1	Blend miscibility of cellulose propionate with poly(N-vinyl pyrrolidone-co-methyl
2	methacrylate)
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13 ABSTRACT

14 The blend miscibility of cellulose propionate (CP) with poly(N-vinyl pyrrolidone-co-methyl methacrylate) (P(VP-co-MMA)) was investigated. The degree of substitution (DS) of CP 15 16 used ranged from 1.6 to >2.9, and samples for the vinyl polymer component were prepared in a full range of VP:MMA compositions. Through DSC analysis and solid-state ¹³C NMR and 17 FT-IR measurements, we revealed that CPs of DS < 2.7 were miscible with P(VP-co-MMA)s 18 of VP \geq ~10 mol% on a scale within a few nanometers, in virtue of hydrogen-bonding 19 interactions between CP-hydroxyls and VP-carbonyls. When the DS of CP exceeded 2.7, 20 the miscibility was restricted to the polymer pairs using P(VP-co-MMA)s of VP = ca. 10-4021 22 mol%; the scale of mixing in the blends concerned was somewhat larger (ca. 5–20 nm), however. The appearance of such a "miscibility window" was interpretable as an effect of 23 intramolecular repulsion in the copolymer component. Results of DMA and birefringence 24 25 measurements indicated that the miscible blending of CP with the vinyl polymer invited synergistic improvements in thermomechanical and optical properties of the respective 26 27 constituent polymers. Additionally, it was found that the VP:MMA composition range corresponding to the miscibility window was expanded by modification of the CP component 28 into cellulose acetate propionate. 29

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Keywords: Blend miscibility; Cellulose propionate; Poly(*N*-vinyl pyrrolidone-*co*-methyl
 methacrylate); Scale of homogeneity; Synergistic effect

34 **1. Introduction**

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Organic esters of cellulose (CEs) are industrially important materials utilized in various 36 fields including molded plastics, fibers, optical films, membranes, coatings, and so forth 37 (Edgar et al., 2001). For improvement in physical properties of CEs toward their further 38 applications, the blending with other polymers can be a significant method, and a number of 39 40 fundamental studies of CE blends have been carried out (Nishio, 2006). The authors' group has thus far investigated the blend miscibility of CEs with two different types of polymers, 41 namely, biodegradable aliphatic polyesters such as $poly(\varepsilon$ -caprolactone) (Higeshiro et al., 42 43 2009; Kusumi et al., 2008; Nishio et al., 1997) and synthetic vinyl polymers (Miyashita et al., 2002; Ohno et al., 2005; Ohno & Nishio, 2006; Ohno & Nishio, 2007a; Sugimura et al., 2013; 44 Yoshitake et al., 2013). 45

With regard to the CE/vinyl polymer blends, three separate systems of cellulose acetate 46 (CA), propionate (CP), and butyrate (CB), each blended with P(VP-co-VAc), were examined 47 mainly by differential scanning calorimetry (DSC); the counterpart P(VP-co-VAc) here 48 represents homo- and random co-polymers comprising N-vinyl pyrrolidone (VP) and/or vinyl 49 acetate (VAc) units. Figs. 1a-c survey the estimation results for the three systems, by 50 51 offering each miscibility map constructed as a function of the degree of ester substitution (DS) of CE and the VP:VAc composition of P(VP-co-VAc) (Miyashita et al., 2002; Ohno & 52 Nishio, 2006; Sugimura et al., 2013). As can readily be seen by comparison of the three 53 maps, the region of miscible CE/P(VP-co-VAc) pairings was drastically changeable 54 depending on the carbon number of the acyl substituent of CE. Intriguingly, the CP system 55 provided the largest miscible region (see Fig. 1b). Such a specific improvement in the 56 miscibility with P(VP-co-VAc) of the CP blends was attributed to the structural affinity 57 favorable for a dipole-dipole antiparallel alignment between the propionyl side-group and the 58 VAc unit, as well as to the moderate length of the acyl substituent which generally works as a 59

steric hindrance to the hydrogen-bonding interactions associated with the residual hydroxyls
(Sugimura et al., 2013).

Another system of CA/VP-containing vinyl copolymer blends was also reported on the 62 miscibility and intermolecular interaction (Ohno & Nishio, 2007a), wherein methyl 63 methacrylate (MMA) was selected as the second constituent of the copolymer, because of the 64 distinguished optical property, weather resistance, and safety to living bodies of poly(methyl 65 methacrylate) (PMMA). Fig. 1d summarizes the result of miscibility estimation for the 66 CA/P(VP-co-MMA) blends. Regarding this system, an additional interest was focused on 67 the molecular orientation and optical anisotropy in uniaxially drawn films of the miscible 68 69 blends (Ohno & Nishio, 2007b); the birefringence development was widely controllable in both the degree and polarity, by altering the DS of CA, the VP:MMA ratio in P(VP-co-MMA), 70 and the proportion of the mixing polymers. However, as mapped in Fig. 1d, the miscible 71 72 pairing of this system was realized in a region of lower DS of CA and higher VP fraction in P(VP-co-MMA), and thus the blends were kind of a hydrophilic material. 73

74 As an extension of the blend studies stated above, our attention was then directed to the miscibility characterizations of CP and cellulose acetate propionate (CAP) with 75 P(VP-co-MMA), in expectation of a positive effect of the propionyl substitution which would 76 expand the DS and VP:MMA ranges for miscible pairing of CE and P(VP-co-MMA), as has 77 been observed for the CE/P(VP-co-VAc) system. The miscibility attainment even for 78 hydrophobic combinations of high-substituted CP or CAP and MMA-rich copolymer may be 79 of great significance, in view of the practical application to optical films and/or membranes. 80 81 In the present work, we inspected the main target system of CP/P(VP-co-MMA) blends on the miscibility, inter-component interactions, and scale of homogeneity, by DSC and Fourier 82 transform infrared (FT-IR) and solid-state ¹³C NMR spectroscopy. In addition to the basic 83 characterizations, some mechanical and optical properties in film form of the 84 CP/P(VP-co-MMA) blends were also investigated by dynamic mechanical analysis (DMA) 85

86 and birefringence measurements, respectively.

