

1 **Submitted to: Engineering Geology**

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3 Pore-pressure generation and fluidization in a loess landslide triggered by the 1920 Haiyuan  
4 earthquake, China: A case study

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35 **Abstract:** During the 1920 Haiyuan earthquake, numerous catastrophic landslides were triggered in the loess  
36 area in Northwest China. We investigated in detail a large example of these landslides, referred to as Dangjiacha  
37 landslide in this paper. This landslide originated from a slope of about 20 degrees, and the displaced soil mass  
38 traveled about 3200 m, damming a valley. We performed a field survey and found that standing water existed in  
39 the landslide area and the loess had high porosity. We infer that it was the liquefaction of the water-saturated  
40 loess layer rather than the suspension of silt in the pore-air in the loess that caused the great mobility of this  
41 landslide. To test this inference, we performed undrained triaxial compression and ring shear tests on loess  
42 samples to examine the shear behavior of loess saturated by either air or water. The test results showed that the  
43 water-saturated loess soil was highly susceptible to flow liquefaction failure. Fast shear tests on naturally  
44 air-dried loess samples revealed that the generated pore-air pressure was small under the “undrained condition”  
45 and no significant reduction in the shear resistance was observed, implying that air entrapped in the loess was  
46 unlikely to be the main contributor to the high mobility of this large-scale landslide.

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48 **Keywords:** loess landslide, Haiyuan earthquake, liquefaction, excess pore-water pressure, air-pressure, high  
49 mobility

## 50 **1. Introduction**

51 Due to their disastrous consequences, rapid landslides with long runout distance pose a great challenge to both  
52 geologists and geotechnical researchers. Much research has been performed in attempts to better understand the  
53 mechanism of this kind of landslide. Different assumptions were proposed for the mechanisms of these rapid  
54 landslides, and they can be categorized into the following five groups (Lucchitta, 1979): (1) flow involving  
55 debris and air (Kent, 1966; Varnes, 1978); (2) flow involving debris alone (Howard, 1973; Hsü, 1975; ); (3) flow  
56 involving debris and water (Plafker and Erickson, 1978); (4) debris sliding on a cushion of air (Shreve, 1968);  
57 and (5) debris sliding on a cushion of steam (Pautre et al., 1974; Habib, 1975). Although the assumptions (1), (3),  
58 (4), and (5) are somewhat different from each other, they all suggest that the involvement of entrained fluid (air  
59 or water) plays a key role in the rapid, long-distance movement.

60 During the 1920 Haiyuan earthquake ( $M=8.5$ ), a great number of catastrophic landslides was triggered in  
61 the loess area of the northwestern part of China (Fig. 1) that killed more than 100,000 people (Close and  
62 McCormick, 1922) and formed many landslide-dams (Zhu, 1989a, b; Derbyshire, 1991; Derbyshire et al, 2000;  
63 Dijkstra et al, 1994). Up to present, many research studies have been performed and different hypotheses have  
64 been proposed to improve understanding of catastrophic landslides. Generally, it has been widely accepted that  
65 catastrophic earthquake-induced landslides were mainly caused by soil liquefaction (Seed, 1966). For example,  
66 Ishihara *et al.* (1990) investigated the large-scale landslides triggered by an earthquake in the suburb of  
67 Dushanbe, the capital of the Tajikistan Republic (January 23, 1989,  $M = 5.5$ ), and concluded that liquefaction  
68 occurred in the landslides masses due to the high collapsibility of the loess soil that may have been saturated by  
69 irrigation water. However, for the loess landslides triggered by the 1920 Haiyuan earthquake, different views  
70 appear to exist. Varnes (1978) regarded these landslides as dry loess flows in his landslide classification.  
71 Ter-Stepanian (1998) thought that these landslides were dry loess flows due to the generation of high pore-air  
72 pressure. Keefer (1984) studied the landslides triggered by 40 major earthquakes that occurred throughout the  
73 world and classified loess landslides as a kind of rapid soil flow.

74 The mechanisms above proposed for the loess landslides appear to be reasonable, because the loess plateau  
75 is generally in a semi-arid environment and the loess has large porosity. In addition, the loess soil is composed  
76 mainly of fine grains and its liquefaction potential during earthquakes is usually considered to be low (Yoshimi,  
77 1991). Nevertheless, recent studies revealed that soils composed almost entirely of silt are liquefiable (Fletcher *et*  
78 *al.*, 2002; Wang and Sassa, 2002; Wang *et al.*, 2007; Zhang et al., 2013). Zhang and his colleagues (Zhang *et al.*,  
79 1995; Zhang and Sassa, 1996; Zhang and Wang, 2007) performed detailed geomorphologic studies of the loess  
80 landslides triggered by the 1920 Haiyuan earthquake and found that slopes in the landslide source areas were  
81 gentle and most landslides occurred on concave slopes. Hence, they concluded that these highly mobile loess  
82 landslides mainly resulted from liquefaction during the earthquake.

83 To further clarify the mechanisms of these loess landslides, we investigated a large-scale landslide that  
84 occurred in Dangjiacha area, Xiji county, Ningxia Province, China (hereinafter termed Dangjiacha landslide).  
85 Evidence for abundant groundwater in the landslide source area was found during our field investigation. We  
86 then conducted a series of laboratory tests to examine loess samples taken from the landslide source area. This  
87 paper presents the field survey and laboratory findings, and then discusses the possible mechanisms contributing  
88 to the rapid, long-distance runout of loess landslides.

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## 2. Dangjiacha landslide

Located approximately 25 km southwest of Xiji city, Dangjiacha landslide was the most catastrophic one triggered by the 1920 Haiyuan earthquake. Figs. 2 and 3 present an airphoto (taken in 1966) and the topography of the landslide area, respectively. The landslide was composed of two big blocks (left and right, referring to viewing the landslide area in the downhill direction), both originating from the same side of a mountain ridge. We surveyed the landslide during 1994, 2002, 2003 and 2005. We used a total-station surveying instrument to create a transverse cross section along I-I' and longitudinal sections along lines II-II' and D-E-F-G (Figs. 2, 4). The left block of the landslide originated from a slope of about 20 degrees and nearly all of it evacuated the source area, while the right block stopped after moving a relatively shorter distance. The left block has a maximum width of about 520 m and length of 2000 m, while the right block has a maximum width of 400 m and length of 1500 m. The locations of the sliding surfaces of these two blocks were inferred from field observations and present topography, and by assuming that the ridges that form the block boundaries (Fig. 2) had not been greatly disturbed. As shown in Fig. 4, the maximum thicknesses were inferred to be approximately 50 m and 40 m for the left and right blocks, respectively. The total volume of the landslide was estimated to be approximately  $2.1 \times 10^7 \text{ m}^3$ , assuming an average deposit thickness of 20 m. Our observations suggest that the right block moved about 230 m (assuming that the materials at point P in Fig. 4b originated from the uppermost part of the scar) and did not experience much deformation during movement. In contrast, the left block was nearly entirely displaced out of the source area, moved northwards for a distance of about 2000 m before turning westwards for an additional 1100 m, and its deposit dammed the valley. A barrier lake formed that is about 5 km long and 380 m wide; it is the largest of the recorded impounded lakes formed by this earthquake (Derbyshire et al., 2000).

We observed standing water (Fig. 5) and shallow groundwater (Points W1 and W2 in Fig. 2) in the landslide source areas, even 80 years after the 1920 earthquake. Point W2 references a well that supplies water for several families, with a water table 22 m (measured on March 26, 2003) below the present ground surface. We were told that the groundwater table in W2 was usually higher during summer. Well W1 is very shallow. To examine the aquifer location, we dug a pit near W1 on March 20, 2005, and found groundwater at a depth of about 2 m (Fig. 5c).

Although the groundwater condition may be different from that of 80 years ago, it is reasonable to believe that the displaced landslide mass was rich in groundwater before the earthquake, because: (1) for this area, the annual potential evapotranspiration is about 1500 mm, while the annual precipitation is less than 400 mm (Derbyshire et al., 2000; Chen et al., 2003). This unbalance has led to a severe water deficit resulting in the area being drier at present than during 1920; (2) the groundwater table might have dropped due to the removal of the landslide mass; and (3) long-term groundwater withdrawal by area residents using the wells in the landslide source area might have further lowered the groundwater table.

