

1 **Effects of 24-week add-on treatment with ciclesonide and montelukast on small airways**  
2 **inflammation in asthma**

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22 **Authors' contributions**

23 HN recruited and managed the patients, collected, analyzed and interpreted the data, and  
24 prepared the manuscript. GP collected, analyzed and interpreted the data, and wrote the draft.  
25 HM conceived the study, recruited and managed the patients, collected, analyzed and interpreted  
26 the data, and revised the manuscript. TI collected and analyzed data and prepared the part of the  
27 draft. AN and II recruited the patients, collected the data, and contributed to the edition of the  
28 manuscript. TO and HI performed IOS measurements and collected the data and prepared the  
29 part of the draft. TT, TN, and YK measured exhaled nitric oxide and analyzed and interpreted the  
30 data, and prepared the part of the draft. MM contributed to the discussion of the data and critical  
31 revision of the manuscript.

32 **R1. Abstract**

33 **Background:** Eosinophilic inflammation of the small airways is a key process in asthma and  
34 often smoulders in treated patients. The long-term effects of add-on therapy on the persistent  
35 inflammation in the small airways remain unknown. We examined the effects of add-on therapy  
36 with either ciclesonide, an inhaled corticosteroid (ICS) with extra fine particles, or montelukast  
37 on small airway inflammation.

38 **Methods:** Sixty patients with stable asthma on ICS treatment were enrolled in a randomized,  
39 open label, parallel comparison study of 24-week add-on treatment with ciclesonide or  
40 montelukast. Patients were randomly assigned to three groups: ciclesonide (CicG; n = 19),  
41 montelukast (MG; n = 22) and no add-on as controls (CG; n = 19). At baseline and at weeks 4,  
42 12 and 24, extended NO analysis, pulmonary function tests including impulse oscillometry,  
43 blood eosinophil counts and asthma control tests (ACT's) were performed.

44 **Results:** A total of 18 patients in CicG, 19 in MG and 15 in CG completed the study and were  
45 analysed thereafter. Using repeated measures analysis of variance, CicG showed significant  
46 decrease in alveolar nitric oxide ( $CA_{NO}$ ), significant improvement in ACT over time. MG  
47 showed significant decreases in  $CA_{NO}$  and blood eosinophil counts over time and a trend for  
48 improved ACT, whereas no such changes were observed in CG during the time course.  $CA_{NO}$   
49 with CicG and reactance area at low frequencies with MG showed greater improvements over  
50 time compared with CG.

51 **Conclusions:** Ciclesonide add-on therapy and montelukast add-on therapy may act differently,  
52 but both separately can improve small airway abnormalities and provide better asthma control.

53

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55

56 **Key words:** add-on treatment, alveolar nitric oxide, asthma control, ciclesonide, montelukast,

57 small airways

58 **R1 manuscript with highlight**

59 **Introduction**

60 Asthma is a chronic inflammatory disease of the airways characterized by variable, recurring  
61 symptoms and reversible airflow obstruction. The immunohistopathological features include  
62 infiltration of eosinophils and lymphocytes, mast cell activation and epithelial cell injury. To  
63 date, pathological<sup>1,2</sup>, physiological<sup>3</sup> and radiological findings<sup>4</sup> have provided sufficient  
64 evidence to support not only large but also small airways involvement in inflammation and  
65 airflow obstruction, particularly in patients with severe asthma<sup>5,6</sup>.

66 Recently, it was found that eosinophilic inflammation of the small airways could be  
67 assessed by determining alveolar nitric oxide concentrations (CANO)<sup>7,8</sup>. Small airway  
68 inflammation as assessed by CANO levels is increased in patients with refractory asthma<sup>8</sup>, in  
69 those with nocturnal asthma<sup>9</sup> and is associated with disease severity<sup>10,11</sup> and small airways  
70 dysfunction<sup>11</sup>. Of note, 20% of asthmatics show increased CANO levels despite treatments with  
71 inhaled corticosteroids (ICS) and long-acting  $\beta_2$  agonists<sup>12</sup>. CANO levels can also predict a  
72 future risk of disease exacerbation<sup>13</sup>. These findings suggest that, even in apparently stable  
73 patients on ICS, additional treatment targeting the small airways may lead to reaching total  
74 asthma control.

75 Few studies have evaluated the changes in CANO levels based on either an uncorrected<sup>7</sup>  
76 or corrected<sup>14</sup> model of add-on medication for persistent inflammation of the small airways.  
77 Previous studies found that oral prednisolone<sup>10</sup>, but not double doses of ICS<sup>8</sup> could decrease  
78 CANO levels. These results suggest that CANO may be resistant to a simple ICS dose-elevation.  
79 In steroid-naïve patients, however, extra-fine particle hydrofluoroalkane–ciclesonide resulted in  
80 decreased CANO levels<sup>15</sup> and hydrofluoroalkane–beclomethasone propionate improved

81 peripheral airway dysfunction<sup>16</sup>. Collectively, extra-fine particle ICS is expected to decrease  
82 CANO levels when they are used as an add-on medication. Leukotriene receptor antagonists  
83 (LTRA) that is administered systemically is another medication that is supposed to decrease  
84 CANO levels. Treatment with montelukast for 4 weeks improved small airway obstruction in  
85 steroid naive patients, which resulted in a decrease in regional air trapping<sup>17</sup>. So far published  
86 study data of add-on LTRA to ICS therapy for 3<sup>18</sup> to 8<sup>19</sup> weeks with regard to CANO levels  
87 were conflicting. These effects require confirmation with a longer-term study.

88           For this study, we hypothesized that prolonged add-on therapy to the ICS treatment with  
89 either ciclesonide or montelukast would have beneficial effects on the persistent inflammation of  
90 the small airways and would improve pulmonary function. To test this hypothesis, our primary  
91 objective was to examine the effects of this add-on therapy on CANO levels and to compare its  
92 effects on small airways in stable asthmatics who had not been previously treated with extra-fine  
93 particle ICSs or LTRAs.

94 **Methods**

95 For full details see the E-Supplement material.

96

97 Adult patients with stable asthma who regularly visited our outpatient asthma clinic were  
98 enrolled from April 2008 to August 2011. Asthma was diagnosed according to American  
99 Thoracic Society criteria<sup>20</sup>. Patients were included if they were classified as being in treatment  
100 steps 2-5 on ICS treatment according to the Global Initiative for Asthma guidelines<sup>21</sup>. These  
101 patients had no exacerbations 3 months prior to enrolment, had CANO levels  $\geq 5.0$  ppb and  
102 were either never-smokers or ex-smokers who had smoked less than 5 pack-years and had  
103 stopped more than 1 year before. The threshold level for uncorrected CANO was set at 5.0 ppb;  
104 this value was the average minus 1 SD of uncorrected CANO levels of 70 asthmatics on ICS in  
105 our previous study<sup>22</sup>.

106 Exclusion criteria were current or previous use of extra-fine particle ICSs or LTRAs.  
107 Patients were also excluded if, during the study period, any side effects of the add-on therapy or  
108 asthma exacerbations, including mild exacerbations, defined as an increased need for rescue use  
109 of short-acting  $\beta_2$  agonists, were noted.

110 This study was approved by the ethics committees of our institute and was registered in  
111 UMIN Clinical Trials Registry (Registry ID UMIN000001083). Written informed consent was  
112 obtained from all participants.

