### 1 Title

2 The effects of cross-tolerance to oxidative stress and drought stress on rice dry matter production under

3 aerobic conditions

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5 Authors

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### 14 Abbreviations

15 BCLs, back-cross lines; DHLs, double haploid lines;  $F_v/F_m$ , maximum quantum yield of photosystem II;  $g_s$ ,

- 16 stomatal conductance; LWP, leaf water potential; MSI, membrane stability index; MV, methyl viologen;
- 17 PCA, principal component analysis; PC1, first principal component; PCS, principal component score; PEG,
- 18 polyethylene glycol; PPFD, photosynthetic photon flux density; ROS, reactive oxygen species; SWP, soil
- 19 water potential; VPD, vapor pressure deficit.
- 20

# 1 Abstract

2	Oxidative damage occurring in plant cells under drought stress is a known cause of reduced plant primary
3	production. Decreasing oxidative damage through oxidative stress tolerance is expected to confer drought
4	stress tolerance. In this study, we estimated cross-tolerance to oxidative stress and drought stress for
5	breeding populations and analyzed the effects of the cross-tolerance on dry matter production in field
6	experiments. For a total of 91 rice genotypes, including 72 backcross lines (BCLs), cross-tolerance was
7	estimated from the first principal component score (PCS1) derived from a principal component analysis
8	using a data set with a parameter of chlorophyll fluorescence and cell membrane stability index in both the
9	oxidative and the drought stress treatments as the factors. Generally, the values of PCS1 were segregated
10	in the BCLs, suggesting that cross-tolerance is a heritable trait that can be improved by crossbreeding. The
11	effects of positive and negative PCS1 on dry matter production under flooded and aerobic conditions were
12	tested in field experiments. The decrease in dry matter production under aerobic conditions was smaller for
13	the positive-PCS1 genotypes. However, these genotypes also showed a lower stomatal conductance and
14	smaller shoot biomass, especially under flooded conditions. We concluded that cross-tolerance is a useful
15	trait for improving dry matter production, especially under severe drought stress. In view of the trade-offs
16	between cross-tolerance and dry matter production, it is important to develop rice varieties with an optimal
17	level of cross-tolerance for a target environment characterized by drought stress.

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### **1. Introduction**

2	Oxidative damage is one of the major causes of plant injury under drought stress. As drought stress
3	proceeds, stomatal closure induces the limitation of photosynthesis by carbon, and the use of energy for
4	photosynthesis then becomes lower than the absorbed light energy (Zhou et al., 2007). The over-reductive
5	state in the electron transport chain derived from the excess light energy increases the reduction of
6	molecular oxygen and produces reactive oxygen species (ROS). ROS oxidize DNA, RNA, proteins and
7	lipids and disturb plant cellular functions (Gill and Tuteja, 2010). To date, in certain crop species, genotypes
8	with high antioxidant capacity are known to show higher tolerance to drought stress compared with
9	genotypes of low antioxidant capacity (Pastori and Trippi, 1992; Sairam and Saxena, 2000; Guo et al., 2006;
10	Fazeli et al. 2007). Because plants make use of common pathways and components in exhibiting tolerance
11	to drought stress and oxidative stress, tolerance to oxidative stress also confers tolerance to drought stress.
12	This phenomenon is termed cross-tolerance.
13	In our previous study, we developed a method to evaluate cross-tolerance in seedlings belonging to a
14	rice diversity germplasm research set (RDRS) (Iseki et al., 2013a). The tolerance of the seedlings to
15	oxidative stress and to drought stress was evaluated with measurements of the maximum quantum yield of
16	photosystem II ( $F_v/F_m$ , a parameter of chlorophyll fluorescence) and of the membrane stability index (MSI)
17	under both oxidative stress and drought stress. Based on a principal component analysis (PCA) using the
18	$F_{\nu}/F_{m}$ and MSI measurements in both stress treatments as the factors, the cross-tolerance to oxidative stress
19	and drought stress was evaluated from the first principal component score (PCS1). A high intraspecific