We measured the in-situ densities of the loess soils at multiple heights on the main scarp (along line D-E in Fig. 2), and then calculated the void ratios of these soil layers after measuring the specific gravity of the loess (see Fig. 6). The soil layers at different elevations had different void ratios, ranging from 0.79 to 1.31. Generally, soils at lower elevation had lower void ratio, and the minimum void ratio (highest density) was measured for location S1. To study the initiation and movement mechanisms of the landslide in the laboratory, we took intact

128 samples (two blocks, each sized 50 cm × 40 cm × 40 cm) at location S1 and disturbed samples (about 80 kg) at  
129 location S2 from the main scarps (see Figs. 2 and 4). These samples were taken from two pits that were dug  
130 approximately 1 m deep. We hypothesized that liquefaction failure of the water-saturated loess was the main  
131 reason for this long-runout landslide, and if the soil at location S1 was liquefiable, then all soil layers would be  
132 liquefiable as they had higher void ratios than at S1.

133 The liquefaction potential of the loess samples was examined by means of undrained triaxial compression  
134 tests and undrained ring shear tests. Undrained triaxial compression tests were performed on undisturbed  
135 samples to examine the possible occurrence of flow liquefaction, and ring shear tests were performed on  
136 disturbed loess samples to examine the undrained shear strength at the “real steady state” (Poulos, 1981; Wang  
137 and Sassa, 2002). Compared with the ring shear test, the strain level in the triaxial test is limited and the real  
138 steady state as defined by Poulos (1981) may not be reached by the end of a triaxial test. In addition, we also  
139 performed fast undrained ring shear tests on naturally air-dried loess samples with entrapped pore air to examine  
140 the possible role of air in the rapid movement of loess landslides.

### 141 **3. Undrained shear test results**

#### 142 **3.1 Characteristics of loess sample**

143 Following the standards of JGS (Japanese Geotechnical Society), the basic properties of the loess were  
144 measured, and some characteristics are listed in Table 1. Note that the minimum and maximum densities in Table  
145 1 were obtained following ASTM procedures for sands, although the loess sample is a silty soil. The grain size  
146 distribution (shown in Fig. 7) was obtained following ASTM standard D422-63 (2007). As shown, the sample  
147 consists of about 93% silt, 2% sand, and 5% clay.

148 The microstructures of the undisturbed and remolded loess samples were observed using the SEM  
149 technique (Fig. 8 and Fig. 9). Both samples consist of a loosely packed silt skeleton with finer particles coating  
150 larger particles forming aggregates. However, the aggregates in the undisturbed loess form bigger clusters (like  
151 pupa), while those in the remolded loess form a relatively homogenous structure.

#### 152 **3.2 Undrained triaxial compression tests**

153 A series of consolidated-undrained triaxial compression tests was performed on undisturbed samples. The  
154 specimens had a height of 10 cm and a diameter of 5 cm. All specimens were saturated with de-aired water  
155 assisted by CO<sub>2</sub> saturation. Water saturation was ensured by obtaining a B value (Skempton, 1954) of at least  
156 0.95. After saturation, the specimens were consolidated under a given cell pressure, and then compressed under  
157 undrained conditions following the strain-controlled method. Axial strain was increased at a rate of 0.01% per  
158 minute. The specimens were consolidated and tested at cell pressures of 100, 200, 300, and 400 kPa. Note that  
159 these cell pressures were used for observing the collapse behavior at different initial consolidation stresses, as  
160 done in many studies (Sladen et al., 1985; Ishihara, 1993). The triaxial compression at each cell pressure was  
161 terminated when the axial strain reached 30%. Fig. 10 presents the test results in the form of pore-water pressure  
162 against axial strain (Fig. 10a) and effective stress path (Fig. 10b). It can be seen that high pore pressure was  
163 generated, resulting in a remarkable decrease in effective stress. The final pore pressures in the tests were as  
164 great as 65% of the initial cell pressures. The locus of peak points in the effective stress paths can be well fitted  
165 by a straight line passing through the origin. This line is widely known as the flow liquefaction line (FLL) (Vaid  
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168 and Chern, 1983, 1985; Lade, 1993; Ishihara, 1993; Kramer, 1996; Yang, 2002), which indicates the initiation of  
169 flow deformation. The stress ratio, defined as the ratio of  $q$  ( $=(\sigma_1 - \sigma_3)/2$ ) to  $p'$  ( $=(\sigma_1 + \sigma_3)/2$ ), is approximately  
170 0.4, which corresponds to a mobilized friction angle of about 21.8 degrees. From these test results (Fig. 10b), we  
171 can conclude that the loess is susceptible to flow liquefaction failure.

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### 173 **3.3 Undrained ring shear apparatus**

174 The ring shear apparatus allows the residual shear strength of soil to be obtained at large shear  
175 displacements and, therefore, has been widely used in landslide studies (e.g., Bishop *et al.*, 1971; Bromhead,  
176 1979; Gibo, 1994; Tika and Hutchinson, 1999; Liao *et al.*, 2011). A series of undrained ring shear apparatus  
177 (DPRI-4, 5, 6, and 7) developed by Kyoto University (Sassa *et al.*, 2004) has been used to study landslides  
178 triggered by rainfall, earthquakes, impoundment of reservoir water, irrigation, etc. (e.g., Wang *et al.*, 2002; 2003;  
179 Okada *et al.*, 2004; Zhang and Wang, 2007; Zhang *et al.*, 2013; Miao *et al.*, 2014). In this research, DPRI-5 and  
180 DPRI-7 were used. DPRI-5 has a shear box with 120 mm inner diameter, 180 mm outer diameter, and 115 mm  
181 height, an available maximum shear velocity of 10 cm/s and an available maximum normal stress of 2,000 kPa.  
182 DPRI-7 has a larger shear box that is transparent (270 mm inner diameter, 350 mm outer diameter, and 115 mm  
183 height) and can produce a higher shear velocity (as much as 300 cm/s) under an available maximum normal  
184 stress of 500 kPa. Tests can be conducted with both ring shear apparatuses by controlling shear torque or shear  
185 speed under undrained conditions with the ability to measure pore-water pressure. In this study, DPRI-5 was  
186 used for all tests on water-saturated samples, while DPRI-7 was used for the fast shear tests on air-dried samples.  
187 Additional details of the design and construction of these apparatus, as well as the operation method, can be  
188 found in Sassa *et al.* (2003, 2004).

#### 189 **3.3.1 Test results for water-saturated loess**

190 Because all of the samples were consolidated under the same initial normal stress, the dry-deposition  
191 method (Ishihara, 1993) was used to prepare the samples to different initial densities. The oven-dried soil was  
192 poured into the shear box freely in several layers, and each layer was tamped. Different initial densities were  
193 obtained by tamping differently.

194 The samples were saturated with de-aired water assisted by carbon dioxide. For all tests, the degree of  
195 saturation was checked by measuring the  $B_D$  parameter, which was proposed by Sassa (1985) for use in the  
196 direct-shear state.  $B_D$  is defined as the ratio between the increment of generated excess pore pressure ( $\Delta u$ ) and  
197 normal stress ( $\Delta \sigma$ ) in the undrained condition, and formulated as  $B_D = \Delta u / \Delta \sigma$ . If  $B_D \geq 0.95$ , this indicates that the  
198 sample is approximately fully saturated. In this study, all samples were saturated with  $B_D \geq 0.95$ . After saturation,  
199 samples were consolidated at a given normal stress. Because the purpose of this study was to examine the  
200 liquefaction characteristics of loess, a consolidation normal stress of 200 kPa was used for all tests because at  
201 this value our ring shear apparatus can perform best; also, we have accumulated many results from undrained  
202 shear tests performed on different types of soils under this normal stress. After consolidation, samples were  
203 brought to failure by increasing the shear stress at a loading rate of 0.098 kPa/s under undrained conditions. All  
204 samples were sheared until the shear resistance became constant.

205 The results of a test on a water-saturated loess sample with initial void ratio of 1.06 are shown in Fig. 11,  
206 where normal stress, pore-water pressure, and shear resistance are plotted against shear displacement (Fig. 11a).  
207 Fig. 11b shows the effective-stress path. It is noted that pore pressures are measured by pore pressure transducers  
208 connected to a gutter (4×4 mm) located along the entire circumference of the inner wall of the outer ring in the  
209 upper cylinder pair of the specimen chamber, 2 mm above the shear surface. More details on the pore-water  
210 pressure monitoring system can be found in Sassa et al. (2003). From Fig. 11a, it can be seen that the pore-water  
211 pressure increased with increasing shear displacement before reaching a great value (about 85% of the applied  
212 normal stress), while shear resistance decreased to a small, nearly constant value (about 10 kPa) after about 1000  
213 cm of shear displacement; hence, steady-state resistance was reached.

