullet ($\subseteq \bar{k}_\bullet$) of k_\circ , k_\bullet such that $\alpha(G_{k'_\circ}) = G_{k'_\bullet}$, there exists a(n) [necessarily *unique* — cf. Lemma 3.2] isomorphism of fields $\beta_{k'_\bullet, k'_\circ} : k'_\bullet \xrightarrow{\sim} k'_\circ$ that is *inertially compatible* with the restriction $\alpha|_{G_{k'_\circ}} : G_{k'_\circ} \xrightarrow{\sim} G_{k'_\bullet}$.

Indeed, let k''_\circ ($\subseteq \bar{k}_\circ$) be a finite extension of k'_\circ that is *Galois* over \mathbb{Q}_p . Write k''_\bullet ($\subseteq \bar{k}_\bullet$) for the finite [necessarily *Galois*] extension of k'_\bullet corresponding to the open subgroup $\alpha(G_{k''_\circ}) \subseteq G_{k'_\bullet}$. Then it follows from Claim 3.3.A that there exists an isomorphism of fields $\beta_{k''_\bullet, k''_\circ} : k''_\bullet \xrightarrow{\sim} k''_\circ$ that is *inertially compatible* with the restriction $\alpha|_{G_{k''_\circ}} : G_{k''_\circ} \xrightarrow{\sim} G_{k''_\bullet}$. Then one verifies easily from Lemma 3.2, together with the fact that $\beta_{k''_\bullet, k''_\circ}$ is *inertially compatible* with the restriction $\alpha|_{G_{k''_\circ}}$, that $\beta_{k''_\bullet, k''_\circ}$ is *compatible* with the respective natural actions of $\text{Gal}(k''_\circ/k'_\circ)$, $\text{Gal}(k''_\bullet/k'_\bullet)$ on k''_\circ , k''_\bullet [relative to the isomorphism $\text{Gal}(k''_\circ/k'_\circ) = G_{k''_\circ}/G_{k'_\circ} \xrightarrow{\sim} G_{k''_\bullet}/G_{k'_\bullet} = \text{Gal}(k''_\bullet/k'_\bullet)$ induced by $\alpha|_{G_{k'_\circ}}$]. Thus, we conclude that the isomorphism $\beta_{k''_\bullet, k''_\circ}$ determines an isomorphism $\beta_{k'_\bullet, k'_\circ} : k'_\bullet \xrightarrow{\sim} k'_\circ$. On the other hand, again by Lemma 3.2, together with the fact that $\beta_{k''_\bullet, k''_\circ}$ is *inertially compatible* with the restriction $\alpha|_{G_{k''_\circ}}$, it follows immediately that this isomorphism $\beta_{k'_\bullet, k'_\circ}$ is *inertially compatible* with the restriction $\alpha|_{G_{k'_\circ}}$. This completes the proof of Claim 3.3.B.

Now, by applying Claim 3.3.B to the various finite extensions of k_\circ , we obtain an isomorphism of fields $\beta_{\bar{k}_\bullet, \bar{k}_\circ} : \bar{k}_\bullet \xrightarrow{\sim} \bar{k}_\circ$ that determines an isomorphism $k_\bullet \xrightarrow{\sim} k_\circ$. Moreover, again by applying Claim 3.3.B, one verifies easily that α arises from this isomorphism $\beta_{\bar{k}_\bullet, \bar{k}_\circ}$. This completes the proof of Theorem 3.3 \square

Remark 3.3.1. Theorem 3.3 leads naturally to the following observation:

Let p be an *odd* prime number and $\overline{\mathbb{Q}}_p$ an algebraic closure of the p -adic completion \mathbb{Q}_p of the field of rational numbers \mathbb{Q} . Write $G_{\mathbb{Q}_p} \stackrel{\text{def}}{=} \text{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)$. Then there exist an automorphism α of $G_{\mathbb{Q}_p}$ and a finite dimensional continuous representation $\phi : G_{\mathbb{Q}_p} \rightarrow \text{GL}_n(\mathbb{Q}_p)$ of $G_{\mathbb{Q}_p}$ such that ϕ is *potentially locally algebraic*, i.e., the restriction of ϕ to an open subgroup of $G_{\mathbb{Q}_p}$ is *locally algebraic* [cf. [4], Chapter III, §1, Definition] [hence *Hodge-Tate*], the set of Hodge-Tate weights of ϕ is *contained* in $\{0, 1\}$, but $\phi \circ \alpha$ is *not Hodge-Tate*.

Indeed, let us first observe that it follows immediately from the discussion given at the final part of [3], Chapter VII, §5, that we have an automorphism α of $G_{\mathbb{Q}_p}$ that is *not geometric* [cf. [2], Definition 3.1, (iv)]. Thus, it follows from Theorem 3.3 that α is *not of HT-qLT-type* [cf. Definition 1.3, (ii)]. In particular, since the character “ χ_σ^{LT} ” defined in Definition 1.2, (ii), is *locally algebraic* [cf. [4], Chapter III, §1, Example (2)], and the set of Hodge-Tate weights is *contained* in $\{0, 1\}$ [cf., e.g., [4], Chapter III, §A.5, Theorem 2], it follows from the definition of a homomorphism of *HT-qLT-type* that there exist normal open subgroups $H_1, H_2 \subseteq G_{\mathbb{Q}_p}$ and a finite dimensional continuous representation $\phi_{H_2} : H_2 \rightarrow \text{GL}_n(\mathbb{Q}_p)$ of H_2 such that $\alpha(H_1) \subseteq H_2$, ϕ_{H_2} is *locally algebraic*, the set of Hodge-Tate weights of ϕ_{H_2} is *contained* in $\{0, 1\}$, and, moreover, $\phi_{H_2} \circ \alpha : H_1 \rightarrow \text{GL}_n(\mathbb{Q}_p)$ is *not Hodge-Tate*. Thus, it follows immediately from a similar argument to the argument applied in the proof of the implication (1) \Rightarrow (1') of Lemma 1.4 that if we write ϕ for the finite dimensional continuous

