

1 **Embryonic learning of chemical cues via the parents' host in anemonefish (*Amphiprion***  
2 ***ocellaris*)**

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18 **ABSTRACT**

19

20 The species-specific host-recognition system of anemonefish was examined experimentally,  
21 with a particular focus on the function of imprinting using naive *Amphiprion ocellaris* juveniles.  
22 Anemonefish parents lay their eggs very close to their host anemone so the eggs are almost always  
23 touched by the host's body or tentacles. Here, we demonstrate the embryonic and immediate post-  
24 hatching learning of chemical cues via the parents' host in *A. ocellaris* through a host-exchange  
25 experiment with egg batches during hatching. The memory obtained from such imprinting operates  
26 at the time when juveniles first search for their hosts. Unexpectedly, innate recognition was found  
27 to exist not only in the symbiotic host species but also weakly in two non-partner species. Innate  
28 recognition alone is not sufficient. Imprinting via the parents' host complements innate recognition,  
29 leading to rigid species-specific host recognition. Imprinting by the parents' single host provides a  
30 sufficient cue for reaching the two host species. Furthermore, when combined with imprinting,  
31 innate recognition of non-partners serves to supplement the recognition of those species, leading to  
32 substitute partnerships that are only observed in some localities. Potential functions of imprinting in  
33 the host-recognition system are discussed. The "spare recognition hypothesis" and the necessity of  
34 clear distinctions between symbiotic and substitute species are also proposed here.

35

36 **Keywords:** Symbiosis; Anemonefish; Sea anemone; Imprinting; Embryonic learning; Host  
37 recognition

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## 40 1. Introduction

41

42 After spending ca. 1 week in the pelagic stage, anemonefish larvae become juveniles  
43 (characteristic white bands appear), after which they enter the benthic stage and begin to look for  
44 hosts. Each anemonefish inhabits species-specific symbiotic anemone(s). Previous studies, both in  
45 laboratory aquaria (Miyagawa, 1989; Miyagawa and Hidaka, 1980) and in the sea (Elliott et al.,  
46 1995), have demonstrated that naive juvenile anemonefish reach their hosts by recognising  
47 chemicals emitted from symbiotic anemone(s). Visual cues do not play a large role in host  
48 recognition during their first encounter (Arvedlund et al., 1999; Arvedlund and Nielsen, 1996;  
49 Elliott et al., 1995; Miyagawa, 1989; Miyagawa and Hidaka, 1980).

50 The potential functions of host imprinting in this chemical recognition have been documented,  
51 focusing on an additional function that may supplement the recognition of substitute species in  
52 cases of host shortage, which leads to unusual partnerships in some localities (Miyagawa, 1989).  
53 The *Amphiprion perideraion*–*Heteractis crispa* partnership in the Ryukyu Islands, Japan (Hirose,  
54 1985; Uchida et al., 1975) is considered a typical example of such substitute partnerships.

55 Arvedlund and Nielsen (1996) first demonstrated that imprinting by the parents' host is  
56 necessary for juveniles to recognise their symbiotic host in *A. ocellaris*. However, they conducted  
57 experiments with only *Heteractis magnifica*, one of two symbiotic partner anemones that *A.*  
58 *ocellaris* usually inhabits. *Amphiprion ocellaris* juveniles that hatched close to their other symbiotic  
59 host, *Stichodactyla gigantea*, could recognise both symbiotic anemones (Miyagawa, 1989).  
60 Therefore, the determination of whether juveniles that hatch adjacent to *H. magnifica* can also  
61 recognise both symbiotic species is needed to fully demonstrate that imprinting by a single parents'  
62 host provides a sufficient clue to reach both symbiotic species.

63 Several important questions remain. This chemical recognition is thought to be established on  
64 the basis of innate recognition. *Amphiprion melanopus* was thought to possess an innate preference  
65 for its symbiotic anemone *Entacmaea quadricolor* (Arvedlund et al., 1999), but the mechanism of  
66 this innate recognition has not yet been clearly documented.

67 The timing of the critical (sensitive) period of this imprinting also remains unknown. Newly  
68 hatched anemonefish larvae soon rise up to the water surface toward the afterglow of sunset,  
69 thereby avoiding the lethal touch of the parents' host's tentacles (Miyagawa, 1989). To survive,  
70 anemonefish have to look for hosts immediately after entering the benthic stage and therefore need  
71 to be imprinted before becoming juveniles. For these reasons, we predicted that imprinting occurs  
72 during both the pre-hatching and immediate post-hatching stages, and we conducted host-exchange  
73 experiments to test this hypothesis.

74 Lastly, the need for imprinting in such a rigid species-specific host-recognition system has not  
75 yet been explained. Such imprinting is thought to provide flexibility for adapting to changing  
76 environments. Therefore, we attempted to verify if imprinting results in unusual partnerships in  
77 some localities. The present study was conducted to resolve unanswered questions using hatching  
78 egg batches and naive juvenile anemonefish. Our main objectives were to verify the existence of  
79 basic innate (genetic) recognition and to determine how imprinting (learned) and innate recognition  
80 (hard-wired) work together in the host-recognition system; define the duration of the critical period;  
81 and establish the adaptive function of this imprinting.

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## 84 **2. Materials and methods**

85

### 86 *2.1. Sea anemones*

87

88 Five species of anemonefish symbiotic anemones, *Stichodactyla gigantea* (Forsskal, 1775),  
89 *Heteractis magnifica* (Quoy and Gaimard, 1833), *Stichodactyla mertensii* (Brandt, 1835),  
90 *Heteractis crispa* (Ehrenberg, 1834) and *Entacmaea quadricolor* (Rueppell and Leuckart, 1828)  
91 were collected from the sea off the Motobu Peninsula (026.64N, 128.13E), close to the Okinawa  
92 Churaumi Aquarium, and kept in separate tanks to avoid any chemical contamination. Tanks were  
93 supplied with running natural seawater and kept under sunlight so that zooxanthellae in the  
94 anemone bodies could survive and maintain the health of the anemones; when zooxanthellae began  
95 to decline, large anemones were returned to the sea along with a few associated anemonefish.  
96 Several non-partner species of *A. ocellaris* that are partners of other anemonefish were also tested:  
97 *S. mertensii*, which is the partner of *Amphiprion sandaracinos* and *A. clarkii*; *H. crispa*, which is  
98 that of *A. clarkii* and *A. perideraion*; and *E. quadricolor*, which is the partner of *A. frenatus* in the  
99 Ryukyu Islands.

100 Experimental anemones are expressed using abbreviations for convenience: *S. gigantean* = Sg,  
101 *H. magnifica* = Hm, *S. mertensii* = *Sm*, *H. crispa* = *Hc* and *E. quadricolor* = *Eq*. Underlined names  
102 are symbiotic partner species of *A. ocellaris*.

103

### 104 *2.2. Naive fish*

105

106 *Amphiprion ocellaris* and *A. perideraion* juveniles used in this study were laboratory-bred at  
107 the Okinawa Churaumi Aquarium from July to October in 2007–2011. Parent anemonefish with  
108 host anemones were collected from the sea off the Motobu Peninsula.

109 Parent fish laid eggs closely adjacent to the host anemone on the wall or bottom of their  
110 rearing tank (100 L and 30 L). Breeding was done with a different anemonefish pair for each  
111 anemone species, but two pairs were used with *Hm* to confirm the results. A pair without a host (to  
112 obtain non-imprinted fish) laid eggs inside the wall of a PVC duct (10 cm diameter  $\times$  15 cm length);  
113 the next year, this pair was used to breed juveniles that were hatched adjacent to *Sm*.

114 The parental male cared for the eggs until hatching. After ca. 1 week, the eggs hatched after  
115 sunset and hatched larvae were collected by flashlight and gently transferred to a 100-L tank using a  
116 siphon or a plastic container. Thereafter, the larvae were kept isolated from any possible sensory  
117 contact with sea anemones until the experiments. Larvae were fed the marine rotifer *Brachionus*  
118 *plicatilis*; increasing amounts of *Artemia salina* nauplii were added as development proceeded. As  
119 juveniles grew, their diet was switched to frozen Copepoda and the dry fish food.

120

### 121 2.3. Trough experiment

122

123 From July to September, a PVC trough (200 cm long  $\times$  12 cm wide  $\times$  9 cm high) was used for  
124 the experiments (Fig. 1). The trough was marked every 40 cm to create five sections (I–V) for  
125 monitoring the behavioural responses of test fish. When the water temperature fell below 26.5°C at  
126 the end of October or the beginning of November, juveniles became fairly inactive and few reached  
127 section V in the 200-cm trough even though they showed some attraction. Therefore, to confirm  
128 their responses, a 150-cm trough was used with markings every 30 cm, which clearly confirmed  
129 their attraction or non-attraction.

130 The same experimental trough was used for experiments with different anemone chemicals. To  
131 avoid chemical contamination of the trough, the inside was completely covered with a thin (0.02  
132 mm) polyethylene sheet, and overflow water was drained from a plastic tube attached to the sheet at  
133 the end of section I (Fig. 1) because anemone chemicals easily stick to PVC. After each experiment,  
134 the polyethylene sheet and drainage tube were removed and a new sheet and tube were used in  
135 every experiment.

136 Test fish were removed from the rearing tank in a glass beaker that was then placed at the end  
137 of section I and left for 10–15 min before the test to allow the fish to acclimate to the experimental  
138 conditions. Then, test juveniles were gently released into the trough at the closed end of section I.  
139 At first, fresh seawater was supplied as a control and then experimental seawater containing test  
140 anemone chemicals was continuously supplied by a vinyl tube at the far end of the trough (section  
141 V) at a flow rate of 75–85 mL min<sup>-1</sup>.

142 Since overflowing seawater drained from the plastic tube at the end of section I, and judging  
143 from the behaviour of the tested fish, some portion of the symbiotic anemone chemicals seemed to

144 reach section I relatively fast (in a few minutes), being delivered near the water surface via the  
145 overflowing water. Seawater containing test anemone chemicals was poured into the trough  
146 continuously at approximately the same flow rate for every experiment in order to make an incline  
147 of concentration of chemicals from section V to I: concentration was thought to be the highest in  
148 section V. When seawater containing symbiotic anemone chemicals started to be poured into the  
149 trough, tested juveniles soon appeared to recognise something in the water, especially seeming to  
150 detect something just beneath the water surface, and started to swim around actively. They were  
151 observed to proceed toward and reach section V, seemingly following chemicals in the water.

152 During the control period with fresh seawater and for ca. 1–2 min after the introduction of  
153 seawater that contained chemicals from a test anemone, the behaviours and movements of the fish  
154 were observed for 30 min (60 min with non-imprinted and *Sg* juveniles), and the locations of test  
155 juveniles were recorded every 30 s. Each test was repeated three to five times with a new set (3–5  
156 individuals) of juveniles.

157 The number of juveniles that reached or stayed in section V was used to judge whether  
158 juveniles were attracted to the test anemone chemicals. The average number of juveniles that  
159 reached or stayed in section V per observation period ("reach V value" hereafter) for each control  
160 and test condition was calculated, and values were compared among groups using Paired t-tests (see  
161 Results and Supplementary Data Fig. I–V).

162 For trough experiments with non-imprinted and *Sg* juveniles, each test anemone was placed in  
163 a container (10-L, 25-L, and 35-L containers were used according to anemone size), and seawater in  
164 the container that contained chemicals from the anemone was poured into the trough. The anemones  
165 varied greatly in size: *Sg*, ca. 25–40 cm diameter; *Hm*, 60–80 cm; *Sm*, 50–70 cm; *Hc*, 25–30 cm;  
166 *Eq*, 6–10 cm diameter (8–10 individuals of *Eq* were used together in each experiment). To keep the  
167 concentration of anemone chemicals roughly equal among the experiments, each test anemone was  
168 weighed and the amount of seawater placed in their respective containers was determined to be  
169 inversely proportional to the ratio of their weight: e.g. *Hm*, 6.2 kg with 20 L seawater and *Sg*, 3.4 kg  
170 with 11 L seawater.

171 In all experiments, other than ones with non-imprinted and *Sg* juveniles, seawater from the  
172 typical rearing tank for each anemone was used as the seawater containing test-anemone chemicals  
173 to prevent non-imprinted juveniles from responding to the dense concentration of symbiotic host  
174 chemicals. The volume of seawater in the rearing tanks of large anemones (*Hm* and *Sm*) was ca. 70  
175 L and the volume with medium size anemones (*Sg* and *Hc*) was ca. 30 L. Seawater near the test  
176 anemone (within ca. 10–15 cm) was siphoned from the rearing tank and poured into the trough.

177 During all experiments, the same amount of fresh seawater that was poured into the trough  
178 was supplied to the container and the rearing tank. To obtain adequate results over a short

179 observation period, a folded gauze with attached anemone mucus was wound around the inlet tube  
180 tip (finished dimensions ca.  $2 \times 5$  cm) in all trough experiments except those with non-imprinted  
181 and  
182 Sg juveniles. During preparation, clean gauze ( $30 \times 45$  cm) was kept on the oral disc or attached to  
183 the column of each test anemone for more than 3 h before the experiment. Newly collected  
184 anemones were used for trough experiments as much as possible, while anemones without reduced  
185 zooxanthellae were used when necessary.

186 The imprinting rates of Hm- and *Sm-A. ocellaris* juveniles were not high, and therefore, the  
187 imprinting status of these juveniles was checked at the start of the trough experiments. Imprinted  
188 juveniles of each condition were kept separately from non-imprinted juveniles, and the trough  
189 experiments were then conducted using chemicals from other test anemones.

190

#### 191 2.4. Host-changing manipulation

192

193 A long period of time is usually needed for an *A. ocellaris* pair to start breeding adjacent to a  
194 non-partner species host. Night observations have shown that anemonefish are unable to see in the  
195 dark. Therefore, after dark, "host-changing manipulations" were performed on the evening (i.e. ca.  
196 1 day) before hatching. The Hm host anemone of a pair was replaced with *Hc* (Supplementary Data  
197 Fig. VI). The pair accepted the new host *Hc* and the parental male continued to take care of the eggs  
198 until hatching, as usual.

199

#### 200 2.5. Host-exchange experiment

201

202 To determine the timing of the critical period, an egg batch needed to be transferred adjacent to  
203 a Sg anemone. The parents of non-imprinted juveniles were accustomed to laying their eggs inside  
204 the wall of a PVC duct, and therefore, this pair was made to associate with an *Sm* anemone. They  
205 laid eggs inside the wall of the same PVC duct that was cut in half adjacent to *Sm*.