113

114 *Design and Measurements*

115 This was a randomized, open label, parallel comparison study of 24-week add-on  
116 treatment with either inhaled ciclesonide or montelukast. Patients were randomly assigned to

117 three treatment groups: inhaled ciclesonide 400 µg once daily add-on (CicG), montelukast 10 mg  
118 once daily add-on (MG) and control group who were on current medication only (CG). At week  
119 0 (baseline), and at weeks 4, 12 and 24 (end of study period) the patients underwent extended  
120 NO analysis and pulmonary function tests including tests with an impulse oscillometry system  
121 (IOS), spirometry and a nitrogen single-breath wash out test. At the same time points, patients  
122 completed an asthma control test (ACT) questionnaire comprising 5 questions with a best  
123 possible score of 25<sup>23</sup> and were given a rhinitis symptom score (RSS), a self-assessment  
124 questionnaire comprising 4 questions, the responses to which were ranked on a Likert-type scale  
125 with a maximum of 5 points per answer. RSS was determined based on the Japanese Guideline  
126 for Allergic Rhinitis (Best score: 20)<sup>24</sup> (eTable 1).

127 At the start and at the end of the study period, blood samples were obtained for blood  
128 eosinophil counts and serum high sensitivity C-reactive protein (hsCRP)<sup>25</sup>, serum eosinophil  
129 cationic protein (ECP)<sup>26</sup> and serum YKL-40, a chitinase like protein<sup>27</sup>. Blood samples for ECP  
130 determinations were collected in SST tubes (Becton Dickinson, Mountain View, CA, USA) and  
131 were processed as previously described<sup>26</sup>. YKL-40 levels were determined using an enzyme-  
132 linked immunosorbent assay kit (Quidel, San Diego, USA) following the manufacturer's  
133 instructions<sup>27</sup>.

134 NO levels were determined with a chemiluminescence analyzer (NOA 280; Sievers,  
135 Boulder, Colo., USA) according to current guidelines and as previously described CANO levels  
136 are provided as non-corrected one<sup>7</sup> and CANO<sub>corrected</sub> - corrected value using a trumpet shaped  
137 model with axial back diffusion<sup>14</sup>.

138 After NO measurements, subjects underwent pre- and post-bronchodilator (i.e. inhalation  
139 of 200 µg of salbutamol) PFT's.

140 Spirograms were obtained as recommended by the American Thoracic Society/European  
141 Respiratory Society<sup>28</sup>. A nitrogen single-breath washout test was performed only before the  
142 inhalation of salbutamol to assess ventilation inhomogeneity by measuring the slope of phase 3  
143 of the nitrogen washout curve ( $\Delta N_2$ ).

144 Respiratory impedance was determined by IOS using a Jaeger MasterScreen, IOS<sup>TM</sup>  
145 (Erich Jaeger, Hoechberg Germany) that met standard recommendations<sup>16, 22</sup>

146

### 147 *Statistical analysis*

148 For sample size determinations, we originally sought to enrol 90 patients based on previous  
149 findings<sup>15, 17, 19</sup>. However, as described in Results, we decided to stop patient enrolment at 60  
150 due to the more frequent occurrence of exacerbations in CG, although these were mild.

151 Statistical analysis used JMP 6.00 (SAS Institute Inc., Cary, N.C., USA) on a “per-protocol”  
152 basis. For non-normally distributed results, comparisons were made by Kruskal–Wallis test,  
153 Fisher’s exact test or Wilcoxon signed-rank test as appropriate. For normally distributed results,  
154 comparisons were made by analysis of variance (ANOVA) and paired t-test. Two-way repeated-  
155 measures ANOVA (two-way ANOVA) was used to assess the variations among the three  
156 treatment modalities and at different time points. For cases with unequal variations in the  
157 treatment modalities, only one-way repeated measures ANOVA (one-way ANOVA) within one  
158 treatment group was used. For correlation analysis, the Spearman’s rank-correlation test was  
159 used. Data are expressed as mean  $\pm$  SD. P-values of  $\leq 0.05$  were considered statistically  
160 significant.

161

162 **Results**

163 *Enrolment, drop out and exacerbation rates and baseline characteristics*

164 Sixty asthmatic patients were enrolled in this study and randomly assigned to the groups:  
165 19 in CicG, 22 in MG and 19 in CG (Fig 1). The reasons for patient drop-out were as follows: in  
166 CicG, one patient had a possible side effect (urticaria); in MG, three patients had possible side  
167 effects (two experienced mild gastro-intestinal discomfort and they preferred to discontinue the  
168 medication and one patient had mildly elevated transaminase levels); in CG, three had mild  
169 asthma exacerbations and they preferred to intensify medications and one patient discontinued  
170 ICS treatment following a general practitioner's advice. As a result 18 patients in CicG, 19 in  
171 MG and 15 in CG completed the study and were analyzed thereafter (Table 1). For these patients,  
172 adherence to the add-on and current medications was satisfactory, which was confirmed by HN  
173 and HM on each visit by checking the residual number of medications.

174 When the exacerbation frequencies were compared between the 19 patients in CG and the  
175 41 patients in the add-on therapy groups, and assuming that the 5 patients who dropped out for  
176 reasons other than exacerbation would complete the protocol without exacerbation, CG had a  
177 significantly higher rate of exacerbation ( $p = 0.028$ ; by Fisher's exact test). The baseline patient  
178 characteristics, ICS doses and biomarkers, including FeNO and CANO, were not significantly  
179 different between the 3 patients who later experienced mild exacerbations and the other 57  
180 patients.

181

182 *Asthma control test (ACT) scores and rhinitis symptom scores (RSS)*

183 By one-way ANOVA, there was a significant improvement in ACT scores during the treatment  
184 period within CicG ( $p = 0.024$ ; Fig 2) and there was a trend for improvement within MG ( $p =$

185 0.076). When sub-scores for the ACT components were separately analysed in CicG, sub-scores  
186 for ACT question 3 concerning nocturnal symptoms and question 5 for self-rating were  
187 marginally and insignificantly improved over time ( $p = 0.05$  and  $p = 0.06$ , respectively). Because  
188 of the unequal variations among the 3 treatment modalities, we did not conduct two-way  
189 ANOVA for the ACT results. Details on ACT scores across the treatment steps are presented in  
190 eTable 2.

191         Among the 3 groups, neither the proportions of patients with allergic rhinitis nor their  
192 baseline RSS differed. However, there was a significant difference in the time trends for RSS  
193 among the three treatment modalities ( $p = 0.004$ ; eFig 1); in particular, using two-way ANOVA,  
194 there were significant differences for the symptom of nasal obstruction ( $p = 0.046$ ). When  
195 comparing two different treatment modalities in a post-hoc analysis, MG exhibited a  
196 significantly better time trend for RSS than CG ( $p = 0.0008$ ) and a trend for better scores than  
197 CicG ( $p = 0.07$ ; eFig 1). A significant increase in RSS over time was found only in the MG ( $p =$   
198  $0.0001$ , by one-way ANOVA).

199         There were no associations between changes in ACT or RSS from baseline to the end of  
200 the treatment period and changes in CANO or CANO<sub>corrected</sub> levels in either treatment group.

201

### 202 *Nitric oxide results*

203         There were no significant differences in the time trends for fractional exhaled nitric oxide  
204 (FeNO) at an expiratory flow rate of 50 ml/s among the three treatment modalities or within  
205 each of the groups (results not shown).