representation of $G_{\mathbb{Q}_p}$ obtained by inducing ϕ_{H_2} from H_2 to $G_{\mathbb{Q}_p}$, then ϕ is *potentially locally algebraic* [cf. also [4], Chapter III, §A.7, Theorem 3], the set of Hodge-Tate weights of ϕ is contained in $\{0, 1\}$, but $\phi \circ \alpha$ is *not Hodge-Tate*.

Corollary 3.4. *In the notation of Theorem 3.3, consider the following nine conditions:*

- (1) α is **HT-preserving** [cf. Definition 1.3, (i)].
- (2) α is **of HT-qLT-type** [cf. Definition 1.3, (ii)].
- (3) α is **geometric** [cf. [2], Definition 3.1, (iv)].
- (4) α is **of qLT-type** [cf. [2], Definition 3.1, (iv)].
- (5) α is **of 01-qLT-type** [cf. [2], Definition 3.1, (iv)].
- (6) α is **of CHT-type** [cf. [2], Definition 3.1, (iv)].
- (7) α is **of HT-type** [cf. [2], Definition 3.1, (iv)].
- (8) α is [an isomorphism and] **RF-preserving** [cf. [2], Definition 3.6, (iii)].
- (9) α is [an isomorphism and] **uniformly toral** [cf. [2], Definition 3.6, (iii)].

Then we have equivalences and implications

$$(8) \iff (9) \implies (1) \iff (2) \iff (3) \iff (4) \iff (5) \iff (6) \implies (7).$$

If, moreover, α is an **isomorphism**, then the above nine conditions are **equivalent**.

Proof. Let us recall from Remark 1.4.1 that we have implications

$$(4) \implies (5) \implies (6) \implies (1) \implies (2) \text{ and } (6) \implies (7).$$

The implication (2) \Rightarrow (3) follows from Theorem 3.3. The implication (3) \Rightarrow (4) follows from [2], Theorem 3.5, (i). The equivalence (8) \Leftrightarrow (9) and the implication (8) \Rightarrow (3) follow from [2], Corollary 3.7. Finally, the implication (7) \Rightarrow (6) (respectively, (3) \Rightarrow (8)) in the case where α is an *isomorphism* follows immediately from [1], Proposition 1.1 (respectively, [2], Corollary 3.7). This completes the proof of Corollary 3.4. \square

Corollary 3.5. *Let p be a prime number. For $\square \in \{\circ, \bullet\}$, let k_\square be a p -adic local field and \bar{k}_\square an algebraic closure of k_\square . Write $G_{k_\square} \stackrel{\text{def}}{=} \text{Gal}(\bar{k}_\square/k_\square)$;*

$$\text{Emb}(\bar{k}_\bullet/k_\bullet, \bar{k}_\circ/k_\circ)$$

for the set of isomorphisms of fields $\bar{k}_\bullet \xrightarrow{\sim} \bar{k}_\circ$ that determine embeddings $k_\bullet \hookrightarrow k_\circ$;

$$\text{Emb}(k_\bullet, k_\circ)$$

for the set of embeddings of fields $k_\bullet \hookrightarrow k_\circ$;

$$\text{Hom}_{\text{HT}}^{\text{open}}(G_{k_\circ}, G_{k_\bullet})$$

for the set of open continuous homomorphisms $\alpha: G_{k_\circ} \rightarrow G_{k_\bullet}$ that are **HT-preserving** [cf. Definition 1.3, (i)], i.e., for every finite dimensional continuous representation $\phi: G_{k_\bullet} \rightarrow \text{GL}_n(\mathbb{Q}_p)$ of G_{k_\bullet} , if ϕ is **Hodge-Tate**, then $\phi \circ \alpha$ is **Hodge-Tate**. Then we have a commutative diagram of natural maps

$$\begin{array}{ccc} \text{Emb}(\bar{k}_\bullet/k_\bullet, \bar{k}_\circ/k_\circ) & \xrightarrow{\sim} & \text{Hom}_{\text{HT}}^{\text{open}}(G_{k_\circ}, G_{k_\bullet}) \\ \downarrow & & \downarrow \\ \text{Emb}(k_\bullet, k_\circ) & \xrightarrow{\sim} & \text{Hom}_{\text{HT}}^{\text{open}}(G_{k_\circ}, G_{k_\bullet})/\text{Inn}(G_{k_\bullet}) \end{array}$$

— where the vertical arrows are **surjective**, and the horizontal arrows are **bijective**.

Proof. The *injectivity* of the horizontal arrows follow immediately from the *injectivity* portion of [1], Theorem 4.2 [cf. also the proof of [1], Theorem 4.2]. The *surjectivity* of the horizontal arrows follow immediately from Theorem 3.3, together with the implication (1) \Rightarrow (2) of Lemma 1.4. This completes the proof of Corollary 3.5. \square

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