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89 2. Experimental

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- 91 2.1. Materials
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CP samples were synthesized from cotton cellulose with a viscosity average molecular 93 weight of 252,000 via a homogeneous reaction with acid chloride/base catalyst, in a 94 95 procedure similar to that used in previous studies (Kusumi et al., 2008; Nishio et al., 1997). Two CAP samples were used; one was purchased from Eastman Chemical Co., and the other 96 was obtained by acetylation of a commercial CP (Scientific Polymer Products, Inc.) in the 97 98 same way as that adopted in a previous study (Aoki & Nishio, 2010). Codes "CP_x" and "CA_yP_z" denote CP of propionyl DS = x and CAP of acetyl DS = y and propionyl DS = z, 99 100 respectively. Table 1 summarizes data of molecular weight and glass transition temperature $(T_{\rm g})$ for all the CE samples used in this study. The vinyl polymers employed as a mixing 101 partner for the CPs and CAPs were poly(N-vinyl pyrrolidone) (PVP), PMMA, and 102 103 P(VP-co-MMA) copolymers, basically the same as those in the preceding paper (Ohno & Nishio, 2007a). Data of characterization for all the vinyl polymers are also listed in Table 1. 104 As shown in the table, any of the P(VP-co-MMA) samples exhibited a single T_g and they 105 were all regarded as essentially random copolymer. Hereafter, a P(VP-co-MMA) sample of 106 VP:MMA = m:n (in molar ratio) is encoded as $P(VP_m-co-MMA_n)$. 107

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109 2.2. Preparation of blend samples

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Binary blend films of CP/vinyl polymer and CAP/vinyl polymer were prepared by

solution mixing and solvent evaporation in the same manner as that adopted in the preceding
works (Ohno & Nishio, 2007a; Sugimura et al., 2013). *N,N*-Dimethylformamide (DMF)
was selected as a common solvent and the film casting was carried out at 50 °C under reduced
pressure (<10 mmHg). The as-cast samples were further dried at 50 °C *in vacuo* for 3 days.

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117 2.3. Measurements

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DSC was carried out with a Seiko DSC 6200/EXSTAR 6000 apparatus. The 119 temperature readings were calibrated with an indium standard. The calorimetry 120 121 measurements were conducted on ca. 5-mg samples packed in an aluminum pan under a nitrogen atmosphere. Each sample was first heated from ambient temperature (~25 °C) to 122 230 °C at a scanning rate of 20 °C min⁻¹, and then immediately guenched to -50 °C at a rate 123 of 80 °C min⁻¹. Following this, the second heating scan was run from -50 °C to 230 °C at a 124 rate of 20 °C min⁻¹ to record stable thermograms. For blend series of CA_{0.47}P_{2.48}, however, 125 the upper limit of temperature in the heating scan was programmed to be 260 °C, since a 126 melting endotherm was predicted to appear above 230 °C due to some extent of 127 crystallizability of the CAP. Thermograms presented in this paper were all obtained in the 128 second heating scan, and the T_g was taken as a temperature at the midpoint of a baseline shift 129 in heat flow characterizing the glass transition. 130

131 FT-IR spectra were measured on thinner film samples (<20 μ m thick) by using a 132 Shimazu IRPrestige-21 spectrometer. All the spectra were recorded at 20 °C in a 133 transmission mode over a wavenumber range 400–4000 cm⁻¹ with a resolution of 2 cm⁻¹ via 134 accumulation of 64 scans.

High-resolution solid-state NMR experiments were performed at 20 °C in a Varian NMR system 400 MHz operated at a 13 C frequency of 100.6 MHz. The magic-angle spinning rate was 15.0 kHz. 13 C CP/MAS spectra were measured with a contact time of 2 ms, and a 90 °

pulse width of 2.9 μ s was employed. In the measurements of T_{10}^{H} , a contact time of 0.2 ms 138 was used, and a proton spin-locking time τ ranged from 0.5 to 30 ms. 2048 scans were done 139 to obtain the ¹³C CP/MAS spectra, while 4096 scans were accumulated for the relaxation time 140 Chemical shifts of ¹³C spectra represented in ppm were referred to measurements. 141 tetramethylsilane by using the methine carbon resonance (29.47 ppm) of adamantane crystals 142 as an external reference standard. In order to minimize any possible effect due to the 143 thermal history and/or residual solvents, each sample was heat-treated at 250 °C in vacuo for 144 5 min just before the measurement. 145

DMA was conducted by using a Seiko DMS6100/EXSTAR6000 apparatus for film specimens prepared by hot-press molding (230 °C, 15 MPa) of the solution-cast samples. Strips of rectangular shape ($20 \times 5 \text{ mm}^2$) cut from the molded films were used for measurements of the temperature dependence of the dynamic storage modulus (*E'*) and loss modulus (*E''*). The measuring conditions were as follows: temperature range, -150-300 °C; scanning rate, 2 °C/min; oscillatory frequency, 10 Hz.

Optical birefringence (Δn) of drawn CP/vinyl polymer samples was determined by using 152 an Olympus polarized optical microscope POS equipped with a Berek compensator, at room 153 temperature (20 °C). Strips (20 \times 4 mm²) cut from the as-cast films were uniaxially 154 stretched to the desired draw ratio at a temperature which was prescribed to be higher by 2 °C 155 than $T_{\rm g}$ (as measured by DSC, mentioned above) of the blend sample used, in the same 156 procedure as that adopted in the previous work (Ohno & Nishio, 2007b). The percentage 157 elongation of the oriented samples was determined from the positions of ink marks on the 158 film. 159

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162 **3. Results and discussion**

The miscibility state in the CP and CAP/vinyl polymer systems was estimated by T_g determination in DSC. In general, if any blend sample of a given polymer/polymer pair exhibits a single glass transition between the T_g s of the two component polymers and a composition-dependent shift of the blend T_g is clearly observed, then the pair can be regarded as a miscible one on the T_g -detection scale that is usually assumed to be less than a couple of tens of nanometers (Nishio, 1994; Ultracki, 1990).

