

Highlights

- ▶ We studied the active normal faults in the intracontinental Weihe Graben, China.
- ▶ Active faults are characterized by stepwise fault scarps with dip-angles of 40° – 71° .
- ▶ Active normal fault scarps are distributed in a wide zone of up to 500 m.
- ▶ Structural and topographic features indicate a pure dip-slip sense of the fault zone.
- ▶ Average dip-slip rate is estimated to be ~ 3.0 – 4.0 mm/yr for the normal fault zone.

1 **Tectonic activity and structural features of active**
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3 **intracontinental normal faults in the Weihe Graben, central**
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Abstract

1 This study examines the tectonic activity and structural features of active normal
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3 faults in the Weihe Graben, central China. The Weihe Graben is an area with a high
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5 level of historic seismicity, and it is one of the intracontinental systems that developed
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7 since Tertiary in the extensional environment around the Ordos Block. Analysis of
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9 high-resolution remote-sensing imagery data, field observations, and radiocarbon
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11 dating results reveal the following: i) active normal faults are mainly developed
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13 within a zone <500 m wide along the southern border of the eastern part of the Weihe
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15 Graben; ii) the active faults that have been identified are characterized by stepwise
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17 fault scarps dipping into the graben at angles of 40°–71°; iii) there are numerous
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19 discontinuous individual fault traces, ranging in length from a few tens of meters to
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21 450 m (generally <200 m); iv) fault zone structures, topographic features, and fault
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23 striations on the main fault planes indicate almost pure normal-slip; and v) late
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25 Pleistocene–Holocene terrace risers, loess, and alluvial deposits have been vertically
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27 offset by up to ~80 m, with an average dip-slip rate (throw-rate) of ~3.0–4.0 mm/yr.
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29 Our results reveal that active normal faults have been developing in the Weihe Graben
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31 under an ongoing extensional environment, probably associated with the pre-existing
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33 graben and spreading of the continental crust, and this is in contrast with the Ordos
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35 Block and neighboring orogenic regions. These results provide new insights into the
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37 nature of extensional tectonic deformation in intracontinental graben systems.
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52 **Keywords:** active intracontinental normal fault, fault scarp, tectonic activity, Weihe
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54 Graben, Ordos Block
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1. Introduction

The Tibetan Plateau has been uplifted due to the ongoing collision between the Indian and Eurasia plates since the Early Eocene (e.g., Harrison et al., 1992; Yin and Harrison, 2000). The plateau and neighboring regions in East Asia are ideal places for studying active tectonics, because the orogeny is still active and the collision has produced a variety of geological features including large-scale thrust, normal, and strike-slip fault systems (e.g., Tapponnier and Molnar, 1977; Molnar and Deng, 1984; Armijo et al., 1986; Molnar and Lyon–Caen, 1989; Liu et al., 2004). The co-seismic rupture structures associated with thrusting and strike-slip movements, in and around the Tibetan Plateau (Fig. 1), have been well investigated on the basis of numerous large earthquakes, including the 2008 M_w 7.9 Wenchuan earthquake due to thrusting (e.g., Lin et al., 2009; Jia et al., 2010; Li et al., 2010), the 2001 M_w 7.8 Kunlun earthquake due to strike-slip movement (e.g., Lin et al., 2002), and the 2010 M_w 6.9 Yushu earthquake, also due to strike-slip movement (e.g., Lin et al., 2011; Rao et al., 2011; Guo et al., 2012). In contrast, we have relatively less understanding of the tectonic geomorphology and structural features related to active intracontinental normal faults, or the co-seismic rupturing mechanisms of such faults (e.g., Armijo et al., 1986; Sun et al., 2011). Although previous studies have reported some structural features of co-seismic surface ruptures associated with normal faulting locally such as western USA (e.g., Myers and Hamilton, 1964; Wallace, 1984; Crone et al., 1987), large earthquakes caused by normal faulting are still rare worldwide in contrast with the thrust-type and strike-slip earthquakes. Recently, the 2011 M_w 6.6 Fukushima

1 earthquake, one of the aftershocks triggered by the 2011 M_w 9.0 Tohoku earthquake in
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3 Japan, generated a ~23-km-long surface rupture zone along the pre-existing active
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5 normal faults developed in the intracontinental rift environment characterized by thick
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7 half-graben sediments as that of the Weihe Graben, the target of this study, which
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9 provides a typical example of large earthquake triggered by normal faulting (e.g., Lin
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12 et al., 2013a; Toda and Tsutsumi, 2013).
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17 The Weihe Graben is one of the intracontinental graben systems that has
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19 developed around the Ordos Block in central China since the Eocene, and it provides
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21 a unique natural laboratory for studying the long-term tectonic history of active
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23 intracontinental normal-faults in an extensional environment (Fig. 1). In previous
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25 studies, the active faults in this region have been traced as a simple linear structure
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27 along the topographic boundary between the Weihe Graben and the Qinling and
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29 Huashan Mountains (e.g., Li and Ran, 1983; Zhang et al., 1995; Hou et al., 1998;
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31 Yuan and Feng, 2010), but the surface traces, structural features, and geometric
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33 distribution of the active faults were not mapped in detail and hence remain unclear.
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35 Although it has been inferred that the active faults in the Weihe Graben are dominated
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37 by normal slip (SSB, 1988; Deng et al., 2003), a large component of sinistral
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39 strike-slip movement has also been suggested (e.g., Li and Ran, 1983; Wang, 1987;
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41 Zhang et al., 1998; Mercier et al., 2013), and it seems, therefore, that the sense of
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43 tectonic movement is also an issue in dispute.
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55 In this study, we focus on the recent activity, geometric distribution pattern, and,
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57 structural features of the active normal faults in the Weihe Graben, and discuss their
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1 implications for the extensional tectonic deformation in the intracontinental rift basin
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3 systems around the Ordos Block, central China.
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8 **2. Tectonic setting**

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10 The Weihe Graben is located at the southern margin of the stable Ordos Block, which
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12 has a pre-Mesozoic crystalline basement (Ma and Wu, 1987; SSB, 1988). The graben
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14 is bordered to the south by the Qinling Mountains, which were formed by the collision
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16 of the North China Craton (NCC) and the South China Block (SCB) in the Triassic
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18 (Fig. 1; e.g., Meng and Zhang, 2000; Rastchbacher et al., 2003). The Weihe Graben is
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20 just one of several intracontinental graben systems that are developed around the
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22 Ordos Block (Fig. 1). As a result of extension, the Weihe Graben has received
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24 Cenozoic deposits up to 7000 m in thickness since the Eocene, and the extension has
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26 been accompanied by the uplift of mountainous blocks (e.g., the Huashan Mountains
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28 and the Weinan Loess Tableland) along its southern border (SSB, 1988; Zhang et al.,
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30 1998; Liu et al., 2013). The upthrown Huashan Mountains are mainly composed of
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32 pre-Mesozoic metamorphic basement rocks; in contrast, the Weinan Loess Tableland
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34 is characterized by Quaternary loess and alluvial deposits (Fig. 1; SSB, 1988). Fission
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36 track dating data have shown that the uplift of the Huashan Mountains started at
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38 around 68.2 Ma, and that uplift rates became faster from around 17.8 Ma, with an
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40 average rate of ~0.19 mm/yr (Yin et al., 2001).
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56 More than 10 large historical earthquakes of $M \geq 7$, including four with $M \geq 8$,
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58 have occurred in the graben systems around the Ordos Block, three of those occurred
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1 in the Weihe Graben (Fig. 1; Deng, 2007). The 1556 M ~8.5 Huaxian great
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3 earthquake occurred in the Weihe Graben and caused >830,000 deaths, and it has been
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5 suggested that an active fault zone ruptured for up to 70 km along the southern margin
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7 of the Ordos Block between the cities of Weinan and Huayin (e.g., Kuo, 1957; Wang,
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9 1980; SSB, 1988; Xie, 1992; CENC, 2007; Yuan and Feng, 2010). The high level of
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11 historical seismicity indicates that the normal faults in the Weihe graben are currently
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13 active as seismogenic faults (e.g., SSB, 1988; Zhang et al., 1998; Deng, 2007).
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22 **3. Identification of active faults**

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24 Since crustal deformation associated with active faults is represented by displaced
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26 landforms on the surface of the Earth, a perspective view of topographic features
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28 provides an important method of detecting and identifying active faults. In this study,
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30 we identified active faults by using perspective view of high-resolution satellite
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32 remote-sensing images (0.5 m WorldView and 1 m IKONOS), together with
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34 fieldwork that was guided by the images. The remote-sensing images were processed
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36 and analyzed in 3D by draping on the 30 m resolution ASTER Global Digital
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38 Elevation Model (GDEM) data (Figs. 2–4). These multi-perspective views made it
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40 possible to identify the active faults more easily than by using traditional methods
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42 such as aerial photographs. On the basis of geomorphic markers such as terrace risers
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44 and alluvial fans, we were able to identify active fault traces that are mostly
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46 perpendicular or oblique to valleys and river channels. As shown in Fig. 2, a series of
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48 irregular linear traces is developed near the heads of the alluvial fans along the
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1 piedmont of the Huashan Mountains, and the traces are characterized by a series of
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3 parallel or sub-parallel fault scarps that face NNW. The topographic and structural
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5 features of the fault scarps indicate that faulting has taken place since the formation of
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7 the alluvial fans, and in combination with the results of radiocarbon dating, it can
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9 therefore be demonstrated that the observed faults have been active in the Holocene
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12 (Fig. 2; Table 1).
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20 **5. Structural features and activity of the recent faults**