206         The time trends for uncorrected CANO levels were significantly different among the three  
207 treatment groups ( $p = 0.048$ , by two-way ANOVA). When comparing two different treatment

208 modalities in a post-hoc analysis, CicG showed a greater decrease in CANO levels over time than  
209 CG ( $p = 0.027$ , by two-way ANOVA). By one-way ANOVA, CANO levels in CG did not change  
210 during the time course, whereas in both of the add-on treatment groups, CANO levels  
211 significantly decreased over time ( $p = 0.014$  for CicG and  $p = 0.012$  for MG groups; Fig 3).

212 For  $CANO_{corrected}$  levels, one-way ANOVA showed that there was an insignificant  
213 decrease over time in CicG ( $p = 0.06$ ).

214

### 215 *Pulmonary function tests*

216 None of the spirometry indices,  $\Delta N_2$ , or IOS indices of  $Rrs_5$ ,  $Rrs_{20}$  or  $Xrs_5$ , showed any  
217 difference among the three treatment modalities during the treatment period regardless of pre- or  
218 post-bronchodilator conditions. No significant changes were observed within any of the three  
219 groups (data not shown).

220 There was a significant difference in the time trends for the reactance area (AX) among  
221 the three treatment modalities ( $p = 0.038$ , by two-way ANOVA). AX levels in MG were  
222 improved over time when compared with CG ( $p = 0.05$ , by two-way ANOVA; Fig 4). For  $Rrs_5$ –  
223  $Rrs_{20}$ , two-way ANOVA was not used because of the unequal variations among the three  
224 treatment modalities; however, one-way ANOVA showed that there was a trend for a change  
225 over time in CicG ( $p = 0.09$ ).

226 Although there were associations between  $CANO_{corrected}$  levels and IOS indices of AX or  
227  $Rrs_5$ – $Rrs_{20}$  at baseline ( $r = 0.30$ ,  $p < 0.05$  for both,  $n = 52$ ), there were no associations between  
228 changes in pulmonary function data from baseline to the end of the treatment period and changes  
229 in CANO or  $CANO_{corrected}$  levels in either treatment group.

230

231 ***Blood test results***

232 Blood samples were obtained at baseline and at the end of the treatment period to determine  
233 blood eosinophil counts and serum levels of ECP, hsCRP and YKL-40. There were no  
234 significant changes in these tests results between the beginning and the end of the treatment  
235 period, except for MG in which the eosinophil counts significantly declined after treatment (2.9  
236  $\pm$  2.2% at 24 weeks)(p = 0.016, paired t-test).

237

238 **Discussion**

239 To the best of our knowledge, this is the first long-term study that clarified the benefits and  
240 potential role of add-on therapy with either ciclesonide or extra-fine particle ICS or montelukast  
241 in steroid-treated stable asthma patients. Ciclesonide may have attenuated smouldering  
242 inflammation of the small airways and significantly improved asthma control over time.  
243 Montelukast ameliorated the remnant dysfunction of the small airways and reduced nasal  
244 symptoms and blood eosinophil counts. To a lesser extent than ciclesonide, montelukast also  
245 improved smouldering inflammation of the small airways.

246 CANO is an established marker of small airway inflammation and is correlated with  
247 eosinophil counts in bronchoalveolar lavage fluid<sup>8</sup>. In CicG, CANO levels significantly  
248 decreased over time when compared with CG as well as in CicG intragroup analysis. Our data  
249 confirmed earlier findings for the effects of 5-weeks treatment with ciclesonide on CANO in  
250 steroid naïve patients<sup>15</sup> and reinforced the advantage of extra-fine particle ICS to treat  
251 smouldering inflammation of the small airways, even in patients already on ICS. There remains  
252 the possibility that the addition of ciclesonide to the patients' current medication may have  
253 exerted anti-inflammatory effects *via* the increase in the total amount of ICS, which may have  
254 suppressed the remnant inflammation throughout the airways. However, this is unlikely because  
255 FeNO at 50 ml/s levels did not change over time. Taken the results of previous short term study  
256 and current study together, ciclesonide would be capable of treating the small airways potentially  
257 due to its particles size that was sufficiently small to reach the peripheral airways.

258 In contrast to uncorrected CANO levels, CANO<sub>corrected</sub> levels only showed a trend toward  
259 being decreased in CicG ( $p = 0.06$ , one-way ANOVA). Although CANO<sub>corrected</sub> levels reflect  
260 airway dysfunction<sup>22, 29</sup>, as does CANO, CANO<sub>corrected</sub> does not reflect disease severity<sup>14, 22</sup> or

261 asthma control status<sup>29</sup>. It is also not increased during asthma exacerbations in adults<sup>30</sup>, which is  
262 in contrast to several lines of evidence for CANO. Although CANO is contaminated with  
263 bronchial NO, potentially from small conducting airways where diffusion begins to replace bulk  
264 flow, our findings on CANO imply that relatively small airways, albeit not actual peripheral  
265 airways, are still important in the management of asthma.

266         Studies of add-on medication using LTRA that have evaluated changes in CANO levels in  
267 persistent inflammation of the small airways reported inconsistent findings. Previous add-on  
268 studies of montelukast to fluticasone<sup>18</sup> or fluticasone and salmeterol treatment<sup>12</sup> did not find any  
269 significant benefits for montelukast with regard to decreases in CANO levels after montelukast  
270 add-on. However, these earlier studies were relatively short-term with treatment periods of only  
271 3–4 weeks. Yasui et al. investigated pranlukast use in stable asthmatics and found significant  
272 decreases in both corrected and uncorrected CANO levels after 8 weeks cross-over of add-on  
273 therapy with pranlukast<sup>19</sup>. In agreement with that study, we found that CANO levels in MG  
274 decreased during the 24 week add-on period, although these levels were not significantly  
275 different from CG. As with CicG, FeNO at 50 ml/s levels did not change over time. These  
276 findings indicate that add-on treatment with LTRA for longer than 8 weeks suppresses the  
277 remnant inflammation in the small airways. In addition, our intervention study that covered the  
278 two seasons for allergic rhinitis (spring and autumn) provided additional evidence for the  
279 established benefit of montelukast on allergic rhinitis<sup>31</sup> and justified a role for LTRA in the  
280 therapy for stable asthmatics with concomitant allergic rhinitis, even those with minimal  
281 symptoms.

282         Symptoms and airway obstruction are integral to the definition of asthma, and represent  
283 important components for assessing asthma control both in clinical practice and clinical trials.

284 Therefore, one of the end-points in our study was ACT scores. Despite the disadvantage in  
285 compliance for inhalation use, as reported previously on adherence to LTRA of 67.7% vs. 33.8%  
286 for ICS<sup>32</sup>, ACT scores significantly improved over time in CicG. In addition, there was a  
287 marginal improvement in the sub-score of ACT question 3 concerning nocturnal symptoms in  
288 CicG. To date, a number of studies have confirmed that eosinophilic inflammation worsens in  
289 patients with nocturnal asthma, particularly in the peripheral airways<sup>33</sup>. Lehtimaki et al. showed  
290 that nocturnal symptoms in asthmatic patients were related to higher CANO levels<sup>9</sup>. These results  
291 are in accordance with our results showing that ciclesonide add-on treatment reduced  
292 inflammation in the small airways, as assessed by CANO levels and improved nocturnal  
293 symptoms, as assessed by ACT sub-scores. Care must be taken when interpreting these findings,  
294 however, because the minimally important difference in ACT that reflects a clinically  
295 meaningful change is considered to be 3 points<sup>34</sup>, and the increase in ACT composite scores in  
296 our CicG did not achieve this. Despite this minimal change, these statistically significant changes  
297 would still favour add-on therapy for seemingly stable asthma patients.