1	diversity of cross-tolerance was observed in the RDRS. We found that cross-tolerance was higher in
2	japonica varieties than in indica varieties and higher in improved varieties than in landraces. A higher
3	oxidative stress tolerance in japonica varieties was also reported by Jiao and Ji (2001). The results of their
4	study indicated that genotypic diversity in oxidative stress tolerance in rice resulted from differences in
5	antioxidant enzyme activity. The PCS1 derived from a PCA in our evaluation method is an appropriate
6	indicator of cross-tolerance and may be suitable for a selection criterion for genotypes showing better plant
7	growth under stress conditions in fields.
8	To date, the relationship between oxidative stress and photosynthetic rate or biomass production has
9	been studied by using simulation models (Werner et al., 2001; Hikosaka et al., 2004). The results of these
10	studies indicated that oxidative stress is to be mitigated to achieve sufficient biomass production under
11	drought prone environment such as aerobic rice cultivation. Recently, the importance of aerobic rice
12	cultivation is increasing with increasing agricultural water use in drought prone area. Our previous study
13	(Iseki et al., 2013b) examined the occurrences of oxidative damage in a field experiment and reported that
14	$F_v/F_m$ of rice leaves under aerobic conditions was lower than that under flooded conditions. However, the
15	relation between $F_v/F_m$ and biomass production was unclear.
16	The objective of this study is to clarify the effects of cross-tolerance on rice dry matter production
17	under aerobic conditions, and to validate the cross-tolerance as a selection criterion. For the purpose, the
18	cross-tolerance to oxidative stress and drought stress was evaluated according to the PCS1 by the methods
19	described in Iseki et al. (2013a). The evaluation was conducted for 91 breeding populations in order to

1	estimate genotypic variation in the cross-tolerance. The effects of cross-tolerance on dry matter production
2	under field experiments were analyzed by using a part of the data set obtained in our previous study (Iseki
3	et al., 2013b) and by adding a newly conducted dry season trial. Based on all these results, the possibility
4	of improvement in drought tolerance in a drought-sensitive local variety by increasing the cross-tolerance
5	of the variety is discussed.
6	
7	2. Materials and methods
8	2.1. Evaluation of cross-tolerance to oxidative stress and drought stress
9	2.1.1. Plant materials and preparation
10	Double haploid lines (DHLs) derived from anther culture were developed from a cross between
11	CT9993-5-10-1-M (upland japonica) and IR62266-42-6-2 (lowland indica). These lines offer a high level
12	of genotypic variation with respect to drought tolerance (Zhang et al., 2001). Based on genotype screening
13	of the DHLs under drought stress, IR68586-FA-CA-143 (DHL143) was identified as a drought-tolerant line
14	(Jongdee et al., 2006). DHL143 backcross introgression lines (BCLs) into Surin1, a rainfed lowland rice
15	variety in Thailand, were developed to improve the drought stress tolerance of the original variety. In this
16	study, a total of 91 rice genotypes consisting of 18 DHLs, 72 BCLs and Surin1 were used for oxidative and
17	drought stress treatments. 15 in 20 genotypes tested in our previous study (Iseki et al., 2013b) were from
18	the DHLs and BCLs. We included these materials into the 91 genotypes.

19 The germinated rice seed of each rice line was sown on a 96 well PCR plate, one seed for one well. The

1	bottom of each well was cut, and the plate was then put in a plastic case filled with 1/2000 diluted Hyponex
2	nutrient solution (Hyponex 8-12-6, Hyponex Japan, Osaka, Japan). Seedlings were hydroponically grown
3	for 10 days at 25 °C and 100 $\mu mol~m^{-2}~s^{-1}$ photosynthetic photon flux density (PPFD) under a 12 h
4	photoperiod. The oxidative stress and drought stress treatments were then imposed.