206 At hatching, the parental male stirred the eggs by wagging and rubbing its body above the  
207 eggs. This behaviour appeared to promote hatching. Eggs did not start to hatch without this male  
208 behaviour; however, we noticed that once hatching started and toward the end of hatching, some  
209 eggs hatched without such male care. Therefore, although it was very difficult to determine the  
210 transfer timing in the dark (during our last attempt, we used a night vision device), after more than  
211 60–70% of eggs had hatched in the parents' tank with *Sm*, the remaining eggs on the half-cut PVC  
212 duct were initially transferred into a small container filled with fresh seawater. The spawning duct  
213 was then quickly transferred to the rearing Sg tank and placed ca. 5 cm from the Sg's oral disc to

214 prevent newly hatched larvae from being killed by the tentacles (Supplementary Data Fig. VII). We  
215 were careful to avoid transferring water and newly hatched larvae from the *Sm* tank and the small  
216 container into the *Sg* tank. To minimise the time during which the eggs were out of water, every  
217 transfer was done as quickly as possible just above the water surface of each tank.

218 Larvae that hatched in both the *Sg* and *Sm* (parents') tanks were scooped up and reared in  
219 separate tanks without any anemone until the experiment. All juveniles were then used in trough  
220 experiments with *Sg* and *Sm*, respectively, to confirm which anemone the juveniles had imprinted  
221 to. Initially about half of the juveniles were examined with *Sg*, after which non-attracted *Sg*  
222 juveniles were tested with *Sm*, while the remaining half were tested first with *Sm* and then with *Sg*.

223

## 224 2.6. Direct encounter experiment

225

226 After the trough experiments, some non-imprinted, *Sg*, and *Hm* juveniles were kept in separate  
227 tanks isolated from any anemone and then made to encounter an intact symbiotic *Sg* anemone in the  
228 aquarium. The fish were 192–246 days old (total length ca. 2.5–4.5 cm). The experimental  
229 aquarium (150 cm long × 45 cm wide × 50 cm high) was completely covered with a thin (0.05 mm)  
230 polyethylene sheet, and overflow water was drained from a PVC duct (35 cm high) located close to  
231 the end of section I. After the experiment, the sheet was removed and the PVC duct was washed  
232 with soap and rinsed. A new sheet and washed duct were used in every experiment to avoid  
233 contamination. The aquarium was marked every 30 cm to create five sections (I–V) for monitoring  
234 the behavioural responses of test fish.

235 Test fish were removed from the rearing tank using a transparent plastic bowl that was then  
236 floated on the surface of section I for 10–15 min before each test to acclimate the fish to the  
237 experimental conditions. Then, test juveniles were gently released into the aquarium near the end of  
238 section I. At first, fish locations were observed without an anemone for 30 min as a control, after  
239 which an opaque plastic plate was inserted between sections III and IV (without an anemone,  
240 juveniles tended to stay almost completely in section I). First, a plastic container was used to  
241 remove ca. 10 L of seawater from the aquarium in section V, and then an intact *Sg* was gently  
242 placed in section V. After the *Sg* was introduced, the partition was slowly removed and the  
243 experiment was started. Fresh seawater was continuously supplied at the end of section V at a flow  
244 rate 75–85 mL min<sup>-1</sup>. The behaviours and locations of juveniles were recorded every 30 s for 30  
245 min during every control and experimental period. Each test was repeated five times with a new set  
246 of four juveniles. The average number of juveniles that reached and stayed in section V was  
247 calculated for each control or experimental period, and statistical processing was identical to the  
248 trough experiments.

249

250

251 **3. Results**

252

253 *3.1. Results of trough experiments with A. ocellaris*

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255 Trough experiments (Fig. 1) were conducted with naive *A. ocellaris* juveniles hatched from  
256 eggs under the following condition: without a host anemone, next to a symbiotic partner anemone  
257 (*Sg* or *Hm*) or next to a non-partner anemone (*Sm* or *Hc*). None of the tested juveniles were  
258 attracted to fresh seawater as the control prior to pouring seawater containing test anemone  
259 chemicals.

260

261 *3.1.1. Juveniles hatched without a nearby host anemone: Non-imprinted juveniles*

262

263 At first, non-imprinted juveniles were examined to verify innate recognition. Non-imprinted  
264 juveniles were able to innately recognise both symbiotic host anemones (*Sg* and *Hm*) to some  
265 extent; they were attracted to chemicals of *Sg* (paired t-test:  $t=7.4632$ ,  $df=4$ ,  $p\text{-value}=0.0017$ ) and  
266 *Hm* (paired t-test:  $t=8.4973$ ,  $df=4$ ,  $p=0.0011$ ) (Table 1; Fig. 2-A, 2-B; Supplementary Data Fig. I).  
267 However, their behaviours differed distinctly from those of juveniles that hatched normally next to  
268 their parents' host (Figs. 2-A', 2-B'). The former juveniles were not normally attracted to their  
269 symbiotic anemones, although they showed significant attraction compared to the control. Four  
270 characteristic behaviour patterns were observed. The first pattern (to *Sg*, 48% of tested fish,  $n=25$ ;  
271 to *Hm*, 52.0%,  $n=25$ ) was to move fairly straight to section V and stay near the inlet tube tip where  
272 seawater containing anemone chemicals was pouring in, but without showing any intimate approach  
273 to the tube tip itself. The second (to *Sg*, 32%; to *Hm*, 32.0%) was to move back and forth repeatedly  
274 between section I and IV or V, similar to behaviours observed in a previous study by Arvedlund  
275 and Nielsen (1996). The third (to *Sg*, 8.0%; to *Hm*, 0%) was to proceed slowly and stay near the  
276 boundary of section IV–V. The fourth (to *Sg*, 12%; to *Hm*, 16.0%) was to swim around and stay  
277 within section I alone, where they had been introduced.

278

279 The direction in which non-imprinted juveniles were attracted was not clear, and the fish that  
280 were attracted took a relatively long time to reach section V. Some juveniles reached section V and  
281 stayed there, but others did not swim straight toward section V or did not stay there for a long  
282 period. Judging from these behaviours, non-imprinted juveniles appeared to be at a substantial  
disadvantage in reaching their host compared to normally imprinted juveniles. However, most



283 importantly, the existence of innate recognition of symbiotic partner anemone species was  
 284 definitively demonstrated.

285 Moreover, unexpectedly, some non-imprinted juveniles were also attracted to non-partner  
 286 anemones *Sm* (paired t-test:  $t=3.1873$ ,  $df=4$ ,  $p=0.0333$ ) and *Hc* (paired t-test:  $t=2.9125$ ,  $df=4$ ,  
 287  $p=0.0436$ ) (Table 1; Fig. 2-C, 2-D; Supplementary Data Fig. I), although the attraction intensity  
 288 was much weaker than to *Sg* and *Hm*. These individuals responded to the chemicals of *Sm* and  
 289 swam less actively than with *Sg* or *Hm*, and several fish (20% of all tested fish,  $n=25$ ) stayed near  
 290 the inlet tube in section V, whereas others soon returned to section I. Non-imprinted juveniles were  
 291 even more weakly attracted to *Hc*. Some individuals (32%,  $n=25$ ) reached section V, but a few  
 292 tested fish stayed for a brief period and were entirely indifferent to the inlet tube. These results  
 293 show that non-imprinted juveniles can innately recognise *Hc*, although weakly. Non-imprinted  
 294 juveniles were never attracted to the non-partner anemone *Eq* (paired t-test:  $t=1$ ,  $df=4$ ,  
 295  $p=0.3739$ )(Table 1; Fig. 2-E; Supplementary Data Fig. I).

296

297 3.1.2. Juveniles imprinted by *Sg* (*S. gigantea*): *Sg* juveniles,  
 298 Juveniles imprinted by *Hm* (*H. magnifica*): *Hm* juveniles

299

300 Both *Sg* and *Hm* juveniles recognised both *Sg* (*Sg* juveniles to *Sg*, paired t-test:  $t=10.2638$ ,  
 301  $df=4$ ,  $p=0.0005$ ; *Hm* juveniles to *Sg*, paired t-test:  $t=4.2758$ ,  $df=4$ ,  $p=0.0129$ ) and *Hm* (*Sg* juveniles  
 302 to *Hm*, paired t-test:  $t=3.5982$ ,  $df=3$ ,  $p=0.0135$ ; *Hm* juveniles to *Hm*, paired t-test:  $t=11.9984$ ,  $df=3$ ,  
 303  $p=0.0012$ )(Table 1, 2; Figs. 2-A', 2-B', 3-A; Supplementary Data Fig. II, III). In short, imprinting on  
 304 either symbiotic species was enough for individuals to recognise both symbiotic species; i.e.  
 305 offspring can identify chemical cues to reach both symbiotic species, regardless of which species  
 306 their parents inhabited. Tested juveniles quickly reached section V (Figs. 2-A', 2-B'), staying and  
 307 gathering near the inlet tube tip for long periods. Marked differences were observed between *Sg*  
 308 and *Hm* juveniles compared with non-imprinted juveniles in attraction intensity, affinity to  
 309 chemicals and time taken to reach section V. Thus, imprinting clearly caused a quick and straight  
 310 approach to, and strong affinity toward, the symbiotic anemones' chemicals.

311 *Sg* juveniles often approached and kissed the inlet tube tip and the wall behind the tube, and  
 312 sometimes tried to eagerly dash into the tube tip. *Hm* juveniles also often kissed the mucus gauze  
 313 that was wound around the inlet tube tip (see Methods 2.3.). *Sg* juveniles vibrated their bodies in the  
 314 water pouring from the tube and *Hm* juveniles vibrated their bodies on the mucus gauze, similar to  
 315 how juveniles usually rub their bodies on host tentacles. This intimate host-touching behaviour  
 316 elicited by anemone chemicals was only observed in imprinted juveniles.

317 Note that Sg and Hm juveniles were never attracted to Sm (Sg juveniles to Sm, paired t-test:  
 318  $t=2.2953$ ,  $df=4$ ,  $p=0.0834$ ; Hm juveniles to Sm, paired t-test:  $t=-1.6262$ ,  $df=3$ ,  $p=0.2024$ ) (Table 1,  
 319 2, Figs. 2-C', 3-B; Supplementary Data Fig. II, III) and Hc (Sg juveniles to Hc, paired t-test:  
 320  $t=0.0346$ ,  $df=3$ ,  $p=0.9745$ ; Hm juveniles to Hc, paired t-test:  $t=3.1770$ ,  $df=3$ ,  $p=0.0502$ ) (Table 1, 2;  
 321 Fig. 2-D', Fig. 3-C; Supplementary Data Fig. II, III). Sg juveniles were never attracted to Eq (paired  
 322 t-test:  $t=2.7994$ ,  $df=3$ ,  $p=0.0679$ ) (Table 1; Figs. 2-E'; Supplementary Data Fig. II). These results  
 323 indicate that imprinting on host anemones suppresses the weak innate recognition of non-partner  
 324 species (Sm and Hc). This clearly shows that the imprinting of symbiotic species complements rigid  
 325 species-specific host recognition.

326 In trough experiments with Hm, 9-day-old Sg juveniles showed strange movements like small  
 327 insects, wriggling and twirling their bodies on the trough bottom and suddenly moving straight to  
 328 section V very quickly. They appeared to move in a taxis-like way rather than swimming normally.

329

### 330 3.1.3. Direct encounter experiment

331

332 Non-imprinted, Sg and Hm young fish were made to encounter an exposed symbiotic Sg in  
 333 the aquarium. The results were significantly different between non-imprinted and imprinted fish  
 334 (Fig. 4). All Sg (paired t-test:  $t=4.6243$ ,  $df=3$ ,  $p=0.0190$ ) and Hm young (paired t-test:  $t=9.5139$ ,  
 335  $df=3$ ,  $p=0.0025$ ) reached the Sg within 7–8 min (Table 3; Fig. 4-B, 4-C; Supplementary Data Fig.  
 336 IV), and they soon began to kiss and touch it, rubbing against the tentacles while wagging their  
 337 bodies. They moved around the oral disc, continually touching the tentacles, and entered among  
 338 them.

339 However, numerous non-imprinted young were not attracted to (paired t-test:  $t=1.3061$ ,  $df=5$ ,  
 340  $p=0.2484$ ) and did not reach the Sg during the 30 min observation period (Table 3; Fig. 4-A;  
 341 Supplementary Data Fig. IV). Only 20.8% of non-imprinted individuals ( $n=24$ ) reached the Sg, but  
 342 it took them twice the time to reach it compared with Sg and Hm young. Moreover, it took them  
 343 much longer to begin to touch and mount the tentacles, and intimate touching and kissing were  
 344 seldom observed. Near the end of the observation period, a few fish began to touch the tentacles,  
 345 but their affinity to them appeared to be very low and they did not slip among the tentacles. These  
 346 results clearly show that non-imprinting is disadvantageous with regard to arriving at a host quickly,  
 347 as well as hiding among its tentacles to escape from agonistic behaviours by adults and predations,  
 348 even when individuals are grown.

349

### 350 3.1.4. Juveniles imprinted by Sm (S. mertensii): Sm juveniles

351

352 *Amphiprion ocellaris* juveniles were also expected to be imprinted by non-partners (*Sm* and  
 353 *Hc*) because non-imprinted juveniles were innately able to weakly recognise these species. A pair of  
 354 adult fish was made to associate with and breed beside a non-partner (*Sm*). The results  
 355 demonstrated that *A. ocellaris* can be imprinted by a non-partner (*Sm*) when its eggs hatch adjacent  
 356 to it. *Sm* juveniles were clearly attracted to *Sm* (paired t-test:  $t=15.5116$ ,  $df=4$ ,  $p=0.0001$ ) (Table 2,  
 357 Fig. 5-B) very similarly in the case of *Sg* and *Hm* juveniles to their symbiotic species.

358 Furthermore, strangely, *Sm* juveniles were not attracted to symbiotic *Sg* (paired t-test:  
 359  $t=0.6762$ ,  $df=4$ ,  $p=0.5360$ ) and *Hm* (paired t-test:  $t=1.633$ ,  $df=4$ ,  $p=0.1778$ ) (Fig. 5-A;  
 360 Supplementary Data Fig. V). Some fish rapidly swam back and forth between sections I and V but  
 361 never stayed in section V, whereas others did not move out of sections I and II, which was  
 362 somewhat similar to the responses of non-imprinted juveniles. These results suggest that *Sm*  
 363 juveniles would search exclusively for *Sm* and would be unlikely to ever reach their original  
 364 symbiotic species (*Sg* and *Hm*) at their first encounter, which could result in a substitute partnership.

