1	Intrafractional tracking accuracy in infrared marker-based hybrid
2	dynamic tumour-tracking irradiation with a gimballed linac
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4	Nobutaka Mukumoto <sup>a</sup> , Mitsuhiro Nakamura <sup>a,*</sup> , Masahiro Yamada <sup>a</sup> , Kunio
5	Takahashi <sup>b</sup> , Hiroaki Tanabe <sup>c</sup> , Shinsuke Yano <sup>d</sup> , Yuki Miyabe <sup>a</sup> , Nami Ueki <sup>a</sup> , Shuji
6	Kaneko <sup>a</sup> , Yukinori Matsuo <sup>a</sup> , Takashi Mizowaki <sup>a</sup> , Akira Sawada <sup>a,e</sup> , Masaki Kokubo <sup>c,f</sup> ,
7	and Masahiro Hiraoka <sup>a</sup>
8	<sup>a</sup> Department of Radiation Oncology and Image-applied Therapy, Graduate School of
9	Medicine, Kyoto University, Kyoto, Japan
10	<sup>b</sup> Advanced Mechanical Systems Department, Mitsubishi Heavy Industries Ltd, Hiroshima,
11	Japan
12	<sup>c</sup> Division of Radiation Oncology, Institute of Biomedical Research and Innovation, Hyogo,
13	Japan
14	<sup>d</sup> Division of Clinical Radiology Service, Kyoto University Hospital, Kyoto, Japan
15	<sup>e</sup> Department of Radiological Technology, Faculty of Medical Science, Kyoto College of
16	Medical Science, Kyoto, Japan
17	<sup>f</sup> Department of Radiation Oncology, Kobe City Medical Center General Hospital, Hyogo,
18	Japan
19	
20	* Corresponding author: Mitsuhiro Nakamura, Ph.D., Graduate School of Medicine,
21	Kyoto University, 54 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto, 606-8507, Japan.
22	Tel.: +81-75-751-3762; Fax: +81-75-771-9749; E-mail: <u>m_nkmr@kuhp.kyoto-u.ac.jp</u>

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#### ABSTRACT

36 <u>Purpose</u>: To verify the intrafractional tracking accuracy in infrared (IR) marker-based
 37 hybrid dynamic tumour tracking irradiation ("IR Tracking") with the Vero4DRT.

38 <u>Materials and Methods</u>: The gimballed x-ray head tracks a moving target by predicting its 39 future position from displacements of IR markers in real-time. Ten lung cancer patients 40 who underwent IR Tracking were enrolled. The 95<sup>th</sup> percentiles of intrafractional 41 mechanical  $(iE_M^{95})$ , prediction  $(iE_P^{95})$ , and overall targeting errors  $(iE_T^{95})$  were calculated 42 from orthogonal fluoroscopy images acquired during tracking irradiation and from the 43 synchronously acquired log files.

44 **<u>Results</u>**: Averaged intrafractional errors were (left-right, cranio-caudal [CC], 45 anterior-posterior [AP]) = (0.1 mm, 0.4 mm, 0.1 mm) for  $iE_M^{95}$ , (1.2 mm, 2.7 mm, 2.1 mm) 46 for  $iE_P^{95}$ , and (1.3 mm, 2.4 mm, 1.4 mm) for  $iE_T^{95}$ . By correcting systematic prediction 47 errors in the previous field, the  $iE_P^{95}$  was reduced significantly, by an average of 0.4 mm 48 in the CC (p < 0.05) and by 0.3 mm in the AP (p < 0.01) directions. 49 <u>**Conclusions**</u>: Prediction errors were the primary cause of overall targeting errors, whereas

50 mechanical errors were negligible. Furthermore, improvement of the prediction accuracy

51 could be achieved by correcting systematic prediction errors in the previous field.

#### INTRODUCTION

54 Respiratory motion is one of the factors causing uncertainties during beam delivery, 55 particularly for thoracic and abdominal tumours [1, 2]. In hypofractionated stereotactic 56 body radiotherapy for lung cancer patients, addition of a large margin to compensate for 57 respiratory motion increases the probability of complications [3]. Several techniques, 58 including forced shallow-breathing, breath-hold, respiratory gating, and dynamic tumour 59 tracking (DTT), have been proposed to reduce the uncertainties caused by respiratory 60 motion [1, 2]. Of these methods, recent interest has focused on the DTT technique, which 61 can reposition the radiation beam dynamically in accordance with the target position. DTT can minimise the internal uncertainties without a burden on the respiration of patients or 62 63 prolongation of treatment time.