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#### 6 2.1.2. Stress treatments

7	Oxidative stress and drought stress were imposed by the addition of methyl viologen (MV) and
8	polyethylene glycol 6000 (PEG), respectively. MV promotes the production of superoxide radical from
9	photosystem I and increase oxidative damage under light irradiation. PEG inhibits root water uptake and
10	promote drought stress especially under high light irradiation. The seedling roots were soaked in 1 mM MV
11	solution to induce oxidative stress and in 25 % PEG solution to induce drought stress. Prior to light
12	irradiation, the seedlings were kept in the dark for 7 hours. The light irradiation was conducted for 2 hours
13	under growth lights for the MV treatment and for 3 hours at a high light intensity (greater than 600 $\mu$ mol
14	m <sup>-2</sup> s <sup>-1</sup> of PPFD) for the PEG treatment.

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#### 16 **2.1.3. Evaluation of stress tolerance**

17 Plant damage was evaluated from measurements of  $F_v/F_m$  and MSI.  $F_v/F_m$  and MSI were measured for 18 the topmost fully expanded leaf of each seedling after the stress treatments. After 20 minutes of dark 19 adaptation,  $F_v/F_m$  was measured with a chlorophyll fluorometer (OS-30p, OPTI-SCIENCES, Hudson, NH,

1	USA) and leaf clip under dim light which do not affect the $F_v/F_m$ measurement. On the same leaf used for
2	the measurement of $F_v/F_m$ , MSI was then measured with some modification. The leaf was detached and
3	placed into a 2 mL tube with distilled water at 40°C for 60 min, and then its electrical conductivity was
4	recorded (C1). The same samples were then placed in boiling water for 10 min, and the electrical
5	conductivity was then recorded (C2). The MSI was calculated as $MSI = 1 - (C1 / C2)$ .
6	
7	2.1.4. Data analysis and the interpretation of the principal component scores
8	All of the experiments were conducted 6 times. The $F_{\nu}\!/F_m$ and MSI values in each MV and PEG
9	treatment were averages of 6 replications (6 seedlings). To obtain an overview of the variation of cross-
10	tolerance in the populations of BCLs and DHLs, four data sets with $F_v/F_m$ -MV, MSI-MV, $F_v/F_m$ -PEG and
11	MSI-PEG, each including 91 genotypes, were used for the PCA. We applied this procedure to standardized
12	variables. Significant differences in $F_v/F_m$ and in MSI between BCLs and DHLs were tested using a single-
13	factor analysis of variance (ANOVA). The ANOVA and PCA were performed with Ekuseru-Toukei 2006
14	statistical software (Social Survey Research Information, Japan). According to a previous study (Iseki et al.
15	2013a), we interpreted the first principal component (PC1) as the cross-tolerance to oxidative and drought
16	stresses.
17	
18	2.2. Field experiments

19 **2.2.1.** Growth conditions

1	Field experiments were conducted in experimental fields of the Ubon Rice Research Center, Thailand,
2	in the wet seasons of 2010 and 2011 and in the dry season of 2011-2012. The wet season experiments were
3	already described in Iseki et al. (2013b) but the experiment in dry season was newly conducted for this
4	study. The soil is light in texture, permitting high percolation and loss of water, and it is classified as loamy
5	sand. 20 and 8 genotypes, including DHLs and BCLs, for the experiments in wet season and dry season
6	respectively, were grown under flooded and aerobic conditions. For the wet season experiments, seeds were
7	sown in a seedling nursery on 23 June 2010 and 17 June 2011. The seedlings were transplanted to two
8	experimental fields, one with flooded conditions and one with aerobic conditions, on 12 August 2010 and
9	30 July 2011. In each of the water conditions, the rice genotypes were arranged in a randomized block
10	design with three replications. The plot sizes were 12 $m^2$ and 9 $m^2$ for the flooded and aerobic conditions,
11	respectively. For the dry season experiment, seeds were sown on 18 November 2011, and the seedlings
12	were transplanted to the experimental fields where flooded and aerobic conditions were to be established
13	on 19 December 2011. Each plot size was 3 $m^2$ for both the flooded and the aerobic conditions. The planting
14	density was 25 plants $m^{-2}$ for all the experiments. To avoid transplanting damage under the aerobic
15	conditions, surface water was introduced until two weeks after transplanting and then drained. For the
16	aerobic conditions, any rainfall was immediately drained, and irrigation was only conducted when the soil
17	water potential decreased to -20 kPa.
18	The meteorological environments and soil water potentials (SWPs) under the aerobic conditions during