365

### 366 3.1.5. Juveniles imprinted by *Hc* (*H. crispa*): *Hc* juveniles

367

368 The "host-change manipulation" (section 2.4.) demonstrated that *A. ocellaris* was also able to  
 369 be imprinted by *Hc*. However, the imprinting rate was remarkably low: only 5 of 38 individuals  
 370 were imprinted during two attempts. Apparently, imprinting by *Hc* is rather difficult, although other  
 371 causes may be at play. In addition, the attraction pattern of *Hc* juveniles to *Hc* was quite different  
 372 from the patterns with *Sg*, *Hm* and *Sm* juveniles. The *Hc* juveniles moved very slowly to section  
 373 V (Fig. 6-A) and acted as if they sensed something different in the chemicals of *Hc*. Even when  
 374 they approached the mucus gauze, they turned their heads just before kissing it and rarely actually  
 375 kissed it. Their behaviour was consistent with the fact that no ecological reports of *A. ocellaris*-*Hc*  
 376 partnerships have actually been documented.

377 With chemicals of either *Sg* or *Hm* (Fig. 6-A'), *Hc* juveniles rapidly swam back and forth  
 378 between sections I and V but never stayed in section V, which was similar to the behaviour of *Sm*  
 379 juveniles with *Sg* and *Hm*. These results demonstrate that imprinting even occurs to non-partner  
 380 species that are weakly innately recognised. Furthermore, this imprinting of non-partner species  
 381 simultaneously suppresses the innate recognition of symbiotic species, in contrast to the case in  
 382 which individuals are imprinted by symbiotic species. This indicates that imprinting functions to  
 383 supplement the recognition of species other than symbiotic species, and that this mechanism likely  
 384 creates substitute partnerships in some localities.

385

### 386 3.2. Changes in recognition with growth

387

388 Some changes in host recognition with growth were observed. Moreover, some grown *Sm*  
 389 juveniles (older than 50 days) began to show a clear attraction to *Hc* (paired t-test:  $t=2.847$ ,  $df=4$ ,  
 390  $p=0.0465$ ) (Table 2; Fig. 5-C'; Supplementary Data Fig. V), although grown *Hm* juveniles never  
 391 showed any attraction to *Hc* (paired t-test:  $t=-1.7493$ ,  $df=2$ ,  $p=0.2223$ ) (Table 2; Fig. 3-C';  
 392 Supplementary Data Fig. III). *Sm* juveniles also began to show a weak attraction to *Sg* (paired t-test:  
 393  $t=3.2358$ ,  $df=3$ ,  $p=0.048$ ) and *Hm* (paired t-test:  $t=2.9346$ ,  $df=5$ ,  $p=0.0325$ ) (Fig. 5-A';  
 394 Supplementary Data Fig. V) and gradually tended to spend more time in section V with growth.  
 395 One-year-old *Sm* juveniles that were reared without hosts still recognised *Sm* (paired t-test:  
 396  $t=4.6354$ ,  $df=2$ ,  $p=0.0435$ ) (Fig. 5-B"). However, they were more strongly attracted to *Hm* (paired  
 397 t-test:  $t=30.4320$ ,  $df=2$ ,  $p=0.0011$ ) (Fig. 5-A"; Supplementary Data Fig. V) than *Sm*; i.e. the  
 398 suppression from imprinting on *Sm* had disappeared and the recognition of *Hm* had sufficiently  
 399 recovered within a year.

400 Moreover, some grown (older than 70–80 days) *Hm* juveniles started to be attracted to *Sm*  
 401 (paired t-test:  $t=2.7503$ ,  $df=5$ ,  $p=0.0403$ ) (Table 2; Fig. 3-B'; Supplementary Data Fig. III), which  
 402 also suggests the recovery of the innate recognition of *Sm*, although the response differed among  
 403 the three broods examined. These results suggest that the suppression of other species recognition  
 404 by imprinting via *Hm* starts to disappear in later juvenile stages (ca. 2–3 months). One-year-old *Hm*  
 405 juveniles that were reared without hosts were attracted to *Hm* (paired t-test:  $t=7.9725$ ,  $df=2$ ,  $p=0.$   
 406  $0154$ ) (Table 2; Fig. 3-A"; Supplementary Data Fig. III), and they also recognised *Sm* (paired t-test:  
 407  $t=3.5835$ ,  $df=3$ ,  $p=0.0372$ ) (Table 2; Fig. 3-B"; Supplementary Data Fig. III)

408

### 409 3.3. Critical period

410

411 A "host-exchange experiment" was conducted to determine when host imprinting occurs. The  
 412 imprinting rates of *Sm* juveniles were not usually high, probably because eggs were laid on the  
 413 inside curved wall of a half-cut PVC duct so that the host's oral disc and tentacles did not always  
 414 touch the eggs. However, such a low imprinting rate was thought to be rather convenient for  
 415 verifying if post-hatching imprinting occurs because non-imprinted embryos afford the opportunity  
 416 for post-hatching imprinting even if pre-hatching imprinting can occur.

417 *Sm* juveniles were not attracted to *Sg*. Therefore, host exchange of an egg batch from *Sm* to *Sg*  
 418 was conducted. After more than two-thirds of the eggs had hatched, the spawning PVC duct that  
 419 was adjacent to *Sm* was placed closely adjacent to *Sg* in the *Sg* tank (see section 2.5). If *Sg* juveniles  
 420 were found in the group that hatched in the *Sg* tank, the occurrence of post-hatching imprinting  
 421 would be verified, and if *Sm* juveniles were found in the same group, the occurrence of pre-hatching

422 imprinting would also be verified. Indeed, both Sg and *Sm* juveniles were found in the group that  
423 hatched in the *Sg* tank (Table 4), clearly indicating that both pre-hatching and post-hatching  
424 imprinting had occurred. Thus, both embryonic and post-hatching imprinting were verified. The  
425 fish that hatched in the *Sm* tank consisted entirely of *Sm* and non-imprinted juveniles, and they were  
426 never attracted to Sg.

427

### 428 3.4. Imprinting in *A. perideraion*

429

430 Breeding of *A. perideraion* associated with *Hc* was attempted to confirm the function of  
431 imprinting to supplement substitute partnerships. However, because of difficulties in breeding *A.*  
432 *perideraion*, only eight juveniles survived from one attempt among four trials. Two of the eight  
433 individuals were attracted to the chemicals of *Hc* (Fig. 6-B); i.e. they were *Hc* juveniles, but they  
434 were not attracted to their symbiotic anemone Hm (Fig. 6-B'). If *Hc* represents another partner, *Hc*  
435 juveniles should also have been attracted to Hm, and *A. perideraion* should inhabit *Hc* in every  
436 region where these two species sympatrically occur. These results indicate that *Hc* is not a  
437 symbiotic partner but a substitute species for *A. perideraion*, although the sample size was very  
438 small.

439

440

## 441 4. Discussion

442

### 443 4.1. Crucial spawning positioning

444

445 Four anemonefish species were observed to display the same spawning site preferences in the  
446 field: eggs were laid adjacent to the host anemone's column or pedal disc. This spawning site  
447 preference is thought to be influenced by both host imprinting and predator protection at night  
448 (Arvedlund et al., 2000).

449 In this study, the highest imprinting rate (91.0%) was observed in Sg juveniles whose  
450 spawning position most closely resembled natural conditions in the sea. Unnatural spawning sites  
451 that were some distance from the host were likely responsible for the lower imprinting rates in Hm  
452 juveniles (30.5–67.6%, over four breedings) and *Sm* juveniles (37.8–62.0%, over six breedings). In  
453 the Hm case, the spawning site was ca. 10 cm from the host so that egg batches were rarely touched  
454 by the host's tentacles. A natural spawning positioning immediately adjacent to the host must be  
455 necessary to ensure pre- and immediate post-hatching imprinting. This crucial positioning is

456 probably the reason why the eggs are completely protected from host anemone stings (Elliott and  
457 Mariscal, 1996; Miyagawa, 1989; Davenport and Norris, 1958).

458

#### 459 4.2. Pre- and post-hatching imprinting

460

461 The development of the olfactory system in *A. melanopus* embryos was examined, and the  
462 ontogenetic timing of the imprinting mechanism was thought to occur toward the end of embryonic  
463 development (Arvedlund et al., 2001). The present study confirms this observation.

464 The water supply to the parents' tank and eggs with the host was stopped 30–60 min before  
465 hatching; therefore, seawater in the tank was filled with host chemicals. However, even though all  
466 newly hatched larvae stayed in host chemicals for 20–60 min before being transferred to rearing  
467 tanks, every hatched group contained some non-imprinted individuals [non-imprinted rates were  
468 9.0–69.5% over all breedings (12) in this study with various host species]. These results suggest  
469 that post-hatching imprinting occurs over a limited period immediately after hatching. This strategy  
470 is likely highly adaptive because newly hatched larvae soon rise up to the water surface and enter  
471 their pelagic life. Therefore, pre-hatching imprinting must be very important for anemonefish.  
472 However, the timing of the onset of pre-hatching imprinting is still unknown.

473 One of the chemicals of *Hc*, which is recognised by *A. perideraion*, has been identified as  
474 "Amphikuemin" (Konno et al., 1990; Murata et al., 1986). The present study verified that  
475 "Amphikuemin" is one of the chemicals that is supplemented by imprinting via *Hc*. Young *A.*  
476 *perideraion* with plugged nostrils could recognise "Amphikuemin" (Miyagawa-Kohshima, pers.  
477 obs.), whereas salmon with occluded nostrils were unable to return to their home river (Wisby and  
478 Hasler, 1954). Potential candidates might be sensory-like organs scattered on the head surface  
479 (observed by scanning electron microscopy) or taste organs. Embryos may receive their parents'  
480 host chemicals through chemoreceptors, e. g. solitary chemosensory cells (Kotrschal, 1991), other  
481 than their nostrils, during pre-hatching imprinting.

482

#### 483 4.3. Unique symbiotic life and strict social structure at each host, and a function of recovery of 484 innate recognition

485

486 Anemonefish form groups with a size-based hierarchy (Allen, 1975): one breeding pair and  
487 fewer than four subordinate fish are able to inhabit each host (Hattori, 2012; Buston, 2003).  
488 Afterward, innate recognition recovers with growth, as shown in grown *Sm* and *Hm* juveniles, and it  
489 is thought to also recover in juveniles that have associated with their host in the sea. The beginning  
490 of recovery of innate recognition is thought to correspond to the time when juveniles are just

491 beginning to be evicted from their first host because the body size of evicted juveniles observed late  
 492 in the breeding season (roughly July–September) in the sea (Miyagawa-Kohshima, pers. obs. at  
 493 Kuroshima) seemed to closely resemble that of laboratory-bred juveniles (total length: 1.5–2.8 cm)  
 494 at ca. 2–3 months. This recovery of innate recognition with growth must expand the range of  
 495 choices for potential subsequent hosts and plays an important role with respect to the promotion of  
 496 substitute partnerships, thereby enhancing juvenile survival.

497

#### 498 *4.4. Actual ecological documentation of substitute partnerships*

499

500 At Madang, Papua New Guinea (Elliott and Mariscal, 1996, 2001), where the highest species  
 501 diversity (nine) of anemonefish occurs, the actual occurrence of substitute partnerships is well  
 502 represented. In this region, *A. percula* (closely related to *A. ocellaris*) inhabits Sg, Hm and even *Sm*,  
 503 while *A. perideraion* inhabits Hm, *Hc* and even *Sg*. Five anemonefish species inhabit *Hm* and seven  
 504 species inhabit *Hc* in this region. Therefore, symbiotic and substitute anemone species overlap  
 505 among many anemonefish species.

506 *Amphiprion sandaracinos* and *A. leucokranos* were observed to cohabit one host with other  
 507 anemonefish species, while others did not. *Amphiprion percula* and *A. perideraion*, which inhabit a  
 508 common host Hm, usually have different distribution patterns among zones at Madang, and in rare  
 509 cases, these two species occupy the same host simultaneously and are very aggressive toward each  
 510 other (Elliott and Mariscal, 2001). Therefore, heterospecific evictions likely occasionally occur  
 511 when juveniles of different anemonefish species recruit to the same host in this region.

512 *Amphiprion ocellaris* and *A. perideraion* occur in the Ryukyu Islands and Moluccas, Indonesia  
 513 (Dunn, 1981). In Madang, *A. percula* and *A. perideraion* live sympatrically. In these areas, *A.*  
 514 *perideraion* inhabits both Hm and *Hc* (an exception was reported on Lizard Island; Fautin, 1986).  
 515 In these regions, *A. perideraion* must be obligated to inhabit *Hc* because of interspecific  
 516 competition over Hm with *A. ocellaris* or *A. percula*, as well as heteroevictions after the  
 517 establishment of its first association. Indeed, *A. perideraion* only inhabits Hm even though *Hc* also  
 518 occurs in areas where neither *A. ocellaris* nor *A. percula* are found sympatrically, e.g. at Fiji (Allen,  
 519 1978; Dunn, 1981) and Eniwetok (Allen, 1972). Observations at Fiji and Eniwetok suggest that  
 520 conspecific evictions do not promote substitute partnerships, while those on the Ryukyu Islands,  
 521 Moluccas and Madang show that heterospecific evictions do promote substitution.

522 A particular note regarding the observations at Madang (Elliott and Mariscal, 2001) is that  
 523 even with intense interspecific competition over symbiotic and substitute species among many  
 524 anemonefish species, *A. perideraion* and *A. percula* do not blindly inhabit any species and clearly  
 525 search for subsequent hosts using their innate recognition after experiencing heteroeviction: *A.*

526 *perideraion* can recognise *Hc* innately (Miyagawa, 1989), and *A. percula* is predicted to recognise  
 527 anemone *Sm* innately because it inhabits exactly the same symbiotic and a substitute species of *A.*  
 528 *ocellaris*.

529 Interspecific competition is not responsible for species-specific anemonefish–sea anemone  
 530 partnerships (Elliott and Mariscal, 2001). However, interspecific competition over common  
 531 symbiotic species is thought to be the primary contributor to the occurrence of substitute  
 532 partnerships in *A. perideraion* and *A. percula*.