214 Cyclic loading tests were also conducted on a water-saturated loess sample. After saturation, the sample was  
215 consolidated under a normal stress of 200 kPa while a shear stress of 80 kPa was applied. After consolidation, a  
216 cyclic shear loading was applied under undrained conditions with an amplitude of 60 kPa and frequency of 0.25  
217 Hz, while the normal stress was kept constant. Fig. 12 presents the test results in the form of time-series data of  
218 normal stress, shear resistance and pore-water pressure (Fig. 12a), and in the form of the effective stress path  
219 (Fig. 12b). Shear failure was triggered during the first cycle and pore-water pressure increased continuously  
220 thereafter, while shear resistance decreased until reaching a small, constant value (about 7.6 kPa). It is noted that  
221 the measured shear resistance before the occurrence of shear failure represented the applied shear loading, but  
222 after failure shear loading greater than the shear resistance of soil could not be applied (Wang et al., 2007).  
223 Hence, the intended amplitude of the cyclic loading is not apparent in Fig.11, although the frequency of the  
224 applied cyclic loading is. From Figs. 11 and 12, it can be concluded that the water-saturated loess samples are  
225 highly liquefiable under undrained monotonic or cyclic shearing.

### 226 227 **3.3.2 Test results for loess with entrapped air**

228 Because the landslide area is located in an arid region and the loess has high porosity, one may argue that  
229 the low permeability of the loess prevents air from readily escaping so liquefaction can be triggered in dry loess  
230 (Ter-Stepanian, 1998). However, this hypothesis has never been examined by laboratory tests. Here, to evaluate  
231 the possible effect of entrapped air on the high mobility of displaced loess, a series of fast shear tests was  
232 conducted on a loess sample at its natural water content (measured as 8.5%). The loess (some of which was in  
233 undisturbed blocks) was first placed into the shear box without tamping such that the specimen was in a very  
234 loose state with high porosity. After the sample was normally consolidated under a normal stress of 100 kPa, the  
235 shear box was switched to the undrained condition and the sample was sheared by increasing the shear speed  
236 quickly (up to 2 m/s within 4 seconds). The results are shown in Fig. 13. It can be seen that air pressure increased  
237 relatively quickly during the initial 4 seconds, and then tended to reach a constant value with further shearing  
238 (Fig. 13a). During shearing, the specimen showed significant height reduction due to collapse of the soil  
239 structure and the compression of pore air. Fig. 13c presents photos transferred from video records. Before  
240 shearing, the specimen was rich in void space with a void ratio of 1.42. During shearing, shear was localized in  
241 the shear zone and some big void spaces in the soil above the shear zone (upper layer) still remained even after  
242 the shear resistance reached steady state at a shear velocity of 2 m/s. The generated air pressure was about 25%  
243 of the normal stress, which could not lead to a significant loss of shear resistance. The mobilized minimum shear

244 strength ( $\tau_r$ ) was about 55 kPa, which gave a mobilized friction angle ( $\arctan(\tau_r/\sigma_n)$ ) of 28 degrees. However,  
245 the slope angle is about 20 degrees in the source area and is less than 2 degrees in the runout area (e.g., from  
246 Point D to E in Fig. 4). Therefore, this mobilized friction angle would not lead to the long runout movement.

## 247 4. Discussion

### 248 4.1 Liquefaction potential of loess

249 In the analysis of liquefaction potential of fine-grained soils, the Chinese criteria (Wang, 1979) have been  
250 widely used. Based on site observations, Wang (1979) concluded that a clayey soil is susceptible to liquefaction  
251 if it consists of less than 15–20% of grains finer than 0.005 mm and the water content ( $W_c$ ) to liquid limit ( $W_L$ )  
252 ratio is greater than 0.9. Based on the work of Wang (1979), Seed and Idriss (1982) suggested that a soil is  
253 susceptible to liquefaction if the following conditions are met: (1) the fraction of grains finer than 0.005 mm  $\leq$   
254 15%, (2) liquid limit ( $W_L$ )  $\leq$  35%, and (3) natural water content ( $W_c$ )  $\geq$   $0.9 \times W_L$ . A recent study by Bray and  
255 Sancio (2006) of fine-grained soils suggested that loose soils with plasticity index (PI) less than 12 and  $W_c/W_L >$   
256 0.85 are susceptible to liquefaction. Fig. 7 shows that grains finer than 0.005 mm comprise about 18% of the  
257 loess taken from the landslide site. The liquid limit is about 29.5% (see Table 1) and the calculated water content  
258 of the fully saturated specimen at the densest state is 32.8%; hence,  $W_c/W_L \geq 1.1$ . The liquidity index is 1.28 and  
259 the plasticity index is about 11.7. These index values suggest that the loess satisfies the conditions required for  
260 liquefaction that were identified during previous research.

261 Through a number of dynamic triaxial tests, dynamic torsion shear tests and in-situ explosion tests on  
262 saturated loess from Lanzhou, Wang et al. (2004) examined the influence of water content on loess liquefaction,  
263 concluding that if the water content of loess is above the plastic limit, full or partial liquefaction can be triggered.  
264 They also examined the relationship between coseismic ground motion and initiation of loess liquefaction and  
265 concluded that the minimum acceleration of ground motion required to trigger loess liquefaction is 100 gal or  
266 VII degree on the seismic intensity scale of China. Zhang and Wang (1995) analyzed the geological disasters in  
267 loess areas during the 1920 Haiyuan earthquake and found that the seismic intensity of the Xiji area was X  
268 degree. Therefore, it is reasonable to infer that shear failure was triggered within the loess in the source area of  
269 Dangjiacha landslide during the earthquake.

270 As reported by Yang (2002), the slope of the flow liquefaction line varies with both the materials and the  
271 soil state. Wen and Yan (2014) examined the influence of structure on shear characteristics of unsaturated loess  
272 and found that peak shear strength and strength parameters (cohesion and friction angle) of the loess were  
273 significantly reduced once its structure was destroyed. Considering that some loess in the lower part might have  
274 been disturbed before the earthquake by human activities, we performed three undrained triaxial compression  
275 tests on remolded loess samples to examine the possible variation of the flow liquefaction line with the soil state.  
276 The remolded samples in these tests were prepared at the same density as that of the undisturbed samples, and  
277 testing procedures were unchanged from those used during the undisturbed tests. Fig. 14 presents the test results.  
278 As can be seen, similar to results from tests on undisturbed samples, pore water pressure continuously increased  
279 with increasing axial strain, and all tests showed flow liquefaction behavior. However, the slope of the flow  
280 liquefaction line is approximately 18.4 degrees, gentler than that for the undisturbed samples shown in Fig. 11b.  
281 Therefore, we can conclude that the remolded loess is more prone to flow liquefaction than undisturbed loess



282 when at the same density. This change in the slope of the FLL may result from the variation in the microstructure  
283 of the samples. As seen in Figs. 8 and 9, the aggregates in the undisturbed sample are larger, probably due to the  
284 presence of cementing bonds that may be destroyed during the remolding process.

285 If the stress path crosses the FLL during undrained shear, flow liquefaction will be initiated regardless of  
286 whether the loading is cyclic or monotonic (Void and Chern, 1983). Because the test results presented in Fig. 10  
287 were obtained from the densest undisturbed loess sample, it is reasonable to infer that once the stress condition in  
288 the loess layer reaches the FLL due to the introduction of seismic loading, flow liquefaction will be triggered and  
289 the shear resistance will rapidly drop to the steady-state strength.

290

#### 291 **4.2 The steady state of loess and implications for post-failure behavior**

292 Based on the concept of critical void ratio defined by Casagrande (1936) and on the results obtained from  
293 undrained monotonic loading tests on saturated sand, Castro (1969, 1975) introduced the concept of the  
294 steady-state line. Thereafter, the steady state approach for the analysis of liquefaction susceptibility has been  
295 used in practice. The most important assumption in this analysis is that the sand has a unique steady-state line in  
296 void ratio-effective stress space; this line can be determined from the results of undrained tests on loose  
297 specimens of sand, and only those sands with their initial normal stress and void ratio located above the steady  
298 state line can experience liquefaction flow failure (Castro 1969; Castro & Poulos 1977; Poulos 1981; Kramer  
299 1996; Yang 2002). We performed a series of monotonic shear tests on water-saturated loess specimens at  
300 different initial void ratios. Probably due to the small value of the applied initial normal stress (200 kPa) and the  
301 specimen preparation method, the void ratios of tested specimens ranged from 0.9 to 1.1, showing no significant  
302 difference. Each specimen was sheared to steady state. The steady-state points for all the tests are shown on the  $e$   
303 versus  $\log(\tau_s)$  plot (Fig. 15). Based on these data, a steady-state line was obtained by regression. Using the  
304 measured in-situ unit weight ( $\gamma_d$ ) of 15.0 kN/m<sup>3</sup> (the largest value, which corresponds to a void ratio of 0.79) for  
305 the loess layer in the source area, a possible maximum thickness ( $H$ ) of about 50 m (from the cross section along  
306 I-I' shown in Fig. 4a), and the slope angle ( $\theta$ ) of 20 degrees, we estimate that the shear stress acting on the  
307 potential sliding surface ( $\tau = H\gamma_d \sin\theta \cos\theta$ ) before the sliding was about 180 kPa. This initial shear stress and  
308 the in-situ void ratio will plot above the steady-state line shown on Fig. 15. Hence, once shear failure occurred  
309 during the earthquake, the shear resistance of the water saturated loess layer near the sliding surface would drop  
310 to a very small value due to liquefaction, and then the great difference between the driving shear stress and the  
311 lowered shear resistance would result in the accelerating movement of displaced landslide materials.