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22 The analysis of the remote sensing images, together with field investigations, shows
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24 that the active faults are mainly distributed along the northern marginal zones of the
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26 Huashan Mountains and the Weinan Loess Tableland. Numerous discontinuous fault
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28 traces are present, and they range in length from a few tens of meters to 450 m
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30 (generally <200 m) (Figs. 2–4). These faults are mainly characterized by numerous
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32 stepped normal fault scarps, which are mostly restricted to a narrow zone 10–500 m
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34 wide (generally <300 m), and the spacing of the individual fault scarps is in the range
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36 ~20–200 m (generally <100 m) (Figs. 2–5). Along these scarps, many outcrops of the
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38 faults can be observed in the field, and four typical examples (Locs. 1–4), where the
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40 loess, alluvial deposits, and basement rocks are displaced, are described below (Figs.
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53 At Loc. 1, the stepped fault scarps can be seen along the piedmont of the Huashan
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55 Mountains near Huayin city, and the height of each individual fault scarp is as much
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57 as 19 m (Fig. 6a–b; see Figs. 1c and 4b for details of the location). Under one scarp,
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1 the main shear zone of the fault crops out, and fault breccia and cataclasite sourced
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3 from the basement rocks, together with disturbed loess and alluvial deposits, are
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5 bounded by distinct fault planes that dip NW at angles of 40°–54° (Fig. 6c, d). The
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7 fault breccias are mostly dragged into positions parallel or subparallel to the main
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9 fault planes, indicating a slip-sense that is dominantly normal (Fig. 6c, d). Some
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11 brownish-gray veins composed of fine-grained microbreccia have been injected into
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13 both the loess and sandy gravel deposits, indicating a recent faulting event that cuts all
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15 the deposits at this site (Fig. 6d, e). Such injection veins within active fault shear
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17 zones have commonly been reported elsewhere, and they are generally considered to
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19 form rapidly during large paleo-earthquakes, indicating the co-seismic rupture
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21 structure of seismogenic fault zone (e.g., Lin et al., 2012, 2013b).
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31 At Loc. 2, the shear zone of the fault is well exposed in four parallel quarry pits
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33 (T1–T4) on the piedmont of the Huashan Mountains (Figs. 7–8; see Figs. 2 and 3a for
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35 details of the location). The shear zone is exposed along a fault scarp, approximately
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37 10 m in height that is developed in the alluvial sediments and loess deposits (Fig. 7).
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39 Sketches of the shear zone structures in seven exposures are shown in Fig. 7c; the
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41 structures are concentrated in a zone less than 5 m in width, and 3–5 sub-faults are
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43 present. The loess deposits and alluvial sand gravels are downthrown to the north
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45 along the main fault planes that dip north at angles of 40°–71°, indicating a normal
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47 slip-sense, consistent with the topographic features of the stepped fault scarps (Fig.
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49 7c). All the deposits within the shear zone have been disturbed, and the gravels were
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51 mostly dragged into positions parallel or subparallel to the main fault planes, with
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1 some injected into fractures or forming wedge-shaped structures (Figs. 7c and 8). The
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3 calcareous materials collected in the loessial deposits which are interlayered by the
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6 loess and alluvial deposit composed of sandy gravels, yield radiocarbon ages of
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9 ~5500–19,450 yr BP (Fig. 7c; Table 1), indicating late Pleistocene–Holocene activity
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12 of the faults at this site.

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14 At Loc. 3, the shear zone of the fault was observed at the boundary between basin
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16 and mountain, ~600 m east of Loc. 2. Here, the fault plane dips north at an angle of
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19 56°, and it separates unconsolidated alluvial deposits in the hanging wall from
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22 basement rocks in the footwall (Fig. 9; see Figs. 2 and 3a for details of the location).
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25 The shear zone is characterized by a narrow fault gouge and breccia zone less than 30
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28 cm thick where the sheared material has been mainly dragged into positions parallel
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31 or subparallel to the fault plane. Fault steps and striations are present, indicating a
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34 mainly normal sense of slip (Fig. 9b–e). The alluvial deposits that bound the shear
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37 zone were disturbed and mixed with the fault breccias, and a downthrown movement
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40 of hanging wall is indicated, which is also consistent with that indicated by the fault
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43 striations and fault steps observed on the main fault plane.

44 At Loc. 4, fault scarps are developed on the terrace risers of the Chishuihe River
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47 along the northern marginal zone of the Weinan Loess Tableland. The scarps are
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50 clearly seen in the remote-sensing perspective views (Fig. 10a), and they can also be
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53 seen in the field (Fig. 10b–d). The fault outcrops are exposed along both banks of the
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56 Chishuihe River, where alluvial sand–pebble and cobble deposits are faulted against
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59 weakly consolidated silts and sandstones. The main fault plane strikes N40°W and
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1 dips NE at an angle of 55° . A fault gouge zone less than 5 cm thick is present, and
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3 fault striations indicate a mainly normal sense of slip, consistent with the sense of
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5 movement indicated by the fault scarps at this site (Figs. 10e and 11). The pebbly
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7 deposits that bound the main fault plane were mostly dragged into positions parallel or
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9 subparallel to the fault plane (Fig. 11a, b). The equal-area projection of measured
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11 striations and topographic features indicates an almost pure normal fault movement at
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13 this site (Fig. 11c). The deposits of Unit 4 are overlaid by Unit 1-3 in which the
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15 current plastic materials are involved, indicating a formation age of <100 years. The
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17 results of ^{14}C age dating show that the alluvial sediments (Unit 5) in the hanging wall
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19 were deposited at $18,270 \pm 60$ yr B.P. indicating activity of the fault since the late
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21 Pleistocene (Fig. 11b; Table 1).
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33 **6. Discussion**

34 **6.1. Geometric characteristics of active normal faults**

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36 Normal faults in the upper crust generally have high dip angles of $\sim 60^\circ$, and most
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38 large-magnitude earthquakes caused by normal faulting are triggered by faults with
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40 dips of $>30^\circ$ (e.g., Jackson, 1987; Doser and Smith, 1989). However, many normal
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42 faults have a listric geometry, and flatten out with depth (e.g., Shelton, 1984; Xiao and
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44 Suppe, 1992); in addition, some seismogenic faults are known to be low-angle normal
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46 faults (e.g., Abers et al., 1997; Axen, 1999; Abbott et al., 2001), although the
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48 mechanism by which they trigger earthquakes is still under debate (e.g., Jackson and
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50 White, 1989; Lecomte et al., 2012). As stated above, the dip angles of the main faults
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1 in the Weihe graben vary from 40° to 71°, but are mostly >50°, consistent with the
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3 subsurface fault geometry revealed by seismic reflection data (Xie et al., 2011).
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6 Previous study also showed that the dip angles of the active faults in the study area
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8 range from 45° to 80°, which were measured in the field (SSB, 1988). It seems clear,
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10 therefore, that the active normal faulting in the study area is not on low-angle
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12 structures at the near-surface, reflecting the middle-high dip angle of seismogenic
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14 fault zone.
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20 In plan view, the surface traces of active faults in the study area are characterized
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22 by numerous discontinuous and short lineaments with variable trends, and they are
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24 mostly distributed in a zone 10–500 m wide (Figs. 2–4). These geometric features
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26 contrast with those reported in previous studies where the surface fault traces were
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28 inferred to form a simple straight lineament along the topographic boundary between
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30 the mountains and the basin; however, the geometry and distribution pattern of the
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32 active faults were not mapped in detail (e.g., Li and Ran, 1983; Zhang et al., 1995;
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34 Hou et al., 1998). Previous studies also show that a single fault zone and/or a
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36 co-seismic surface rupture zone occurred along a pre-existing active fault is generally
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38 characterized by numerous fault stands formed a zone ranging from a few meters to
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40 hundreds meters in width at surface (e.g., Steven et al., 1996; Lin et al., 2002, 2009;
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42 Kim et a., 2004; Berg and Skar, 2005). The parallel–subparallel active fault traces
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44 identified in the remote-sensing images have also been confirmed in the field, as
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46 noted above, and they are characterized by stepwise fault scarps with similar
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48 orientations, and they form steep slopes in topographic profiles (Figs. 2–5). Such
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1 geometric features are comparable with those of typical active normal fault zones in
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3 extensional tectonic regimes worldwide (e.g., Armijo et al., 1986; Yeats et al., 1997;
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6 McCalpin, 2009). Based on geological and geophysical data, the stepwise fault scarps
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9 are generally considered to be a response to the refraction of master fault-planes
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11 bounding the graben (e.g., Gibbs, 1984; Stewart and Hancock, 1991; McCalpin, 2009).
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14 In the study area, seismic reflection data also show that the stepwise faults join
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17 together in a master fault below the surface (e.g., Xie et al., 2011). Our findings show
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20 that the active normal faults developed in the Weihe Graben have irregular geometries,
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23 trends, distributions, and continuity, and at the surface they do not form a regular
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26 straight lineament as previously reported.