We have developed an innovative four-dimensional (4D) image-guided 64 radiotherapy system, the Vero4DRT (MHI-TM2000; Mitsubishi Heavy Industries, Ltd., 65 66 Japan, and BrainLAB, Feldkirchen, Germany) [4-10], and used its hybrid DTT irradiation function [infrared (IR)-marker-based hybrid DTT irradiation ("IR Tracking")] clinically in 67 68 lung cancer patients since September 2011 [10]. In IR Tracking, the position of the target, 69 indicated by implanted fiducial markers, is calculated from external surrogate signals 70 through a pre-built prediction model ("4D model"), and the MV x-ray beam is delivered 71 with real-time monitoring [7, 8, 10-12]. Depuydt et al. showed that the performance of 72 Vero4DRT's DTT function was comparable with other clinical DTT systems in phantom 73 and patient simulation studies [11, 12]. Our group also previously revealed that the 74 accuracy of the 4D model must be verified before treatment, and margins were required to compensate for the prediction error in a phantom study [7]; it was concluded that the accuracy of the 4D model was affected by the baseline drift of respiratory motion [8]. Here, we verified the intrafractional tracking accuracy of IR Tracking for lung cancer patients using intrafractional monitoring images and the corresponding log files.

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#### MATERIALS AND METHODS

# 81 The Vero4DRT hybrid dynamic tumour tracking irradiation system

82 Supplementary Figure 1 (Electronic Appendix) shows a schematic diagram of the 83 Vero4DRT system. The Vero4DRT has several unique components that facilitate DTT 84 irradiation: (1) a compact C-band 6-MV x-ray head with a gimbal mechanism, mounted on 85 an O-ring gantry. The gimballed x-ray head can swing itself in both the pan and tilt 86 directions, (2) gantry-mounted orthogonal kV x-ray imaging subsystems, consisting of two 87 sets of x-ray tubes and flat-panel detectors, with a spatial resolution of 0.2 mm at the 88 isocentre level, and (3) an extended version of the ExacTRAC system that enables real-time 89 motion monitoring and management for the DTT function [7, 8, 11, 12] with an IR camera 90 mounted on the ceiling of the treatment room.

Supplementary Figure 2 shows a schematic diagram of the IR Tracking procedure. After patient positioning, a 4D model is created using synchronously monitored internal target motion and an external surrogate signal. The detected target position  $(P_d)$  is defined as the tumour centre-of-mass calculated from the positions of the implanted fiducial markers on the x-ray images. The relative shift amount between the tumour centre-of-mass and centroid of the markers' polyhedron was determined at the end-exhalation phase in the

97 planning computed tomography. The predicted target position  $(P_p)$  is calculated from the 98 4D model, expressed by a quadratic equation involving two variables, the position and 99 velocity of the IR markers. The positions of the IR markers are predicted linearly from the 100 past motion to compensate for the DTT system delay [11]. Details of the prediction model 101 are described in the Supplementary Materials section. In this 4D-modelling phase, the 102 peak-to-peak amplitude of the detected target motion (A) and the mean ( $\mu$ ) and standard 103 deviation (SD) of the absolute 4D-modelling error  $(E_{4DM})$ , defined as the absolute difference between the  $P_p$  and  $P_d$ , are calculated along each axis automatically. During 104 105 beam delivery, the future 3D target position is calculated from the displacements of the IR 106 markers using the 4D model, and then the corresponding tracking angle is transferred 107 continuously to the gimballed x-ray head. Additionally, circles with a user-defined radius 108 around the predicted positions of the fiducial markers (tolerance circles) are displayed on 109 the monitoring images as a benchmark in re-modelling. When the fiducial markers are 110 deviated systematically from the tolerance circles, re-modelling should be performed 111 during each treatment session (Fig. 1).