19 each of the experimental periods are shown in Table 2. Solar radiation, air temperature and relative humidity

1	were measured every 5 minutes at a weather station near the experimental fields and stored in a data logger.
2	The SWPs under the aerobic conditions were measured with a pF meter every 3 days. The vapor pressure
3	deficit (VPD) was calculated from the air temperature and relative humidity. In the 2011 wet season
4	experiment, the average VPD and solar radiation were lower than in the 2010 due to high precipitation. The
5	high precipitation also brings the higher SWP under the aerobic condition. The VPD and solar radiation
6	were higher in the dry season. Under the aerobic conditions, the average values of the SWP of 20 cm in
7	depth were from -6 kPa to -13 kPa, indicating that the soil water deficiency was relatively mild.
8	
9	2.2.2 Measurements
10	The experimental periods for the measurements of stomatal conductance $(g_s)$ and leaf water potential
11	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for
11 12	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for all experiments. We assumed that the rice plants received the most severe damage at midday due to high
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11 12 13 14	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for all experiments. We assumed that the rice plants received the most severe damage at midday due to high solar radiation and high evapotranspiration. To obtain the midday values, the measurements were made from 1000 to 1400 on sunny days. At 9 weeks after transplanting, 4 plants with average growth were
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> </ol>	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for all experiments. We assumed that the rice plants received the most severe damage at midday due to high solar radiation and high evapotranspiration. To obtain the midday values, the measurements were made from 1000 to 1400 on sunny days. At 9 weeks after transplanting, 4 plants with average growth were sampled for each genotype under both water conditions. The shoot materials were dried at 70 °C for 48
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> </ol>	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for all experiments. We assumed that the rice plants received the most severe damage at midday due to high solar radiation and high evapotranspiration. To obtain the midday values, the measurements were made from 1000 to 1400 on sunny days. At 9 weeks after transplanting, 4 plants with average growth were sampled for each genotype under both water conditions. The shoot materials were dried at 70 °C for 48 hours. Total shoot biomass was calculated by multiplying biomass per plant by planting density and
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> </ol>	(LWP) were from 6 to 8 weeks after transplanting which corresponded to the maximum tillering stage for all experiments. We assumed that the rice plants received the most severe damage at midday due to high solar radiation and high evapotranspiration. To obtain the midday values, the measurements were made from 1000 to 1400 on sunny days. At 9 weeks after transplanting, 4 plants with average growth were sampled for each genotype under both water conditions. The shoot materials were dried at 70 °C for 48 hours. Total shoot biomass was calculated by multiplying biomass per plant by planting density and expressed as grams per square meter (g m <sup>-2</sup> ).

# **2.2.3. Stomatal conductance**

1	The measurements of $g_s$ were obtained with a leaf porometer (SC-1, Decagon Devices, Pullman, WA,
2	USA) 2 or 3 times every week. The leaf face that was not oriented toward the sunlight was used for the
3	measurement. The measurements were conducted once a week during the experimental periods. For a
4	measurement, 3 leaves were randomly selected as replications from each genotype from both water
5	conditions.
6	
7	2.2.4. Leaf water potential
8	LWP was measured with the pressure chamber method. Detached leaves were immediately put into a
9	plastic bag and mounted in a cylinder chamber for the measurement. The measurement was performed once
10	every week during the experimental periods. For a measurement, 6 leaves were randomly selected as
11	replications from each genotype from both water conditions.
12	
13	2.2.5. Data analysis
14	For analysis of data from the field experiments, the genotypes of DHLs and BCLs were firstly classified
15	into the 2 groups depending on the PCS1. In the wet season experiments, top 5 genotypes which have a
16	positive value in PCS1 were classified into positive PCS1 (+PCS1) group, bottom 5 genotypes which have
17	a negative value in PCS1 were classified into negative PCS1 (-PCS1) group (Table 1). 8 genotypes tested
18	in dry season experiments were also divided into +PCS1 and -PCS1 groups. The genotypes in +PCS1 and
19	-PCS1 were partly different between the wet and dry season experiments. For both of the wet season and