31 **6.2. Slip rates**

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34 The slip rate of an active fault is an important and quantitative parameter for assessing
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37 the seismic hazard and tectonic activity of the fault, including the recurrence interval
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40 of large earthquakes (e.g., Yeats et al., 1997; McCalpin, 2009). Two factors generally
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43 affect the precision of slip-rate estimates in extensional regimes: the actual amount by
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46 which topographic markers have been offset, and the age of any surface displacement
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49 marker. An estimate of the amount of offset mainly depends on the distribution pattern
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52 and geometric characteristics of the active fault, as determined by field mapping,
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55 especially in regions, such as the present study area, that are characterized by a
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58 stepwise distribution of faults. Neighboring normal faults with similar orientations
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61 commonly slip at different rates, and they may be active over different time intervals
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1 (Cowie and Roberts, 2001); in some cases it may be difficult to distinguish a tectonic
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3 fault from a gravitational fault such as the slide surface formed during landsliding
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5 (e.g., Moro et al., 2012; Carbonel et al., 2013). Therefore, care must be taken when
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7 choosing sites for assessing slip rates.
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11 Previous researchers have estimated slip rates for the active normal faults in the
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13 Weihe Graben of 1–3 mm/yr (Li and Ran, 1983; SSB, 1988; Deng et al., 2003) to 10.4
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15 mm/yr (Li and Ran, 1983). These estimates differ by one order of magnitude, and they
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17 remain open to dispute; clearly, the slip rates of the active normal faults in the Weihe
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19 Graben are poorly constrained. These slip rates were generally estimated on the
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21 presumption that the faults formed simple straight lineaments. There was no detailed
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23 mapping of the geometric patterns and fault distribution, and no accurate dating of
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25 surface markers; instead, various inferred ages of displaced landforms were used,
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27 involving large uncertainties (Li and Ran, 1983; SSB, 1988). In other words, the large
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29 differences among the previous calculations of slip rates probably resulted from a lack
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31 of knowledge of the detailed geometry of the active faults, and a lack of reliable age
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33 constraints for the surface markers that are offset and deformed. Variation of slip-rates
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35 (extension rate of the Graben) might also be affected by the inherited Mesozoic and
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37 Cenozoic structures with complex deformation history (e.g., Mercier et al., 2013), as
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39 well as the far field effects of the India-Asian collision (e.g., Zhang et al., 1998; Liu et
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41 al., 2004).
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55 As stated above, the late Pleistocene–Holocene terrace risers, alluvial fans, and
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57 loess slopes have all been systematically displaced, and we have used them as
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1 topographic surface markers for estimating slip rates. The loess is wind-borne
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3 sediment that contains calcareous materials that can be used for radiocarbon dating
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6 (Ding et al., 1994), and this allows the loess sequences to be used for assessing the
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8 ages of surface markers such as the loess plateau surface and alluvial fans.
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11 Radiocarbon dating results give ages that are generally younger than 20 ka for the
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13 alluvial fans and the loess plateau surfaces on which the stepwise fault scarps are
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15 developed (Figs. 7 and 11; Table 1).
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20 On the basis of our observations, the active deformation zones are mainly
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22 characterized by a series of stepped fault scarps. Therefore, the total vertical offset can
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24 be estimated by summing the vertical offsets of individual fault scarps across the fault
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26 zone (Fig. 5). To avoid the effects of variations in the geometric distribution of the
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28 fault traces, we selected six typical topographic profiles across the fault zone to
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30 measure the total vertical offset amounts; the profiles range from 15 m to 80 m in
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32 length (generally 30–40 m) (Fig. 5; Table 2). The results of radiocarbon dating show
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34 that the loess plateau surfaces and alluvial terrace risers formed at around 5500 to
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36 16,000 yr. B.P. (Tables 1 and 2). Accordingly, the slip rates are calculated to be in the
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38 range 2.1–5.7 mm/yr, with an average value of ~4 mm/yr (Table 2). Our results
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40 contrast with those previously estimated (between 1–3 mm/yr and 10.4 mm/yr).
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53 **6.3. Tectonic implications for intracontinental rift basins**

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55 Understanding the geometric and kinematic characteristics of active normal faults
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57 in the Weihe Graben would assist in investigating seismotectonic features and the
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1 tectonic–sedimentary evolution of active extensional basins around the Ordos Block
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3 (e.g., Peltzer et al., 1985; Zhang et al., 1985, 1998). The active normal faults
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5 identified in this study are mostly developed along the northern margins of the
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7 Huashan Mountains and Weinan Loess Tableland, and they are characterized by pure
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9 dip-slip movements (Fig. 12a) that indicate a NW–SE extensional stress-direction,
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11 consistent with the regional extensional stress-direction inferred from earthquake
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13 mechanisms (Ma et al., 1989). Moreover, this result is in general agreement with GPS
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15 observations that show crustal movement velocities within the entire North China
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17 Craton decelerate gradually from WNW to ESE (e.g., Wang et al., 2001). Furthermore,
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19 the complex geometry and distribution pattern of the surface fault trends mapped in
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21 the study area show that the map patterns of normal faults are highly irregular, similar
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23 to those of co-seismic surface zones caused by large earthquakes in the
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25 intracontinental rift environments, such as the 1954 Dixie Valley earthquake (M_s 7.2)
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27 and 1915 Pleasant Valley earthquake (M_s 7.6) (Yeats et al., 1997), which provide us
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29 the mapped patterns of fault trends for assessing the normal faulting process and slip
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31 rate of active normal faults that triggered a giant earthquake ($M \sim 8.5$) in the Weihe
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33 Graben as documented above.
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47 The Weihe Graben can be classified as a traditional rift basin (e.g., Friedmann
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49 and Burbank, 1995), characterized by thick half-graben sediments (>7000 m), a long
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51 history (~ 50 Ma) (e.g., SSB, 1988; Zhang et al., 1998; Liu et al., 2013), and normal
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53 faults with high dip angles (40° – 71° ; this study). The variations in the lithospheric
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55 structure (crustal thinning) of the Weihe rift basin relative to its neighboring regions
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1 are probably the result of extension in the lower crust due to underlying
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3 asthenospheric mantle flow (Fig. 12b), as revealed by geophysical observations (e.g.,
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5 Huang et al., 2008; Bao et al., 2011). On the basis of numerical simulations and shear
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7 wave splitting analysis, it has been suggested that the structures in the mantle were
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9 affected by the collision of the North and South China blocks in the Mesozoic, with
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11 the lateral flow of the mantle caused by driving forces associated with Indo-Asian
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13 collision (Liu et al., 2004; Huang et al., 2008).
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20 The active normal faults are currently under an ongoing extensional environment,
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22 which is probably related to the pre-existing spreading and rifting of the continental
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24 crust in this area (in contrast to the Ordos Block and other neighboring orogenic
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26 regions) (Fig. 12b). Because of the absence of magma activity, the strain accumulated
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28 within the brittle upper crust is thought to have been released mainly by repeated
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30 movements along the active normal faults. These movements have caused major
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32 earthquakes such as the 1556 M ~8.5 Huaxian great earthquake, the relative uplift of
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34 adjacent mountainous blocks (e.g., the Huashan Mountains and the Weinan Loess
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36 Tableland), and the accumulation of a thick succession of sediments in the rift basin
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38 (Fig. 12). However, more work is required if we are to have a better understanding of
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40 the nature of the deformation associated with the seismogenic source fault that
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42 triggered the 1556 earthquake, and if we wish to improve our assessments of the
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44 seismic hazards within the densely populated area of the Weihe Graben.
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54 Similar lithospheric structures have been observed in association with other rift
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56 basins around the Ordos Block, such as in the Yinchuan Graben (e.g., Zhang et al.,
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1 1985; Ye et al., 1987; SSB, 1988; Tian et al., 2011). We suggest, therefore, that our
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3 findings concerning the tectonic activity and structural features of active normal faults
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5 in the Weihe Graben, in combination with geophysical observations of the underlying
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7 lithospheric structures, will assist in understanding the seismogenic mechanisms of
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9 other large intracontinental earthquakes caused by normal-faulting around the Ordos
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11 Block (e.g., Zhang et al., 1985; Huang et al., 2008; Bao et al., 2011), and also provide
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13 insights into the Cenozoic extensional deformation in these graben systems (e.g., SSB,
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15 1988; Zhang et al., 1998; He et al., 2004).
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25 **7. Conclusions**

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28 On the basis of the analysis of remote-sensing images, combined with field
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30 investigations, we have reached the following conclusions.
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34 (i) Active normal faults are mainly developed within a zone <500 m wide
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36 along the southern border of the eastern part of the Weihe Graben.
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39 (ii) The observed active faults are characterized by a distinctive series of
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41 stepped fault scarps that dip into the graben at angles of 40°–71°. The fault
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43 traces themselves are discontinuous, with individual lengths ranging from a
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45 few tens of meters to 450 m (generally <200 m).
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49 (iii) Topography, geomorphic features, and striations developed on the main fault
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51 planes indicate an almost pure normal sense of slip.
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55 (iv) Late Pleistocene–Holocene terrace risers, loess, and alluvial fan deposits
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58 have been offset vertically by up to tens of meters, and the estimated
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1 average dip-slip rate (throw-rate) is 3.0–4.0 mm/yr.
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- 3 (v) The normal faults in the Weihe Graben are currently active under an
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5 ongoing extensional regime, which is probably related to the pre-existing
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7 spreading and rifting of the continental crust in this area (in contrast to the
8
9 Ordos Block and other neighboring orogenic regions).
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17 **Acknowledgements**

18 We thank Earth Remote Sensing Data Analysis Center (ERSDAC) for making ASTER
19
20 GDEM data freely available from their web site. This work was supported by the
21
22 Science Project (Project no. 23253002 awarded to A. Lin) from the Ministry of
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24 Education, Culture, Sports, Science and Technology of Japan and partially by the
25
26 National S & T Major Project of China (2011ZX05003-002 and 2011ZX05009-001).
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1 **Figure captions**

2
3 **Figure 1.** (a) Location map of the Weihe Graben, showing the distribution of the
4 major active faults and large historical earthquakes of the graben systems
5 around the Ordos Block [modified from Deng (2007), focal mechanism data
6 from Harvard University (2014)]. The inset map (b) shows the tectonic
7 background. ATF, Altyn Tagh Fault; HYF, Haiyuan Fault; KLF, Kunlun Fault;
8 XSHF, Xianshuihe Fault; SCB, South China Block; QLF, Qinling Fault; LMS,
9 Longmenshan. (c) The color-shaded relief map shows the location and
10 topographic characters of the study area. The red star indicates the epicenter
11 of the 1556 M ~8.5 Huaxian earthquake (SSB, 1988; CENC, 2007). HPF,
12 Huashan Piedmont Fault; NMF–WLT, North Margin Fault of the Weinan
13 Loess Tableland; LPF, Lishan Piedmont Fault; QPF, Qinling Piedmont Fault;
14 KZ–GSF, Kouzhen–Guanshan Fault.
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36 **Figure 2.** Perspective view, looking towards the SE, of a 1 m WorldView image,
37 reveals the active faults developed along the piedmont of the Huashan
38 Mountains. The active fault zones are characterized by stepped normal fault
39 scarps that are restricted to a narrow zone less than 500 m wide, whereas the
40 lengths of individual fault traces are generally less than 200 m. The faults
41 displace the alluvial fans, the ages of which are constrained to the Holocene
42 by radiocarbon dating (Table 1), indicating the recent activity of the faults.
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60 **Figure 3.** (a) Map showing the distribution of active normal faults in the region

1 between Weinan and Huayin cities, the epicentral area of the 1556 M 8.5
2
3 Huaxian earthquake. The base map is a south perspective view of the
4
5 color-shaded relief map based on 30-m resolution ASTER GDEM data. (b–c)
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8 Distribution of active fault traces mapped along the northern margin of the
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12 Weinan Loess Tableland.