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173 *3.1.1. Overview*

Fig. 2a displays a miscibility map for the CP/P(VP-co-MMA) system, constructed as a 174 function of DS of CP and VP fraction in P(VP-co-MMA). The data for a blend series of 175 CP/PVP homopolymer was quoted from a previous paper (Sugimura et al., 2013). In 176 perspective, polymer pairs composed of low-substituted CP and VP-rich P(VP-co-MMA) 177 were judged to be miscible. This suggests the contribution of a hydrogen-bonding 178 interaction between CP-hydroxyl and VP-carbonyl groups to the miscibility attainment. A 179 definite "miscibility window" emerged in a hydrophobic region satisfying propionyl DS > 2.7180 and VP fraction = 9-40 mol%. As mapped in Fig. 2b, CAP/P(VP-co-MMA) blends using 181 partially acetylated CA_{0.16}P_{2.52} and CA_{0.47}P_{2.48} also imparted a miscibility window; 182 interestingly, the VP:MMA range forming the window became expanded, compared with that 183 for the corresponding CP/P(VP-co-MMA) blends using $CP_{2.72}$ or $CP_{2.93}$. 184 Actual observations in the thermal analysis for the present CE blends are described below in detail. 185

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187 *3.1.2. CP/PMMA blends*

Fig. 3a illustrates DSC thermograms measured for a blend series of $CP_{1.59}$ /PMMA homopolymer. As can be seen from the data, two independent glass transitions originating from the two components were clearly detected for the blend samples of 20/80-80/20compositions (in wt% ratio). Therefore, we can judge the CP_{1.59}/PMMA pair to be immiscible. However, the T_g of the PMMA component was prone to slightly shift to higher temperatures with an increase in the CP content, while the T_g of the CP component hardly shifted from the original position.

DSC measurements were also performed on the other eight series of CP/PMMA blends prepared by using CPs with different DS values ranging from 1.71 to 2.93. The data were all similar to that given in Fig. 3a; the thermograms indicated the presence of double T_{gs} corresponding to those of the two constituent polymers, but habitually with some extent of elevation in the PMMA T_{g} . It is thus reasonably concluded that all the CP/PMMA blends are substantially immiscible irrespective of the DS of the CP used, even though a certain level of compatibility of PMMA with CP may be admitted.

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203 3.1.3. CP/P(VP-co-MMA) blends

In visual appearance, as-cast films of CP blends with VP-MMA copolymers were homogeneous and highly transparent, except for cloudy films of several polymer pairs composed of CP of DS > 2.7 and P(VP-*co*-MMA) having more than 50 mol% VP residues.

207 Any series of CP_{1.59}/P(VP-co-MMA) blends, prepared by using the copolymers of VP:MMA = 9:91–76:24, provided a smooth variation of a single T_g which was situated 208 between the $T_{\rm g}$ values of the two unblended components (data not shown). Thus, it turned 209 out that $CP_{1.59}$ formed a miscible monophase with P(VP-co-MMA)s of VP \geq 9 mol%. 210 Similar miscible behavior was confirmed in the use of CPs of DS = 1.71-2.62, as the thermal 211 data is exemplified for $CP_{1,71}/P(VP_{0,22}-co-MMA_{0,78})$ blends in Fig. 3b. We should note here 212 that the CPs of DS < 2.7 were miscible with P(VP-co-MMA)s of extremely low VP fractions 213 such as 10–30 mol%, because the same situation was never realized for the previous system 214 employing CA (see Fig. 1d). 215

Another noteworthy finding was that even high-substituted CPs of DS > 2.7 made a 216 miscible combination with MMA-rich P(VP-co-MMA)s having ca. 10–40 mol% VP residues, 217 despite the immiscibility of the CPs with PVP and PMMA homopolymers. Fig. 3c 218 219 exemplifies several DSC thermograms obtained for a polymer combination of $CP_{2.72}/P(VP_{0.32}$ -co-MMA_{0.68}); all the samples of 10/90–90/10 compositions gave a single T_g . 220 Thus, decidedly, the CP/P(VP-co-MMA) system exhibited a miscibility window. The advent 221 222 of such a window was unacceptable as to the CA/P(VP-co-MMA) system, but observed previously for the CP/P(VP-co-VAc) and CB/P(VP-co-VAc) systems (see Figs. 1b and 1c) 223 (Sugimura et al, 2013; Ohno & Nishio, 2006). In these earlier studies, it was concluded that 224 225 a greater repulsion between the VP and VAc units in the random copolymer was mainly contributory to the miscibility attainment; this was rationalized by assessment of 226 Krigbaum-Wall interaction parameters (μ) for the ingredient polymer pairs involving in the 227 228 CB/P(VP-co-VAc) system (Ohno & Nishio, 2007a). The intramolecular copolymer effect may also be applicable to the present CP(DS > 2.7)/P(VP-co-MMA) blends. The absence of 229 such a clear miscibility window in the map of the CA/P(VP-co-MMA) system (Fig. 1d) is due 230 to an inhibiting factor, i.e., the strong self-association ability of highly substituted CAs of DS 231 > 2.7; the CAs are rather easily crystallizable as cellulose triacetate II. 232

233 In comparison between the two maps (Figs. 1b and 2a) for the CP blends combined with different copolymers, P(VP-co-VAc) and P(VP-co-MMA), obviously, the window region for 234 the CP/P(VP-co-MMA) system is narrower than that for the CP/P(VP-co-VAc) system. 235 Again, from estimation of the interaction parameters for the two units constituting the 236 respective copolymers concerned (Ohno & Nishio, 2007a), it has been derived that the 237 constituents VP and VAc in P(VP-co-VAc) strike an intense repellent character to each other, 238 while the VP and MMA units in P(VP-co-MMA) show a relatively weaker repulsive 239 interaction. Presumably, this deterioration of the intramolecular repulsive action in the 240 P(VP-co-MMA) copolymer is responsible for the appearance of the narrower window in the 241

244 3.1.4. CAP/P(VP-co-MMA) blends

DSC thermograms obtained for a set of $CA_{0.16}P_{2.52}/P(VP_{0.61}-co-MMA_{0.39})$ blends are displayed in Fig. 3d; we can see a single T_g shifting to lower temperatures along with an increase in the $P(VP_{0.61}-co-MMA_{0.39})$ content. Such a miscible sign was observed for blend series of this CAP with P(VP-co-MMA)s of VP = 13-76 mol%, but never done for the CAP blends with PVP and PMMA homopolymers.