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1	dry season experiments, the mean PCS1 value of each group was almost 4.0 and -1.0 for +PCS1 and –PCS1 $\rightarrow$
2	groups, respectively. All the measurements with replications during the experimental period were averaged
3	for all the genotypes in each group. For the data analysis of the wet season experiments, a part of the data
4	set already published in Iseki et al. (2013b) were used. The significance of the differences between the
5	water conditions, genotype groups and experimental seasons were evaluated with a 3-way ANOVA using
6	the same statistical software used for the PCA.
7	
8	3. Results
9	3.1. Genotypic variation of cross-tolerance to oxidative stress and drought stress in rice breeding lines
10	Large genotypic variations in $F_{\nu}\!/F_m$ and MSI were observed for both the BCLs and the DHLs in the
11	MV and PEG treatments (Fig. 1). Genotypic variations in morphological traits such as plant height and root
12	
	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong
13	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong relationships between $F_v/F_m$ and MSI: the correlation coefficients for the combined populations of BCLs
1314	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong relationships between $F_v/F_m$ and MSI: the correlation coefficients for the combined populations of BCLs and DHLs were 0.65 in the MV treatment and 0.85 in the PEG treatment. Both correlations were significant
13 $14$ $15$	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong relationships between $F_v/F_m$ and MSI: the correlation coefficients for the combined populations of BCLs and DHLs were 0.65 in the MV treatment and 0.85 in the PEG treatment. Both correlations were significant at the 0.01 level. Lower $F_v/F_m$ and MSI values were found in Surin1, the recurrent parent of the BCLs, than
13 14 15 16	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong relationships between $F_v/F_m$ and MSI: the correlation coefficients for the combined populations of BCLs and DHLs were 0.65 in the MV treatment and 0.85 in the PEG treatment. Both correlations were significant at the 0.01 level. Lower $F_v/F_m$ and MSI values were found in Surin1, the recurrent parent of the BCLs, than in DHL143, the donor parent. Weak relationships between in MV treatment and the PEG treatment were
13 14 15 16 17	length were not found in the BCL and DHL seedlings. In both of the stress treatments, there were strong relationships between $F_v/F_m$ and MSI: the correlation coefficients for the combined populations of BCLs and DHLs were 0.65 in the MV treatment and 0.85 in the PEG treatment. Both correlations were significant at the 0.01 level. Lower $F_v/F_m$ and MSI values were found in Surin1, the recurrent parent of the BCLs, than in DHL143, the donor parent. Weak relationships between in MV treatment and the PEG treatment were observed for both $F_v/F_m$ and MSI. The correlation coefficients were 0.36 for $F_v/F_m$ and 0.47 for MSI, and