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# 113 Patient characteristics and treatment planning

114 Ten lung cancer patients who underwent IR Tracking in an Institutional Review 115 Board-approved trial were included in the present study. Patient selection criteria were 116 based on our stereotactic body radiation therapy protocol and written informed consent for 117 the present study was obtained from each patient [3, 10]. Three or more 1.5-mm-diameter 118 gold markers (Olympus Co., Tokyo, Japan) were implanted around the lung tumour 119 transbronchially 1-2 weeks before treatment planning. Table 1 shows the characteristics of 120 the patients and treatment planning. We performed a dry-run treatment session prior to 121 treatment planning to assess the characteristics of respirations and to identify 122 patient-specific planning target volume (PTV) margins [7, 9]. The median of A was 2.8 mm 123 in the left-right (LR), 15.8 mm in the cranio-caudal (CC), and 4.3 mm in the anterior-posterior (AP) directions. The median of  $\mu$ +2SD of the  $E_{4DM}$  during the dry-run 124 treatment session  $(E_{4DM}^{\mu+2SD})$  was 0.6 mm in the LR, 1.9 mm in the CC, and 0.7 mm in the AP 125 126 directions. Patient-specific PTV margins of 5.0–9.0 mm were added to the tumour along 127 each axis to compensate for intra- and interfractional uncertainties in IR Tracking [7, 9, 13]. 128 Supplementary Figure 3 shows the definition of the patient-specific PTV margins. The 129 intra- and interfractional uncertainties were classified into systematic and random 130 components. The patient-specific PTV margins were then calculated for each axis using the 131 formula in Supplementary Figure 3. Prescribed doses of 48 or 56 Gy were specified to 132 isocentre in four fractions. Treatment plans included 6-8 non-coplanar fields, with a dose 133 rate of 500 MU/min.

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### 135 Data acquisition during beam delivery

During beam delivery, the target and fiducial markers were monitored using orthogonal kV x-ray imaging subsystems at 1 Hz. The predicted target positions and tracking angles of the gimballed x-ray head were recorded in log files at 60 and 200 Hz, respectively. In total, 9268 paired images (~30 paired images per field) and corresponding log files were acquired.

# 142 Verification of intrafractional tracking accuracy

Intrafractional tracking accuracy was verified by the  $P_d$  from the fluoroscopic images and 143 144 the corresponding  $P_p$  and the tracked target position, calculated from the synchronously 145 acquired log files. Supplementary Figure 4 shows the geometric point of the tracked target position at the depth of the  $P_d$  ( $P_{t,d}$ ). The tracked target position at the depth of the  $P_p$  ( $P_{t,p}$ ), 146 147 was calculated similarly. Intrafractional mechanical  $(iE_M)$ , prediction  $(iE_P)$ , and overall targeting errors (*iE<sub>T</sub>*) were defined as the differences between  $P_{t,p}$  and  $P_p$ ,  $P_p$  and  $P_d$ , and  $P_{t,d}$ 148 149 and  $P_d$ , respectively. Details of the calculation process are described in the Supplementary 150 Materials section.

The 95<sup>th</sup> percentiles of the absolute  $iE_M$  ( $iE_M^{95}$ ),  $iE_P$  ( $iE_P^{95}$ ), and  $iE_T$  ( $iE_T^{95}$ ) during 151 the treatment course were then calculated using the intrafractional monitoring images and 152 153 the corresponding log files. Pearson correlation coefficients were calculated to assess the relationship between  $E_{4DM}^{\mu+2SD}$  during the dry-run treatment session and  $iE_P^{95}$  or  $iE_T^{95}$ 154 during the treatment course. To further improve the prediction accuracy, the corrected  $iE_P^{95}$ 155 156 was recalculated retrospectively by subtracting the systematic (*i.e.* signed overall mean)  $iE_P$ 157 in the previous field excluding the first field after the 4D modelling. A paired t-test with a 158 0.05 significance level was performed for statistical analysis.

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#### RESULTS

161 Table 2 summarises  $iE_M^{95}$ ,  $iE_P^{95}$ ,  $iE_T^{95}$ , and corrected  $iE_P^{95}$  for 10 lung cancer patients.

162	Averaged intrafractional tracking errors were (LR, CC, AP) = $(0.1 \text{ mm}, 0.4 \text{ mm}, 0.1 \text{ mm})$
163	for $iE_M^{95}$ , (1.2 mm, 2.7 mm, 2.1 mm) for $iE_P^{95}$ , and (1.3 mm, 2.4 mm, 1.4 mm) for $iE_T^{95}$ .
164	Additionally, a strong positive correlation was found between $E_{4DM}^{\mu+2SD}$ and $iE_{P}^{95}$ (LR, CC,
165	AP) = (0.73 [ $p = 0.017$ ], 0.82 [ $p = 0.003$ ], 0.96 [ $p = 0.000$ ]) or $iE_T^{95}$ (LR, CC, AP) = (0.69)
166	$[p = 0.028], 0.77 [p = 0.010], 0.90 [p = 0.001])$ . As shown in Table 2, $iE_p^{95}$ was the
167	primary cause of $iE_T^{95}$ , while $iE_M^{95}$ was negligible. The $iE_T^{95}$ was fully covered by the
168	PTV margin, including the geometric variations between the tumour and fiducial markers.
169	Figure 2 (a) shows representative probability histograms in the positional error in the CC
170	direction for the first patient who underwent IR Tracking (Patient No. 1). $iE_T^{95}$ was
171	2.3 mm for this patient.