298 We did not find any significant changes in spirometry function results or  $\Delta N_2$  between  
299 the control and therapy add-on groups, which may seem unexpected. Spirometry is not sensitive  
300 enough to detect early small airway involvement since the small airways are pathways of very  
301 low resistance and only contribute to about 10% of the total airway resistance<sup>35</sup>. Instead of using  
302  $\Delta N_2$ , ventilation heterogeneity within conductive and acinar airways could have been separately  
303 assessed using a nitrogen multiple-washout test<sup>36</sup>. Another possible reason could be that our  
304 patients had already good pulmonary function, so that changes in CANO were not reflected in the  
305 airway function. However, in MG, AX, the reactance area at low frequencies that is sensitive and  
306 sufficiently accurate to determine small airway dysfunction<sup>16,22</sup>, did significantly decrease over

307 time when compared with CG, as was found in our previous intervention study in steroid naïve  
308 patients<sup>16</sup>. Montelukast may have reversed remodelling in the airway walls by reducing airway  
309 smooth muscle layer thickening and subepithelial fibrosis in long-term treatment, as has been  
310 shown in an animal model<sup>37</sup>. More significant findings might be expected in extended studies in  
311 a larger number of patients.

312 A limitation of our study was that it was a parallel, open label, and unblinded study,  
313 which might have influenced subjective measures such as asthma symptoms and rescue use of  
314 short-acting  $\beta_2$  agonists. Another issue is the use of two different inhalers for corticosteroids,  
315 although we achieved good adherence in CicG. In future studies with more patients and longer  
316 treatment periods, this issue could be resolved.

317 In addition, we may have missed some patients with occult inflammation in the small  
318 airways by excluding those with CANO levels < 5 ppb, given that some patients who have high  
319 FeNO and low CANO levels exhibit paradoxical increases in CANO levels after treatment<sup>38</sup>,  
320 possibly due to dilatation of constricted small airways from terminal to respiratory bronchioles.  
321 However, by setting this threshold for CANO levels during patient enrolment, the changes of  
322 CANO in this study could be simply interpreted.

323 Finally, from the ethical standpoint, we stopped enrolment at 60 patients due to a higher,  
324 exacerbation rate in the control group, albeit they were mild, which was consistent with the  
325 finding that elevated CANO was associated with risk of asthma exacerbation<sup>13</sup>. Thus, some of the  
326 insignificant findings, particularly of the pulmonary function data in this study may be due to  
327 lesser statistical power. Lack of associations between the changes in CANO and changes in  
328 pulmonary function data or ACT scores might be another issue. However, we did not set the  
329 sample size to seek significant associations between changes in CANO and any other clinical

330 indices because of their potentially large variations during the treatment period albeit CANO,  
331 pulmonary function, and ACT were intuitively thought to behave in parallel. Despite these  
332 limitations, the current findings of decrease in CANO with add-on treatment are sufficient to be  
333 used as a future reference when intensifying treatment with extra-fine particle ICS or LTRA add-  
334 on, even in seemingly stable asthma patients on ICS treatment who still have evidence of small  
335 airways inflammation as assessed by CANO levels.

336 We conclude that ciclesonide and montelukast may act differently, but that both  
337 separately can improve small airway abnormalities (eTable 3). By co-administration of these  
338 medications, cumulative effects on inflammation and small airways' function can be expected  
339 and should be clarified in a future study. We can achieve additional benefits by treating  
340 inflammation of the small airways in patients with stable asthma in order to reach the ultimate  
341 asthma treatment goal: ideal control.

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436 **Figure legends**

437 Figure 1. Registration and randomization

438 Figure 2. Asthma control test (ACT) scores in the three groups. \*Significant changes in ACT  
439 scores within the ciclesonide add-on group ( $p = 0.024$ , by one-way ANOVA).

440 Figure 3. CANO levels in the three groups. \*Significant difference in the time trends for CANO  
441 levels among the three treatment modalities ( $p = 0.048$ , by two-way ANOVA). †Significant  
442 changes in CANO levels in ciclesonide add-on group ( $p = 0.027$  vs. control group, by two-way  
443 ANOVA) ( $p = 0.014$ , by one-way ANOVA). ‡Significant changes within montelukast add-on  
444 group ( $p = 0.012$ , by one-way ANOVA).

445 Figure 4. AX levels in the three groups. \*Significant difference in the time trends for AX levels  
446 among the three treatment modalities ( $p = 0.038$ , by two-way ANOVA), †post-hoc analysis  
447 between montelukast add-on and control groups ( $p = 0.05$ , by two-way ANOVA).

448

449

450 **Table 1.** Patients' Characteristics

451	Ciclesonide	Montelukast	Control	p value	
452	(n = 18)	(n = 19)	(n = 15)		
453	Female / male	13 / 5	13 / 6	9 / 6	> 0.05
454	Age (years)	64.5 ± 9.9	61.8 ± 10.6	57.4 ± 21.1	> 0.05
455	Treatment Step 2/ 3/ 4/ 5 <sup>1)</sup>	6 / 11 / 1 / 0	6 / 10 / 3 / 0	9 / 3 / 2 / 1	> 0.05
456	Smoking history				
457	(never / ex-smoker)	17 / 1	15 / 4	12 / 3	> 0.05
458	Atopy (yes / no) <sup>2)</sup>	10 / 8	12 / 7	7 / 8	> 0.05
459	Total IgE (IU/mL)	120 (7-25000)	159 (8-1900)	86 (8-760)	> 0.05
460	Daily dose of ICS (µg) <sup>3)</sup>	361 ± 263	353 ± 174	333 ± 222	> 0.05
461	Use of LABA (yes / no)	11 / 7	10 / 9	6 / 9	> 0.05
462	Use of theophylline (yes / no)	3 / 15	3 / 16	1 / 14	> 0.05
463	FeNO <sub>50</sub> (ppb)	42.4 ± 32.1	44.5 ± 36.4	37.5 ± 15.7	> 0.05
464	CANO (ppb)	9.7 ± 5.6	8.4 ± 2.7	7.5 ± 1.6	> 0.05
465	CANO <sub>corrected</sub> (ppb)	7.0 ± 5.5	5.7 ± 3.3	5.0 ± 2.0	> 0.05
466	FEV <sub>1</sub> (% pred)	93.9 ± 17.5	93.7 ± 20.3	94.7 ± 23.8	> 0.05
467	FEV <sub>1</sub> /FVC (%)	74.7 ± 18.6	74.2 ± 18.2	73.6 ± 24.6	> 0.05
468	ΔN <sub>2</sub> (%)	1.8 ± 1.7	1.8 ± 1.7	2.1 ± 2.2	> 0.05
469	Rrs <sub>5</sub> (kPa sL <sup>-1</sup> )	0.43 ± 0.15	0.40 ± 0.13	0.40 ± 0.14	> 0.05
470	Rrs <sub>20</sub> (kPa sL <sup>-1</sup> )	0.35 ± 0.11	0.31 ± 0.09	0.33 ± 0.10	> 0.05
471	Rrs <sub>5</sub> -Rrs <sub>20</sub> (kPa sL <sup>-1</sup> )	0.08 ± 0.05	0.09 ± 0.07	0.07 ± 0.07	> 0.05
472	Xrs <sub>5</sub> (kPa sL <sup>-1</sup> )	-0.14 ± 0.06	-0.14 ± 0.06	-0.14 ± 0.07	> 0.05
473	AX (kPa L <sup>-1</sup> )	0.67 ± 0.51	0.78 ± 0.91	0.71 ± 0.68	> 0.05
474	ACT score	22.7 ± 2.5	23.2 ± 2.3	23.2 ± 2.7	> 0.05
475	Rhinitis symptom score	16.9 ± 2.1	16.4 ± 2.1	17.2 ± 1.7	> 0.05
476	Blood eosinophils (%)	5.3 ± 3.9	4.7 ± 2.9	4.0 ± 2.5	> 0.05
477	Serum ECP (µg/L)	16.6 ± 17.6	11.4 ± 11.1	15.0 ± 15.5	> 0.05
478	Serum hsCRP (mg/dL)	0.21 ± 0.37	0.10 ± 0.20	0.14 ± 0.17	> 0.05
479	Serum YKL-40 (ng/dL)	115.2 ± 86.0	123.2 ± 83.7	93.2 ± 116.4	> 0.05