19 PC1 explained 64.9 % of the total variance. Due to the high percentage of the variance explained by

1	PC1, the results and discussion will focus on the value of PCS1, which is assumed to represent an indicator
2	of cross-tolerance. In PC1, the factor loadings did not differ substantially among the factors, ranging from
3	0.45 to 0.54. The distribution of each line in the BCLs and DHLs is illustrated in Fig. 3. Large genotypic
4	variation was observed in PCS1 for both the BCLs and DHLs. The mean PCS1 was higher in the DHLs
5	(1.57) than in the BCLs (-0.41). In the population of DHLs, the relative position of DHL143 in the PCS1
6	was higher than those of the other DHLs. The PCS1 of DHL143 (3.31) was also higher than that of Surin1
7	(-0.19).
8	
9	3.2. The effects of cross-tolerance on the field performance of rice
10	For both the +PCS1 and –PCS1 groups, the mean values of $g_s$ , LWP and total shoot biomass were lower
11	under the aerobic conditions than under the flooded conditions (Table 3). Only in the 2011 wet season, LWP
12	showed the same level between under flooded and aerobic conditions. Lower LWP value and total shoot
13	biomass under the aerobic conditions were observed in the dry season experiment than in the wet season
14	experiment. A comparison of the results between the two groups showed that the values of $g_s$ and total shoot
15	biomass tended to be higher for the genotypes of the -PCS1 groups than those in the +PCS1 groups in both
16	water conditions. Differences in total shoot biomass between the two groups were greater under the flooded
17	conditions than under the aerobic conditions. The relative shoot biomass under the aerobic conditions were,
18	on average, 8 % higher in the +PCS1 groups than the –PCS1 groups but the differences were not statistically
19	significant. The values of LWP in the +PCS1 groups were slightly lower than those in the –PCS1 groups.

# **4. Discussion**

3	The evaluation of cross-tolerance to oxidative stress and drought stress using measurements of $F_v/F_m$
4	and MSI was successfully applied to the BCLs and DHLs in this study. Antioxidant capacity is one of the
5	physiological mechanisms serving to reduce oxidative damage under MV treatment (Gill and Tuteja, 2010)
6	and is strongly controlled by modifications of a single gene (Mohamed et al., 2003; Sunkar et al., 2003).
7	Tripathy et al. (2000) examined genotypic variation in cell oxidative damage for the screening of drought
8	tolerance in double haploid rice lines and detected a quantitative trait locus (QTL) which accounted for
9	more than 40 % variation of the phenotype. Because the mechanisms of oxidative stress tolerance
10	commonly moderate the oxidative damage in both the PEG and the MV treatments, the oxidative stress
11	tolerance evaluated in the MV treatment confers cross-tolerance. These results suggest that oxidative stress
12	tolerance is a trait whose heredity is relatively less complex and is, therefore, suitable for a breeding
13	objective that involves drought tolerance.
14	The drought stress tolerance expressed by $F_v/F_m$ and MSI in the PEG treatment largely depends on the
15	characteristics of dehydration avoidance, such as stomatal response and osmotic adjustment (Lilley and
16	Ludlow, 1996; Silva et al., 2010), each of which was affected by the assortments of multiple QTLs
17	demonstrated by Zhang et al. (2001). The weak relationships between the MV and PEG treatments for $F_{\nu}/F_{m}$
18	and MSI (Fig. 2) indicated that the dehydration avoidance in the PEG treatment functioned independently
19	from the oxidative stress tolerance evaluated in the MV treatment. By using PCS1, we could eliminate the

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effects of drought avoidance and evaluate the sole effects of the cross-tolerance on drought stress.

2	The higher cross-tolerance (PCS1) of DHL143 among the DHLs (Fig. 3) was consistent with the results
3	of our previous study, in which DHL143 was confirmed as a drought-tolerant line (Jongdee et al., 2006).
4	In the MV and PEG treatments, both the oxidative stress tolerance and the drought stress tolerance were
5	higher in the DHLs than in Surin1 (Fig. 1). This result suggested that the higher drought tolerance in
6	DHL143 was closely related to the higher cross-tolerance. The lower cross-tolerance of the BCLs than the
7	DHLs might be a result of the lower tolerance of Surin1 than DHL143. The values of PCS1 in the BCLs
8	were segregated in the BCLs, indicating that cross-tolerance is a heritable trait that can be improved by
9	crossbreeding.
10	The values of the total shoot biomass in the +PCS1 groups were smaller than those in the –PCS1 groups,
11	especially under flooded conditions (Table 3). The lower values of $g_s$ in the +PCS1 groups were one of the
12	causes of low shoot biomass because a lower $g_s$ is the principal cause of photosynthesis reduction under a
13	mild soil water deficiency (Medrano et al., 2002). In contrast, a lower g <sub>s</sub> is a favorable characteristic for
14	maintaining the water condition of the leaf under severe drought stress (Lizana et al., 2006; Sinclair et al.,
15	2008). Another cause of the smaller shoot biomass in the +PCS1 groups is expected to be the high energy
16	cost of cross-tolerance mechanisms such as high antioxidant enzyme activity and excess energy dissipation,
17	which compete with energy use for photosynthetic carbon fixation and potentially decrease plant growth
18	(Raven, 2011).