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#### 313 **4.3 Role of air in the movement**

314 In the analysis of landslide mobility, the parameter of travel angle ( $\phi_a$ ) has been widely used (Scheidegger,  
315 1973; Cruden and Varnes, 1996; Legros, 2002; Crosta, et al., 2005).  $\phi_a$  is defined as  $\tan\phi_a = H/L$ , where  $H$  is the  
316 vertical landslide height of and  $L$  is the horizontal landslide length measured from the crest to the toe of the  
317 landslide (Fig. 16). This value of  $\tan\phi_a$  is also called apparent friction, and  $\phi_a$  apparent friction angle. Low  
318 apparent friction angles indicate high mobility. According to Sassa (1996), this apparent friction angle can also  
319 be obtained from undrained ring shear test results as the mobilized friction angle at steady state  $\phi_m = \arctan(\tau_s/\sigma_i)$ ,  
320 where  $\tau_s$  is shear strength at steady state and  $\sigma_i$  is initial consolidation normal stress.

321 Based on the concept of the mobilized friction angle, the possible effect of entrained air on the landslide  
322 movement was examined by conducting a series of undrained fast shear tests on air-dried loess samples. The  
323 samples were normally consolidated under initial effective normal stresses ( $\sigma_i$ ) of 50 and 100 kPa. In these tests,  
324 the observed pore-air pressures were within a narrow range (20-27 kPa), but the mobilized friction angles were  
325 different (Fig. 17). The test under  $\sigma_i = 100$  kPa indicated a mobilized friction angle of 30 degrees during the  
326 shear process, whereas the test under  $\sigma_i = 50$  kPa showed a significantly lower value of  $\phi_m$  of about 13 degrees.

327 For flow liquefaction cases, it has been found that water-saturated specimens initially consolidated at the  
328 same void ratio but at different confining stresses display equivalent steady-state shear resistance (Sladen et al  
329 1985; Ishihara 1993; Kramer 1996; Yang 2002; among others). Hence, the mobilized friction angle will decrease  
330 with increasing initial normal stress. However, the results shown in Fig. 17 indicate that, for the air-saturated  
331 specimens, the mobilized friction angle increased with increased initial normal stress. This may result from the  
332 following facts: (1) air is more compressible than water and, consequently, more compressible space is needed  
333 for air-saturated samples to ensure the generation of the same magnitude of pore pressure as for water-saturated  
334 samples; and (2) the limited compressible space does not allow the generation of higher pore-air pressure and  
335 consequent significant reduction in shear resistance.

336 From Fig. 17, we can conclude that increased pore-air pressure would have less effect on the mobility of  
337 deep-seated landslides because the reduction of effective normal stress from elevated pore-air pressure is  
338 negligible when the normal stress is large (i.e., the landslide thickness is great). Moreover, it is worth nothing  
339 that the test shown in Fig. 17b was performed under an idealized undrained condition. In the field condition,  
340 high permeability of soil with large pore spaces and abundant cracks may enable the quick dissipation of  
341 generated air pressure. Hence, any shear-induced generation of air pressure in the field would be smaller than in  
342 the laboratory to the extent that its contribution to the high mobility of shallow landslides may be ignored.

343 From Fig. 2, it is apparent that the landslide materials that underwent long-runout movement mainly came  
344 from the left block where a valley existed, while the main body of the displaced material on the right block  
345 remained in the source area after moving about 230 m. Although the groundwater condition at the time of  
346 landsliding is not available, it is reasonable to infer that the soil layers near or above the sliding surface of the  
347 left block may have been fully or highly saturated, while those of the right block may have been partially  
348 saturated. Zhang and Wang (2007) examined the effect of the saturation degree on the steady-state strength of  
349 loess and found that the apparent friction angles of unsaturated loess were  $31^\circ$  and  $26.6^\circ$  at saturation degrees of  
350 5.4% and 32.6%, respectively. These values are much greater than the saturated friction angle we obtained from  
351 our test results (about  $2.9^\circ$ , Fig.11). Therefore, it is reasonable to infer that liquefaction of the fully or highly  
352 saturated loess layer was the main reason for the high mobility. Due to the introduction of seismic load during  
353 the earthquake, high pore-water pressure was generated within the loess near the sliding surface, resulting in  
354 initiation of the landslide. Further increase of pore-water pressure with increasing shear displacement after shear  
355 failure occurred may have elevated the mobility of displaced landslide materials.

356 It is also reasonable to infer that a considerable depth of unsaturated loess above the water table existed  
357 within the displaced mass. The unsaturated loess could not liquefy under seismic loading, but could be  
358 dynamically fragmented due to the rapid downslope movement. Downslope travel of the moving mass could be

359 further accelerated if shear failure and subsequent liquefaction occurred. Strong vibration within the sliding mass  
360 could also be initiated, resulting in widespread fluidization, due to following reasons (Iverson, 1997; Iverson *et*  
361 *al.*,1997): (1) the downslope movement of the sliding mass supplies bulk translational energy which converts to  
362 grain/aggregate fluctuation energy when grains/aggregates are under shear along irregular surfaces; and (2) the  
363 slope-parallel moving velocity can convert into slope-normal fluctuation velocity when soil layers interact with  
364 the rough sliding bed. Continued occurrence of this process can mobilize the whole displaced landslide mass into  
365 a flow. In this sense, two reasons may be proposed for the differing movement of the two sub-blocks: (1) the  
366 moisture content may have differed at the time of the earthquake. The left sub-block in the pre-existing valley  
367 may have had higher moisture content, which promoted the occurrence of fragmentation; (2) the runout lengths  
368 of the two blocks were different. Greater runout length would promote the occurrence of the above-mentioned  
369 processes and enable fluidization of the entire sliding mass.

370 Although some researchers consider air lubrication as the reason for the rapid movement of landslides  
371 where the displaced landslide materials slide on a thin layer of compressed air after topographic jumps (Kent,  
372 1966; Shreve, 1968), it should be noted that the air pressures needed to support the overburden sliding mass are  
373 unrealistically high (Erismann and Abele, 2001) and the agitated sliding mass is relatively permeable so that air  
374 pressure is likely to dissipate quickly through the debris. The test results shown in Fig. 17 were obtained under  
375 an idealized undrained condition with the introduction of an initial air pressure of 50 kPa, which elevated the  
376 potential for shear-induced generation of pore-air pressure. However, in the field condition the loess is cut by  
377 many vertical joints, which favors the quick dissipation of generated pore-air pressure. Furthermore,  
378 fragmentation of the displaced landslide mass would also disenable generation of high air-pressure. Therefore,  
379 we consider that the formation of an air cushion would not be possible during the movement of this landslide.

## 380 **5. Conclusions**

381 This paper presents the results of field surveys and experimental investigation of the Dangjiacha landslide  
382 triggered by the 1920 Haiyuan, China earthquake. Based on findings of the field surveys and results of undrained  
383 triaxial compression tests and ring-shear tests on loess samples taken from the source area, the mechanisms  
384 underlying this landslide were evaluated in detail. The following conclusions can be drawn.

385 (1) Dangjiacha landslide was triggered by the flow liquefaction of loess soil, characterized by high mobility  
386 and a large runout distance but along a very gently sloped travel path.

387 (2) Standing water and shallow groundwater existed in the source area even 80 years after the occurrence of  
388 the landslide, providing evidence for the high probability of water saturation of the loess layer near the sliding  
389 surface and of the occurrence of liquefaction within this soil layer after the shear failure occurred.

390 (3) Laboratory tests on the loess samples from the landslide source area showed that they were liquefiable.  
391 The water-saturated loess could be liquefied after the slope instability was triggered by the strong earthquake.  
392 The big difference between the driving shear stress and the lowered shear resistance of the sliding surface  
393 enabled the displaced landslide mass to accelerate, resulting in rapid movement. The rapid downslope movement  
394 enabled more soil layers above the sliding layer to be fluidized, finally bringing the whole displaced landslide  
395 mass into flow.