480  
 481 Data are presented in mean ± SD, except for IgE, median (range); by analysis of variance, the  
 482 Kruskal-Wallis test or Fisher's exact test

483 1) According to GINA2006, 2) Atopy was determined based on the presence of specific serum  
 484 IgE antibodies to at least 1 common inhalant allergen, including cat dander, dog dander, weed  
 485 pollens, grass pollens, molds, or house dust mite., 3) equivalent to fluticasone propionate  
 486 ICS; inhaled corticosteroids, LABA; long-acting β<sub>2</sub> agonist, hsCRP; high sensitivity CRP

487 **E-Supplement material**

488  
489 Effects of 24-week add-on treatment with ciclesonide and montelukast on small airways  
490 inflammation in asthma

491  
492 \*Hitoshi Nakaji<sup>1,2</sup>, \*Guergana Petrova<sup>1</sup>, Hisako Matsumoto<sup>1</sup>, Toshiyuki Iwata<sup>1</sup>, Isao Ito<sup>1</sup>,  
493 Tsuyoshi Oguma<sup>1</sup>, Hideki Inoue<sup>1</sup>, Tomoko Tajiri<sup>1</sup>, Tadao Nagasaki<sup>1</sup>, Yoshihiro Kanemitsu<sup>1</sup>, Akio  
494 Niimi<sup>1,3</sup>, Michiaki Mishima<sup>1</sup>

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502 Trial registration; Registry ID UMIN000001083

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510

511 **eMethods**

512 Adult patients with stable asthma who regularly visited our outpatient asthma clinic were  
513 enrolled from April 2008 to August 2011. Asthma was diagnosed according to American  
514 Thoracic Society criteria based on a history of recurrent episodes of wheezing and chest  
515 tightness, with or without cough, and documented airway reversibility with a bronchodilator or  
516 hyperresponsiveness to inhaled methacholine <sup>e1</sup>.

517  
518 NO levels were determined with a chemiluminescence analyzer (NOA 280; Sievers,  
519 Boulder, Colo., USA) according to current guidelines and as previously described <sup>e2</sup>. The  
520 analyzer was daily calibrated with gas without NO and a standard concentration of 640 ppb NO.  
521 Lower detection limit for NO was 2 ppb. The concentrations were determined using a data  
522 analysis program (NOA Analysis™ Software; Sievers). Seated subjects inserted a mouthpiece,  
523 inhaled orally to total lung capacity, exhaled immediately against a resistance and maintained  
524 mouth pressure at 20 cm H<sub>2</sub>O, displayed on a pressure gauge. The steady-state NO plateau was  
525 taken as the FeNO value. By varying expiratory resistances, we measured FeNO levels at three  
526 expiratory flows of 50, 100 and 200 ml/s in that order. CANO levels are provided as non-  
527 corrected one<sup>e3</sup>, and CANO<sub>corrected</sub> - corrected value using trumpet shaped model and axial back  
528 diffusion <sup>e2, e4</sup>.

529 After NO measurements, subjects underwent pre- and post-bronchodilator (i.e., inhalation  
530 of 200 µg salbutamol) PFT's. Respiratory impedance was determined by IOS followed by  
531 spirometric test and a nitrogen single-breath washout test. FVC, FEV<sub>1</sub> and forced mid-expiratory  
532 flow (FEF<sub>25-75%</sub>) were determined using a ChestGraph HI-701 spirometer (Chest MI Corp.,  
533 Tokyo, Japan). Spirograms were obtained in triplicate, and the best of 3 reproducible  
534 measurements was recorded, as recommended by the American Thoracic Society/European  
535 Respiratory Society <sup>e5</sup>. A nitrogen single-breath washout test was performed only before the  
536 inhalation of salbutamol to assess ventilation inhomogeneity by measuring the slope of phase 3  
537 of the nitrogen washout curve ( $\Delta N_2$ ).

538 Respiratory impedance was determined using a Jaeger MasterScreen, IOS™ (Erich  
539 Jaeger, Hoechberg Germany) that met standard recommendations <sup>e6</sup>. In brief, rectangular  
540 mechanical impulses containing a continuous power spectrum ranging from 0 to 100 Hz,  
541 generated by a loudspeaker at intervals of 0.2 s, were applied to the respiratory system through a

542 mouthpiece during tidal breathing. The resulting pressure and flow signals were measured next  
543 to the mouthpiece, and were analyzed for amplitude and phase differences using a fast Fourier  
544 transform to determine resistance (Rrs) and reactance (Xrs) of the total respiratory system. To  
545 reduce loss of energy in the upper airways, the chin and cheeks were supported by the subjects'  
546 hands. As proxies for peripheral airway function, we used the negative frequency dependence of  
547 Rrs between 5 and 20 Hz (Rrs5-Rrs20), Xrs at 5 Hz (Xrs5), and reactance area (AX) that is the  
548 integral of Xrs from 5 Hz to the resonant frequency at which Xrs crosses zero<sup>e2,e7</sup> .

549

550

551 **eReferences**

552 e1. Standards for the diagnosis and care of patients with chronic obstructive pulmonary  
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570 e8. Okubo K, Kurono Y, Fujieda S, et al. Japanese guideline for allergic rhinitis. *Allergol Int.*  
571 2011;60:171-189.

572

573

574 **eFigure legends**

575 eFigure 1. Rhinitis symptom scores (RSS) in the three groups. \*Significant difference in the  
576 time trends for RSS among the three treatment modalities ( $p = 0.004$ , by two-way ANOVA).  
577 †Significant changes in RSS in montelukast add-on group ( $p = 0.0008$  vs. control group, by two-  
578 way ANOVA) ( $p = 0.0001$ , by one-way ANOVA).