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14 **Figure 4.** Map showing the distribution of active fault traces along the piedmont of
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16 the Huashan Mountains, identified from the 0.5 m WorldView (a) and Google
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18 Earth images (b–c).
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23 **Figure 5.** (a–f) Topographic profiles across the active normal fault zones,
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25 measured in the field using a laser rangefinder. By summing the individual
26
27 fault offsets, the cumulative vertical offset amounts for the whole zone of
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29 active faulting are estimated, ranging from 15 to 80 m (generally 30–40 m).
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34 **Figure 6.** (a) Stepped fault scarps developed along the Huashan piedmont (Loc. 1).
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36 The person (circled) gives the scale. (b) The height of an individual scarp is as
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38 much as ~19 m. (c–d) The main shear zone of the fault is composed mainly of
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40 a fault breccia cataclasite, derived from the basement rocks, and disturbed
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42 loess and alluvial deposits. The shear zone material is bounded by distinct
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44 fault planes that dip NW at angles of 40°–54°. (e) Some brownish gray veins
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46 composed of a fine-grained microbreccia were injected into both the loess and
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48 the sandy gravel deposits.
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56 **Figure 7.** (a) Close-up view of the 0.5-m resolution WorldView image of Loc. 2. (b)
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58 The shear zone of the fault is well exposed in four parallel quarry pits (T1–T4)
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1 that cut across the 10-m-high fault scarp along the piedmont of the Huashan
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3 Mountains. (c) Sketches of seven exposure walls clearly reveal fault planes
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5 that dip N at angles of 40°–71°, as well as other fault-related structures such
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7 as colluvial wedges and in-filled fissures, which are probably associated with
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9 paleoearthquakes. The ¹⁴C ages suggest the deformed landforms formed
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11 during the late Pleistocene–Holocene (see Table 1 for details).
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17 **Figure 8.** (a–e) Field photographs show representative outcrops of the fault
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19 exposed on the trench walls at Loc. 2, where clear fault planes with high dip
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21 angles can be observed. The sand gravels and loess deposits were disturbed,
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23 and most were dragged into positions parallel or subparallel to the fault planes
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25 (d–e), and some were injected to form the wedge structures and fracture
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27 fillings (c). (a): T1-E; (b): T2-W; (c): T3-W; (d-e): T3-E.
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33 **Figure 9.** (a) The shear zone of the fault observed at Loc. 3 (which is on the
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35 topographic boundary between basin and mountain, approximately 600 m east
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37 of Loc. 2). The fault plane dips at a high angle of 56°, and it separates
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39 unconsolidated alluvial deposits in the hanging wall from basement rocks in
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41 the footwall. (b) The shear zone of the fault is characterized by a narrow fault
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43 gouge and breccia zone less than 30 cm in thickness, in which most of the
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45 fault breccias were dragged into positions parallel or subparallel to the fault
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47 plane, suggesting a dominantly normal sense of slip, consistent with the
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49 observed fault steps and striations (c–e).
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58 **Figure 10.** (a) 1-m resolution IKONOS image shows the fault scarps developed on
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1 the terrace risers of the Chishuihe River along the northern margin of the
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3 Weinan Loess Tableland. (b) The fault crops out on both banks of the
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5 Chishuihe River, and the alluvial deposits of sand–pebble and cobble are
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7 faulted against silts and sandstones. Fault gouges and striations were observed
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9 on the fault plane. More details of the outcrop are shown in Fig. 11.
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13 **Figure 11.** (a) Field photograph and corresponding sketch (b) of the fault outcrop at
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15 Loc. 4. The pebbly deposits that bound the main fault plane were mostly
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17 dragged into positions parallel or subparallel to the fault plane. (c) The
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19 equal-area projection of measured striation data and topographic features
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21 indicate an almost pure normal slip-sense at this site. The ^{14}C age dating
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23 shows that the alluvial sediments in the hanging wall were deposited at
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25 $18,270 \pm 60$ yr B.P. (Table 1), indicating recent activity on the fault since the
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27 late Pleistocene.
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36 **Figure 12.** Mode of tectonic deformation in the Weihe Graben. (a) The landscape of
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38 the Weihe Graben is controlled by intracontinental normal faulting, resulting
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40 in large amounts of subsidence and the accumulation of a thick pile of
41
42 sediments in the rift basin. Subsurface structures are modified from Wang
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44 (1987) and SSB (1988). (b) Active normal faults in the study area formed in a
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46 regime of ongoing extension that is probably related to the pre-existing
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48 spreading and rifting of the continental crust in this area (in contrast to the
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50 Ordos Block and other neighboring orogenic regions). The lithospheric
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52 structures are schematic and based on the geophysical data of Bao et al.
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(2011). The vertical depths are not precisely scaled.

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Table 1 Results of the ^{14}C age dating

<i>Sample code</i>	<i>Laboratory ID</i> [*]	<i>Material</i>	<i>^{14}C age (yr B.P.)</i> [†]	<i>Calendar year (2σ)</i> [§]
C38	Beta-290421*	Shell	19,450 \pm 80	BC 21,734-BC 21,153
C39	Beta-290422*	Shell	5,500 \pm 40	BC 4,449-BC 4,319
C40	Beta-290423*	Shell	6,330 \pm 40	BC 5,379-BC 5,217
C48	Beta-290425*	Shell	6,880 \pm 40	BC 5,846-BC 5,671
Shell01	IAAA-100055**	Shell	14,050 \pm 50	BC 15,386-BC 14,895
Shell11	IAAA-100065**	Shell	16,270 \pm 50	BC 17,912-BC 17,529
C12	IAAA-120529**	Calcareous soil	18,270 \pm 50	BC 20,386-BC 19,966

* Samples were analyzed at the BETA Analysis Inc., USA.

** Samples were analyzed at the Institute of Accelerator Analysis Ltd., Japan.

[†]Using Accelerator Mass Spectrometry (AMS) method, referenced to the year A.D. 1950.

[§]Dendrochronologically calibrated calendar age by Calibration Version 7.0 (Stuiver et al., 2005).

Table 2 Estimated fault dip-slip rates in Weihe Graben

<i>Site</i>	<i>Vertical offset</i> [*] (m)	<i>Ages of landforms</i> [#] (yr. BP)	<i>Dip-slip rate</i> (mm/yr)	<i>Reference</i>	<i>Figure site</i>
1	15.0	~5,500-6,880	~2.2-2.7	Alluvial fan	Figs. 2 and 5a
2	34.6	~14,050-16,270 [†]	2.1-2.5	Loess plateau surface	Fig. 5b
3	37	~14,050-16,270 [†]	2.3-2.6	Loess plateau surface	Figs. 2 and 5c
4	43	~14,050-16,270 [†]	2.6-3.1	Loess plateau surface	Figs. 2 and 5d
5	80	~14,050-16,270 [†]	4.9-5.7	Loess plateau surface	Fig. 5e
6	38	~14,050-16,270 [†]	2.3-2.7	Loess plateau surface	Fig. 5f

*Cumulative vertical offset value for the whole fault zone.

[#]The ¹⁴C ages referenced to the year A.D. 1950 (see Table 1 for the details).

[†]The ages of regional loess plateau surfaces.

Figure 1

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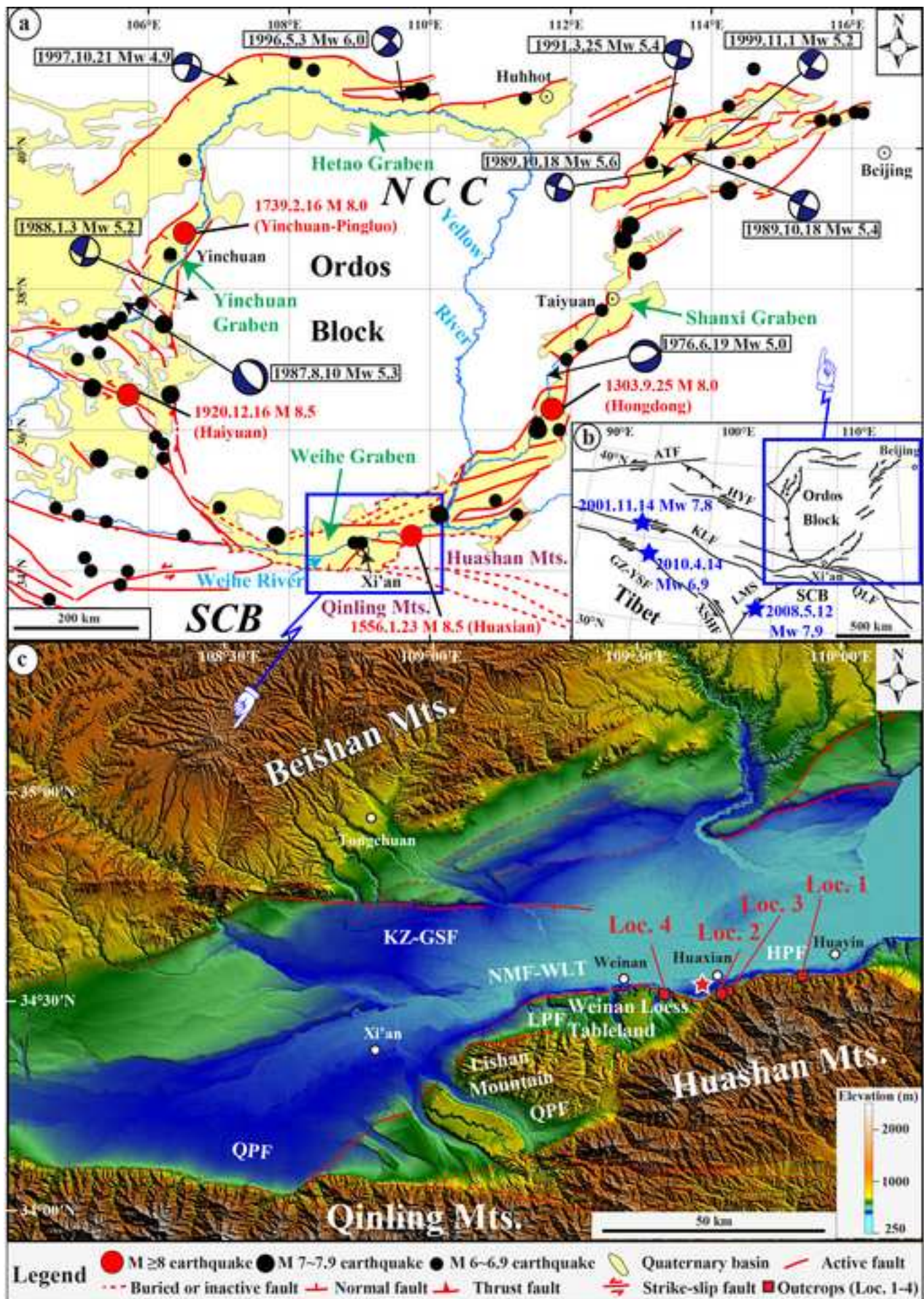