Fig. 3e shows a miscible evidence by DSC for a CA_{0.47}P_{2.48}/P(VP_{0.09}-co-MMA_{0.91}) 250 combination using another CAP sample. Differing from the overall amorphous behavior of 251 252 CA_{0.16}P_{2.52}, the CA_{0.47}P_{2.48} sample exhibited an exothermic peak (180 °C) and an endothermic peak (240 °C) after onset of the glass transition ($T_g = 132$ °C); the two peaks are ascribable to 253 a so-called cold-crystallization and subsequent melting of the formed crystal, respectively. 254 Such crystallizability was also noticed for $CP_{2.93}$ of DS > 2.9, but the crystalline phase was 255 formed in somewhat slower crystallization kinetics. Despite of the crystalline habit common 256 to tri-esterified celluloses, as exemplified in Fig. 3e, the CA_{0.47}P_{2.48} blends with 257 $P(VP_{0.09}$ -co-MMA_{0.91}) exhibited a definitely single composition-dependent T_g and then 258 259 produced a systematic depression in the melting point of the induced CAP crystal. This coupled thermal behavior is typical of that of miscible blends composed of a pair of 260 crystallizable polymer/amorphous polymer (MacKnight et al., 1978). Similar DSC data 261 262 were obtained for additional six combinations of $CA_{0.47}P_{2.48}$ with P(VP-co-MMA)s of VP = 13-50 mol%. 263

Fig. 2b summarizes the miscibility estimation for the CAP/P(VP-*co*-MMA) series using CA_{0.16}P_{2.52} and CA_{0.47}P_{2.48}, as a function of VP fraction in P(VP-*co*-MMA); the corresponding data for comparable CP/P(VP-*co*-MMA) blends using CP_{2.72} and CP_{2.93} are also shown there. The two CAPs may be regarded as derivatives obtained from CPs of DS \approx 2.7 and 2.95,

respectively, by partial ester exchange for acetyl substitution. Plainly, both the 268 269 CAP/P(VP-co-MMA) series offer the miscibility window, as did the blend series using CPs of $DS \ge \sim 2.7$; again, the intramolecular repulsion in the vinyl copolymer would be principally 270 responsible for the observation. However, the range of copolymer composition forming the 271 miscibility window is much wider in the CAP series, compared with that in the CP series of 272 the corresponding DS in total. This expansion of the window might be ascribed to an 273 additional repulsion effect originating in the CAP side. That is, the cellulose mixed ester 274 would also behave as a kind of copolymer dangling two different acyl side-groups along the 275 carbohydrate backbone. A similar deal of cellulose alkyl esters as copolymer has been made 276 277 in a few reports on their structural characteristics (Buchanan et al., 1996; Frazier & Glasser, 1995; Ohno & Nishio, 2006). Therefore, the CAP/P(VP-co-MMA) blends are actually taken 278 as a copolymer/copolymer system, where the miscibility should be affected by the duplicate, 279 280 intramolecular copolymer effect.

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282 3.2. Spectroscopic analysis of intermolecular interaction and mixing scale

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284 3.2.1. FT-IR spectra

285 Fig. 4 compiles FT-IR spectra obtained for blends of the miscible $CP_{1,71}/P(VP_{0,22}-co-MMA_{0,78})$ pair, particularly focusing on two regions of (a) O-H and (b) 286 C=O stretching vibrations. Frequency shift and/or shape variation are clearly observed for 287 the specific IR bands as a result of the hydrogen-bonding formation between the residual 288 hydroxyls of the CP component and the VP-carbonyls of the copolymer. As shown in Fig. 289 4a, a band centering at 3482 cm^{-1} (top data), which can be associated with a mixture of free 290 hydroxyls and intramolecularly hydrogen-bonded OH groups in the unblended CP, shifted to 291 lower wavenumber positions with increasing P(VP-co-MMA) content. Concomitantly, 292 another shoulder band became discernible on the side of further lower wavenumbers, as 293

indicated by a white arrow at \sim 3300 cm⁻¹ in Fig. 4a. This new band can be ascribed to the stretching of intermolecularly hydrogen-bonded OH groups (Marchessault & Liang, 1960).

Concerning the frequency region of C=O groups (Fig. 4b), a 1683 cm^{-1} band assigned to 296 VP-carbonyl stretching vibration in the copolymer became asymmetric progressively as the 297 CP content increased in the binary blend system. Consequently, the absorption band was 298 dividable into two peaks, larger and smaller ones at ~1685 cm^{-1} and ~1660 cm^{-1} , respectively 299 (see enlarged data in the range of $1650-1700 \text{ cm}^{-1}$). These two IR signals for the VP unit of 300 the copolymer may be associated with the free carbonyl and hydrogen-bonded carbonyl 301 groups, respectively (Masson & Manley, 1991). The CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78}) blends 302 provided an additional single band centering at $1730-1740 \text{ cm}^{-1}$, but this band was virtually 303 made up of a prorated mixture of two carbonyl signals: a propionate C=O peak (1744 cm^{-1}) of 304 the CP component and an MMA C=O peak (1727 cm^{-1}) of the copolymer component. 305