579

580

581	<b>eTable 1.</b>
582	Rhinitis symptom score <sup>e8</sup> (originally in Japanese)
583	<hr/>
584	A. Average number of episodes of paroxysmal sneezing in a day
585	1. $\geq 21$ times 2. 20-11 times 3. 10-6 times 4. 5-1 times 5. none
586	
587	B. Average number of episodes of nasal discharge a day
588	1. $\geq 21$ times 2. 20-11 times 3. 10-6 times 4. 5-1 times 5. none
589	
590	C. Nasal blockage
591	1. completely obstructed all day
592	2. severe nasal blockage causing prolonged oral breathing in a day
593	3. severe nasal blockage causing occasional oral breathing in a day
594	4. nasal blockage without oral breathing
595	5. not obstructed / no symptoms
596	
597	D. Disturbance of daily activity (troubles with work, study, household work, sleep, going out,
598	etc)
599	1. impossible
600	2. painful and complicating daily life
601	3. intermediate between 2) and 4)
602	4. few troubles
603	5. not disturbed at all
604	<hr/>

605 **eTable 2.** ACT scores and distribution of control status at baseline according to the treatment  
 606 steps

		Treatment steps		p value
		2 and 3	4 and 5	
Ciclesonide (n = 18)	ACT scores	23.1 ± 1.9	16	NS
	total/good/no control (n)	7/10/0	0/0/1	<0.01
Montelukast (n = 19)	ACT scores	23.3 ± 2.4	22.3 ± 2.3	NS
	total/ good/no control (n)	7/7/2	1/2/0	NS
Control (n = 15)	ACT scores	23.7 ± 1.7	21.3 ± 5.5	NS
	total/good/no control (n)	5/7/0	1/1/1	NS

607 Data are presented in mean ± SD.

608 Control status is defined as total when ACT = 25 points, good when ACT ≥ 20, no control when  
 609 ACT <20

610 NS; no significant difference by Wilcoxon rank-sum test or  $\chi^2$  test.

611

612

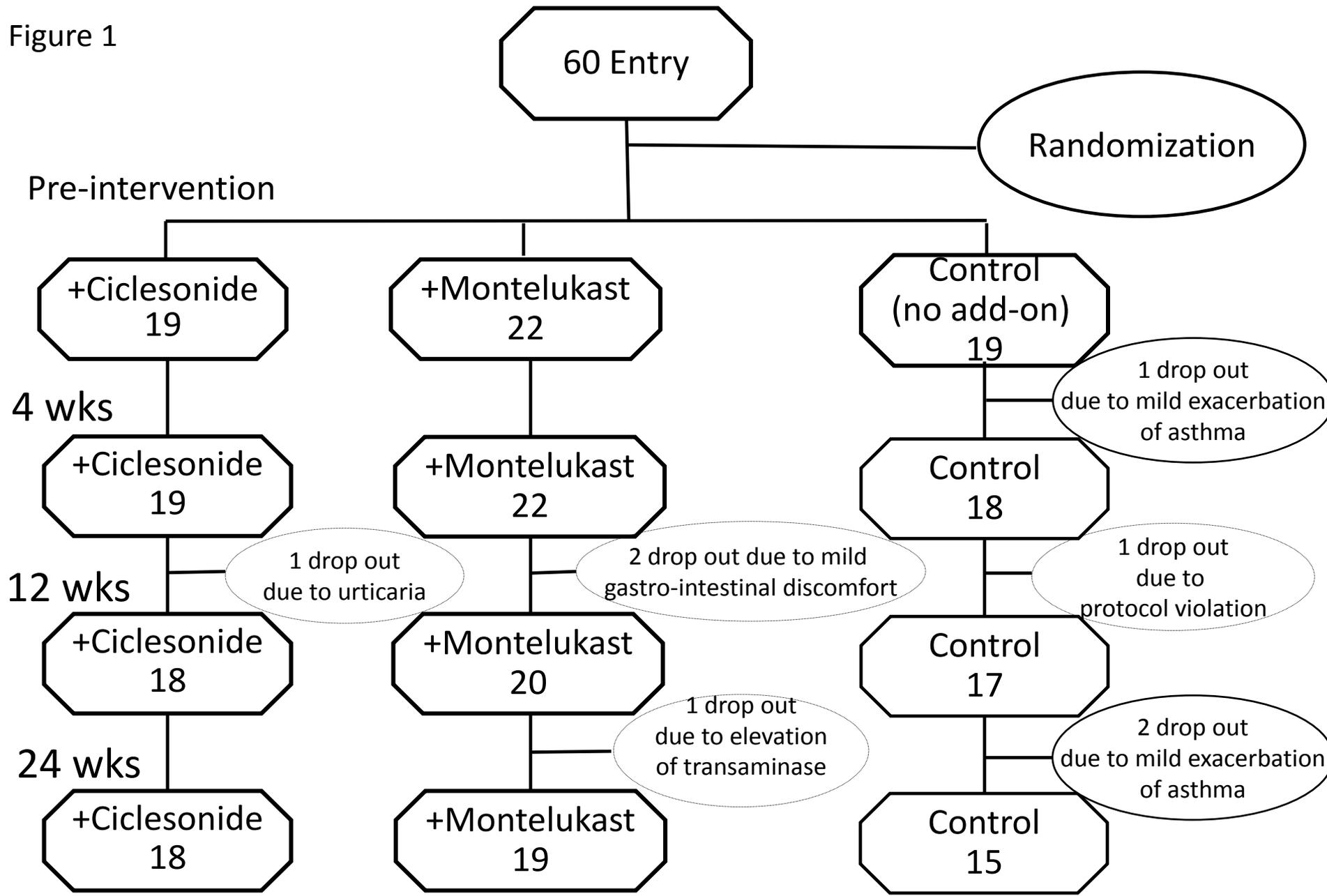
613  
614

**eTable 3.** Summary of the results

		Ciclesonide add-on	Montelukast add-on	CG
FeNO		NS	NS	NS
CANO	<i>vs</i> other groups	Significant decrease <i>vs</i> CG	NS	-
	within the treatment modality	Decreased	Decreased	NS
CANO <sub>corrected</sub>	within the treatment modality	Insignificantly decreased	NS	NS
AX	<i>vs</i> other groups	NS	Significant decrease <i>vs</i> CG	-
Blood eosinophils	within the treatment modality	NS	Decreased	NS
ACT	within the treatment modality	Improved	Insignificantly improved	NS

615 ACT: asthma control test  
616 AX: reactance area at low frequencies  
617 CG: control group (no add-on)  
618 NS: no significant difference or no significant changes  
619  
620  
621