533

#### 534 4.5. Hypothesis regarding spare recognition—potential substitute species of each anemonefish

535

536 *Amphiprion melanopus* is not imprinted by *Heteractis malu*, which is not a symbiotic species  
 537 of *A. melanopus* (Arvedlund et al., 1999). This suggests that *A. melanopus* cannot recognise *H.*  
 538 *malu* innately as a potential host; i.e. *A. melanopus* does not have an innate template (Konishi,  
 539 1965) for *H. malu*. In this study, *A. ocellaris* did not recognise *Eq* innately; i.e. *A. ocellaris* does not  
 540 have an innate template for *Eq* and cannot be imprinted by it.

541 Anemone species which have been observed to be inhabited by any anemonefish have all been  
 542 considered "symbiotic" species so far, even though some anemonefish-anemone partnerships have  
 543 only been rarely observed in some localities. However, the present study revealed that two types of  
 544 partnerships exist in this symbiosis, symbiotic and substitute. It demonstrated that *Sm* and *Hc* are  
 545 potential substitute species for *A. ocellaris*; meanwhile, *Hc* has been observed as a substitute  
 546 species for *A. perideraion* at Madang and in the Ryukyu Islands. This additional function in the  
 547 chemical recognition system is unlikely to be limited to these two anemonefish species.

548 Here, we hypothesise that every anemonefish has innate templates for symbiotic species and  
 549 also spare templates for a few non-partner species, as do *A. ocellaris* and *A. perideraion*. In order to  
 550 know what species are programmed for spare recognition in each anemonefish, we re-summarised  
 551 anemonefish—sea anemone distribution data (Moyer & Yogo 2001; Elliott & Mariscal 2001,1996;  
 552 Fautin & Allen 1992; Dunn 1981), focusing on symbiotic species and predicted substitute species in  
 553 each anemonefish species complex (Table 5).

554 Partnerships that are observed in every region where two species occur sympatrically are  
 555 considered symbiotic partnerships. If in any region two species occur sympatrically but do not form  
 556 partnerships, these two species would not be considered symbiotic. Meanwhile, unusual  
 557 partnerships that have only been observed in some localities are judged to be substitute  
 558 partnerships. As distinguished in Table 5, anemone species are inhabited as either symbiotic or  
 559 substitute (later proposed as sub-symbiotic) by each anemonefish in each species complex. Table 5  
 560 indicates that each anemonefish likely has a few spare templates in its innate recognition, which



561 supports the "spare recognition hypothesis". It is also shown, symbiotic species seem to be common  
 562 among anemonefish species in each species complex, while substitute species seem to show little  
 563 variation among anemonefish species in each species complex. *Hc* is shown to be the most utilised  
 564 substitute species by various anemonefish species.

565  
 566 *4.6. Why are substitute partnerships only observed in some localities?*

567  
 568 Although all anemonefish species likely have a few spare templates for substitute species in  
 569 their innate recognition, very few substitute partnerships are actually observed. Whether a substitute  
 570 partnership actually arises seems to depend on the ecological situation of each anemonefish in each  
 571 habitat. The most relevant scenario is likely that anemonefish species that cannot cohabitate in a  
 572 single host face interspecific competition over a common symbiotic host. The characteristic  
 573 behaviours of anemonefish species, especially the size of their active range (i.e. how far they dare  
 574 move to search for a subsequent host after being evicted from the first host), and the populations of  
 575 common symbiotic and substitute species must largely be involved in the occurrence of substitute  
 576 partnerships.

577 Even though the present study demonstrated that *A. ocellaris* has weak innate recognition for  
 578 two non-partner anemones (*Sm* and *Hc*), the *A. ocellaris*–*Sm* partnership has only been supported  
 579 by photographs (Allen, 1972) taken in the Philippines (Dunn, 1981). This partnership is rarely  
 580 observed, probably because *A. ocellaris* is strongly dependent on its host, which it never swims far  
 581 from (Miyagawa-Kohshima, pers. obs. at Kurosima), while in *A. perideraion*, migration between  
 582 groups, although rare, has been observed (Hattori, 1995).

583 The ancestral species of each species complex has been suggested to have completed their  
 584 differentiation for host preference at the centre of the distribution area, the Indo-Australian  
 585 Archipelago (Allen 1980), and then to have dispersed and differentiated further, judging from  
 586 almost identical host preferences among allopatric species in each species complex (Miyagawa,  
 587 1989). The additional function of the chemical recognition system to produce substitute  
 588 partnerships might also have been established in the ancestral species of each complex in the same  
 589 area. At the centre of the distribution area, high species diversity, intense interspecific competition  
 590 and substitute partnerships must have already occurred among the ancestral species, as observed at  
 591 Madang by Elliott and Mariscal (1996, 2001). Therefore, symbiotic and substitute species are fairly  
 592 common within each complex beyond regional differentiation (Table 5).

593 However, farther from the centre of the distribution area, species diversity is much lower,  
 594 which reduces the occurrence of host species overlap among sympatric anemonefish. Substitute  
 595 partnerships only occur in localities where anemonefish face host shortages, especially those caused

596 by interspecific competition among sympatric species over common host species.

597 If precise quantitative ecological investigations similar to what Elliott and Mariscal (2001)  
598 undertook at Madang were conducted in regions where substitute partnerships do and do not occur,  
599 clear answers regarding the ecological conditions that promote substitute partnerships could be  
600 obtained. In such ecological investigations, information about the individual fish that are  
601 associated with each anemone needs to be collected, such as body size and developmental stage,  
602 e.g. newly recruited juveniles during the breeding season, young fish or a breeding adult pair. Such  
603 studies will provide more detailed information about substitute partnerships.

604

#### 605 *4.7. Necessity of making a clear distinction between symbiotic and substitute species*

606

607 The different types of partnership, symbiotic and substitute, should not be thought of together  
608 as "symbiotic" because they arise through different mechanisms: one type is truly symbiotic and the  
609 other is spare. If these two types of partnerships are left mingled as "symbiotic", some confusion  
610 will arise in future studies.

611 Here, we propose that substitute partnerships should be distinguished from symbiotic  
612 relationships by calling them "sub-symbiotic" because a clear distinction between them will be  
613 especially necessary for resolving existing confusion and advancing our understanding of unsolved  
614 problems in this recognition system.

615 If this clear distinction is made, outstanding problems can be documented as follows. How do  
616 anemonefish innately recognise their symbiotic and sub-symbiotic species? How does imprinting by  
617 symbiotic species complement rigid species-specific recognition while suppressing sub-symbiotic  
618 species recognition? Why is imprinting by either host species sufficient for recognising both  
619 symbiotic species? How can imprinting by certain sub-symbiotic species supplement that species  
620 recognition while conversely suppressing the recognition of symbiotic species? How are sub-  
621 symbiotic species programmed into the innate recognition in each anemonefish?

622 Furthermore, unexpectedly, such a distinction also provides a clearer understanding of the  
623 protection mechanism. Early studies using *A. clarkii*-*Sg* (Mariscal, 1965, 1970a) and *A. bicinctus*-  
624 *Sg* (Schlichter, 1968, 1976) combinations indicated that anemonefish do not have protection against  
625 symbiotic anemone stings. However, later, 12 of 27 anemonefish species were discovered to have  
626 innate protection against their symbiotic anemones, with no counter examples (Elliott and  
627 Mariscal, 1996; Miyagawa, 1989; Miyagawa and Hidaka, 1980) Therefore, one can reasonably  
628 assume that every anemonefish has innate protection against its symbiotic anemones. However, the  
629 reasons for such incompatible results in early studies remain unexplained. As a possible  
630 explanation, Table 5 indicates that the combinations examined in early studies are not symbiotic,

631 but sub-symbiotic; they are included among the four imperfectly protected combinations (<sup>f</sup> marked  
632 species) among sub-symbiotic species in the *clarkii* complex of the genus *Amphiprion*. These  
633 species are thought to establish associations with each anemone through an "acclimation process",  
634 exactly as indicated by Mariscal and Schlichter, although what happens to the fish body surface  
635 during the "acclimation process" remains unclear. These can be thought of as special combinations,  
636 even among sub-symbiotic species, because many innately protected combinations exist among  
637 sub-symbiotic combinations. The well-known combinations of *A. clarkii* and *A. bicinctus* with *Sg*  
638 seem to be especially unique, and further precise investigations are desirable, which may reveal  
639 some clues about the ancient beginning of this relationship.

640

#### 641 4.8. *The necessity of imprinting*

642

643 Why does this rigid species-specific host recognition in anemonefish need imprinting?  
644 Imprinting is thought to provide two functions to ensure juvenile survival in the habitats where each  
645 anemonefish lives. The first function is that imprinting complements innate recognition, leading to  
646 rigid species-specific partnerships in each anemonefish species. Reaching their hosts as soon as  
647 possible after entering the benthic stage is the top priority for anemonefish juveniles to survive.  
648 Making a taxis-like prompt approach, as observed in very early stage *Sg* juveniles, following rigid  
649 species-specific recognition of symbiotic host anemone(s) must be the most efficient method when  
650 fish are small and still have poor swimming ability. Meanwhile, non-imprinted young fish had a  
651 double handicap with respect to the prompt approach to their host and immediate hiding among its  
652 tentacles. The results of the direct encounter experiment clearly demonstrate the necessity of  
653 imprinting and show how disadvantageous it is to survival if juveniles are not imprinted.

654 Unlike imprinting in birds, which is involved in the recognition of their own species (Bolhuis  
655 1991; Immelman, 1972; Lorenz, 1935), ecological imprinting such as that in anemonefish is  
656 involved in the recognition of objects, e.g. hosts, habitat areas or food (Immelman, 1975). Rigid  
657 recognition in anemonefish would not necessarily be advantageous throughout their entire life. If  
658 juveniles are evicted from their first host, innate recognition is more advantageous for juveniles  
659 when searching for subsequent hosts among species, including sub-symbiotic species. Olfactory  
660 memory via imprinting is optimised when it is most needed; in anemonefish, this occurs at a very  
661 early stage when first searching for a host, while in salmon, it occurs near the end of their life when  
662 returning to their home rivers (Hasler and Scholz, 1983).

663 The second function of imprinting is to provide for sub-symbiotic partnerships to allow  
664 adaptation to environmental changes, especially in cases of host shortage due to intense  
665 interspecific competition. The configuration of anemonefish species that live sympatrically and the

666 population of each anemonefish-symbiotic anemone differ among regions. However, imprinting via  
667 the parents' host helps the next generation obtain clues to reach the most appropriate host species in  
668 the local habitat, reflecting the ecological situation of their parents. Juveniles that hatch adjacent to  
669 a sub-symbiotic species can avoid interspecific competition over common symbiotic host species  
670 because they only search for that sub-symbiotic species at their first encounter, as observed in *Sm*  
671 and *Hc-A. ocellaris* and *Hc-A. perideraion* juveniles in this study. This mechanism likely allows  
672 some anemonefish to survive among sympatric species whose host species overlap, as observed in  
673 the Ryukyu Islands and at Madang.

674 Anemonefish are buttressed by multiple innate protection mechanisms against symbiotic  
675 anemones (Miyagawa, 1989). The present study further demonstrates that this symbiosis is also  
676 buttressed by a chemical recognition system that consists of innate recognition and imprinting,  
677 which supports juvenile survival by helping them adapt to the ecological situation in each habitat.

678

679

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681

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696

697

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782

783

784 **Figure captions**785 **Fig. 1.** Arrangement of the trough experiment.

786 PVC troughs (200 cm long × 12 cm wide × 9 cm high) were used for the experiments. The water  
 787 was 5 cm deep in every experiment. The trough was marked every 40 cm, dividing it into five  
 788 sections (I–V), to monitor the behavioural responses of test fish.

789

790 **Fig. 2.** Example of the average positions of five non-imprinted and *Sg-A. ocellaris* juveniles during  
 791 a typical trough experiment over 60 min.

792

793 Seawater containing chemicals from each test anemone was poured into the trough at the end of  
 794 section V.

795 solid line: average fish positions in experiment; dotted line: average fish positions in control; faint  
 796 dotted line: range of fish occurrence in experiment

797

798 **Fig. 3.** Example of the average positions of three stages of *Hm* juveniles of *A. ocellaris* in response  
 799 to various anemone chemicals during 30 min of observation during a typical trough experiment.

800

801 Seawater containing chemicals from each test anemone was poured into the trough at the end of  
 802 section V.

803 solid line: average fish positions in experiment; dotted line: average fish positions in control; faint  
 804 dotted line: range of fish occurrence in experiment

805

806 **Fig. 4.** Typical example of the average positions of five fish of non-imprinted, *Sg* and *Hm* young  
 807 of *A. ocellaris* in response to an exposed symbiotic anemone *Sg* during 30 min of observation in a  
 808 direct encounter experiment.

809

810 An exposed symbiotic anemone *Sg* was placed in section V of the aquarium.

811 solid line: average fish positions in experiment; dotted line: average fish positions in control; faint  
 812 dotted line: range of fish occurrence in experiment

813

814 **Fig. 5.** Example of the average positions of three stages of *Sm* juveniles of *A. ocellaris* in response  
 815 to various anemone chemicals during 30 min of observation in a typical trough experiment.

816

817 Seawater containing chemicals from each test anemone was poured into the trough at the end of  
 818 section V.

819 solid line: average fish positions in experiment; dotted line: average fish positions in control; faint  
 820 dotted line: range of fish occurrence in experiment

821

822 **Fig. 6.** Example of the average position of *Hc-A. ocellaris* and *Hc-A. perideraion* juveniles in  
 823 response to chemicals from anemone *Hc* by which they were imprinted and symbiotic *Hm* during  
 824 the 30-min observation

825

826 Seawater containing chemicals from each test anemone was poured into the trough at the end of  
 827 section V.

828 Experiments were done in early stage: *Hc-A. ocellaris* juveniles (12–14day-old); *Hc-A. perideraion*  
 829 juveniles (19–20 day-old)

830 solid line: average fish positions in experiment; dotted line: average fish positions in control; faint  
 831 dotted line: range of fish occurrence in experiment

832

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### 835 **Glossary**

836

#### 837 **Imprinting**

838 Imprinting is the term used in psychology and ethology to describe any kind of phase-sensitive  
 839 learning at a particular life stage (critical period) that is rapid and apparently independent of the  
 840 consequences of behaviour. The well-known form of imprinting is filial imprinting. The influence  
 841 of early stage experience is very important with respect to certain aspects of adult behaviour,  
 842 especially with regard to the determination of sexual preferences, as well as to several other aspects  
 843 of social and other behaviours (e.g. recognition of food, habitats, hosts).