396 (4) The fast ring shear tests showed that the loess at its natural moisture content with entrapped air had  
397 slightly reduced shear strength due to the increase of pore-air pressure. Although the generated pore-air pressure  
398 may play a role in the mobility of shallow landslides under an ideal undrained condition, it is unlikely to cause  
399 high mobility of large-scale landslides. The high mobility of Dangjiacha landslide was due mainly to the  
400 liquefaction failure of the water-saturated loess layers, not to the involvement of air in the movement.

401

#### 402 **Acknowledgments**

403 This study was partially supported by two scientific research grants (No. 18380094 and No.23380084) from the  
404 MEXT of Japan. Dr. Fanyu Zhang of Lanzhou University is thanked for his help in the field survey and some  
405 laboratory testing. We also would like to thank Prof. Masahiro Chigira (Kyoto University) for his help in the  
406 microstructure analysis using SEM. Mr. William H. Schulz in U.S. Geological Survey is thanked for his help in  
407 editing the final version of this draft. Valuable review comments by Prof. Hungr (the University of British  
408 Columbia) in the former version of this draft are appreciated. Finally, our special thanks go to our three  
409 anonymous reviewers and Prof. Juang for their valuable comments that substantially improved this paper.

410

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537 **Figure Caption:**

538 **Fig. 1.** Location of loess landslides area on the map of China

539 **Fig. 2.** Dangjiacha landslide in Xiji, Ningxia, triggered by the 1920 Haiyuan Earthquake (photo was taken in  
540 1966). W1, W2: locations of Well 1 and Well 2; S1, S2: sampling locations of undisturbed and disturbed loess,  
541 respectively.

542 **Fig. 3.** Topographic map of the Dangjiacha landslide area.

543 **Fig. 4.** Cross section along Line I-I' (a), longitude section along Line II-II' (b) and Line D-E-F-G (c) in Fig. 2,  
544 respectively.

545 **Fig. 5.** Wells located in the right (a) and left (b) sliding blocks and the investigation pit (c) near the well W1

546 **Fig. 6.** Void ratios of loess soils at different elevations along line D-E in Fig. 2. S1: Sampling location of  
547 undisturbed loess.

548 **Fig. 7.** Grain size distribution of loess from the source area of the right sliding block.

549 **Fig. 8.** Microstructures of undisturbed sample. (a)×300, and (b) ×500.

550 **Fig. 9.** Microstructures of disturbed sample. (a)×300, and (b) ×500.

551 **Fig. 10.** Results from undrained triaxial compression tests performed on saturated, undisturbed loess samples  
552 ( $e=0.79$ ). (a) Pore-water pressure against axial strain; (b) Effective stress path. FLL: Flow Liquefaction Line.

553 **Fig. 11.** Undrained response of water-saturated loess sample to monotonic shearing. (a) Normal stress, shear  
554 resistance and pore-water pressure versus shear displacement; (b) Effective stress path ( $e = 1.06$ )

555 **Fig. 12.** Undrained response of water-saturated loess sample to cyclic loading in the ring shear test. (a) Time  
556 series of normal stress, shear resistance, pore-water pressure and shear displacement; (b) Effective stress path  
557 ( $e = 0.99$ )

558 **Fig. 13.** Undrained response to fast shearing of loess at its natural water content with entrapped air. (a) Time  
559 series data; (b) Mobilized friction angle and reduction in sample height versus shear displacement; (c)  
560 Specimen states before shearing (left) and after 6 seconds of shearing (right) ( $e = 1.42$ ).

561 **Fig. 14.** Undrained triaxial compression tests on remolded sample ( $e=0.79$ ). (a) Pore-water pressure against axial  
562 strain; (b) Effective stress path. FLL: Flow Liquefaction Line.

563 **Fig. 15.** Shear resistance at steady state versus void ratio for water-saturated loess samples

564 **Fig. 16.** Definition of the travel angle ( $\phi_a$ ) for a landslide

565 **Fig. 17.** Results of tests on air-dried loess samples that were consolidated under the initial stress of (a) 100 kPa  
566 ( $e = 1.42$ ), and (b) 50 kPa ( $e = 1.43$ ).

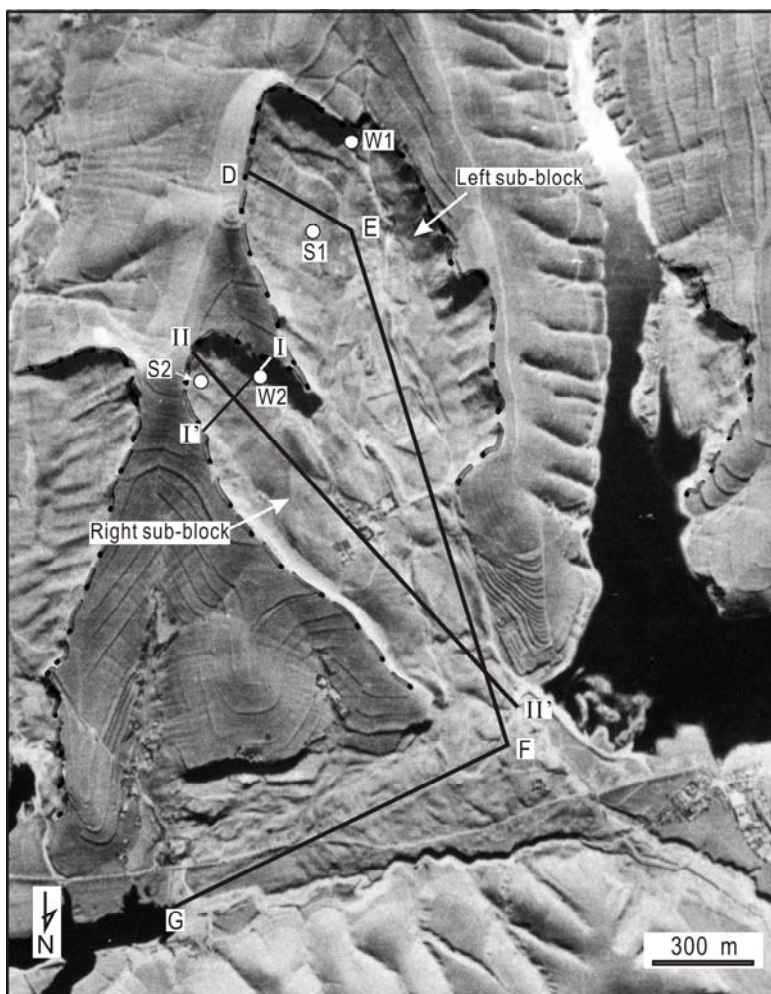
567



**Figures:**



**Fig. 1.** Location of loess landslides area on the map of China



**Fig. 2.** Dangjiacha landslide in Xiji, Ningxia, triggered by the 1920 Haiyuan Earthquake (photo was taken in 1966). W1, W2: locations of Well 1 and Well 2; S1, S2: sampling locations of undisturbed and disturbed loess, respectively.