Figure 1



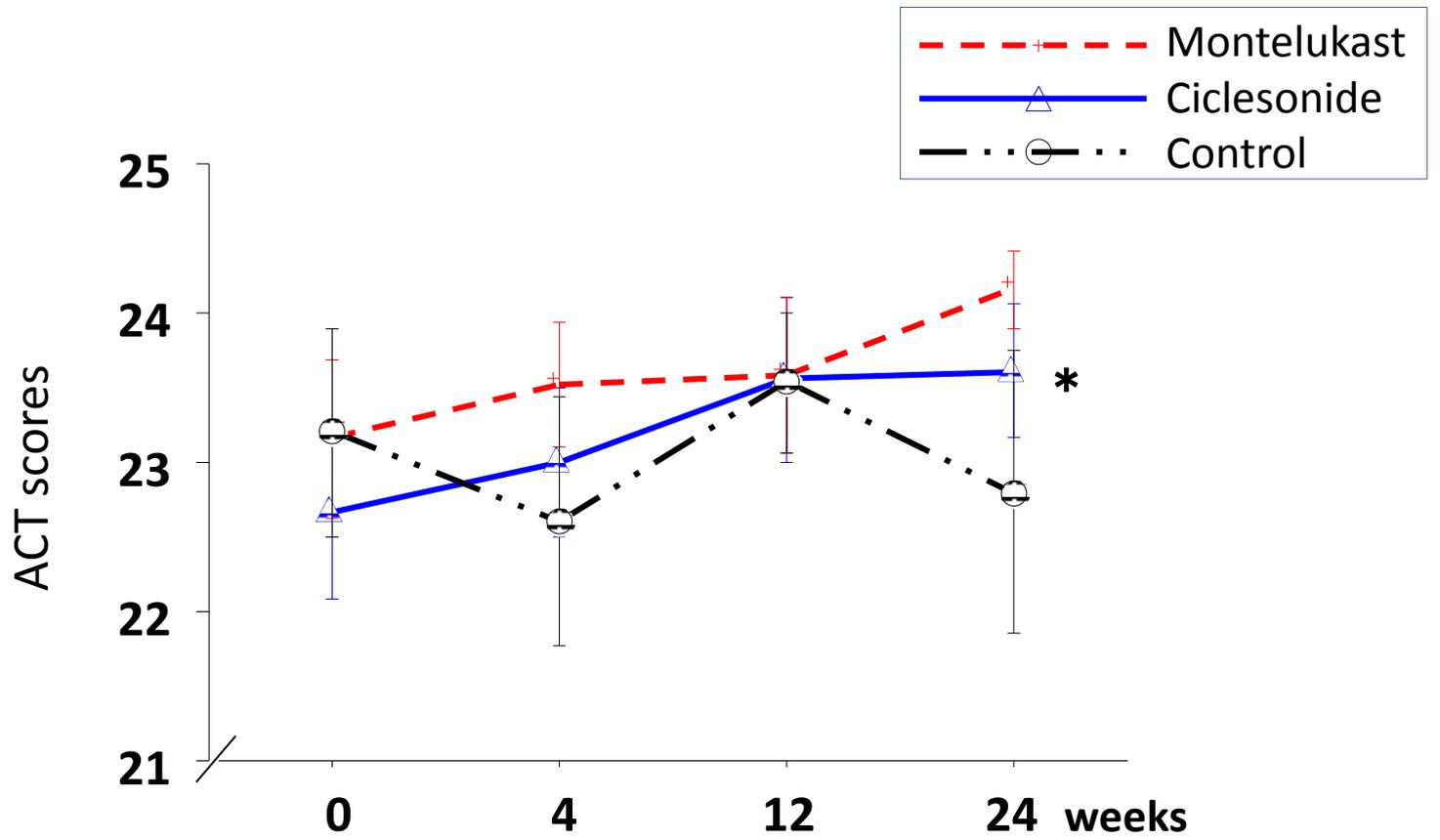


Figure 2

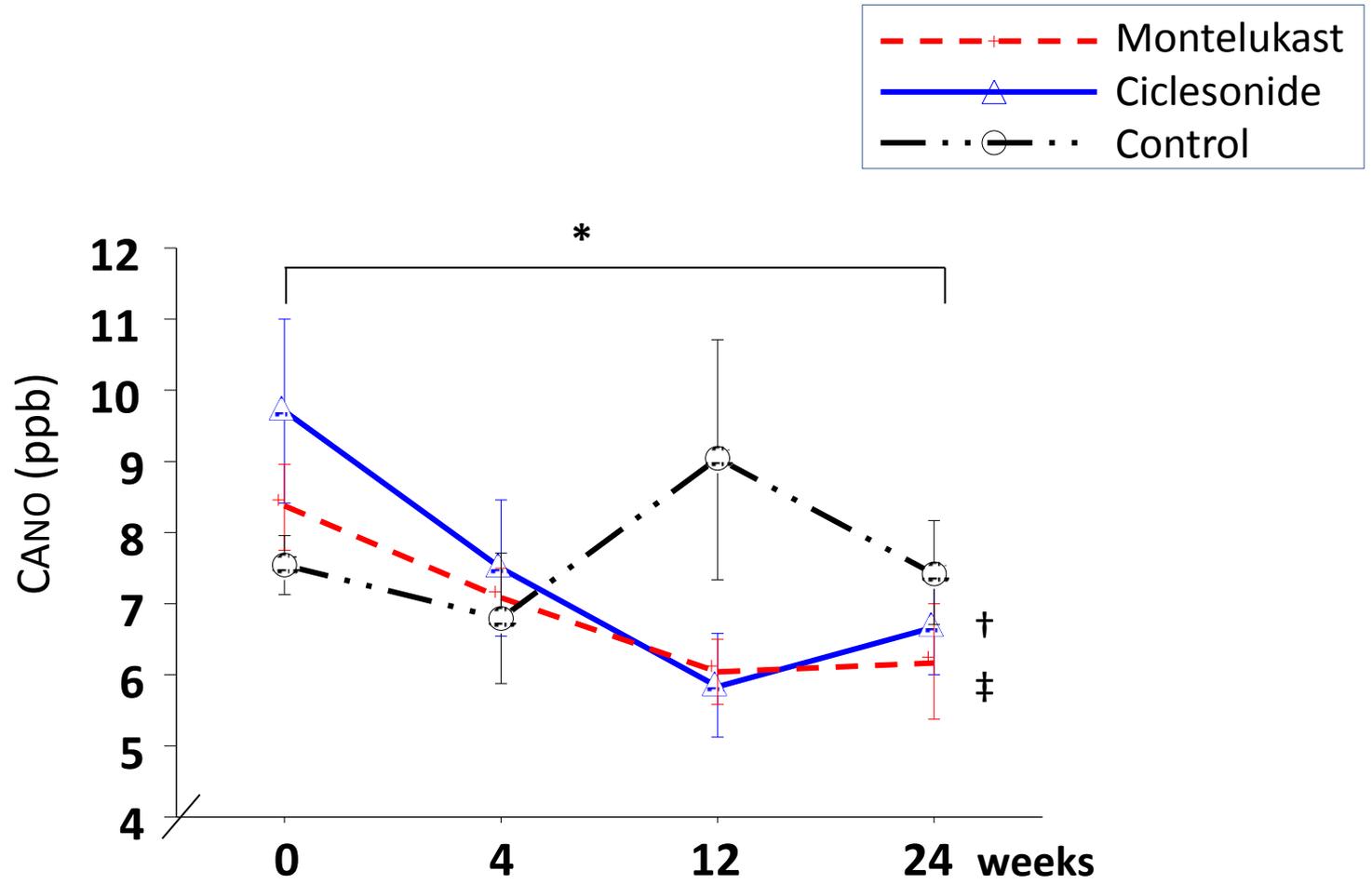