306 The inter-component interaction based on the hydrogen bonding of OH---O=C, just as described above, was also ascertained not only for the blend series of CP_{1.71} with VP-rich 307 308 P(VP-co-MMA)s including PVP, but also for other selected miscible pairs using CPs of DS < 2.7. For contradistinction, it should be recalled that CA of DS = 1.80 never formed the same 309 kind of hydrogen-bonding interaction with P(VP_{0.22}-co-MMA_{0.78}) (Ohno & Nishio, 2007a) 310 311 and the polymer pair was immiscible (see Fig. 1d). This contrast to the observation for the CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78}) pair reflects the difference in self-association nature between CA 312 and CP, the former having the stronger ability. 313

When the copolymer $P(VP_{0.22}$ -*co*-MMA_{0.78}) was blended with $CP_{2.89}$, however, there was no indication of such an intermolecular hydrogen-bonding interaction in IR examinations (data not shown). This result may be reasonable. With regard to the CP(DS >2.7)/P(VP-*co*-MMA) pairs constituting the miscibility window in Fig. 2a, the blend miscibility would be attained through the repulsion effect in the P(VP-*co*-MMA) side, not driven by direct attraction based on that hydrogen bonding.

321 3.2.2. Homogeneity as estimated by solid-state ${}^{13}C$ NMR

As a useful relaxation technique in solid-sate ¹³C NMR, $T_{1\rho}^{H}$ measurements for specific carbons in a multicomponent polymer system make it possible to estimate the mixing homogeneity in a scale of ¹H spin-diffusion length that is usually within several nanometers (Masson & Manley, 1991; Ohno et al., 2005; Zhang et al., 1992); the dimensional limit is smaller than that (~20 nm) detectable by DSC thermal analysis. $T_{1\rho}^{H}$ values can be obtained by fitting the decaying carbon resonance intensity to the following exponential equation:

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$$M(\tau) = M(0) \exp(-\tau/T_{1\rho}^{H})$$
 (1)

where $M(\tau)$ is the magnetization intensity observed as a function of the spin-locking time τ . If two constituent polymers are homogeneously mixed on the scale over which ¹H spin-diffusion can take place in a time $T_{1\rho}^{H}$, the $T_{1\rho}^{H}$ values for different protons belonging to the respective components may be equalized to each other by the spin diffusion.

Using the above technique, we made a comparative assessment of the mixing scale for 333 the two miscible series of blends: CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78}) 334 and CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78}), the driving factor contributory to the respective miscibility 335 attainments being the intermolecular hydrogen-bonding interaction (for the former) or the 336 intramolecular repulsion effect in the copolymer (for the latter). Fig. 5 exemplifies ¹³C 337 CP/MAS spectra obtained for CP_{1.71}, P(VP_{0.22}-co-MMA_{0.78}), and their 50/50 blend. The 338 peak assignments of the spectra are based on literature data for CP (Tezuka & Tsuchiya, 1995), 339 PVP (Zhang et al., 1992), and PMMA (Liu et al., 1994). The experiment of T_{10}^{H} 340 quantifications was conducted through monitoring the following ¹³C resonance signals with 341 better resolutions: C2/C3/C5 pyranose carbons (74 ppm) and propionyl carbons C8 (28 ppm) 342 and C9 (9.3 ppm) for the CP component, and C_{α}/C_{ϵ} (52 ppm), $C_b/C_c/C_{\beta}$ (45 ppm), and C_d/C_{γ} 343 carbons (18 ppm) for the P(VP-co-MMA) component. Carbonyl carbons were not adoptable 344 for the quantification, because the corresponding peak (~173.5 ppm) of the CP component 345

346 merged into the other split signal of VP/MMA carbonyls (~174/177 ppm) of the copolymer.

Fig. 6 illustrate the decay behavior in intensity of the C2/C3/C5 and C_b/C_c/C_β peaks for unblended CP (CP_{1.71} or CP_{2.89}) and P(VP_{0.22}-*co*-MMA_{0.78}), respectively, and also for their 50/50 blend imparting both resonance signals. The slope of each semi-logarithmic plot corresponds to an inverse of $-T_{1\rho}^{H}$. The $T_{1\rho}^{H}$ data thus estimated for CP_{1.71}, CP_{2.89}, P(VP_{0.22}-*co*-MMA_{0.78}), and their miscible blends of CP/P(VP-*co*-MMA) = 75/25–25/75 are all listed in Table 2.

As can be seen from Table 2 (upper part), $T_{1\rho}^{H}$ of the CP_{1.71} component, originally 20.0 353 ms as an average, rises systematically with an increase in the copolymer component, while 354 that of the P(VP_{0.22}-co-MMA_{0.78}) component (average value of 23.6 ms) diminishes 355 correspondingly with increasing CP_{1.71} content. Consequently, the two $T_{1\rho}^{H}$ values at every 356 blend composition are in good agreement with each other. Thus, it is reasonably deduced 357 that the two constituent polymers in the blends are intimately mixed within a range where the 358 mutual ¹H-spin diffusion is permitted over a period of the respective homogenized $T_{1\rho}^{H}$, e.g., 359 \sim 22 ms for the 50/50 composition. 360

361 An effective path length *L* of the spin diffusion in a time $T_{1\rho}^{H}$ is given by the following 362 equation (McBrierty & Douglass, 1981):

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$$L \cong (6DT_{1\rho}^{H})^{1/2}$$
 (2)

where *D* is the spin-diffusion coefficient, usually taken to be ~ 1.0×10^{-12} cm² s⁻¹ in organic polymer materials (Assink, 1978; Masson & Manley, 1991; Radloff et al., 1996). By adopting $T_{1\rho}^{H}$ data of 21–23 ms approximated for the CP_{1.71}/P(VP_{0.22}-*co*-MMA_{0.78}) blends of 75/25–25/75 compositions, the diffusion path length is calculated as L = 3.5-3.7 nm. Accordingly, it is confirmed that the relevant miscible series of hydrogen-bonding type is virtually homogeneous in a scale of ca. 4 nm.