844

845

846

#### 847 **Author contributions**

848 All experiments were performed by K.M-K.. Laboratory breeding of *A. ocellaris* under various  
 849 conditions was conducted by S.O., D.O. and K.M-K., and that of *A. perideraion* was performed by  
 850 K.M-K.. A.T., S.N., and S.M. was partly involved in taking care of larvae and juveniles. H.T.  
 851 constructed the plumbing for the experimental space seawater supply. S.O., D.O., S.N. and S.M.  
 852 prepared the rearing aquaria and plumbing. S.O., D.O., Y.B., H.T., A.T., N.Y., M.N., S.M., S.N.,  
 853 Y.K., M.W., H.K. and H.I. engaged in rearing symbionts and were partly involved in collecting  
 854 animals for experiments. S.O., S.M., Y.B., Y.K., D.O., M.W. A.T. and H.K. cultured marine  
 855 rotifers and *Artemia*. M.N. and S.M. directed and supervised the Coral Group staff. S.U. and H.M.  
 856 organised and supervised the study. The manuscript was written by K.M-K..

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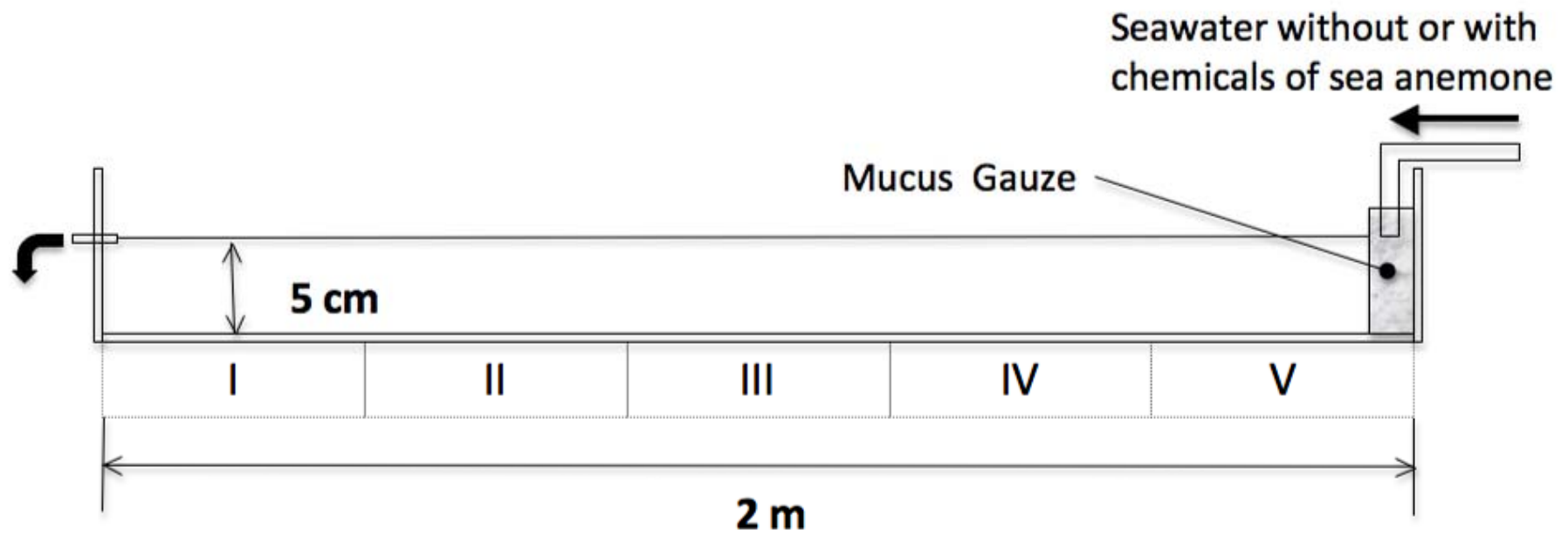