19 The effect of the cross-tolerance on mitigation of biomass reduction was restricted (8 % on average)

1	and not statistically significant in this study. However the tendency of smaller decrease in biomass in the
2	+PCS1 groups under aerobic conditions (Table 3) suggests that the lower $g_s$ levels contributed to the
3	maintenance of plant growth even when the LWP was relatively higher under the mild soil water deficiency
4	(Table 2). The higher cross-tolerance capacity in the genotypes of the +PCS1 groups was expected to
5	moderate the oxidative damage resulting from the low g <sub>s</sub> . In our previous study, we compared the values of
6	$F_v/F_m$ and MSI between the flooded and aerobic conditions of the same field experiments, and a significant
7	difference was only observed in $F_v/F_m$ , not in MSI (Iseki et al. 2013b). The reason for this result is that the
8	drought intensity was moderate, approximately -10 kPa, under the aerobic conditions. In contrast, the
9	drought intensity in the screening was 25 % PEG, corresponding to -100 kPa of osmotic pressure. The
10	advantage of the traits related to high PCS1 in terms of plant water status and plant growth might be
11	distinguished under severe drought stress. Chenu et al. (2011) reported that certain characteristics associated
12	with drought tolerance are advantageous for yield in drought-prone environments and also tend to produce
13	decreases in yield performance in the more favorable environments. The genotypes in the +PCS1 groups
14	showed smaller biomass decreases under the aerobic conditions but less shoot biomass under the flooded
15	conditions. An optimal balance between tolerance and stress intensity may serve to allow high biomass
16	production under a given drought stress environment.
17	

#### **5.** Conclusions

19 The DHLs, which show extensive genotypic variation in osmotic adjustment and root morphology

1	(Zhang et al., 2001; Kamoshita et al., 2002), also showed genotypic variation in the cross-tolerance measure
2	represented by PCS1 in this study. The higher PCS1 of DHL143 than that of Surin1 improved the cross-
3	tolerance of several BCLs. The biomass reduction in the genotypes of +PCS1 group tended to be mitigated
4	under mild drought conditions. In view of the small shoot biomass in the genotypes of the +PCS1 groups,
5	it is important to develop rice varieties that have an optimal cross-tolerance to a target environment because
6	the degree and pattern of drought stress occurring under rainfed conditions is highly environmentally
7	dependent (Jongdee et al., 2006). The characteristics associated with high PCS1 values are expected to be
8	more effective for plant growth under severe drought stress.
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11	
12	Table and figure captions
13	<b>Fig. 1.</b> Relationships between $F_v/F_m$ and MSI in BCLs and DHLs in the MV and PEG treatments. DHL143
14	and Surin1 are the donor parent and recurrent parent of the BCLs, respectively. ** Significant at $p < 0.01$ .
15	Fig. 2. Relationships between the MV and PEG treatments for $F_v/F_m$ and for MSI. ** Significant at $p <$
16	0.01.
17	Fig. 3. Distribution of the first principal component scores (PCS1) in the BCLs and DHLs. The positions



Fig. 1. Relationships between  $F_v/F_m$  and MSI in BCLs and DHLs in the MV and PEG treatments. DHL143

and Surin1 are the donor parent and recurrent parent of the BCLs, respectively. \*\* Significant at p < 0.01.



Fig. 2. Relationships between the MV and PEG treatments for  $F_v/F_m$  and for MSI. \*\* Significant at p < p

0.01.



**Fig. 3.** Distribution of the first principal component scores (PCS1) in the BCLs and DHLs. The positions of Surin1 and DHL143 in PCS1 were indicated by black triangles.