Figure-2

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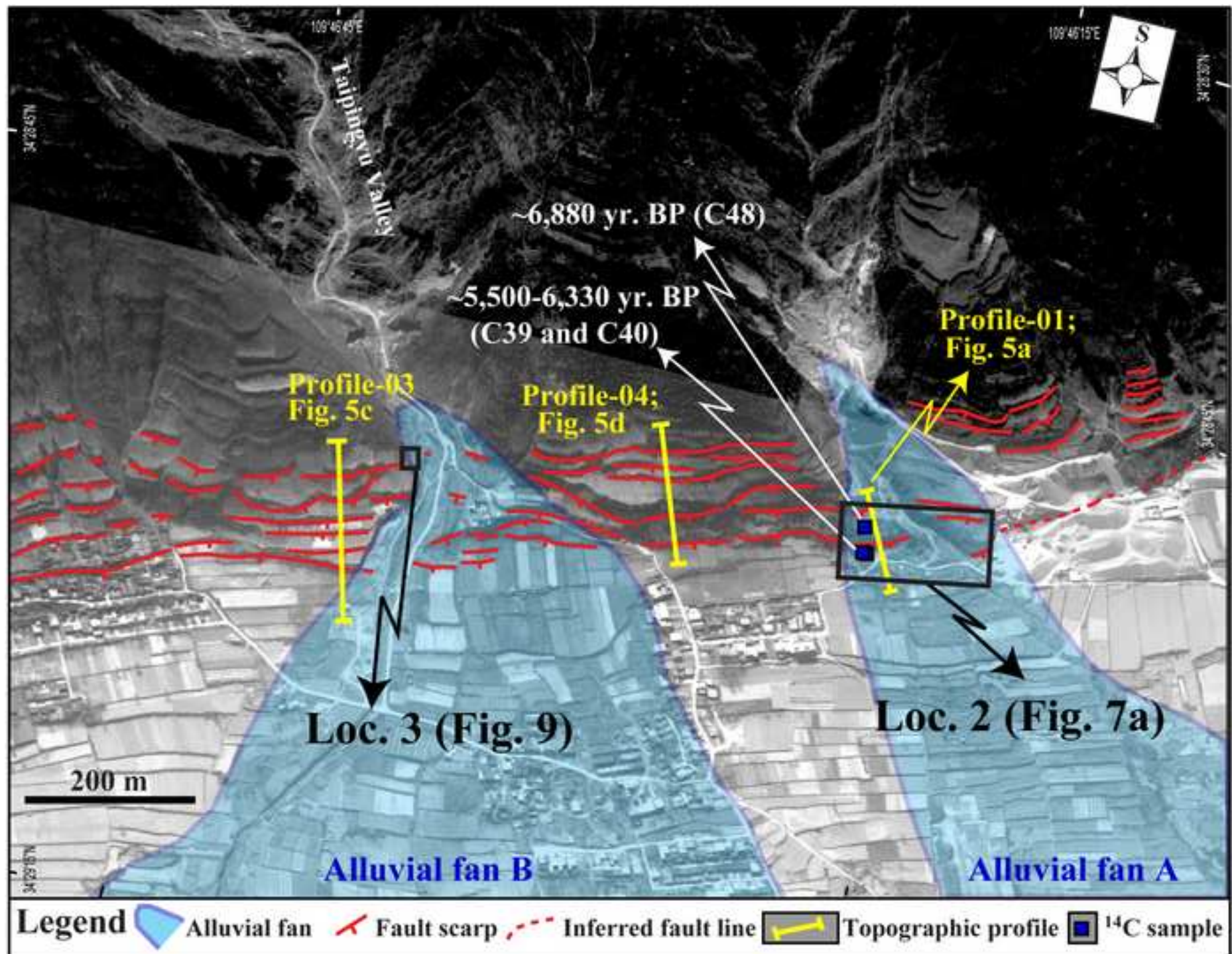


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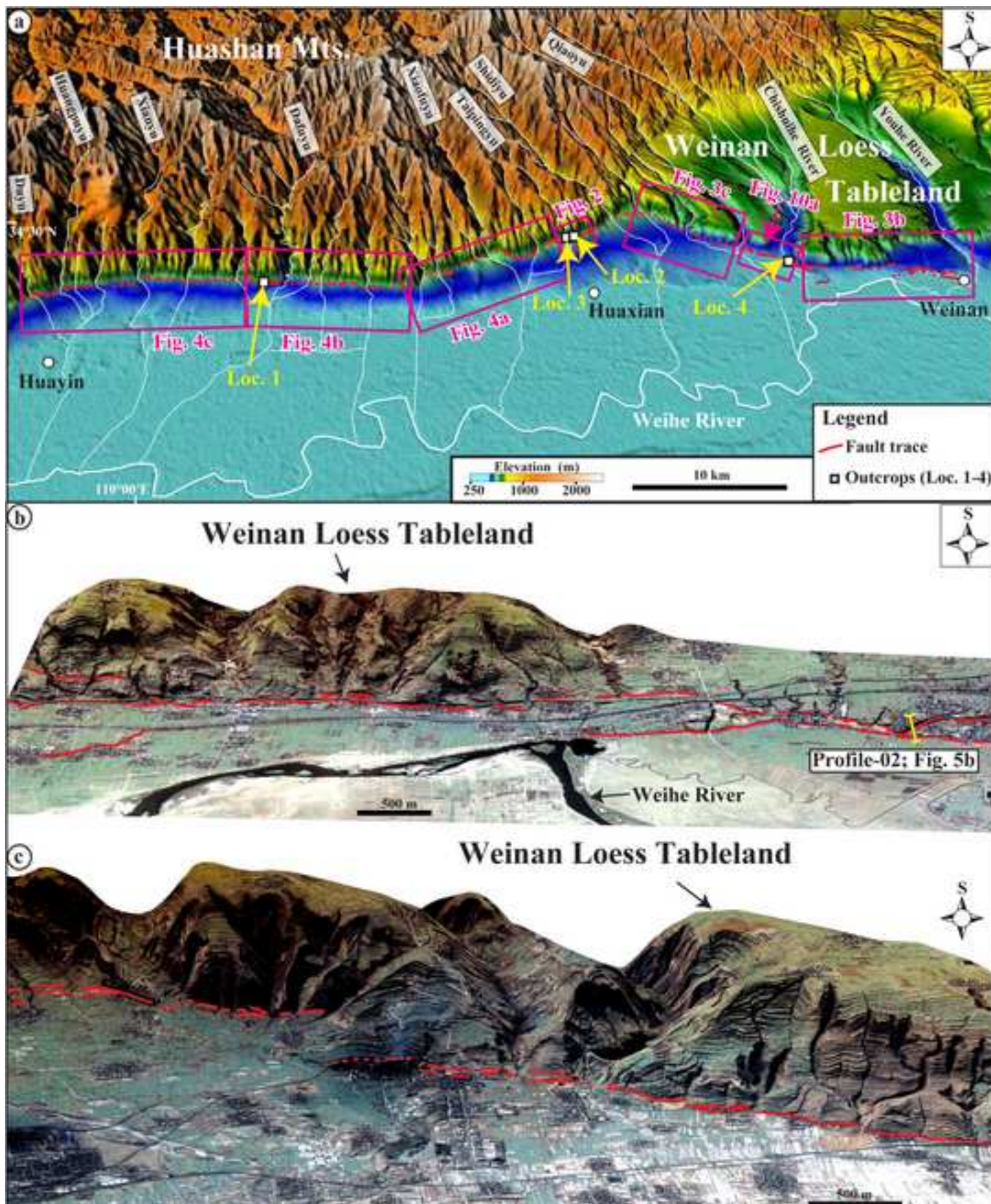