With regard to the $CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78})$ series, on the contrary, $T_{1\rho}^{H}$ s of the two components at every blend composition never became so close to each other (see Fig. 6b and Table 2 (lower part)). This temporal disagreement implies that the relaxation processes of the two polymers in the blends progressed independently without their cooperative spin diffusion; thus the blends were found to be heterogeneous when viewed in a few nanometers scale by $T_{1\rho}^{H}$ measurements. By the combined use of this result and the previous DSC data, it can be judged that the scale of homogeneity in the CP_{2.89}/P(VP_{0.22}-*co*-MMA_{0.78}) blends situated in the window region lies between approximately 5 and 20 nm.

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379 3.3. Synergistic effects on properties of CP/P(VP-co-MMA) films

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381 3.3.1. Mechanical properties estimated by DMA

Fig. 7a shows the temperature dependence of the dynamic storage modulus E' and loss 382 modulus E" for CP_{2.18}/P(VP_{0.22}-co-MMA_{0.78}) blends of 75/25, 50/50, and 25/75 compositions, 383 together with the corresponding data for plain $CP_{2,18}$ and $P(VP_{0,22}$ -co-MMA_{0,78}). 384 As demonstrated clearly in the figure, the blend samples provided a single and sharp transition 385 signal, both in the E' drop and in the E'' peak, which shifted systematically with the 386 composition; this indicates a sign of good miscibility for the polymer pair. Similar behavior 387 was observed for other test series including CP2.18/PVP and CP2.89/P(VP0.22-co-MMA0.78) 388 389 blends.

Fig. 7b collects the glass-state modulus E' (at 20 °C) versus composition plots for the 390 three series of CP_{2.18}/PVP, CP_{2.18}/P(VP_{0.22}-co-MMA_{0.78}), and CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78}); 391 however, the modulus data for the PVP homopolymer per se was not obtained because of a 392 brittle nature of the film. As can be seen from the plots in the figure, the modulus of any of 393 the blend films was usually higher than those of the respective unblended CP and vinyl 394 polymer samples. This result may be interpreted as a synergistic improvement in 395 thermomechanical property of the cellulosic and vinyl polymer materials by miscible 396 blending. Furthermore, we find by careful inspection that the rising level in the glassy 397

modulus of the CP blends varied with difference in the driving force for the miscibility 398 399 attainment; viz., the miscible blends of hydrogen-bonding type, referring to the CP_{2.18}/PVP and $CP_{2.18}/P(VP_{0.22}$ -co-MMA_{0.78}) series, exhibited a noticeable elevation in the modulus E', 400 whereas the CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78}) series situated in the miscibility window showed a 401 402 comparatively smaller increase in the E' value.

- 403
- 404

3.3.2. Birefringence of CP/P(VP-co-MMA) films

Optical birefringence derives from the orientation of polymer chains which have 405 inherently the anisotropy of polarizability. In the simplest case of uniaxial stretching of 406 amorphous homopolymers, the birefringence, defined as $\Delta n = n_{\parallel} - n_{\perp}$ with a refractive index 407

 (n_{\parallel}) parallel to the draw direction and that (n_{\perp}) perpendicular to it, varies monotonically with 408

the degree of orientation, according to the equation: 409

410
$$\Delta n = \{(3 < \cos^2 \omega > -1) \Delta n^{\circ}\}/2$$
 (3)

where Δn° is an intrinsic birefringence for the perfect uniaxial orientation of polymer chains, 411 and $\langle \cos^2 \omega \rangle$ is the second moment of orientation for an anisotropic segmental unit with a 412 certain polarizability. In the case of the stretching of a blend composed of polymer 1 and 413 polymer 2, the birefringence Δn of the deformed sample may be represented by 414

415
$$\Delta n = v_1 \Delta n_1 + v_2 \Delta n_2 \tag{4}$$

where $v_i \Delta n_i$ (*i* = 1, 2) indicates the contribution of an oriented polymer component *i* to the 416 total birefringence and v_i denotes the volume fraction of that component. 417

Fig. 8 compiles results of the birefringence measurements conducted for drawn films of a 418 miscible CP_{2.09}/P(VP_{0.46}-co-MMA_{0.54}) series of hydrogen-bonding type. As is already 419 known (Ohno & Nishio, 2007b), vinyl polymers comprising VP and/or MMA units, including 420 the present P(VP_{0.46}-co-MMA_{0.54}), exhibit negative optical anisotropy ($\Delta n^{\circ}_{VP-MMA}$ <0) upon 421 stretching of their films. On the other hand, as seen in the figure, the CP of DS = 2.09422

showed positive optical anisotropy ($\Delta n^{\circ}_{CP} > 0$) on stretching of the film, and the birefringence increased sharply with the extent of elongation. The magnitude of Δn evaluated for this CP was higher than that obtained previously for CA of DS = 2.18, when compared at a given stage of elongation; this suggests that a flexible methylene-methyl sequence in the propionyl side-group would be aligned parallel to the cellulose backbone, which contributes to the increase of the parallel component of refractive index (n_{\parallel}).

The optical anisotropy of the oriented $CP_{2.09}/P(VP_{0.46}-co-MMA_{0.54})$ blends was seriously 429 affected in both the polarity and degree, by the compensation effect due to the positive and 430 negative contributions of the CP and copolymer components, respectively, to the overall 431 432 birefringence. When the CP content reached 50 wt%, the blend film assumed a character of birefringence-free material, as shown in Fig. 8. That is, the 50/50 blend can behave like an 433 optically isotropic medium even though it should be mechanically anisotropic after 434 435 deformation. A copolymer-rich sample of $CP_{2.09}/P(VP_{0.46}-co-MMA_{0.54}) = 30/70$ always provided negative birefringence, the absolute value of which was larger rather than that of the 436 unblended copolymer. This result suggests that the orientation of the vinyl copolymer 437 chains was enhanced in the presence of the CP component, possibly by virtue of the 438 inter-component interaction that can occur through hydrogen bonding between the carbonyl 439 440 and hydroxyl groups. The details of the actual molecular orientation behavior in these drawn blends will be investigated in a subsequent paper. 441

442

443

444 **4. Conclusions**

445

Blend miscibility of CP with P(VP-*co*-MMA) was examined by DSC, and a data map (Fig. 2a) was successfully constructed as a function of both the propionyl DS of CP and the VP:MMA composition of P(VP-*co*-MMA). Compared to the previous system using CA (Fig. 1d), the miscible pairing region expanded to cover a considerably hydrophobic area of higher
DS and MMA-rich composition, with the advent of a miscibility window driven by repulsion
between the comonomer units constituting P(VP-*co*-MMA). However, the miscibility
window was evidently narrower relative to that observed formerly for the CP/P(VP-*co*-VAc)
system (Fig. 1b), reflecting that the intramolecular repulsion in P(VP-*co*-MMA) is weaker
than that in P(VP-*co*-VAc).