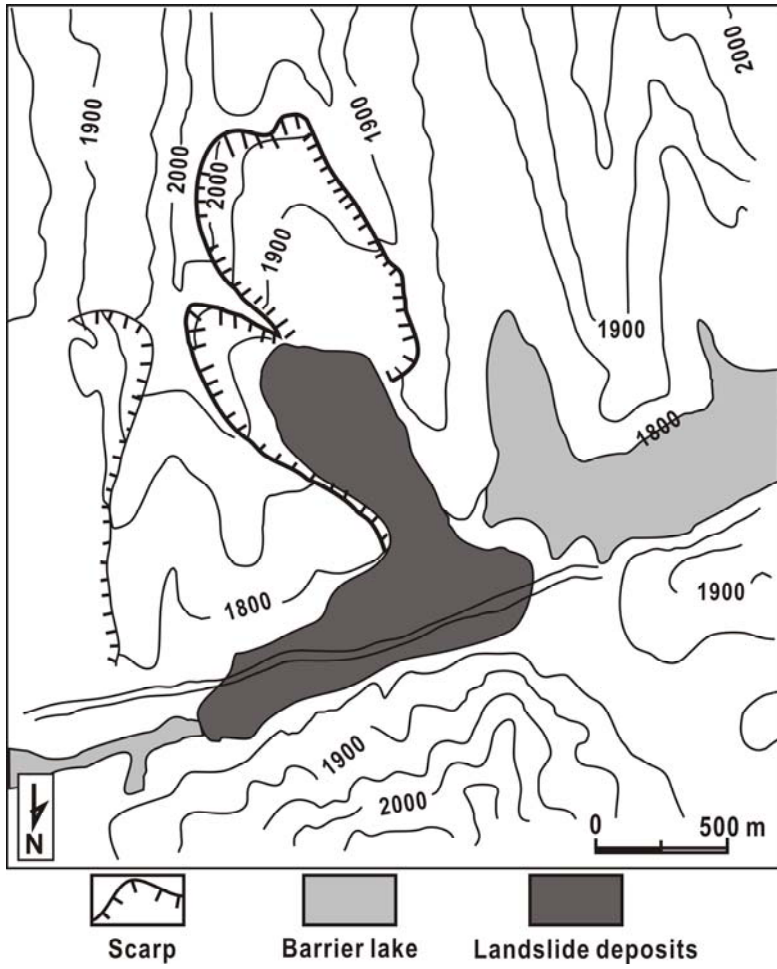
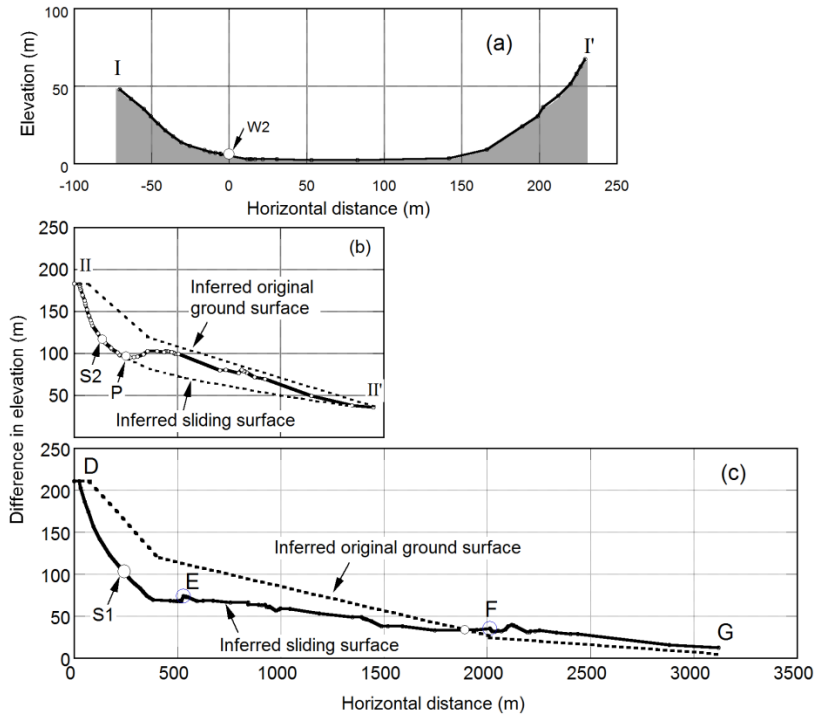


Fig. 3. Topographic map of the Dangjiacha landslide area.

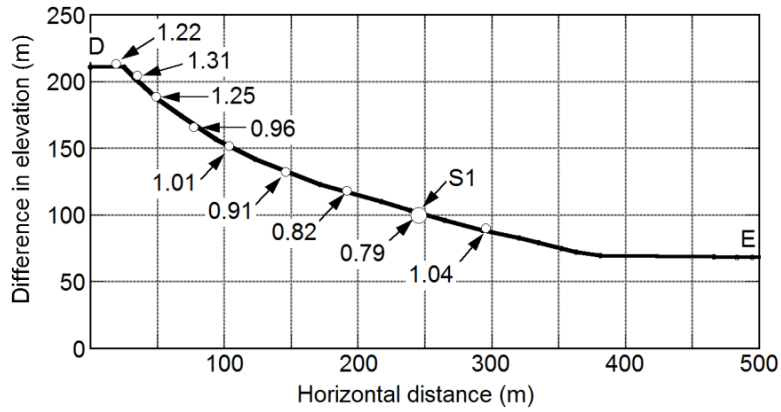


**Fig. 4.** Cross section along Line I-I' (a), longitude section along Line II-II' (b) and Line D-E-F-G (c) in Fig. 2,

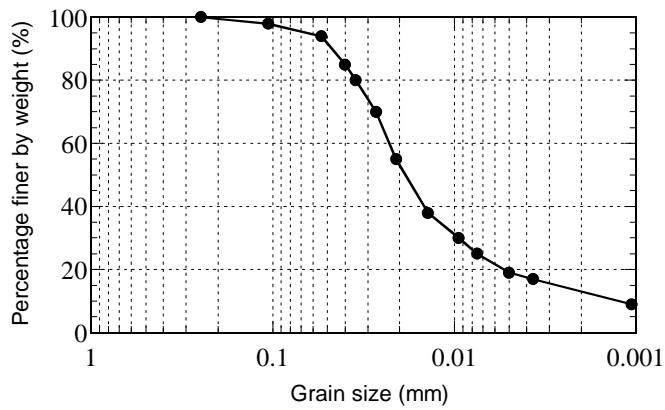
respectively.



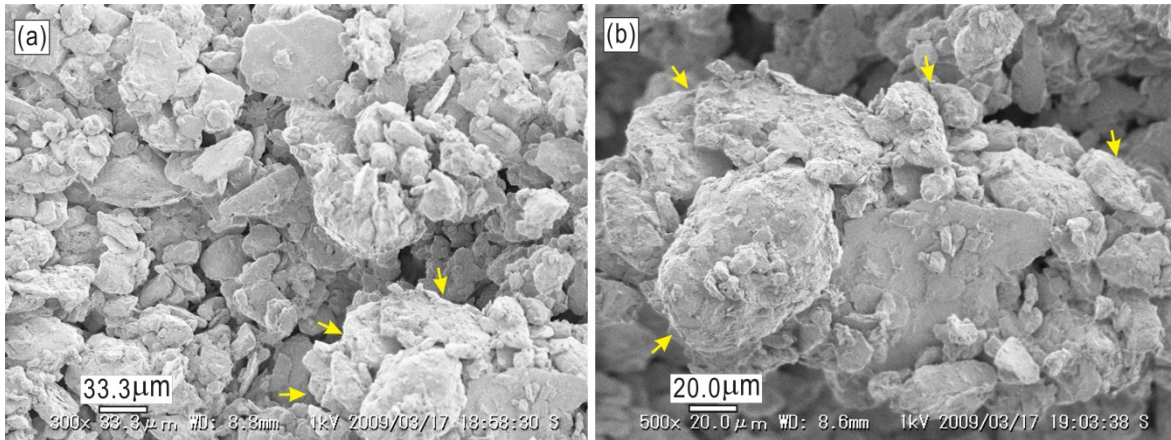
**Fig. 5.** Wells located in the right (a) and left (b) sliding blocks and the investigation pit (c) near the well W1



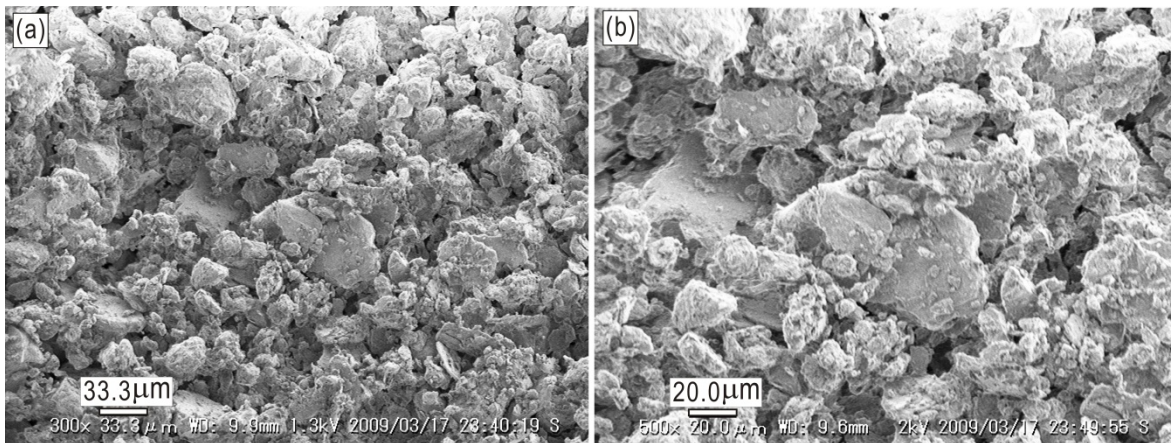
**Fig. 6.** Void ratios of loess soils at different elevations along Line D-E in Fig.2. S1: Sampling location of undisturbed loess.