Fig. 1

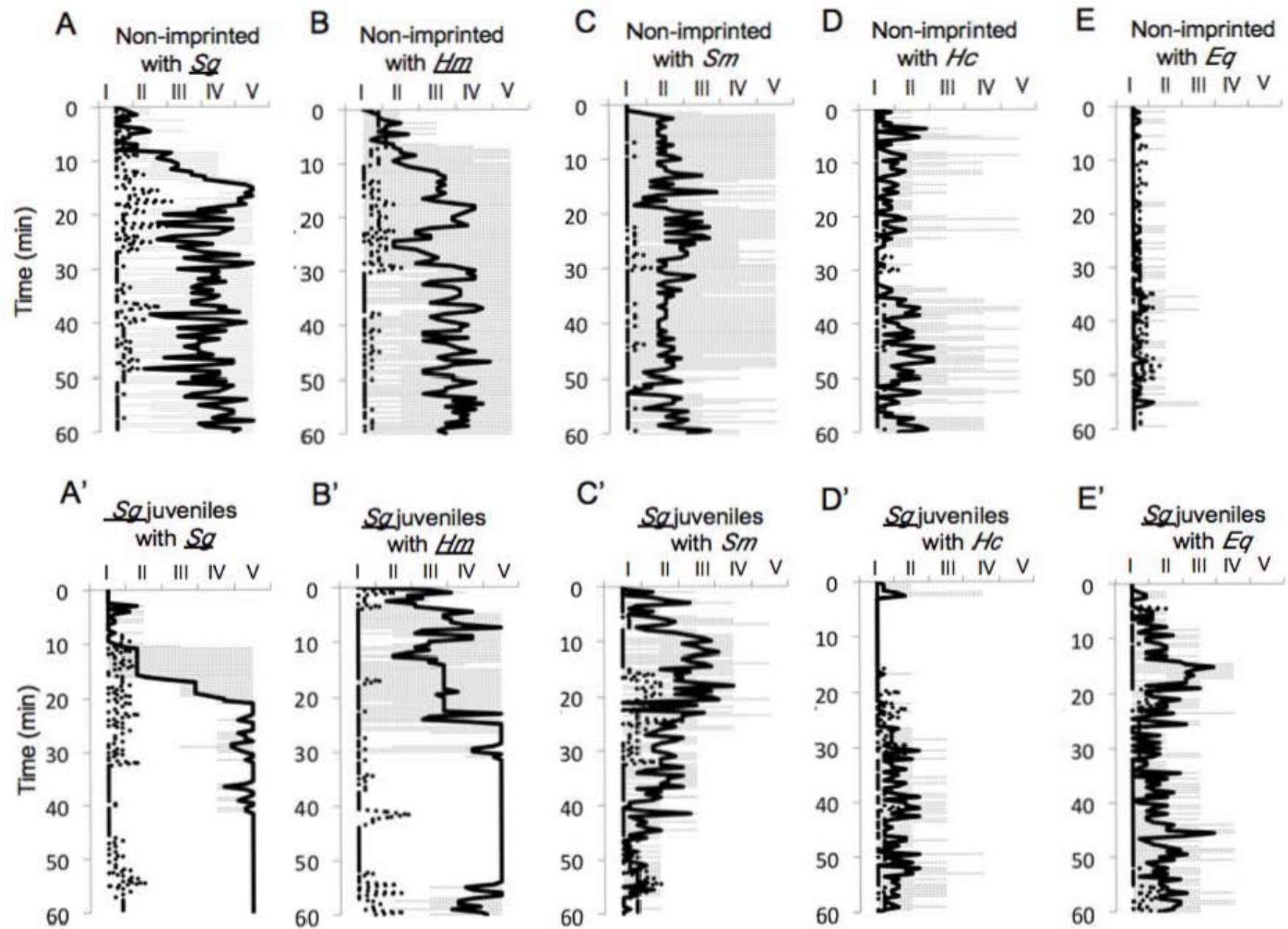


Fig. 2

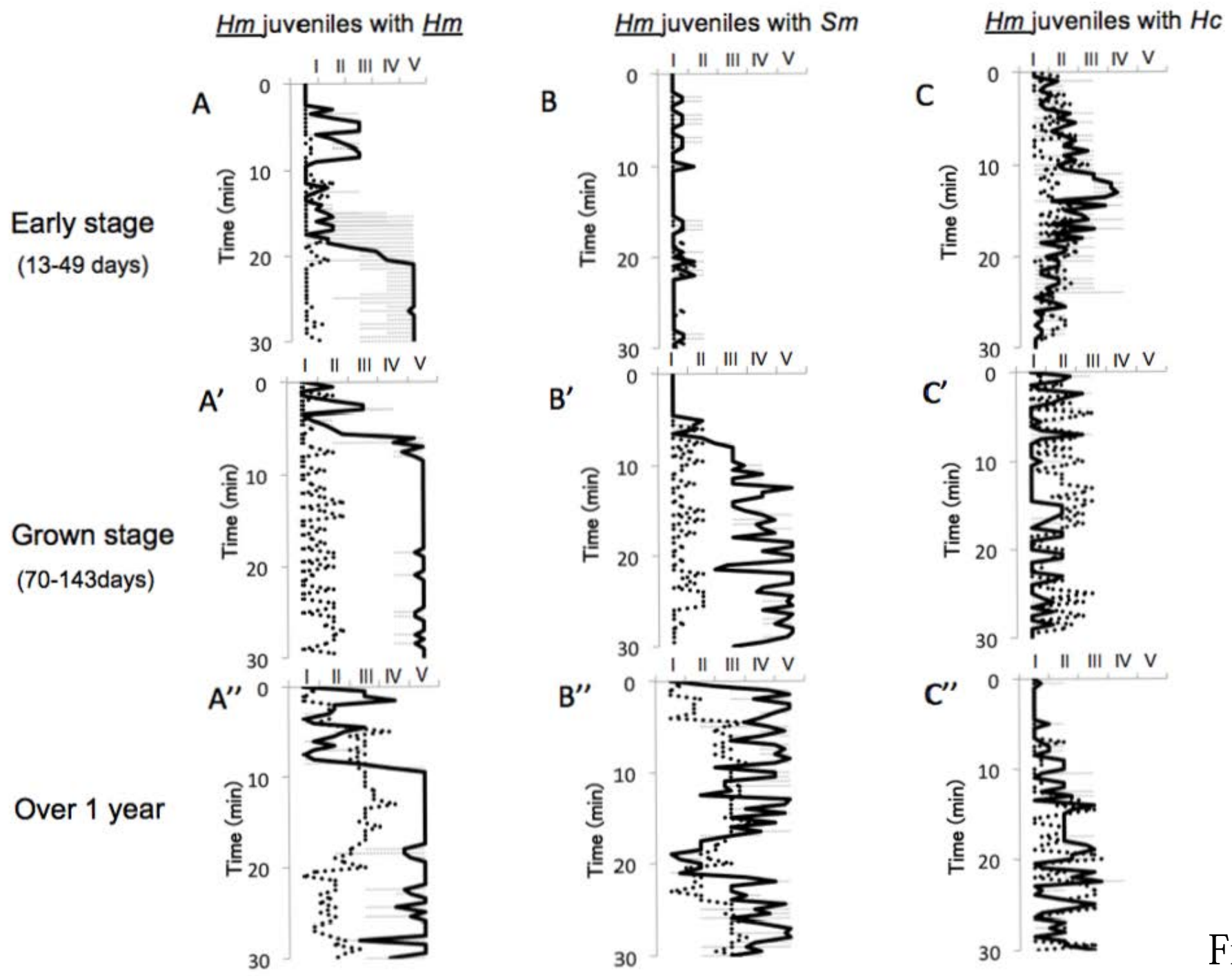


Fig. 3

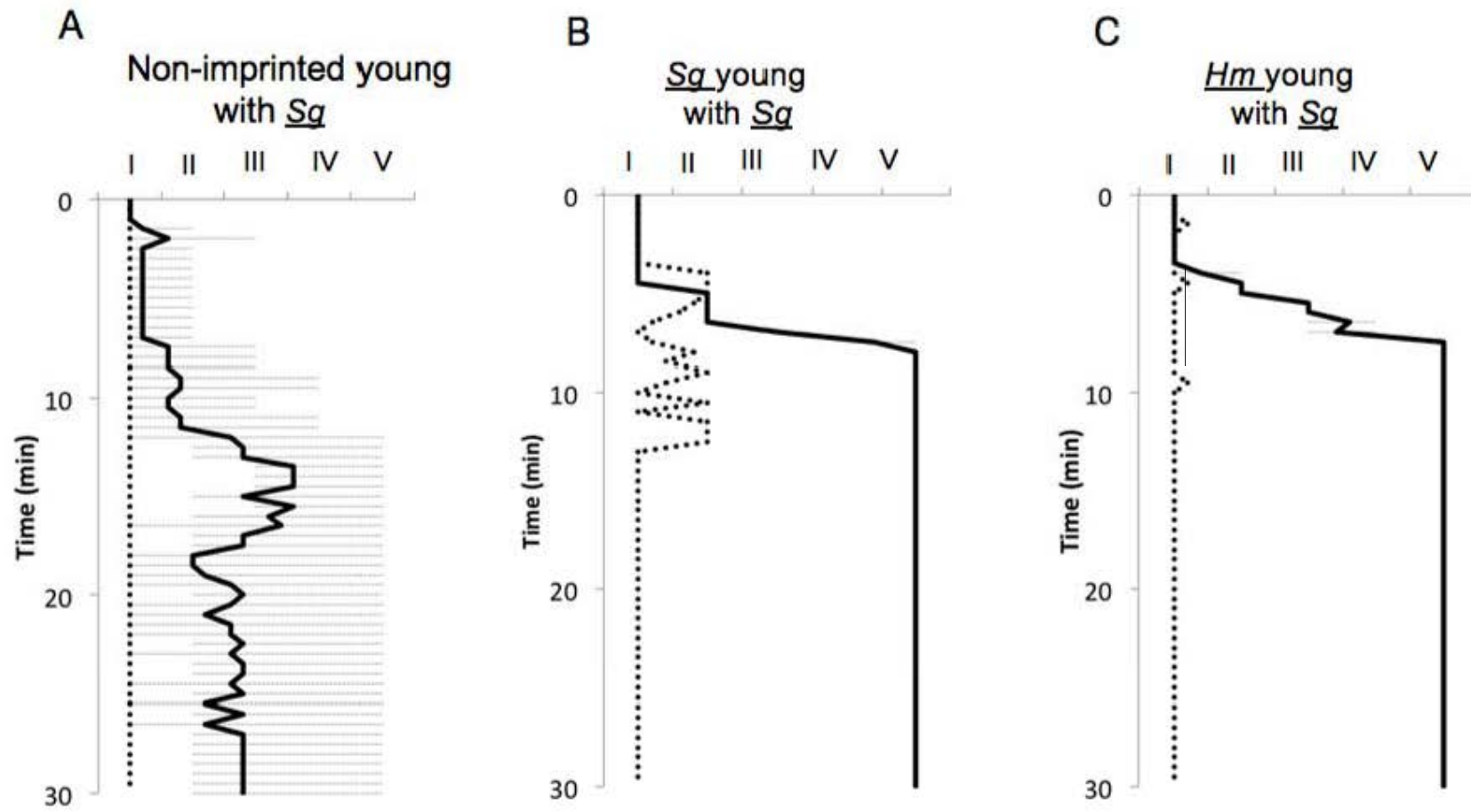


Fig. 4

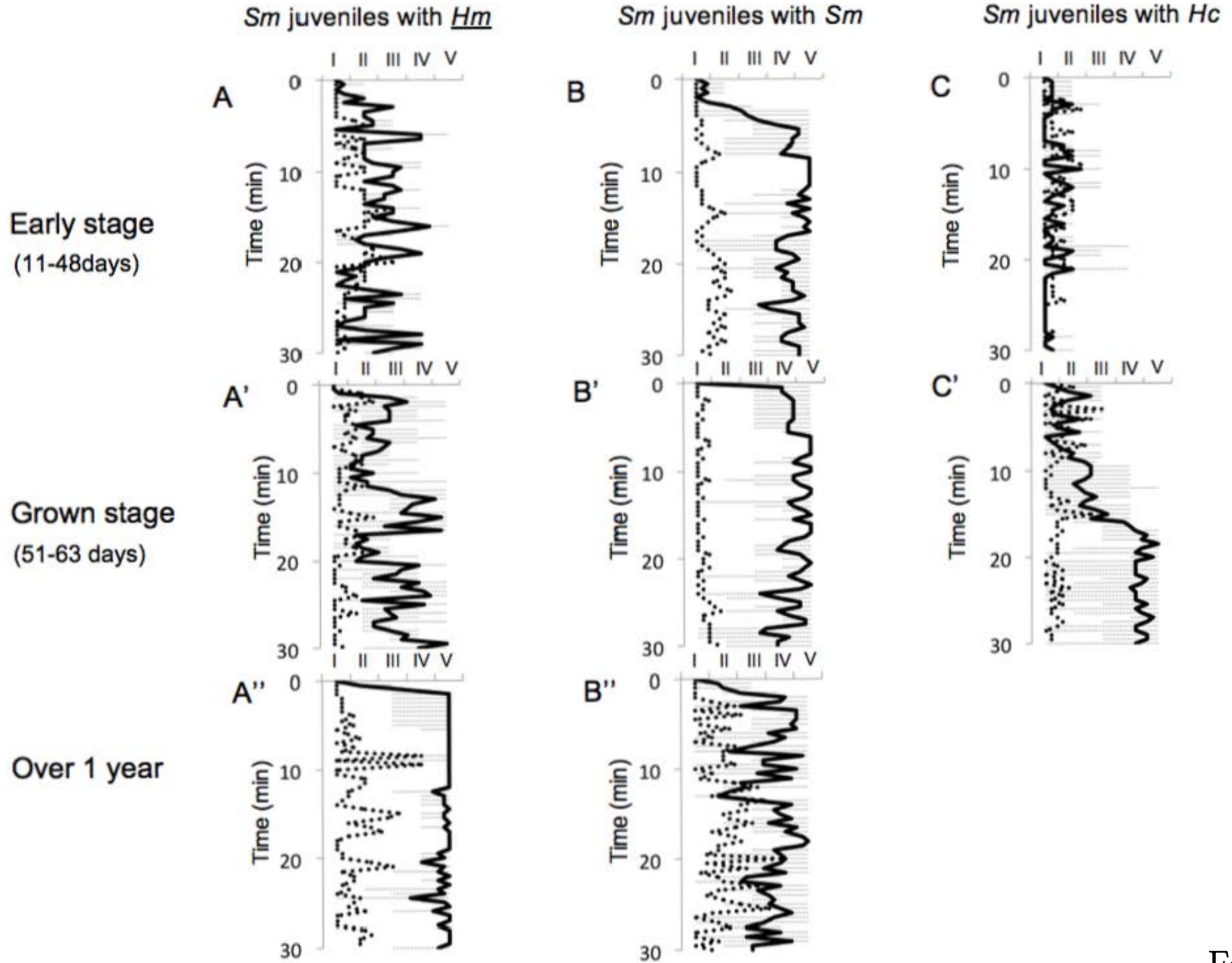


Fig. 5



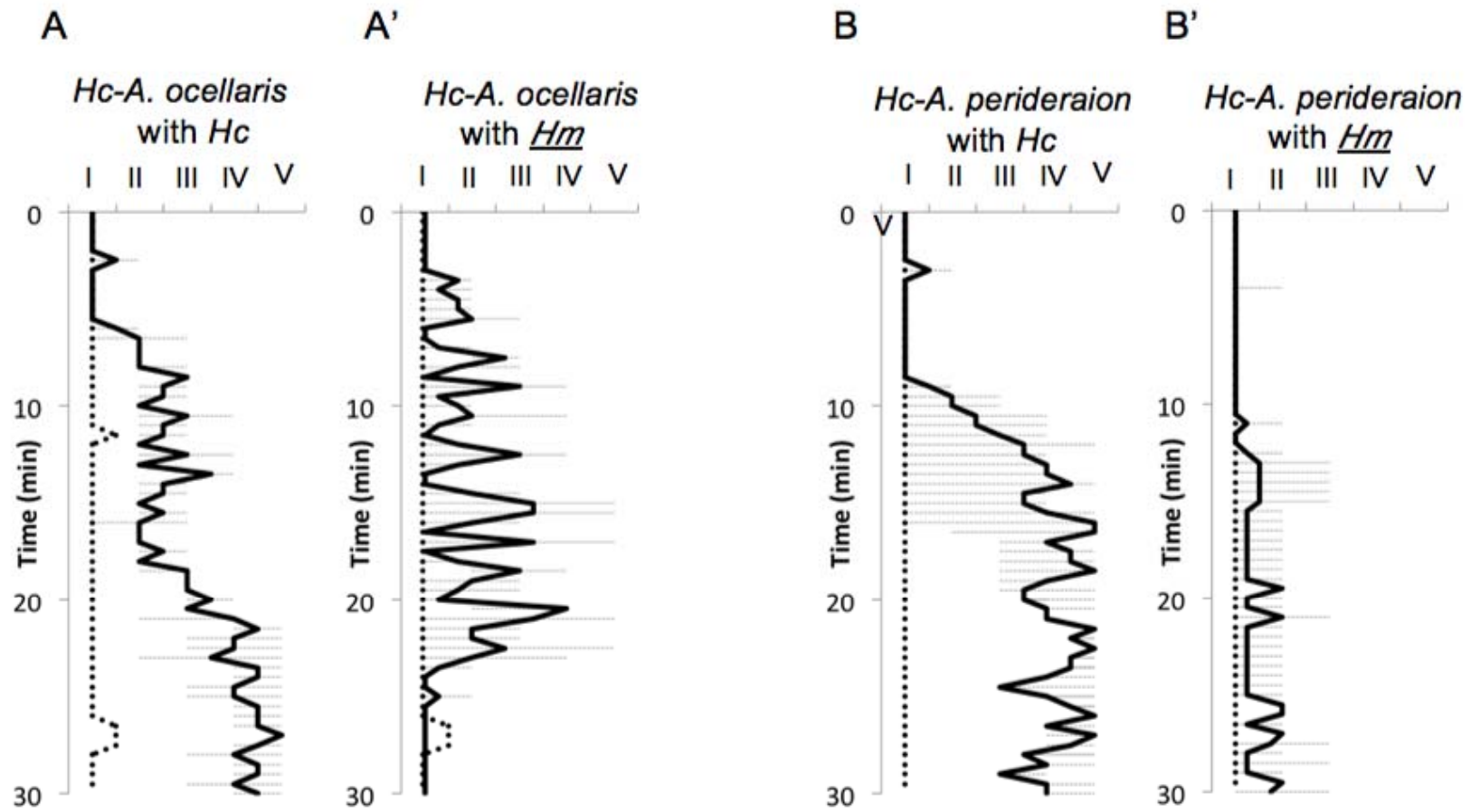


Fig. 6

Table 1 Response of non-imprinted and Sg juveniles of *A. ocellaris* to symbiotic-partner anemones and non-partner anemones

Sea Anemone	<u>Non-imprinted Juveniles (N=5)</u>			<u>Sg juveniles (N=5)</u>		
	(Repl.)	Control	Anemone chemicals	(Repl.)	Control	Anemone chemicals
<u>Sg</u>	(5)	0.04±0.04	1.47±0.41	(5)	0.01±0.03	2.88±0.62
<u>Hm</u>	(5)	0.01±0.02	1.81±0.46	(4)	0	2.83±1.08
<u>Sm</u>	(5)	0.03±0.03	0.45±0.30	(5)	0	0.08±0.04
<u>Hc</u>	(5)	0.02±0.02	0.23±0.15	(4)	0	0.01±0.04
<u>Eq</u>	(5)	0	0.03±0.04	(4)	0	0.04±0.03

*S. gigantean*=Sg, *H. magnifica*=Hm, *S. mertensii*=Sm, *H. crispa*=Hc and *E. quadricolor*=Eq (Underlined names are symbiotic-partner species of *A. ocellaris*)

Average "reach V value" ± SD

"reach V value": the average number of juveniles that reached or stayed in section V per observation period.

Non-imprinted juveniles: 11–49 day-old; Sg juveniles: 9–52 day-old

N= number of tested juveniles in each experiment.

Table 2 Response of early, grown stage juveniles, and over 1 year-old young of *Amphiprion ocellaris* imprinted by anemone *Hm* and *Sm* to symbiotic-partner anemones and non-partner anemones

<i>A. ocellaris</i> juveniles	Sea anemone	Stage of juveniles								
		Early stage		Grown stage		Over 1 year				
		Control	Anemone chemicals	Control	Anemone chemicals	Control	Anemone chemicals			
	<i>Sg</i>	(5)	0 (N=4)	1.39±0.72	(4)	0.06±0.08 (N=3)	1.69±0.61	(N=4)		
<i>Hm</i> juveniles	<i>Hm</i>	(4)	0.03±0.05	0.67±0.13	(4)	0	1.23±0.75	(3)	0.12±0.21	2.56±0.49
	<i>Sm</i>	(4)	0.01±0.01	0	(6)	0.01±0.02	0.72±0.51	(4)	0.01±0.01	0.66±0.36
	<i>Hc</i>	(4)	0	0.04±0.03	(3)	0.06±0.06	0.03±0.05	(3)	0.02	0.02±0.04
	<i>Sg</i>	(5)	0.03±0.07 (N=5)	0.13±0.22	(4)	0.08±0.10 (N=3)	0.66±0.43	(N=5)		
<i>Sm</i> juveniles	<i>Hm</i>	(5)	0	0.01±0.02	(6)	0.01±0.01	0.28±0.22	(3)	0.15±0.15	4.37±0.13
	<i>Sm</i>	(5)	0.19±0.21	3.04±0.48	(5)	0	1.80±0.30	(3)	0.08±0.08	1.06±0.42
	<i>Hc</i>	(4)	0.03±0.06	0.01±0.03	(5)	0.02±0.04	0.57±0.63			

Average "reach V value" ± SD

"reach V value": the average number of juveniles that reached or stayed in section V per observation period.

Early stage: *Hm* juveniles (13–49 day-old); *Sm* juveniles (11–48 day-old)

Grown stage: *Hm* juveniles (70–143 day-old); *Sm* juveniles (51–63 day-old)

N=number of tested juveniles in each experiment.



Table 3 Response of non-imprinted and Sg and Hm young fish of *Amphiprion ocellaris* to an exposed symbiotic anemone Sg in direct encounter experiment

<i>A. ocellaris</i> juveniles	Replication	Control	Exposed <u>Sg</u>
Non-imprinted young (N=4)	6	0	0.56±0.84
<u>Sg</u> young (N=4)	4	0	2.52±1.09
<u>Hm</u> young (N=4)	4	0	2.80±0.58

Average “reach V value” ± SD

“reach V value”: the average number of juveniles that reached or stayed in section V per observation period.

N= number of tested juveniles in each experiment.

Table 4 Results of the host-exchange experiment: imprinted rates by each anemone in *Sm* and *Sg* tanks

Hatched condition		Rates of imprinted juveniles	
		1st experiment 2011/08/01 (%)	2nd experiment 2011/10/05 (%)
		(N=45)	(N=18)
Before transferring ( hatched in <i>Sm</i> tank)	<i>Sm</i> juveniles	26.7	11.1
	<i>Sg</i> juveniles	0	0
	Non-imprinted	73.3	88.9
		(N=84)	(N=64)
After transferring ( hatched in <i>Sg</i> tank)	<i>Sm</i> juveniles	45.2	14.1
	<i>Sg</i> juveniles	19.1	23.4
	Non-imprinted	35.7	62.5

N= number of tested juveniles in each tank.