A maximum  $iE_T^{95}$  of 4.1 mm was observed for Patient No. 7 in the CC direction. 172 This patient showed the largest difference between  $E_{4DM}^{\mu+2SD}$  and  $iE_P^{95}$  [LR, CC, and AP = 173 174 1.6, 1.5, and 1.6 mm, respectively] due to a baseline drift during beam delivery. Meanwhile, the averaged differences for the other patients were 0.3, 0.6, and 0.7 mm for the LR, CC, 175 and AP directions, respectively. By correcting the systematic prediction errors in the 176 previous field, however,  $iE_P^{95}$  decreased, from 4.1 to 2.7 mm, for this patient in the CC 177 direction [Fig. 2 (b)]. The maximum reductions in  $iE_P^{95}$  were observed in this patient (LR, 178 CC, AP) = (1.4 mm, 1.4 mm, 0.9 mm). For the entire population, the corrected  $iE_P^{95}$  was 179 improved significantly by an average of 0.4 mm in the CC (p < 0.05) and by 0.3 mm in the 180 AP (p < 0.01) directions. 181

182 183 DISCUSSION 184 The Vero4DRT tracks a moving target in real-time using the orthogonal gimballed x-ray 185 head. In the present study, we established a verification methodology for the intrafractional mechanical, prediction, and overall targeting accuracy in each axis during the treatment 186 187 course. The 3D coordinates of the intrafractional tracked target position were calculated 188 based on the MV x-ray beam orientation using intrafractional monitoring images and the 189 corresponding log files. 190 We verified the intrafractional tracking accuracy for 10 lung cancer patients who 191 underwent IR Tracking with real-time monitoring. Vero4DRT users can monitor the moving 192 target, fiducial markers, and tolerance circles with its predicted position using orthogonal 193 kV x-ray imaging subsystems during beam delivery. At our institution, the radius of the 194 tolerance circles is set to 3 mm, and the 4D model is re-modelled when the monitored 195 fiducial markers' positions are displaced systematically from the tolerance circles due to baseline drift (Fig. 1). By re-modelling the 4D model, while an  $iE_T^{95}$  of less than 3 mm 196 was achieved for nine patients (90%), one patient (Patient No. 7) showed a large  $iE_T^{95}$  of 197 198 greater than 3 mm. The 4D model was updated once during the treatment session for 199 Patient No. 7. However, this patient required additional re-modelling. In IR Tracking, the 200 predominant cause of overall targeting errors was prediction errors. The position and 201 velocity of IR markers involved in the 4D model were predicted linearly from past IR 202 marker motion [8]. Thus, prediction uncertainty of the peak position sometimes 203 overestimated the predicted position of the IR marker and the 4D model enforced a large 204 amplitude of respiration motion (Supplementary Figure 5). In this case, the mechanical 205 response delay of the gimballed x-ray head reduced the impact of the prediction error on 206 the overall targeting error. Thus, the overall targeting errors were sometimes smaller than the prediction errors. Additionally, there were strong correlations between  $E_{4DM}^{\mu+2SD}$  and 207  $iE_{P}^{95}$  or  $iE_{T}^{95}$ , indicating that intrafractional prediction or overall targeting errors during 208 209 the treatment course could be estimated from 4D modelling errors during the dry-run treatment session. The  $iE_T^{95}$  was fully covered by the PTV margin, including a geometric 210 211 variation between the tumour and fiducial markers of 2.5 mm (Tables 1 and 2). When 212 calculating the PTV margin in IR Tracking, the intra- and interfractional uncertainties 213 should be considered (Supplementary Figure 3). However, the present recipe of the 214 patient-specific PTV margin was tentative so as to perform IR Tracking safely. Therefore, 215 further investigations will be needed to determine the PTV margin size appropriate for IR 216 Tracking [9].