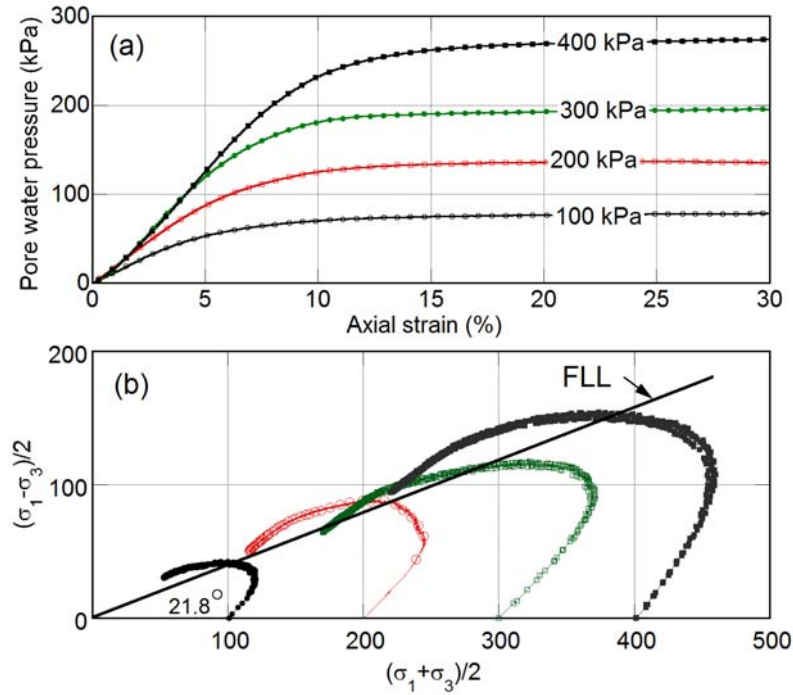
**Fig. 7.** Grain size distribution of loess sample from the source area of the right sliding block.



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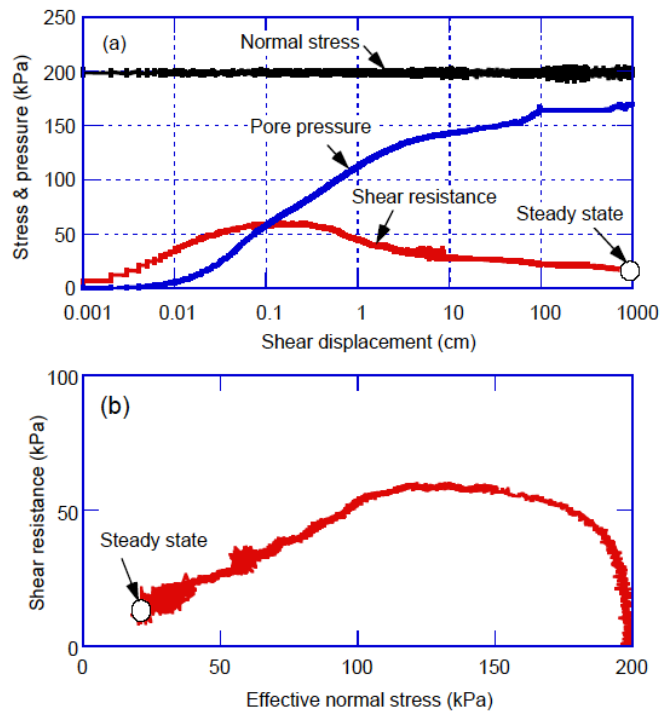


**Fig. 9.** Microstructures of disturbed sample. (a)×300, and (b) ×500.



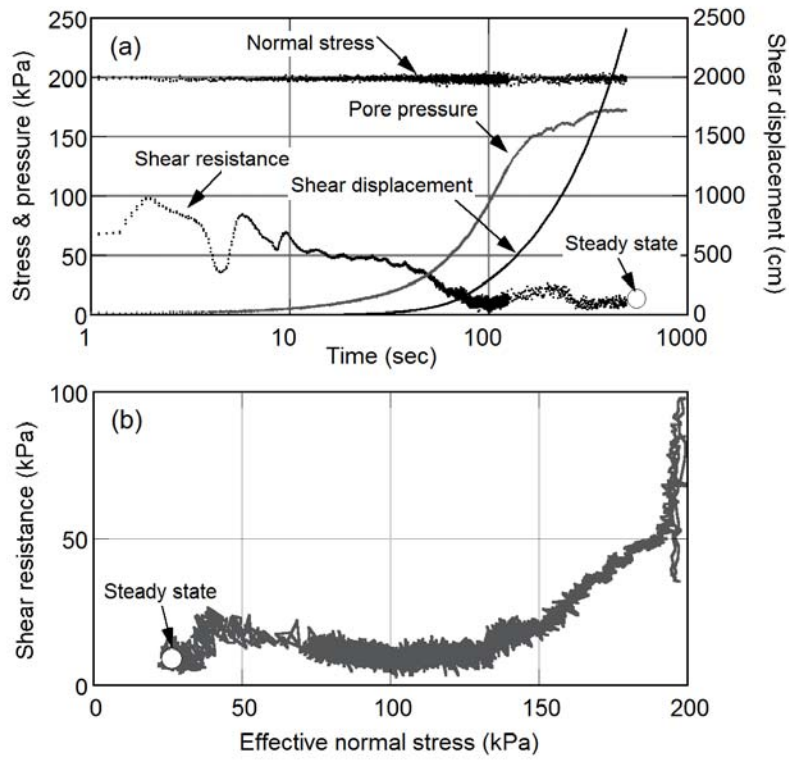
**Fig. 10.** Undrained triaxial compression test results on saturated undisturbed loess samples ( $e=0.79$ ). (a)

Pore-water pressure against axial strain; (b) Effective stress path. FLL: Flow Liquefaction Line.

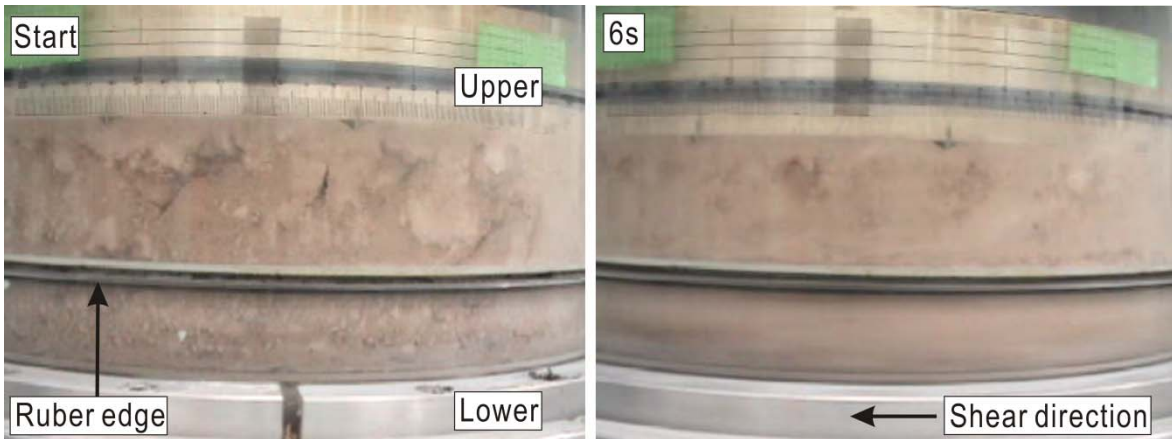
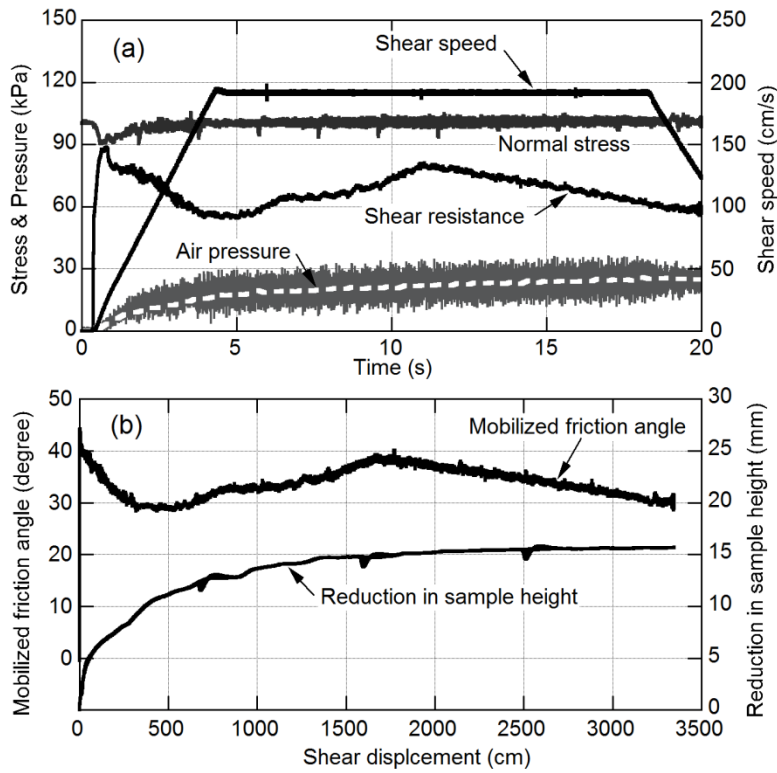