Table 5 Partnerships between anemonefish and symbiotic species or predicted sub-symbiotic (substitute) species

Anemonefish Genus & Species complex	Anemonefish species (No. of symbiotic anemones)	Sea anemone Symbiotic species (No. of symbionts): symbionts species	Sea anemone Predicted sub-symbiotic species (No. of symbionts): symbionts species
genus <i>Premnas</i>	<i>Premnas biaculeatus</i> (2)	Eq (1): <u>P. bia</u>	Hc (1): <i>P. bia</i> <sup>*</sup>
genus <i>Amphiprion</i> Percula complex	<i>Amphiprion ocellaris</i> (3) <i>Amphiprion percula</i> (4)	Sg (2): <u>A. oce</u> , <u>A. per</u> Hm (2): <u>A. oce</u> , <u>A. per</u>	Sm (2): <u>A. per</u> , <u>A. oce</u> <sup>a)</sup> Hc (1): <u>A. per</u> <sup>a)</sup>
Polymnus complex	<i>Amphiprion polymnus</i> (2) <i>Amphiprion sebae</i> (1) <i>Amphiprion latezonatus</i> (2)	Sh (2): <u>A. pol</u> , <i>A. seb</i>	Hc (1): <u>A. pol</u> , <i>A. late</i> <sup>b)</sup> Eq (1): <i>A. late</i>
Akallopisos complex	<i>Amphiprion akallopisos</i> (2) <i>Amphiprion nigripes</i> (1) <i>Amphiprion perideraion</i> (4) <i>Amphiprion sandaracinos</i> (2) <i>Amphiprion leucokranos</i> (3)	Hm (4): <i>A. aka</i> , <i>A. nig</i> , <u>A. per</u> , <u>A. leu</u> Sm (3): <u>A. san</u> , <i>A. aka</i> , <u>A. leu</u>	Hc (3): <u>A. per</u> , <u>A. leu</u> , <u>A. san</u> Sg (1): <u>A. per</u> <sup>c)</sup> Md (1): <u>A. per</u>
Ephippium complex	<i>Amphiprion ephippium</i> (2) <i>Amphiprion frenatus</i> (1) <i>Amphiprion mccullochi</i> (1) <i>Amphiprion melanopus</i> (3) <i>Amphiprion rubrocinctus</i> (2)	Eq (5): <i>A. eph</i> , <u>A. fre</u> , <i>A. mcc</i> , <u>A. mel</u> , <i>A. rub</i>	Hc (2): <i>A. eph</i> , <u>A. mel</u> Hm (1): <u>A. mel</u> Sg (1): <i>A. rub</i>
Clarkii complex	<i>Amphiprion clarkii</i> (10) <i>Amphiprion akindynos</i> (6) <i>Amphiprion allardi</i> (3) <i>Amphiprion bicinctus</i> (6) <i>Amphiprion chagosensis</i> (1) <i>Amphiprion chrysogaster</i> (5) <i>Amphiprion chrysopterus</i> (6) <i>Amphiprion fuscocaudatus</i> (1) <i>Amphiprion latifasciatus</i> (1) <i>Amphiprion omanensis</i> (3) <i>Amphiprion tricinctus</i> (4)	Sm (9): <u>A. cla</u> , <u>A. aki</u> , <i>A. all</i> , <i>A. bic</i> <sup>e)</sup> , <i>A. chrg</i> , <u>A. chrp</u> , <i>A. fus</i> , <i>A. latif</i> , <i>A. tri</i> Sh (5): <u>A. cla</u> , <i>A. aki</i> , <i>A. chrg</i> , <u>A. chrp</u> , <i>A. oma</i> <sup>e)</sup> Hc (6): <u>A. cla</u> , <u>A. aki</u> , <i>A. bic</i> , <u>A. chrp</u> , <i>A. oma</i> , <i>A. tri</i> Eq (9): <u>A. cla</u> , <u>A. aki</u> , <i>A. all</i> , <i>A. bic</i> , <i>A. chag</i> <sup>e)</sup> , <u>A. chrp</u> , <i>A. fus</i> , <i>A. oma</i> , <i>A. tri</i>	Hm (5): <u>A. cla</u> , <u>A. aki</u> , <i>A. bic</i> , <i>A. chrg</i> , <u>A. chrp</u> Sg (3): <i>A. cla</i> <sup>d), f)</sup> , <i>A. aki</i> <sup>c), f)</sup> , <i>A. bic</i> <sup>d), f)</sup> Ha (7): <u>A. cla</u> , <u>A. aki</u> , <i>A. all</i> , <i>A. bic</i> , <i>A. chrg</i> , <u>A. chrp</u> , <i>A. tri</i> Hl (1): <i>A. cla</i> Md (1): <u>A. cla</u> Ca (1): <i>A. cla</i> <sup>f)</sup>

*Sg*=*Stichodactyla gigantea*, *Hm*=*Heteractis magnifica*, *Sm*=*S. mertensii*, *Hc*=*H. crista*,  
*Eq*=*Entacmaea quadricolor*, *Sh*=*S. haddoni*, *Md*=*Macroactyla doreensis*, *Ha*=*H. aurora*, *Hl*=*H. malu*,  
*Ca*=*Cryptodendrum adhaesivum*

Table based on field observation data mainly from Dunn (1981) and Fautin & Allen (1992), and supplemented by Elliott & Mariscal (2001,1996), Moyer & Yogo (2001).

Genera and species complexes of *Amphiprion* (Allen, 1972) are separated by horizontal lines.

marked anemonefish species that has been demonstrated to have an innate protection against the anemone ( Elliott & Mariscal, 1996; Miyagawa, 1989; Miyagawa & Hidaka, 1980).

\* marked species has been demonstrated to have an imperfect protection against the anemone (Elliott & Mariscal, 1996; Miyagawa, 1989; Miyagawa & Hidaka, 1980; Schlichter, 1968; Gohar, 1948).

**a)** Data from photo by Allen in 1972 (Dunn. 1981).

**b)** This species is unclear whether a symbiotic or a sub-symbiotic species of *A. latezonatus*. Further ecological information (e. g. whether *A. latezonatus* inhabits only this anemone simply due to absence of *S. haddoni* in its habitat) is needed.

**c)** This partnership was reported by Elliot & Mariscal (1996).

**d)** *Sg* is thought to be a substitute species for *A. clarkii* because this partnership has only been observed in some localities (Elliott and Mariscal, 1996 ; Mariscal, 1969, 1970b; Saville-Kent, 1897). *Sg* is also thought to be a substitute species for *A. bicinctus* in the Red Sea according to the observation by Gohar (1948) and Schlichter (1968), who also showed the imperfect protection of *A. bicinctus* against *S. gigantea*.

**e)** This partnership was reported by Moyer & Yogo (2001).

**f)** Marked species has been demonstrated to have an imperfect protection against the anemone (Elliott & Mariscal, 1996; Miyagawa, 1989; Miyagawa & Hidaka, 1980; Schlichter, 1968; Gohar, 1948).

Fig. I The reach V values of the trough experiments with non-imprinted *A. ocellaris* juveniles to each test anemone's chemicals

"reach V value": the average number of juveniles that reached or stayed in section V per observation period.

Paired t-tests were used to detect whether tested juveniles were attracted to each test anemone's chemicals or not.

n = number of experiments

Fig. II The reach V values of the trough experiments with Sg-*A. ocellaris* juveniles to each test anemone's chemicals

The attraction to each test anemone's chemicals was judged to be significant using a paired t-test.

n = number of experiments

Fig. III The reach V values of the trough experiments with three stages ( early, grown, and over 1 year) of Hm-*A. ocellaris* juveniles to each test anemone's chemicals

The attraction to each test anemone's chemicals was judged to be significant using a paired t-test.

n = number of experiments

Fig. IV Reach V values of non-imprinted, Sg, and Hm young fish of *A. ocellaris* to an exposed Sg in direct encounter experiments

The attraction to each test anemone's chemicals was judged to be significant using a paired t-test.

n = number of experiments

Fig. V The reach V values of the trough experiments with three stages ( early, grown, and over 1 year) of *Sm-A. ocellaris* juveniles to each test anemone's chemicals.

The attraction to each test anemone's chemicals was judged to be significant using a paired t-test.

n = number of experiments

Fig. VI Diagram of host-changing manipulation

After dark, the host anemone *Hm* of a pair was replaced with *Hc* on the evening (i.e. ca. 1 day) before hatching.

The eggs hatched adjacent to *Hc* next evening, and some *Hc* juveniles were obtained.

Fig. VII Diagram of host-exchange experiment

1. After more than 60–70% of eggs had hatched in the parents' tank with *Sm*, the remaining eggs on the half-cut PVC duct were initially transferred into a small container filled with fresh seawater.
2. The spawning duct was then quickly transferred to the rearing *Sg* tank and placed ca. 5 cm from the *Sg*'s oral disc to prevent newly hatched larvae from being killed by the tentacles. Transferring water and newly hatched larvae from the *Sm* tank and the small container into the *Sg* tank was carefully avoided. Every transfer was done as quickly as possible just above the water surface of each tank.

Fig. 1 The reach V values of the trough experiments with non-imprinted *A. ocellaris* juveniles and each test anemone's chemicals

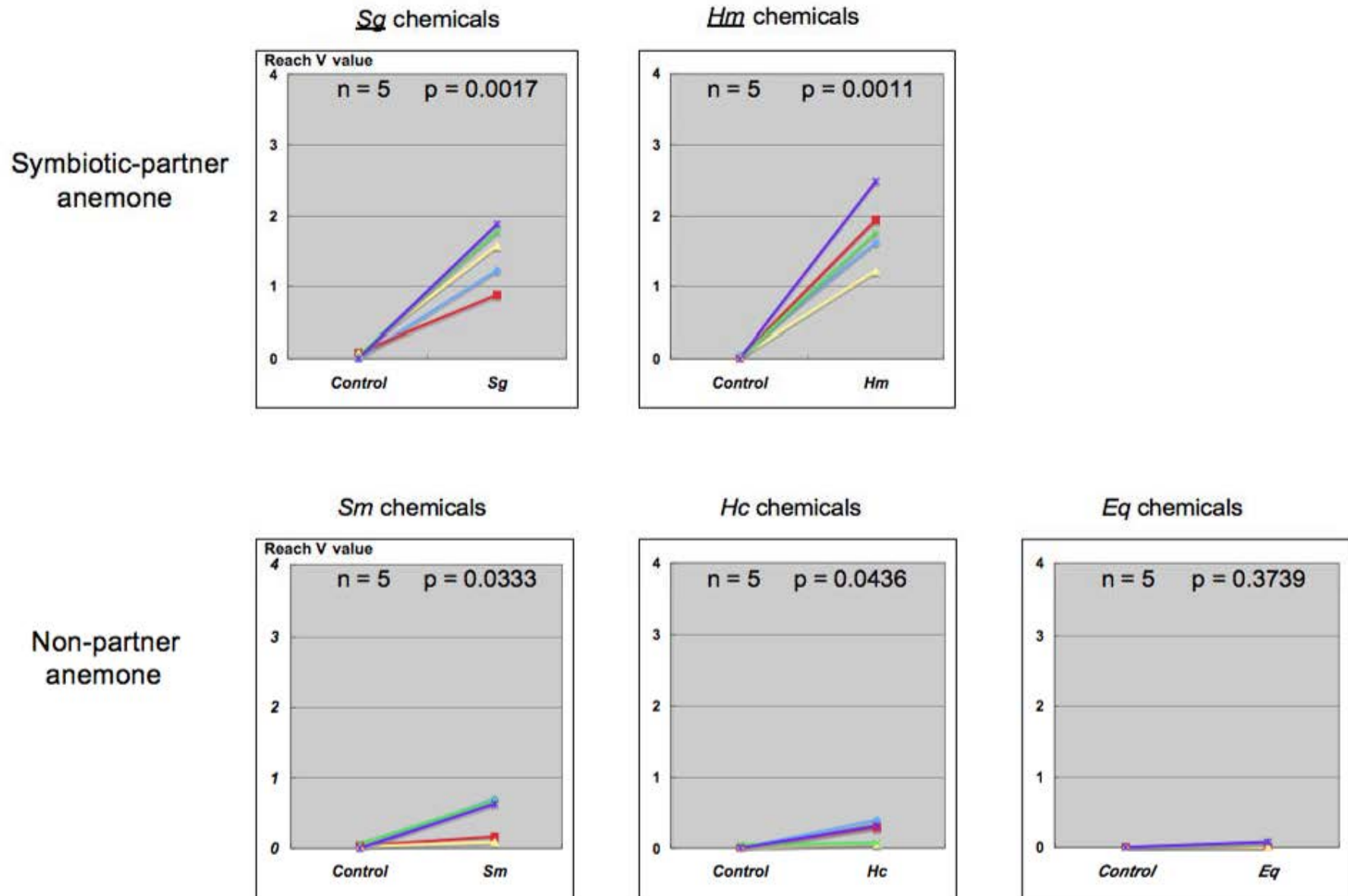


Fig. II The reach V values of the trough experiments with *Sg-A. ocellaris* juveniles and each test anemone's chemicals

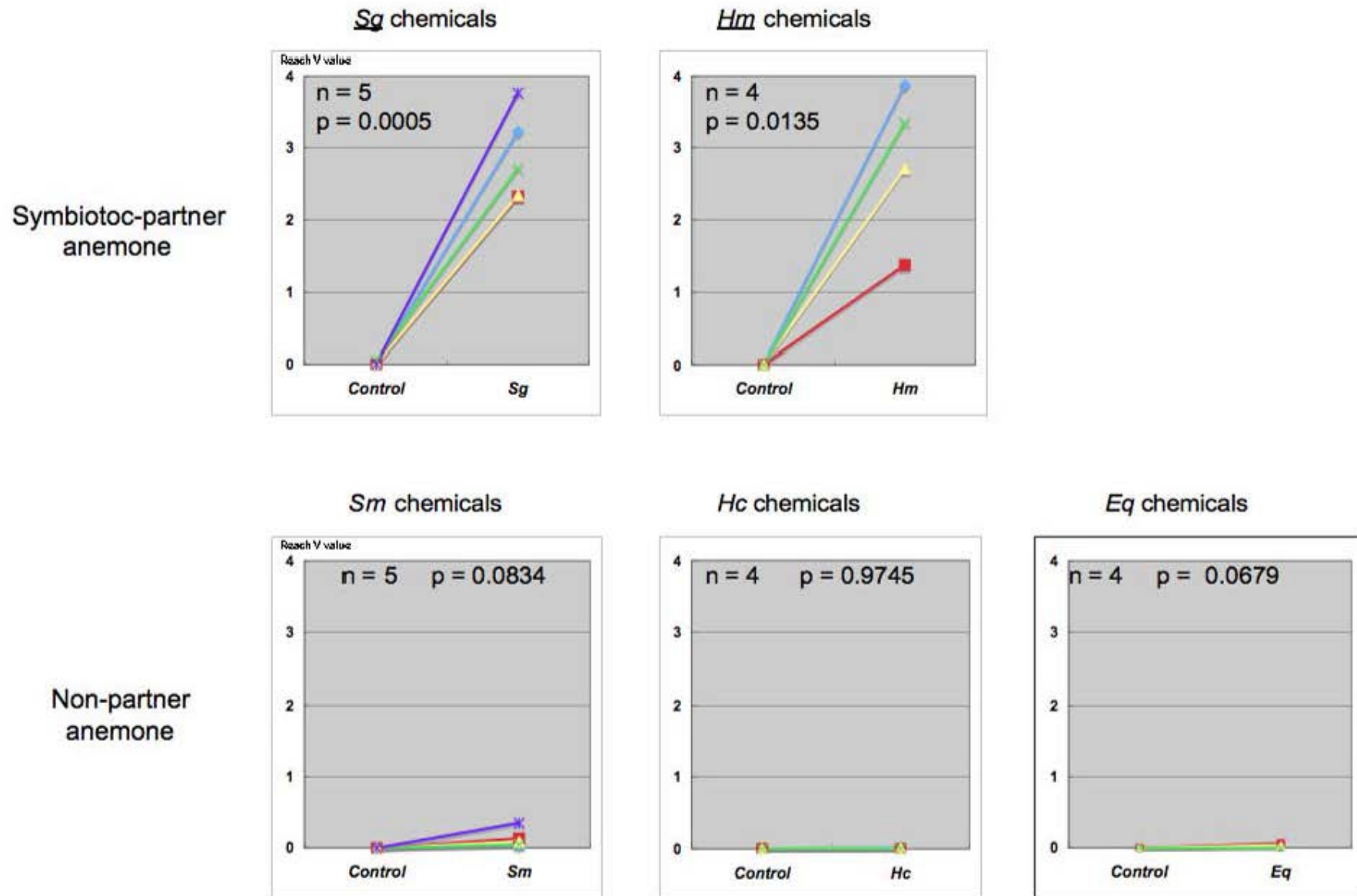




Fig. III The reach V values of trough experiments with early stage, grown stage, and over 1 year-old juveniles of *A. ocellaris* imprinted by *Hm* to each test anemone's chemicals