Figure-4
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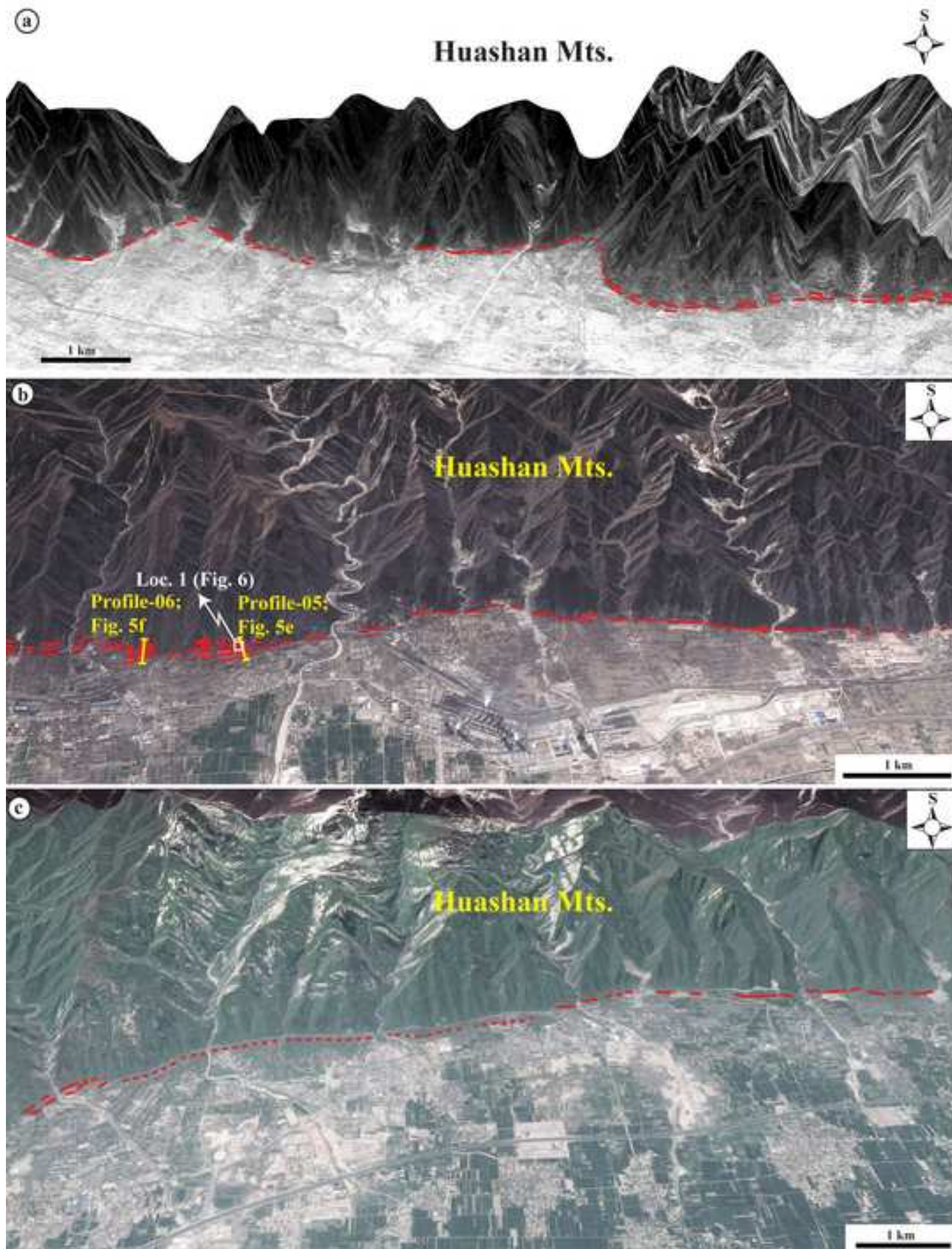


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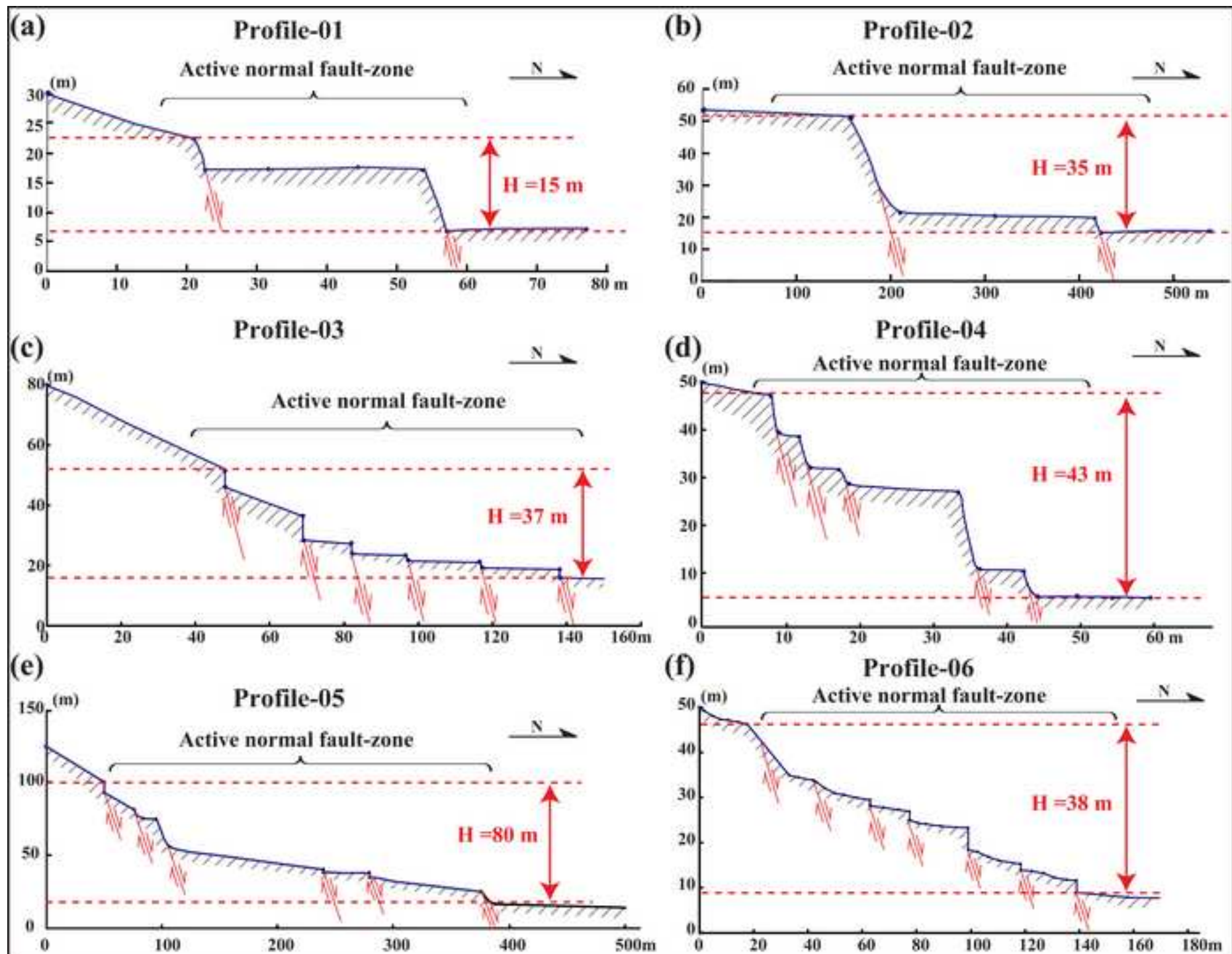


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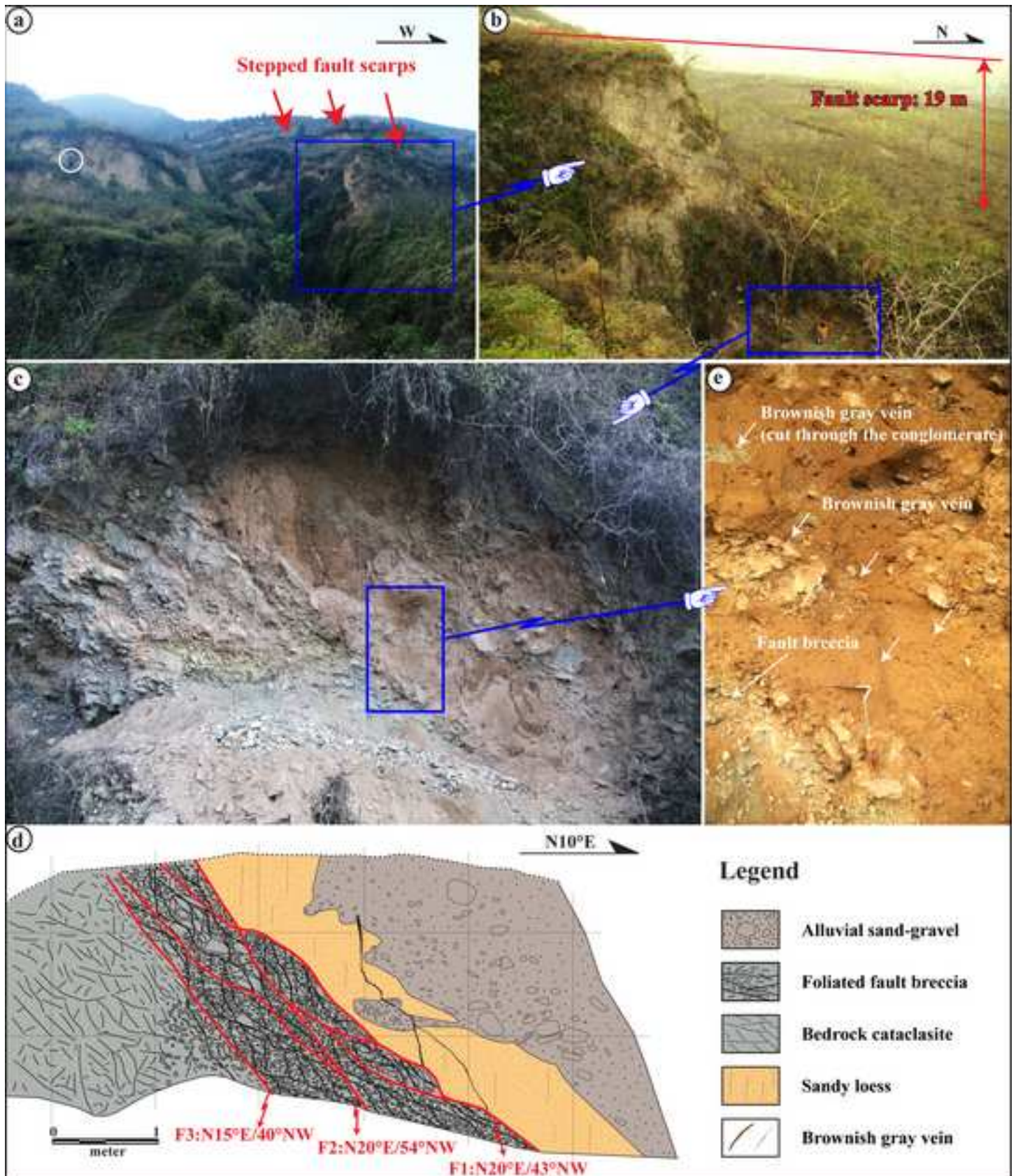