**Fig. 11.** Undrained response of water-saturated loess sample to monotonic shearing. (a) Normal stress, shear

resistance and pore-water pressure versus shear displacement; (b) Effective stress path ( $e = 1.06$ )



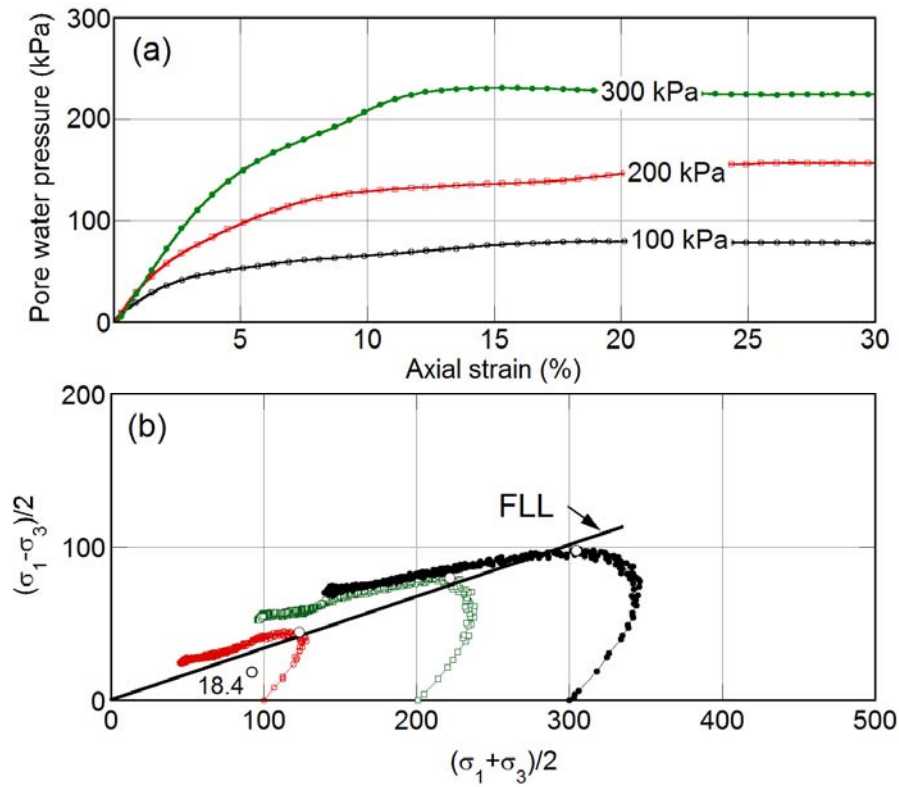
**Fig. 12.** Undrained response of water-saturated loess sample to cyclic loading in ring shear test. (a) Time series data of normal stress, shear resistance, pore-water pressure and shear displacement; (b) Effective stress path ( $e = 0.99$ )



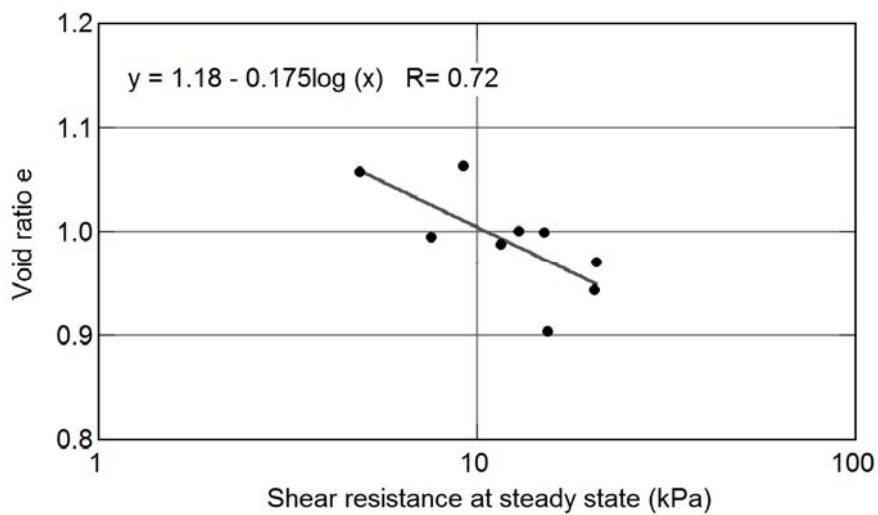
**Fig. 13.** Undrained response to fast shearing of loess sample in natural water content state with entrapped air.

(a) Time series data; (b) Mobilized friction angle and reduction in sample height versus shear displacement; (c) Specimen states before shearing (left) and after 6 seconds of shearing (right) ( $e = 1.42$ ).

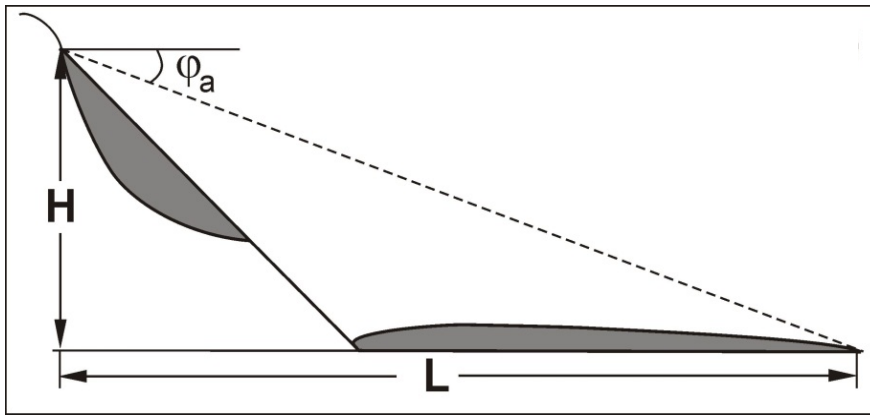




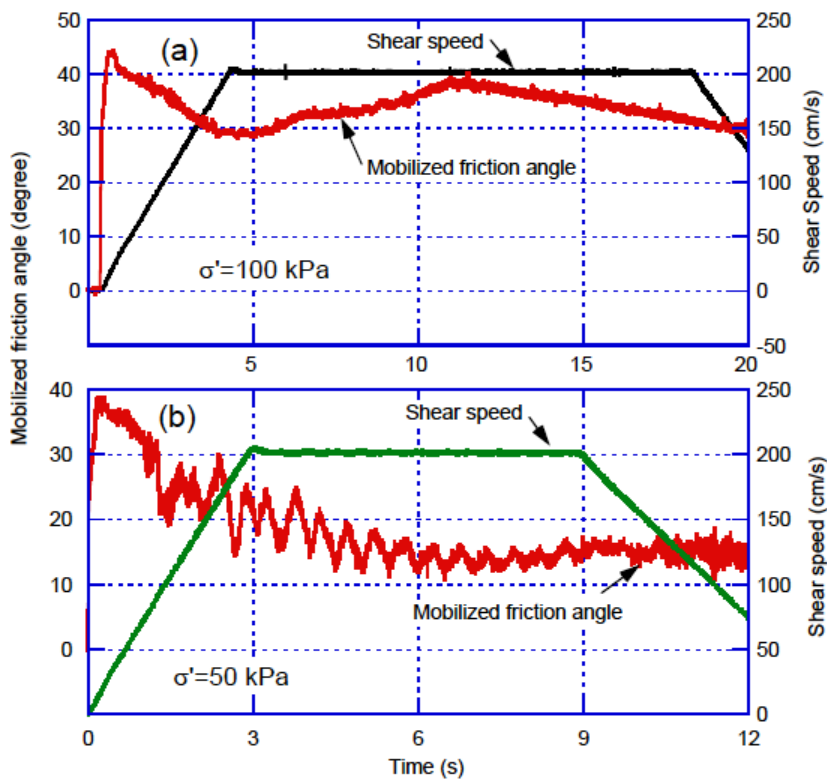
**Fig. 14.** Undrained triaxial compression tests on remolded sample ( $e=0.79$ ). (a) Pore-water pressure against axial strain; (b) Effective stress path. FLL: Flow Liquefaction Line.



**Fig. 15.** Shear resistance at steady state versus void ratio for water-saturated loess samples



**Fig. 16.** Definition of the travel angle ( $\phi_a$ ) for a landslide



**Fig. 17.** Results of tests on air-dried loess samples that were consolidated under the initial stress of (a) 100

kPa ( $e = 1.42$ ), and (b) 50 kPa ( $e = 1.43$ ), respectively.