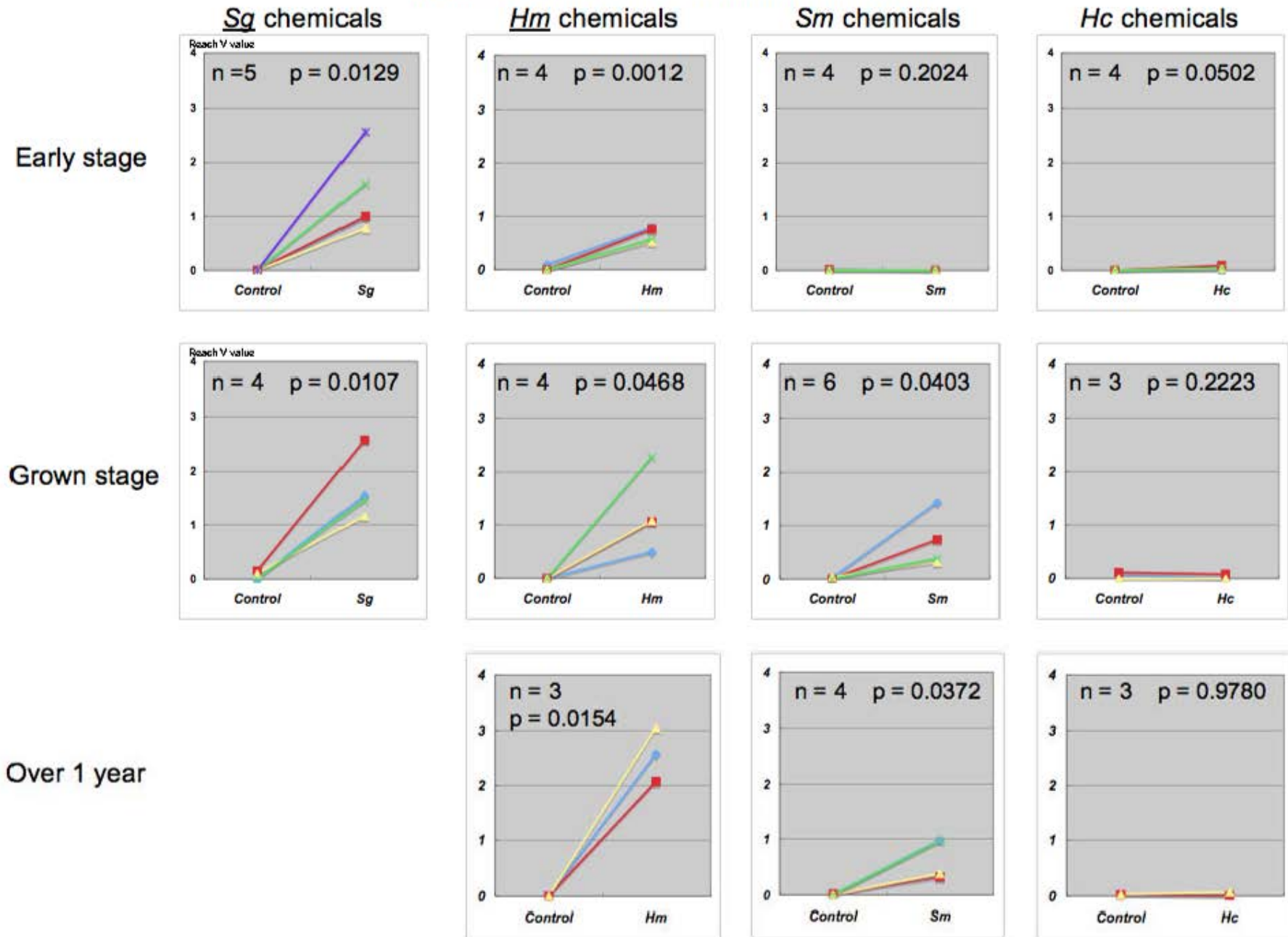
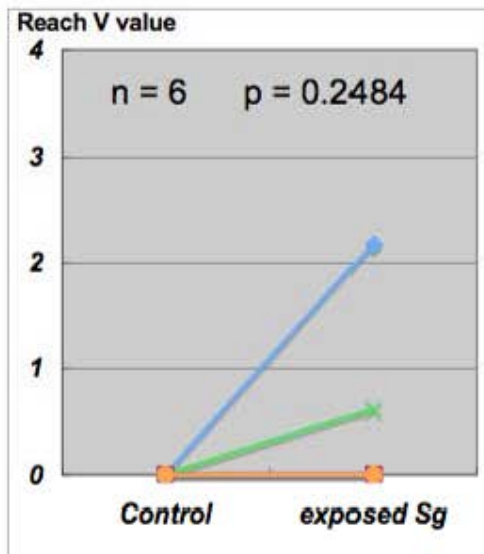
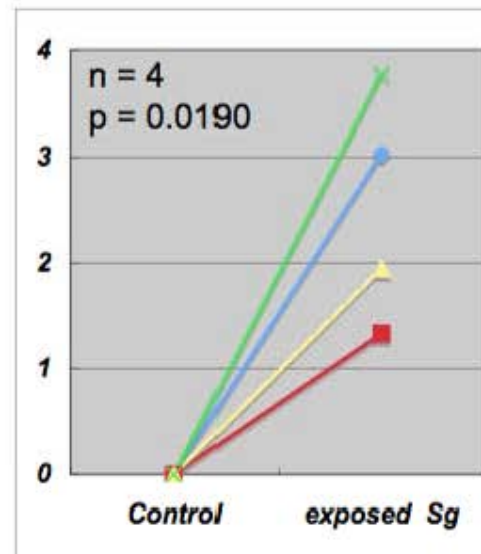


Fig. IV Reach V values of non-imprinted, Sg, and Hm young fish of *Amphiprion ocellaris* to an exposed Sg in direct encounter experiments

Non-imprinted young  
with an exposed Sg



Sg young  
with an exposed Sg



Hm young  
with an exposed Sg

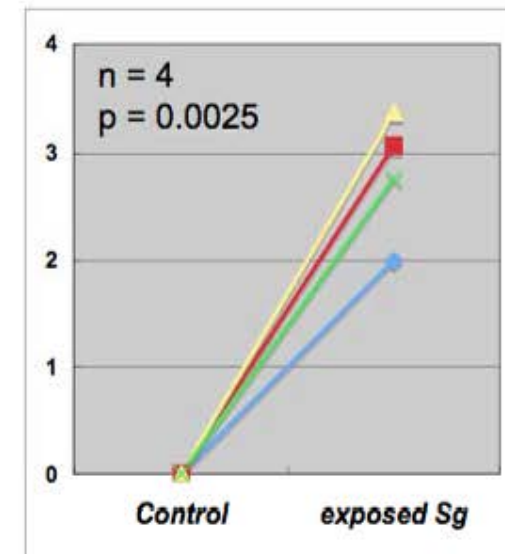


Fig. V The reach V values of trough experiments with early stage, grown stage, and over 1-year-old juveniles of *A. ocellaris* imprinted by *Sm* to each test anemone's chemicals

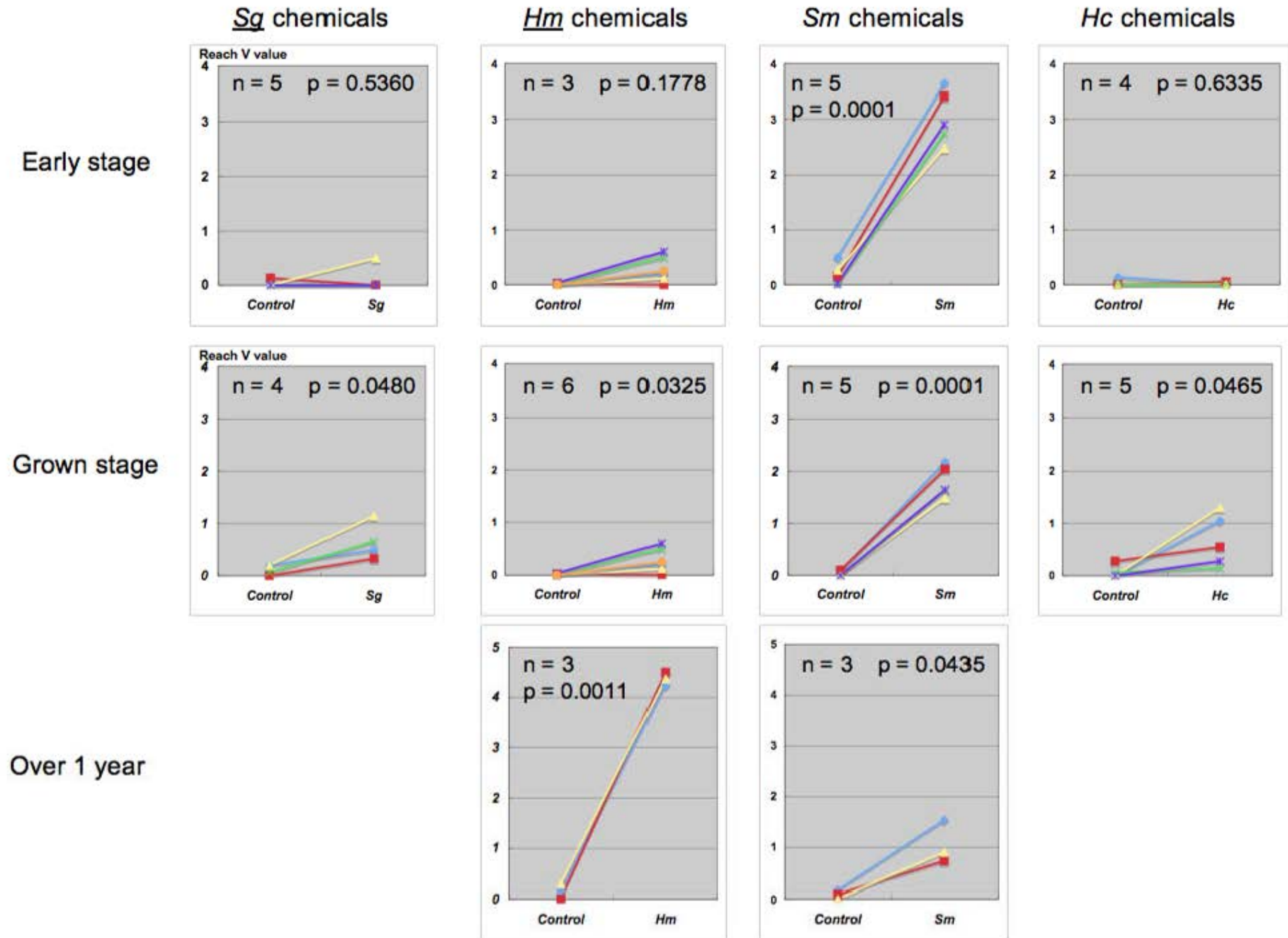


Fig. VI Diagram of host-changing manipulation

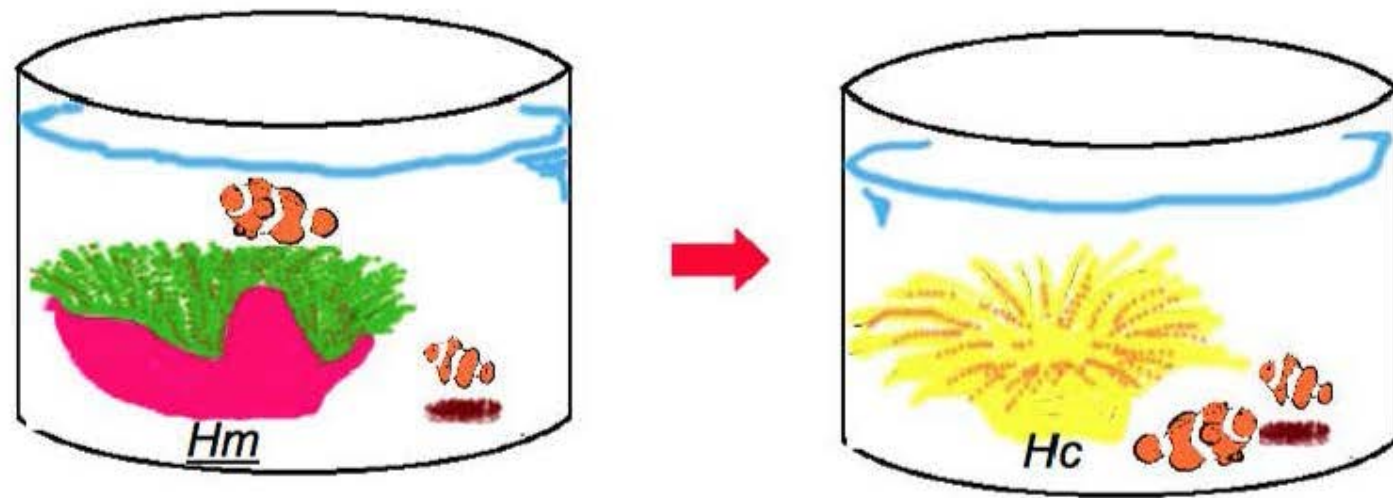


Fig. VII Diagram of host-exchange experiment