Figure 3

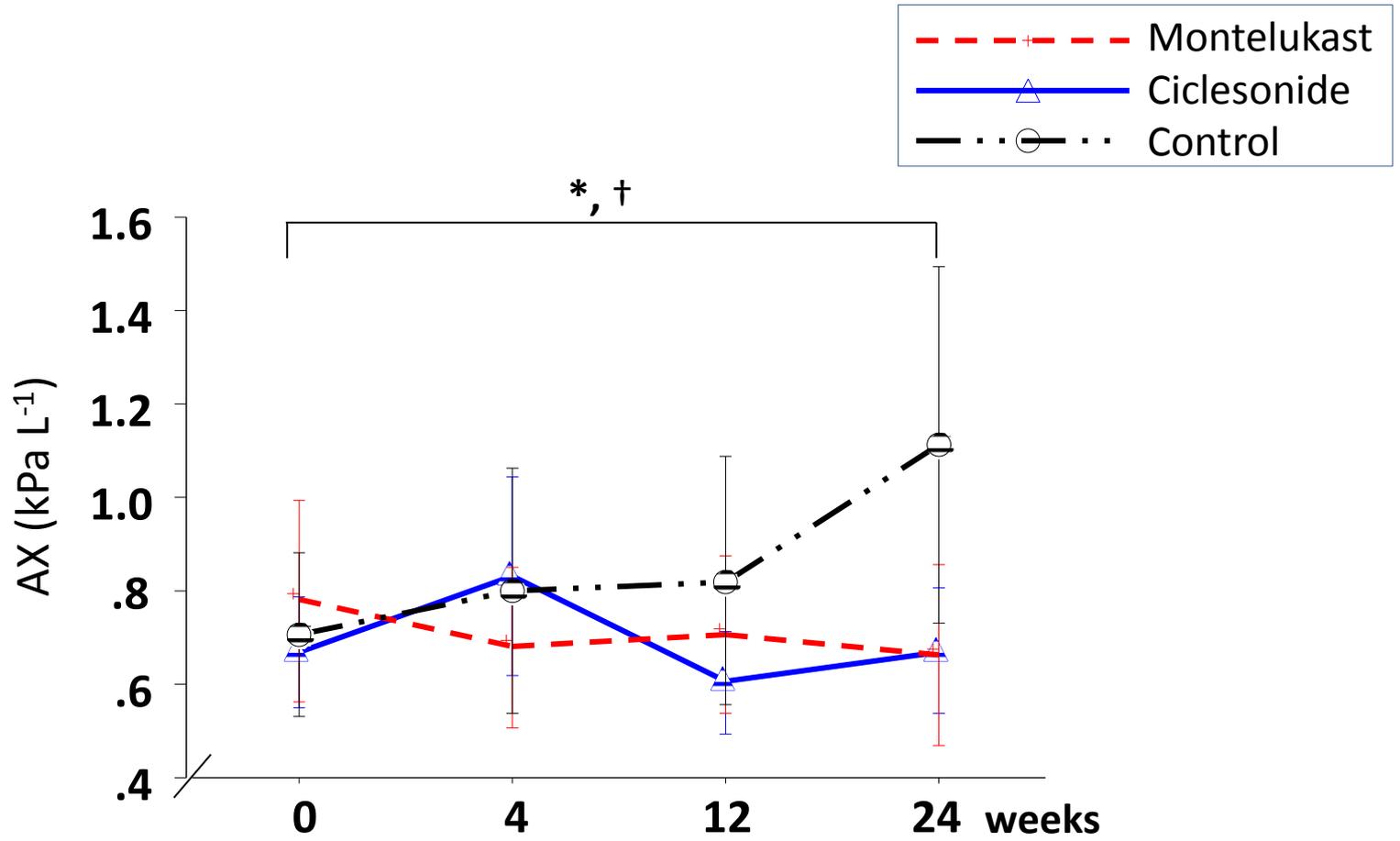
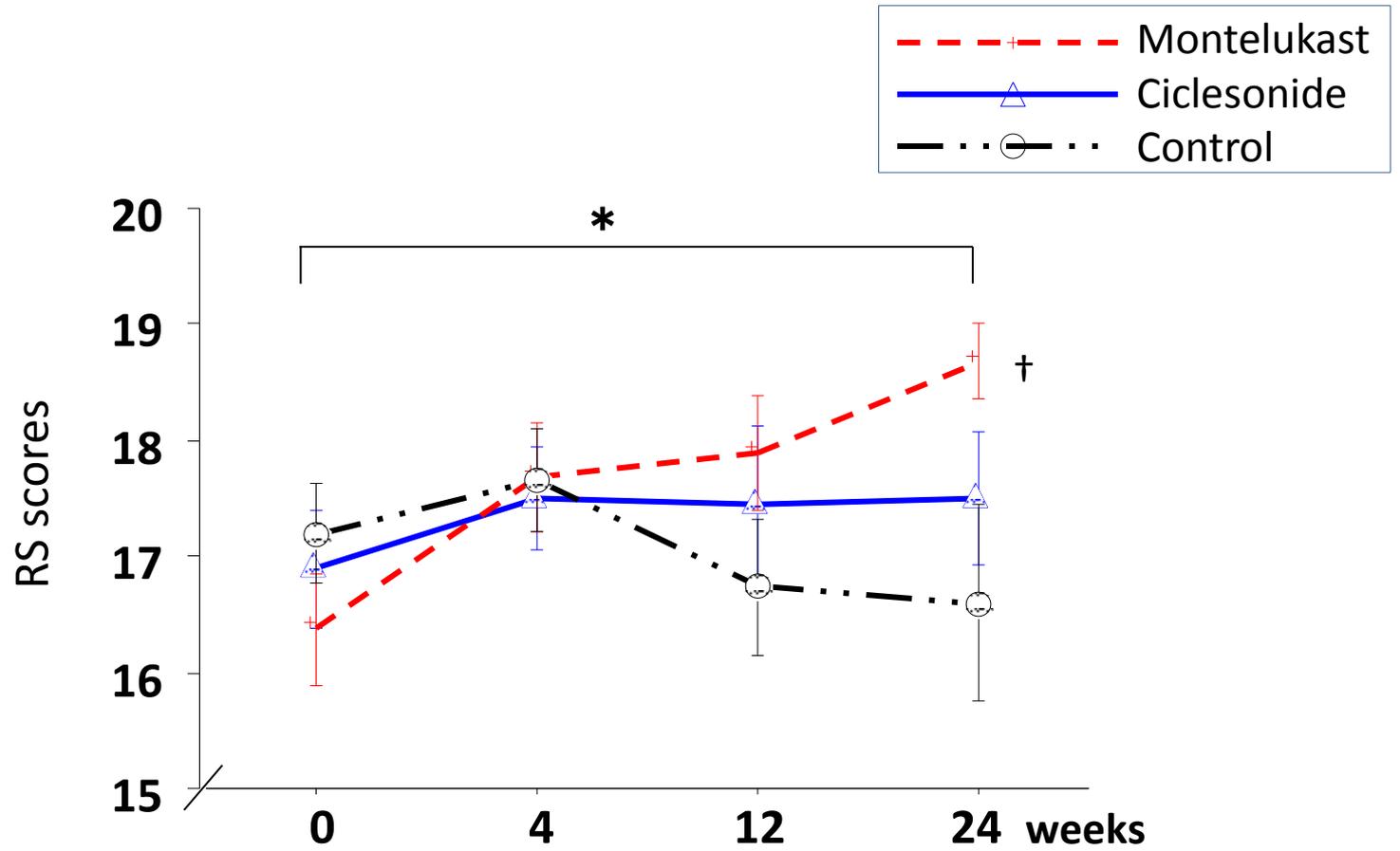


Figure 4



eFigure 1