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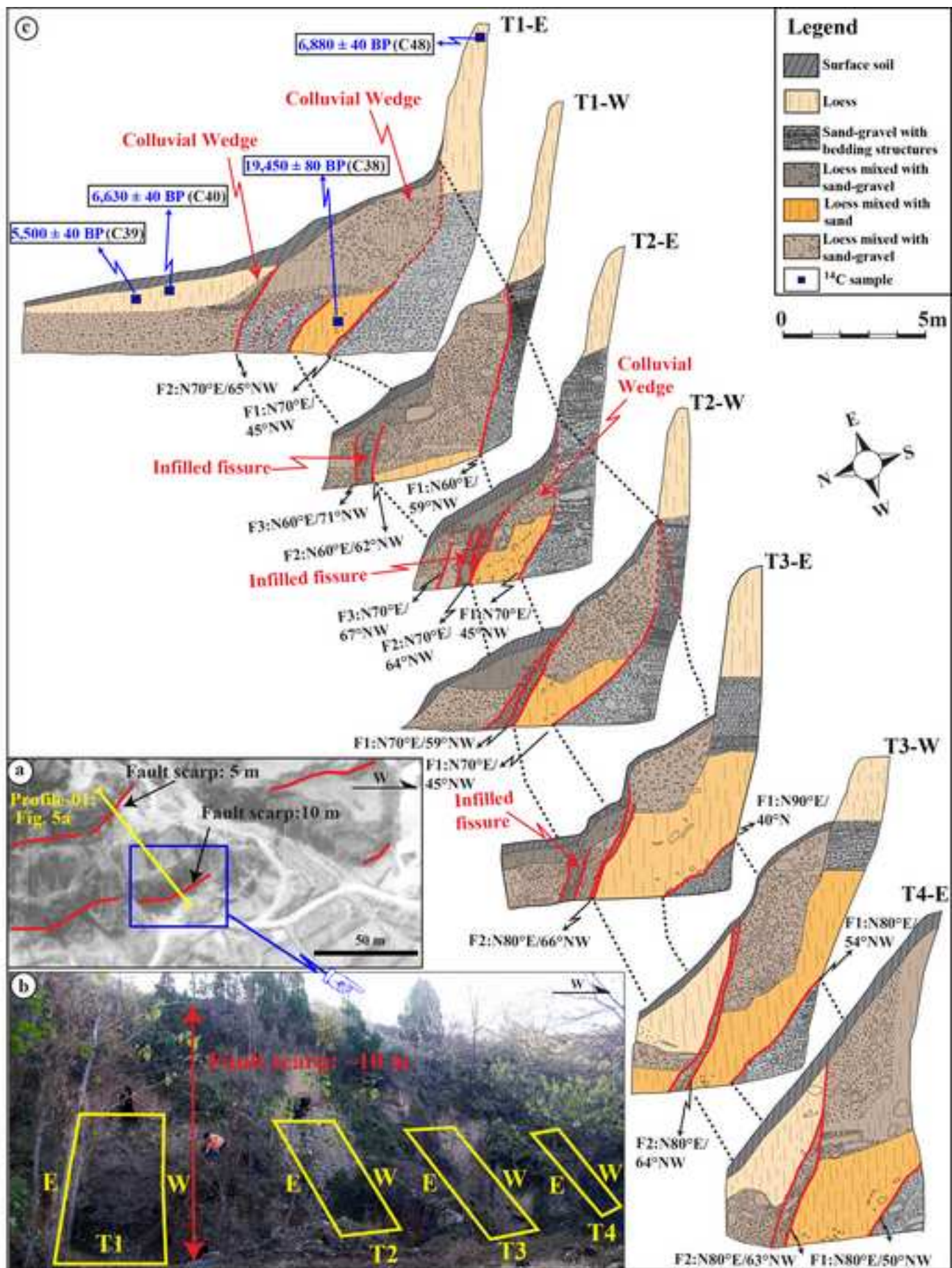


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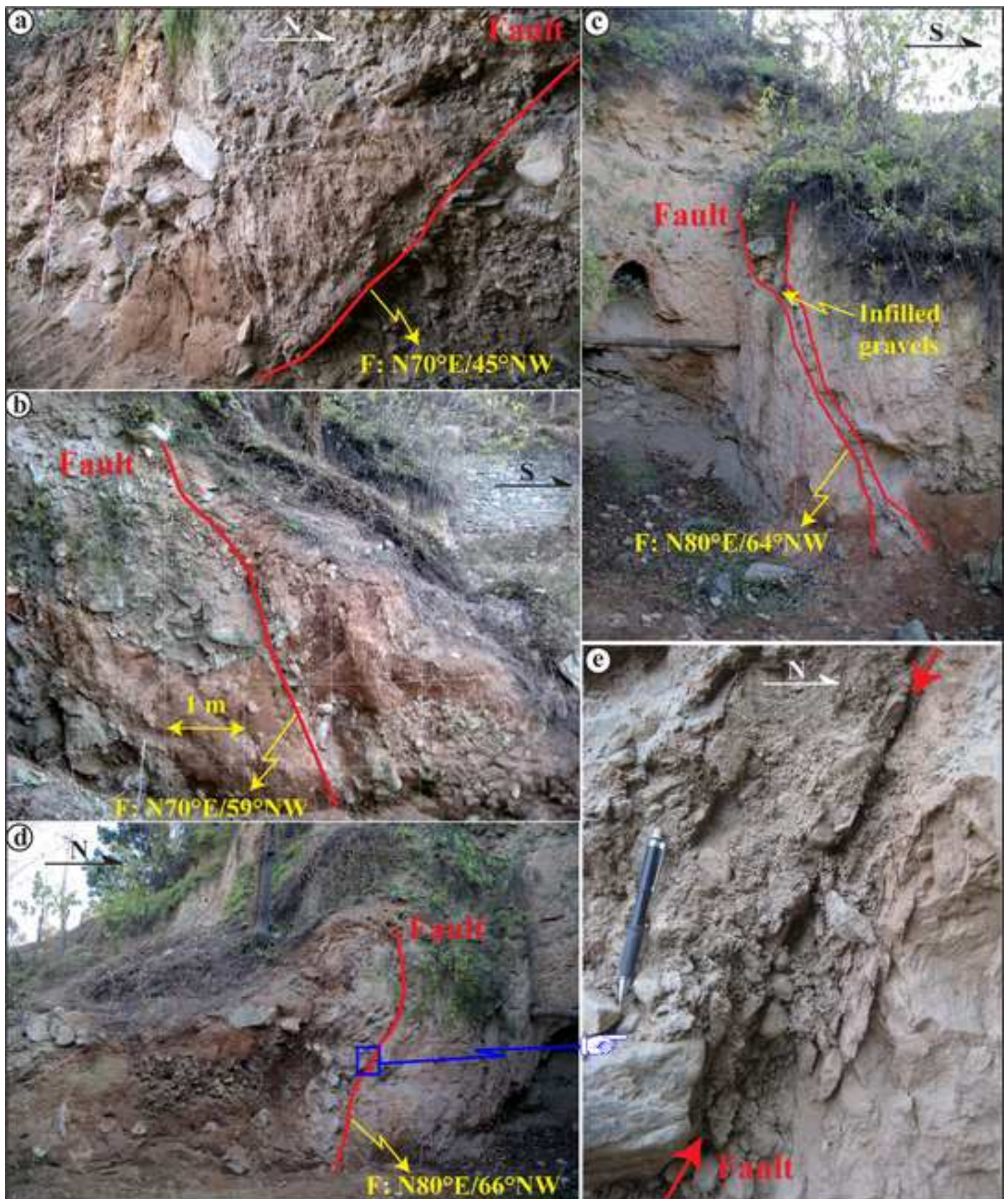