217 The CyberKnife Robotic Radiosurgery System with the integrated Synchrony 218 Respiratory Tracking System (Accuray, Sunnyvale, CA) substantially reduces the geometric error caused by respiratory motion [14, 15]. In the present study,  $E_{4DM}^{\mu+2SD}$ 219 was 220 comparable with results of the Synchrony system. However, the correlation between the 221 internal target positions and external surrogates can change in the presence of baseline drift, 222 reducing the accuracy of the prediction model [8, 16]. The Synchrony system periodically 223 updates the prediction model using the intrafractional monitoring images. Updating the 4D 224 model in real-time may also improve the prediction accuracy because the internal/external

225 correlation change or baseline drift in respiration will be corrected. Meanwhile, this is 226 difficult regarding image processing time and minimum interval of the x-ray acquisition 227 during beam delivery. The 4D model in IR Tracking includes the parameters of position and 228 velocity of the IR markers. To update the 4D model, these parameters must be changed. 229 Thus, a shorter monitoring interval would be necessary. In clinical practice, we re-modelled 230 the 4D model at least once during treatment to minimise intrafractional uncertainties due to 231 internal/external correlation change or baseline drift in respiration. However, re-modelling 232 required additional exposures that were 8.3-16.7 times higher than intrafractional 233 monitoring [4, 12]. Also, x-ray image-based DTT, another DTT approach with Vero4DRT 234 [6], would not be an alternative strategy in terms of the difficulty of real-time detection and 235 excessive imaging doses. In the current study, the overall mean errors of  $iE_P$  were 236 calculated from around 30 paired images retrieved in the previous field using the 237 monitoring function for the intrafractional tracking accuracy verification. Because the 238 systematic prediction errors resulting from the baseline drift of respiration were reduced by subtracting the overall mean errors of  $iE_P$  in the previous field,  $iE_P^{95}$  decreased 239 240 significantly in the CC and AP directions using the monitoring images during beam delivery. 241 In the current study, we used all monitoring images to calculate the systematic prediction 242 errors because  $iE_P$  varied according to the respiratory phase. However, a triggered x-ray acquisition based on the respiratory phase would also reduce  $iE_P^{95}$  using a small number 243 244 of monitoring images because the systematic prediction errors could be corrected by the 245 averaged  $iE_P$  at the end-expiratory and end-inspiratory phases.

247	CONCLUSIONS
248	We demonstrated that IR Tracking reduced the impact of respiratory motion substantially.
249	The prediction error was the primary cause of the overall targeting error, while the
250	mechanical error was negligible. The PTV margin fully covered the intrafractional overall
251	targeting errors. The 4D modelling errors during a dry-run treatment session were a good
252	indicator of the prediction and overall targeting errors during the treatment course.
253	Additionally, further improvement in prediction accuracy was achieved by correcting the
254	systematic prediction error in the previous field.
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260	
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# FIGURE LEGENDS

312 Figure 1. Screen shot of the Vero4DRT during infrared (IR)-marker-based DTT irradiation 313 ("IR Tracking"). Monitored fiducial markers' positions were located outside of the 314 "Tolerance circle" displayed around the predicted fiducial markers' positions due to the 315 baseline drift of respiration.

317	Figure 2. Probabili	ty histograms	of positional	errors in the	cranio-caudal	(CC) direction	(a)
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- 318 for the first patient who underwent IR Tracking (Patient No. 1) and (b) for the most
- improved patient with intrafractional prediction error  $(iE_P)$  correction (Patient No. 7). The
- 320 Vero4DRT reduced the motion blurring effect caused by respiration.