From spectroscopic measurements by FT-IR and solid-state NMR, it was found that miscible blends composed of CP of DS < 2.7 and P(VP-*co*-MMA) of VP > 10 mol% were substantially homogeneous on a scale within a few nanometers (e.g. ~4 nm), by virtue of the hydrogen-bonding formation between CP-hydroxyls and VP-carbonyls. On the other hand, miscible pairs using CPs of DS \geq 2.7 and P(VP-*co*-MMA)s of VP = 10–40 mol%, situated in the window region, produced blends having a somewhat larger size of homogeneity (ca. 5–20 nm).

By DMA and birefringence measurements, we successfully demonstrated synergistic 462 improvements in thermomechanical and optical properties of the miscible 463 CP/P(VP-co-MMA) blends. Particularly striking effects of the synergism were observed for 464 the miscible blends of hydrogen-bonding type. With a certain specific polymer composition, 465 466 the drawn blend can show a zero-birefringence character.

The miscibility characterization was also made for two CAP/P(VP-co-MMA) series 467 using propionyl-rich CAPs; both the series also offered a miscibility window (Fig. 2b). It is 468 astonishing that the range of copolymer composition forming the miscibility window was 469 470 much wider in the CAP series, compared with that in the CP series of the corresponding DS in total. This expansion of the window would be ascribable to an additional repulsion effect 471 originating in the CAP side; the blends concerned are therefore taken as a 472 copolymer/copolymer system where the miscibility should be affected by the duplicated, 473 intramolecular copolymer effect. 474

From a practical point of view, the present results will be of great significance for expanding the opportunities of material design based on the CE family. Further studies on the miscibility and interactions are now in progress for other combinations of CAPs of various acetyl/propionyl proportions with vinyl copolymers. Our insight will also be given into the molecular orientation behavior in drawn blends made up of a miscible pair of CP or CAP and P(VP-*co*-MMA), in relation to their birefringence characteristics as optical materials. These are topics to be reported in a subsequent paper.

483

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485

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1 Figure Captions

2

Fig. 1. Miscibility maps for four blend systems (a) CA/P(VP-*co*-VAc), (b)
CP/P(VP-*co*-VAc), (c) CB/P(VP-*co*-VAc), and (d) CA/P(VP-*co*-MMA), quoted from previous
papers (Miyashita et al., 2002 for (a); Sugimura et al., 2013 for (b); Ohno & Nishio, 2006 for
(c); Ohno & Nishio, 2007a for (d)) in a rearranged style retaining the essence.

7

Fig. 2. (a) Miscibility map for the blend system CP/P(VP-*co*-MMA), depicted as a function of DS of CP and VP fraction in P(VP-*co*-MMA), and (b) miscibility estimation for CAP/P(VP-*co*-MMA) blends using partially acetylated $CA_{0.16}P_{2.52}$ and $CA_{0.47}P_{2.48}$ as a function of VP fraction in P(VP-*co*-MMA), in comparison with the corresponding CP/P(VP-*co*-MMA) blends using CP_{2.72} and CP_{2.93}, respectively. Symbols indicate that a given pair of CP or CAP/P(VP-*co*-MMA) is miscible (\bigcirc) or immiscible (\times).

14

Fig. 3. DSC thermograms obtained for (a) $CP_{1.59}/PMMA$, (b) $CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78})$, (c) $CP_{2.72}/P(VP_{0.32}-co-MMA_{0.68})$, (d) $CA_{0.16}P_{2.52}/P(VP_{0.61}-co-MMA_{0.39})$, and (e) CA_{0.47}P_{2.48}/P(VP_{0.09}-co-MMA_{0.91}) blends. Arrows indicate a T_g position taken as the midpoint of a baseline shift in heat flow.

19

Fig. 4. FT-IR spectra of CP_{1.71}, P(VP_{0.22}-*co*-MMA_{0.78}), and their blends in the frequency regions of (a) O-H and (b) C=O stretching vibrations. Data in the ranges of 3100–3500 cm⁻¹ and 1650–1700 cm⁻¹ are also shown on an enlarged scale. Solid arrows indicate a peak-top position in the respective specific absorption bands, and white arrows indicate a shoulder band associated with hydrogen bonding (see text for discussion).

25

26 Fig. 5. Solid-state ¹³C CP/MAS NMR spectra for CP_{1.71}, P(VP_{0.22}-co-MMA_{0.78}), and their

27 50/50 blend.

28

Fig. 6. Semilogarithmic plots of the decay of ¹³C resonance intensities as a function of spin-locking time τ , for solid films of (a) CP_{1.71}, P(VP_{0.22}-*co*-MMA_{0.78}), and their 50/50 blend, and (b) CP_{2.89}, P(VP_{0.22}-*co*-MMA_{0.78}), and their 50/50 blend. The monitoring was conducted for the peak intensity of C2/C3/C5 pyranose carbons of CP and that of C_b/C_c/C_β carbons of the copolymer (see Fig. 5).

34

Fig. 7. (a) Temperature dependence of the dynamic storage modulus E' and loss modulus E''for CP_{2.18}/P(VP_{0.22}-*co*-MMA_{0.78}) blends, and (b) the glassy state E' value (measured at 20 °C) *vs.* composition plots for three series of blends, CP_{2.18}/PVP, CP_{2.18}/P(VP_{0.22}-*co*-MMA_{0.78}), and CP_{2.89}/P(VP_{0.22}-*co*-MMA_{0.78}).