# Table 1

Principal component scores (PCS) of the genotypes used for the field experiments. The genotypes were divided into groups with positive and negative values based on the first PCS (PCS1).

Year	Season	Group	Pedigree	PCS1	Group	Pedigree	PCS1
2010, 2011	Wet season	+PCS1	IR68586-FA-CA-82 5.68 –PCS1 IRUBN		IRUBN030070-9-32	-0.32	
			IR69644-AC-65 4.95 I		IRUBN030062-1-9	-0.37	
			IR69644-AC-56 4.40 IRU		IRUBN030056-10-42	-0.43	
			IR68586-FA-CA-143 3.31 IR68586		IR68586-CA-14	-2.03	
			IR68586-FA-CA-115 1.72 IRUBN		IRUBN030063-9-4	-2.26	
			Mean PCS1	4.01		Mean PCS1	-1.08
2011-2012	Dry season	+PCS1	IR68586-FA-CA-82	FA-CA-82 5.68 -		IRUBN030055-5-190	-0.28
			IR69644-AC-65	4.95		IRUBN030070-9-32	-0.32
			IR68586-FA-CA-143	3.31		IRUBN030062-1-33	-0.67
			IR68586-CA-24	1.93		IRUBN030054-8-73	-3.09
			Mean PCS1	3.97		Mean PCS1	-1.09

## Table 2

V	Day temperature <sup>a)</sup>	Day VPD	Solar radiation	ation Soil water potential (kPa)		
Y ear, season	(°C)	(hPa)	(MJ m <sup>-2</sup> day <sup>-1</sup> )	-20cm	-40cm	
2010, wet season	27.9	4.8	14.3	-11.4	-10.8	
2011, wet season	27.4	3.9	12.8	-6.3	-4.4	
2011-2012, dry season	27.5	19.0	17.9	-12.7	-11.6	

Means of day temperature, day vapor pressure deficit (VPD), solar radiation and soil water potential under the aerobic conditions during the experimental periods.

a) Day temperature and day VPD were averaged for the mean values of the time from 600 to 1800 every day during the experimental periods.

# Table 3

Average values of stomatal conductance, leaf water potential, total shoot biomass and relative shoot biomass in the groups with positive and negative values of the

		Stomatal conductance		Leaf water potential		Total shoot biomass		Relative shoot biomass	
Year, Season	Water condition	(mmol H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> )		(MPa)		(g m <sup>-2</sup> )		(aerobic/flooded)	
		+PCS1 <sup>a</sup>	-PCS1 <sup>b</sup>	+PCS1	-PCS1	+PCS1	-PCS1	+PCS1	-PCS1
2010 wat saason	Flooded	223	257	-0.78	-0.80	401.5	463.1	0.89	0.81
2010, wet season	Aerobic	207	212	-0.85	-0.85	355.0	371.5	0.89	
2011 wat sooson	Flooded	298	294	-0.79	-0.78	308.9	369.4	0.66	0.58
2011, wet season	Aerobic	227	234	-0.78	-0.77	204.5	212.8	0.00	
2011 2012 dry sasson	Flooded	372	396	-0.85	-0.78	249.5	288.3	0.54	0.48
2011-2012, dry season	Aerobic	216	265	-1.25	-1.15	133.7	140.3		
Moons	Flooded	298	315	-0.81	-0.79	320	374	0.70	0.62
Means	Aerobic	216 237		-0.96 -0.92		231 242		0.70 0.02	
	Group (G)	**		**		*		ns	
	Water condition (W)	**		**		**			
	Season (S)	**		**		**		**	
ANOVA	G×W	ns		ns		ns			
	G×S	ns		**		ns		ns	
	W×S	*	*	**		ns			
	G×W×S	ns		ns		ns			

first principal component score (PCS1) under flooded and aerobic conditions.

<sup>a</sup> +PCS1: groups of the genotypes with positive PCS1

<sup>b</sup> –PCS1: groups of the genotypes with negative PCS1

ns: not significant

\* Significant at p < 0.05

\*\* Significant at p < 0.01