# **TABLES**

Patient no.	Age (y.o.)	Sex	Tumour stage	Tumour side	Tumour location	LR	A [mm CC	i] AP	$E^{\mu}_{4\mu}$ LR	$DM^{+2SD}$ [n	nm] AP	GTV [cc]	PTV LR	margin CC	[mm] AP	PTV [cc]
1	85	F	Metastasis	Rt	S6	2.2	14.8	2.2	0.2	1.4	0.6	38.7	5.0	7.0	5.0	87.0
2	82	М	T1a	Rt	<b>S</b> 9	4.6	19.8	4.4	1.6	3.3	0.5	11.0	5.0	8.0	5.0	34.6
3	86	F	T1b	Rt	S9	2.2	26.0	5.3	0.2	1.6	0.8	12.4	5.0	8.0	5.0	38.0
4	84	М	T1b	Rt	<b>S</b> 6	0.9	11.9	3.1	0.3	1.3	0.7	17.8	5.0	7.0	5.0	50.1
5	71	М	T1b	Rt	S5	7.4	3.4	5.1	1.3	1.0	0.7	12.5	5.0	5.0	5.0	33.6
6	87	М	T1b	Lt	<b>S</b> 8	2.9	29.6	15.5	0.6	2.2	3.9	20.6	5.0	8.0	8.0	64.1
7	61	М	T2a	Rt	S10	1.4	8.8	4.0	0.5	2.6	0.7	31.9	5.0	6.5	5.0	74.2
8	85	М	T1a	Lt	<b>S</b> 9	5.8	30.6	8.7	2.1	3.4	2.9	8.9	5.0	9.0	6.0	33.4
9	68	М	Metastasis	Lt	<b>S</b> 8	2.7	9.9	2.3	0.2	0.9	0.4	2.3	5.0	5.0	5.0	10.4
10	60	М	Metastasis	Rt	<b>S</b> 9	3.1	16.7	4.1	0.9	2.2	1.6	13.3	5.0	7.0	5.0	38.9

Table 1. Characteristics of the patients and treatment planning.

Abbreviations: A=peak-to-peak amplitude of respiration,  $E_{4DM}^{\mu+2SD}$  = mean plus two standard deviations of the absolute 4D-modelling

error during a dry-run treatment session, GTV=gross tumour volume, PTV=planning target volume, LR=left-right, CC=cranio-caudal,

AP=anterior-posterior, F=Female, M=Male, Rt=Right lobe, Lt=Left lobe, S= pulmonary segment.

Patient	$iE_{M}^{95}$ [mm]			iE	$iE_{P}^{95}$ [mm]				$Z_T^{95}$ [m]	m]	С	Corrected $iE_P^{95}$ [mm]				
no.	LR	CC	AP	LR	CC	AP		LR	CC	AP	Ι	LR	CC	AP		
1	0.1	0.3	0.1	0.6	2.7	1.3		0.9	2.3	1.0	(	).6	1.9	1.2		
2	0.3	0.7	0.2	1.4	3.4	0.8		1.3	3.0	0.7	1	.3	3.1	0.6		
3	0.1	0.4	0.1	0.7	2.5	1.5		0.8	2.2	1.0	(	).7	2.7	1.3		
4	0.1	0.4	0.1	0.8	2.0	1.5		0.8	1.7	1.0	(	).8	1.7	1.4		
5	0.1	0.1	0.1	1.8	1.5	1.6		1.1	1.5	1.2	1	.5	1.5	1.6		
6	0.1	0.3	0.1	1.0	2.5	5.0		1.9	2.3	2.9	(	).9	1.8	4.3		
7	0.2	0.8	0.2	2.1	4.1	2.3		1.4	4.1	1.6	(	).7	2.7	1.4		
8	0.2	0.4	0.1	2.1	3.2	3.4		2.2	2.8	1.6	2	2.5	3.2	3.2		
9	0.1	0.3	0.1	0.5	1.6	1.4		0.8	1.4	0.9	(	).4	1.3	1.1		
10	0.1	0.3	0.1	1.1	3.0	2.0		1.3	2.9	1.6	1	.0	2.7	1.8		
Average	0.1	0.4	0.1	1.2	2.7	2.1		1.3	2.4	1.4	1	.0	2.3	1.8		

**Table 2.**  $iE_M^{95}$ ,  $iE_P^{95}$ ,  $iE_T^{95}$ , and corrected  $iE_P^{95}$ .

Abbreviations:  $iE_M^{95} = 95^{\text{th}}$  percentiles of the absolute intrafractional mechanical error,  $iE_P^{95} = 95^{\text{th}}$  percentiles of the absolute

intrafractional prediction error,  $iE_T^{95} = 95^{\text{th}}$  percentiles of the absolute intrafractional overall targeting error, LR=left-right, CC=cranio-caudal, AP=anterior-posterior.

Figure 1 Click here to download high resolution image





# 1 Prediction model of the Vero4DRT

Before irradiation, a prediction model ("4D model") was created. Infrared (IR) marker
displacements and the implanted fiducial markers' motions were monitored for 20-40 s
using the IR camera of the ExacTRAC system every 16.7 ms and the orthogonal kV x-ray
imaging subsystems every 80 or 160 ms, respectively. The frame rate of x-ray monitoring
changed automatically depending on IR marker velocity.