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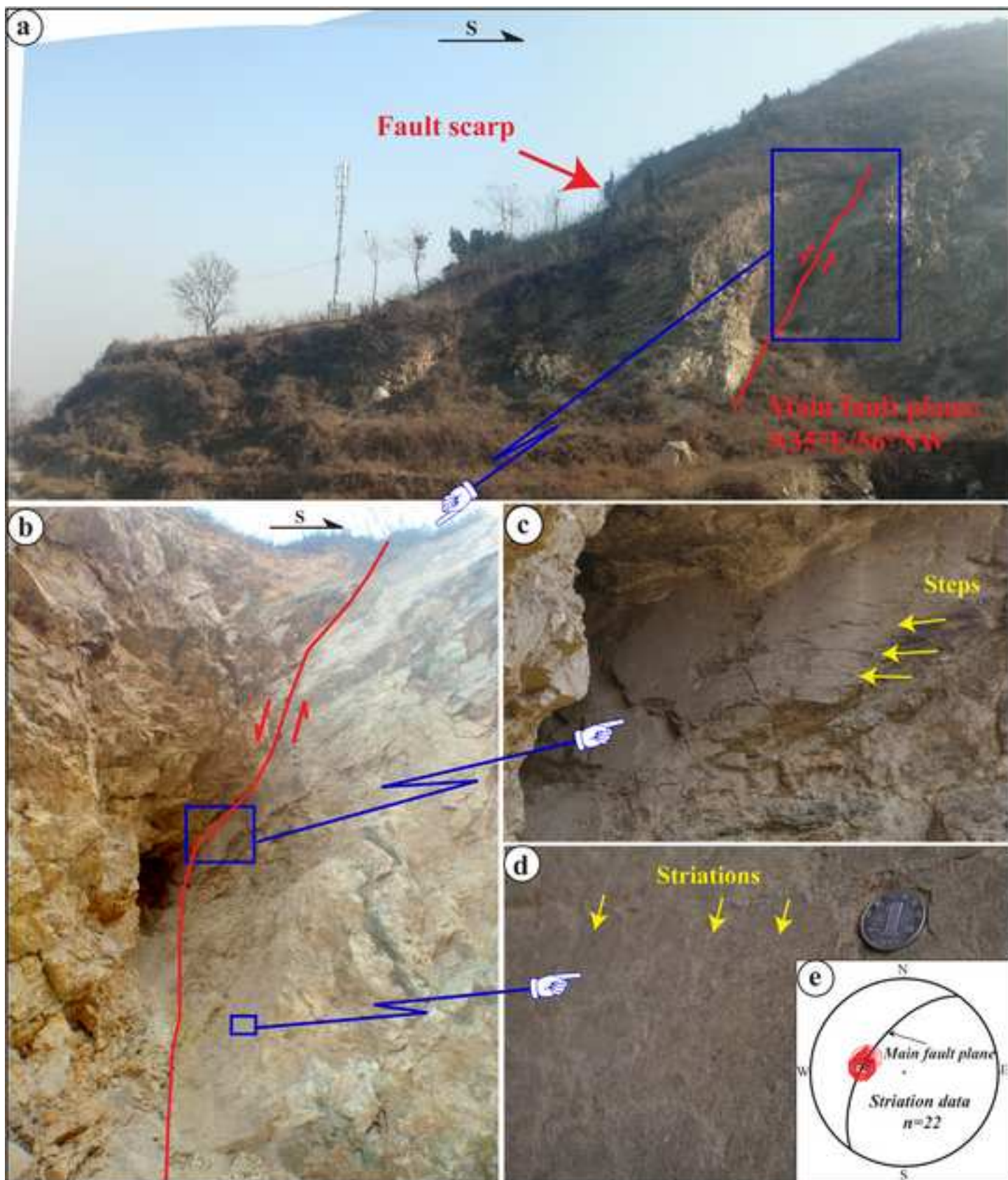


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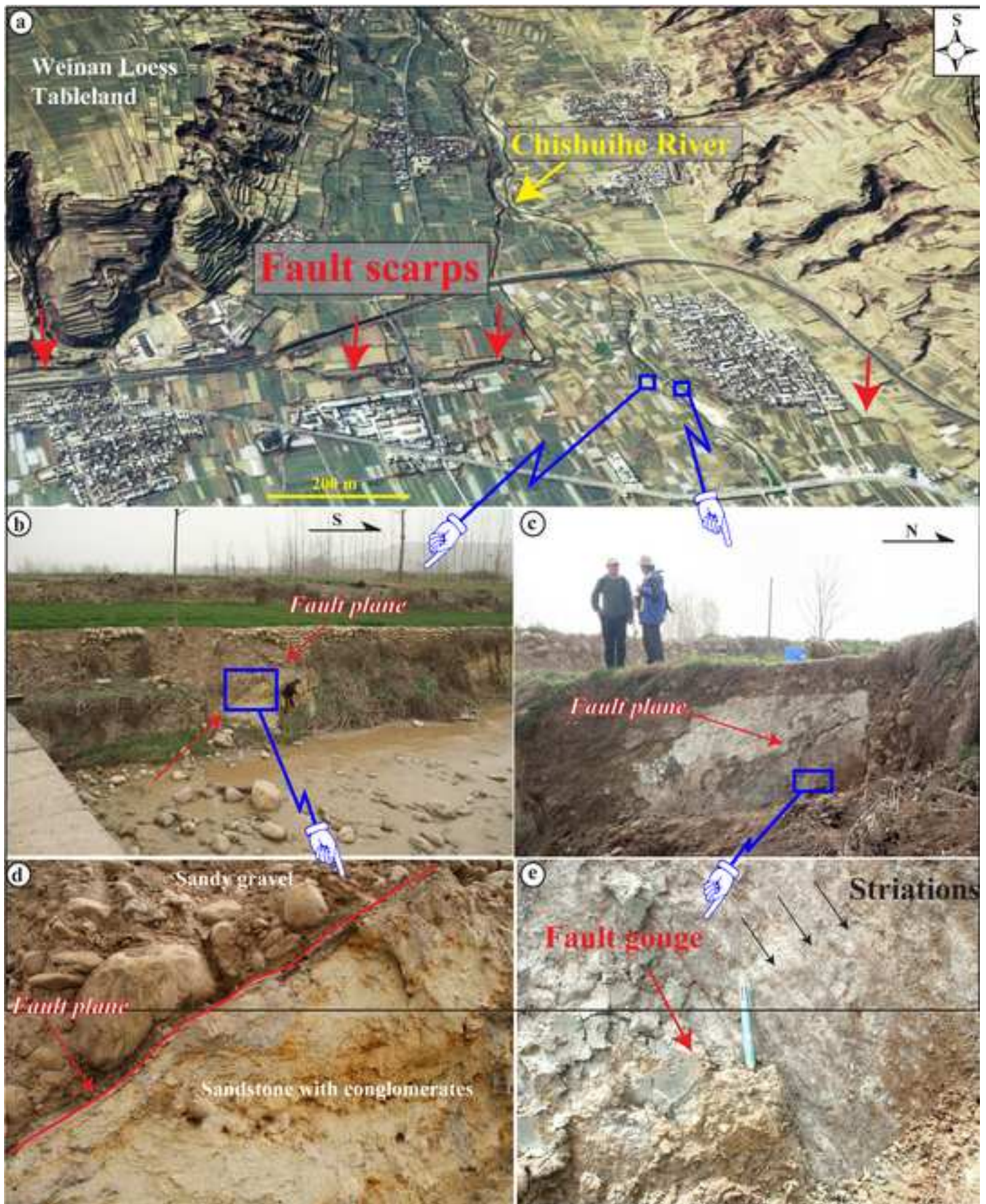


Figure-11
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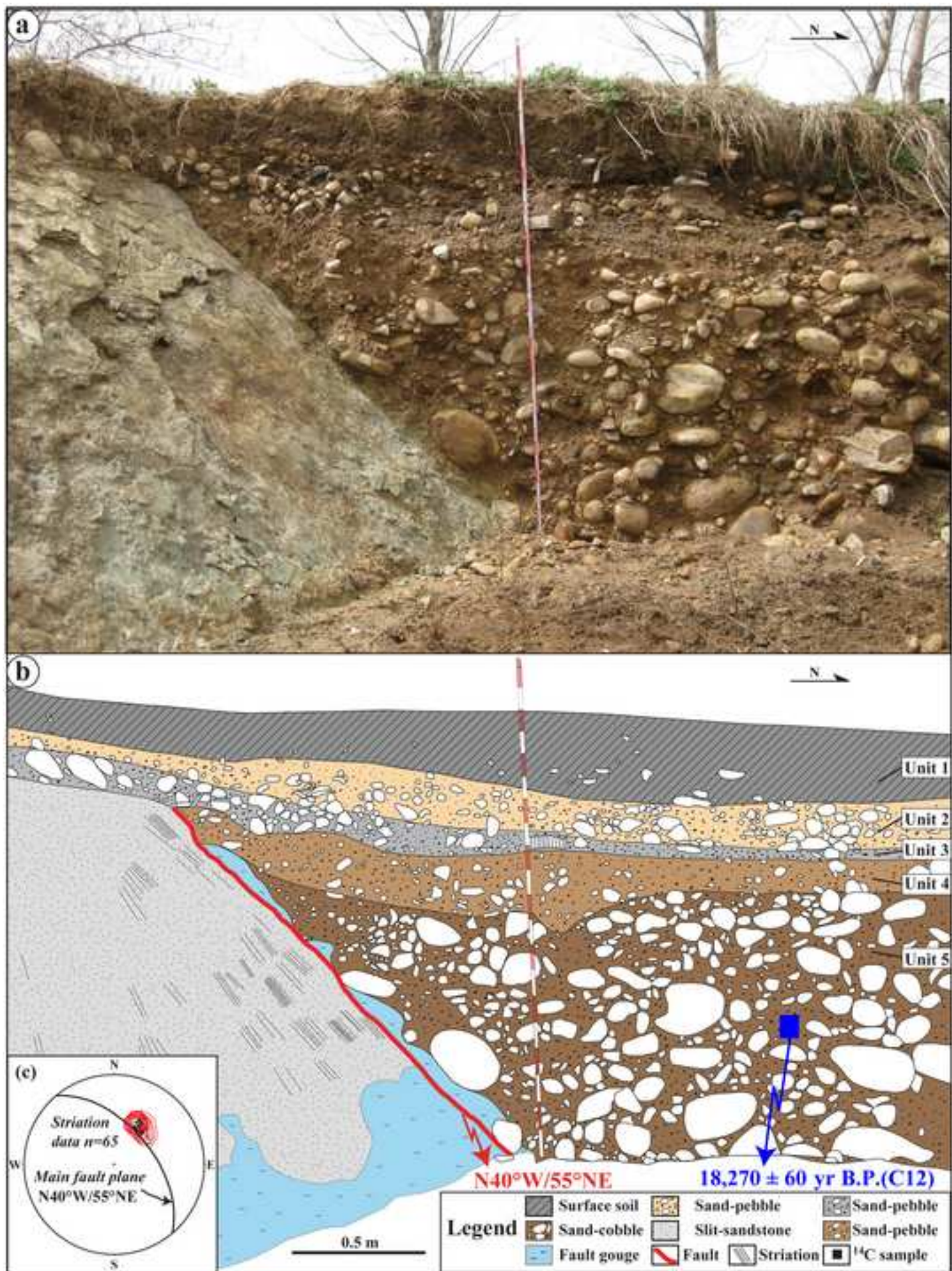


Figure-12
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