39

40 **Fig. 8.** Plots of birefringence $\Delta n \ vs.$ % elongation for drawn films of 41 CP_{2.09}/P(VP_{0.46}-*co*-MMA_{0.54}) blends.

42





(d)

























<<Fig. 6.>>









<<Fig. 8.>>

Sample code ^{<i>a</i>}	$M_{ m w}{}^c$	$M_{ m n}{}^c$	$M_{\rm w}/M_{\rm n}^{\ c}$ $T_{\rm g}/^{\circ}{\rm C}$		Source		
CP _{1.59}	1,230,000	585,000	2.10	165	Synthesized		
CP _{1.71}	2,010,000	850,000	2.36	162	Synthesized		
CP _{2.09}	1,190,000	571,000	2.08	160	Synthesized		
CP _{2.18}	1,300,000	577,000	2.25	157	Synthesized		
CP _{2.33}	844,000	258,000	3.27	155	Synthesized		
CP _{2.53}	818,000	367,000	2.23	141	Synthesized		
CP _{2.62}	979,000	359,000	2.73	138	Synthesized		
CP _{2.72}	2,390,000 968,000 2.4		2.47	134	Synthesized		
CP _{2.81}	1,990,000 837,000 2.38		2.38	128	Synthesized		
CP _{2.89}	2,000,000	692,000	2.89	127	Synthesized		
CP _{2.93}	1,250,000	525,000	2.38	124	Synthesized		
CA _{0.16} P _{2.52}	258,000	73,400	3.51	143	Eastman Chemical Co.		
CA _{0.47} P _{2.48}	240,000	98,500	2.44	132	Synthesized		
Sample code ^b	$M_{ m w}{}^d$	$M_{\mathrm{n}}{}^{d}$	$M_{\rm w}/M_{\rm n}^{\ d}$	$T_{\rm g}$ / °C	Source		
PVP	360,000 ^e	_	_	177	Nacalai Tesque, Inc.		
P(VP _{0.76} - <i>co</i> -MMA _{0.24})	78,700	31,400	2.51	121	Synthesized f		
P(VP _{0.67} - <i>co</i> -MMA _{0.33})	204,000	55,100	3.70	134	Synthesized f		
P(VP _{0.61} - <i>co</i> -MMA _{0.39})	193,000	52,700	3.66	121	Synthesized f		
P(VP _{0.50} - <i>co</i> -MMA _{0.50})	184,000	61,300	3.00	119	Synthesized ^f		
P(VP _{0.46} - <i>co</i> -MMA _{0.54})	257,000	91,100	2.82	112	Synthesized ^f		
P(VP _{0.42} - <i>co</i> -MMA _{0.58})	288,000	108,000	2.67	117	Synthesized ^f		
P(VP _{0.32} - <i>co</i> -MMA _{0.68})	97,300	37,800	2.57	104	Synthesized ^f		
P(VP _{0.22} - <i>co</i> -MMA _{0.78})	189,000	70,800	2.66	111	Synthesized ^f		
P(VP _{0.13} - <i>co</i> -MMA _{0.87})	91,800	44,100	2.08	100	Synthesized ^f		
P(VP _{0.09} - <i>co</i> -MMA _{0.91})	97,800	47,300	2.07	101	Synthesized ^f		
PMMA	88,400	35,000	2.53	100	Aldrich Chemical Co.		

1 **Table 1** Characterization of CP, CAP, and vinyl polymers used in the present study

^{*a*} The DS values were determined by ¹H NMR.

^b The VP contents were determined by FT-IR in a way described by Liu et al. (1994).

^{*c*} Determined by gel permeation chromatography (mobile phase, tetrahydrofuran at 40 °C) with polystyrene standards.

^{*d*} Determined by gel permeation chromatography (mobile phase, 10 mM L^{-1} lithium bromide/DMF at 40 °C) with polystyrene standards.

^e Nominal value.

^{*f*} Synthesized in our laboratory by radical polymerization of two distilled monomers, VP (Nacalai Tesque, Inc.) and MMA (Nacalai Tesque, Inc.), in the same way as that described in a previous paper (Ohno & Nishio, 2007a).

	$T_{1\rho}^{H}/\mathrm{ms}$								
$CP_{1.71}/P(VP_{0.22}$ -co-MMA _{0.78}) (wt/wt)	CP _{1.71}				P(VP _{0.22} - <i>co</i> -MMA _{0.78})				
	C2/3/5	C8	C9	Ave.	α/ε	b/c/β	d/γ	Ave.	
100/0	20.5	20.3	19.2	20.0	_	_	_	_	
75/25	21.2	21.6	20.5	21.1	21.2	21.5	21.2	21.3	
50/50	22.2	22.8	21.7	22.2	22.1	22.1	22.4	22.2	
25/75	23.1	22.9	22.9	23.0	22.9	23.0	22.8	22.9	
0/100	_	_	—	_	23.3	23.5	24.0	23.6	
	$T_{1\rho}^{H}/ms$								
$CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78})$ (wt/wt)	CP _{2.89}				P(VP _{0.22} - <i>co</i> -MMA _{0.78})				
	C2/3/5	C8	C9	Ave.	α/ε	b/c/β	d/γ	Ave.	
100/0	18.5	17.6	17.7	17.9	_	_	_	_	
75/25	18.9	19.5	18.7	19.0	22.7	23.5	23.2	23.1	
50/50	18.8	18.5	19.2	18.8	23.3	23.4	23.1	23.3	
25/75	18.9	18.6	18.8	18.8	23.1	22.6	23.7	23.1	
0/100	_	_	_	_	23.3	23.5	24.0	23.6	
1									

Table 2 $T_{1\rho}^{H}$ values obtained for two series of blends, $CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78})$ and

3 CP_{2.89}/P(VP_{0.22}-co-MMA_{0.78})