7 After monitoring, two target positions are determined: the detected target position 8  $(P_d)$  and the predicted target position  $(P_p)$ . The  $P_d$  is defined as the tumour centre-of-mass calculated from the positions of the implanted fiducial markers on the x-ray images. The 9 10 relative shift amount between the tumour centre-of-mass and centroid of the markers' 11 polyhedron was determined at the end-exhalation phase in the planning computed 12 tomography. The positions of the implanted fiducial markers were detected automatically 13 based on the intensity ratios of the fiducial markers to their surroundings with an accuracy 14 of 0.2 mm. The  $P_p$  is calculated from the predicted position and velocity of IR markers 15 using the 4D model, expressed as follows:

16 
$$P_{p} = \begin{pmatrix} x_{p} \\ y_{p} \\ z_{p} \end{pmatrix} = \frac{1}{n} \begin{pmatrix} \sum_{i=1}^{n} (a_{x,i}s_{i}^{2} + b_{x,i}s_{i} + c_{x,i} + d_{x,i}v_{i}^{2} + e_{x,i}v_{i}) \\ \sum_{i=1}^{n} (a_{y,i}s_{i}^{2} + b_{y,i}s_{i} + c_{y,i} + d_{y,i}v_{i}^{2} + e_{y,i}v_{i}) \\ \sum_{i=1}^{n} (a_{z,i}s_{i}^{2} + b_{z,i}s_{i} + c_{z,i} + d_{z,i}v_{i}^{2} + e_{z,i}v_{i}) \end{pmatrix}$$
(equation 1),

17 where  $x_p$ ,  $y_p$ , and  $z_p$  are the predicted target positions in the left-right, cranio-caudal, and 18 anterior-posterior directions, *n* is the number of IR markers, and *s* and *v* are the predicted 19 position and velocity of each IR marker in the anterior-posterior direction. The positions of

20	the IR markers are predicted from the past motion to compensate for DTT system delay.
21	Parameters of the 4D model $(a, b, c, d, and e)$ were optimised using a least-squares
22	algorithm so that residual errors between the $P_p$ and $P_d$ were minimised.
23	During beam delivery, the future 3D target position is predicted from the
24	displacements of the IR markers using the 4D model, and then the corresponding tracking
25	angle is transferred continuously to the gimballed x-ray head.

## 27 Tracked target position calculated from the tracking angle of the gimballed x-ray head

28 Intrafractional tracking accuracy was assessed by the detected target position  $(P_d)$  from the fluoroscopic images and the corresponding predicted target position  $(P_n)$  and the tracked 29 30 target position, calculated from the synchronously acquired log files. The tracked target 31 position was derived from an intersection of a tracking orientation of the gimballed x-ray 32 head with a tracked tumour plane. The tracked tumour plane was defined as the 33 perpendicular plane to the gimbal angle of 0° for each port at the depth of the moving 34 tumour. The tracked target position, based on  $P_d(P_{t,d})$ , was calculated in the following three 35 steps:

#### 36

(1) Conversion of  $P_d$  from room to gantry-ring coordinates:

37 
$$\begin{pmatrix} u_d \\ v_d \\ w_d \end{pmatrix} = \begin{pmatrix} \cos G \cos R & -\cos G \sin R & -\sin G \\ -\sin R & -\cos R & 0 \\ \sin G \cos R & -\sin G \sin R & \cos G \end{pmatrix} \cdot \begin{pmatrix} x_d \\ y_d \\ z_d \end{pmatrix}$$
(equation 2),

where  $x_d$ ,  $y_d$ , and  $z_d$  are the detected target positions along the LR, the CC, and the AP directions in room coordinates, and *G* and *R* are the gantry and ring angle, and  $u_d$ ,  $v_d$ , and  $w_d$  (units: mm) are the detected target positions in gantry-ring coordinates corresponding to  $x_d$ ,  $y_d$ , and  $z_d$ .

2 (2) Calculation of  $P_{t,d}$  at the depth of  $P_d$  in gantry-ring coordinates

43 
$$\begin{pmatrix} u_{t,d} \\ v_{t,d} \\ w_{t,d} \end{pmatrix} = \begin{pmatrix} (960 - w_d) \tan \theta_P \\ (960 - w_d) \tan \theta_T \\ w_d \end{pmatrix} \text{ (equation 3)}$$

44 where  $u_{t,d}$ ,  $v_{t,d}$ , and  $w_{t,d}$  (units: mm) are the tracked target positions in gantry-ring

45 coordinates at the depth of the detected target position  $(w_{t,d})$ .  $\theta_P$  and  $\theta_T$  are the pan and 46 tilt angle of the gimballed x-ray head, and 960 mm is the distance from the rotation centre 47 of the gimballed x-ray head to the isocentre.

48

(3) Conversion of  $P_{t,d}$  from gantry-ring to room coordinates:

49 
$$\begin{pmatrix} x_{t,d} \\ y_{t,d} \\ z_{t,d} \end{pmatrix} = \begin{pmatrix} \cos G \cos R & -\sin R & \sin G \cos R \\ -\cos G \sin R & -\cos R & -\sin G \sin R \\ -\sin G & 0 & \cos G \end{pmatrix} \begin{pmatrix} u_{t,d} \\ v_{t,d} \\ w_{t,d} \end{pmatrix}$$
(equation 4),

where  $x_{t,d}$ ,  $y_{t,d}$ , and  $z_{t,d}$  (units: mm) are the tracked target positions in room coordinates. The tracked target position, based on  $P_p$  ( $P_{t,p}$ ), at the depth of the predicted target position ( $w_p$ ) was calculated similarly.

53 Intrafractional mechanical  $(iE_M)$ , prediction  $(iE_P)$ , and overall targeting errors  $(iE_T)$ 

55 
$$iE_{M} = \begin{pmatrix} x_{t,p} \\ y_{t,p} \\ z_{t,p} \end{pmatrix} - \begin{pmatrix} x_{p} \\ y_{p} \\ z_{p} \end{pmatrix}$$
(equation 5),

56 
$$iE_p = \begin{pmatrix} x_p \\ y_p \\ z_p \end{pmatrix} - \begin{pmatrix} x_d \\ y_d \\ z_d \end{pmatrix}$$
 (equation 6),

57 
$$iE_T = \begin{pmatrix} x_{t,d} \\ y_{t,d} \\ z_{t,d} \end{pmatrix} - \begin{pmatrix} x_d \\ y_d \\ z_d \end{pmatrix}$$
 (equation 7),

58 where  $x_{t,p}$ ,  $y_{t,p}$ , and  $z_{t,p}$  (units: mm) are the tracked target positions at the depth of the  $P_p$ 59 used for the verification of the mechanical error of the gimballed x-ray head against the

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predicted target positions, and  $x_p$ ,  $y_p$ , and  $z_p$  (units: mm) are the predicted target positions used as the tracking commands to the gimballed x-ray head, and  $x_d$ ,  $y_d$ , and  $z_d$  (units: mm) are the detected target positions, and  $x_{t,d}$ ,  $y_{t,d}$ , and  $z_{t,d}$  (units: mm) are the tracked target positions at the depth of the  $P_d$  used for the verification of the overall targeting error of the gimballed x-ray head against the moving tumour.



66 Supplementary Figure 1. Schematic diagram of the Vero4DRT system.



- 68 Supplementary Figure 2. Infrared (IR) marker-based hybrid dynamic tumour tracking
- 69 irradiation ("IR Tracking") procedure.

# Patient-specific PTV margin

(1) Interfractional error (3) Intrafractional error (Random) Geometric uncertainty between • 4D modelling error Marker  $(M_n)$  and Target  $(T_n)$ - Mean + 2SD of absolute M1 - 2.5 mm 4D modelling error (2) Intrafractional error (Systematic) (4) Intrafractional error (Random) Baseline drift of respiration Mechanical error - 95<sup>th</sup> percentiles - 10% of peak-to-peak Amplitude of mechanical error

PTV margin [mm] =  $(1) + (2) + \sqrt{(3)^2 + (4)^2}$ Minimum size of PTV margin was set to 5 mm

<sup>71</sup> Supplementary Figure 3. Definition of the patient-specific planning target volume (PTV) margin.



- 73 Supplementary Figure 4. The geometric point of the tracked target position  $(P_{t,d})$  based on the detected target position  $(P_d)$
- 74 calculated from orthogonal fluoroscopic images and synchronously acquired log files.



Supplementary Figure 5. Screen shot of the Vero4DRT system during creation of the prediction model ("4D model"). The right four groups of waves, from top to bottom, show variations in the infrared (IR) markers' positions in the anterior-posterior direction and the target positions in the lateral, craniocaudal, and anterior-posterior directions, respectively. In the graphs of the target position, dark-coloured waves show the detected target position and light-coloured waves show the predicted target position.