1	Title: Applicability condition of time-temperature superposition principle (TTSP) to a multi-phase
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- 19 Abstract

21	The applicability condition of the time-temperature superposition principle (TTSP) to a multi-phase
22	system is analytically discussed assuming a mixture law. It was concluded that the TTSP does not
23	hold for a multi-phase system in general but does hold for a multi-component system in which some
24	components have the same temperature dependence and the others have no temperature dependence.
25	On the basis of the results, the application of the TTSP to plant materials such as wood and bamboo
26	was examined using a mixture law and a stretched-exponential function having a characteristic
27	relaxation time τ_0 and a stretching parameter β . Wood can be treated as a multi-phase system
28	consisting of a framework (f) and matrix (m). In this case, it was expected that the TTSP holds for the
29	matrix in the shorter time region $t \ll \tau_{0f}$ under $T \ll T_{gf}$, while the TTSP holds for the framework in
30	the longer time region $t \gg \tau_{0m}$ under $T > T_{gm}$, where t and T_g is a measurement time and the
31	glass transition temperature, respectively
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34	Keywords: time-temperature superposition principle, relaxation, multi-phase, wood
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- 53 Introduction
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In this work, the applicability of the time-temperature superposition principle (TTSP) to a multi-phase system is analytically discussed. The TTSP is applicable only under limited conditions (Fesko and Tschoegl, 1971; Nakano, 1995; Tschoegl et al., 2002). According to Tschoegl (2002), the TTSP definitely does not apply to multi-phase materials such as graft copolymers, hybrid materials, and some other systems. In this study, we analytically identified both applicable and non-applicable conditions and applied the TTSP to plant materials considered as multi-phase systems.

61 The TTSP has been widely applied. However, most of the studies have not strictly considered 62 thermorheological simplicity. In order for the TTSP to be applicable, two requirements must be 63 satisfied: curves measured at different temperatures can be superimposed, and all system responses 64 are equally dependent on temperature. It should be noted that just a smooth curve established by 65 superimposing curves at different temperatures does not validate the TTSP.

66 Fesko and Tschoegl (1971) found that the TTSP does not hold for multi-phase systems and 67that the shift factor is time-dependent. Kaplan and Tschoegl (1974) applied the TTSP to two-phase polyblends and concluded that the master curve could not be constructed using just one shift factor. 68 69 Tschoegl et al. (1999) reviewed the effect of temperature and pressure on the mechanical properties of 70polymeric materials and again pointed out limitations of the TTSP. Nakano (1995) also reported 71non-applicability of the TTSP to wood when viewed as a multi-phase system. Nevertheless, rigorous 72application of the TTSP for multi-phase systems is highly desirable because it would enable the 73prediction of relaxation properties over longer and shorter time intervals and at lower and higher $\mathbf{74}$ frequencies.

75The relaxation behavior of wood has been examined extensively. Samarasinghe et al. (1994) 76reported that the relaxation curve obtained over a long time interval agreed with a master curve 77derived from curves at various temperatures. Bond et al. (1997) reported that the TTSP holds for 78creep in compression and tension for yellow poplar, Douglas fir, and southern pine. Irvin (1980) and Salmén (1984) reported that the Williams-Landel-Ferry (WLF) equation held for isolated lignin and 7980 in situ lignin, respectively. Stephen et al. (1987) claimed that the TTSP should be restricted to the 81 amorphous components of wood. Dlouhá et al. (2009) examined five wood species and did not 82definitively conclude that the TTSP was applicable. In an analytical study, Nakano (1995) indicated 83 that the TTSP was not applicable for wood as a multi-phase system. The TTSP has also been applied to various multi-phase systems (e.g., Simon and Ploehin, 1999; Brostow and D'Souza, 1999; Akinay et 84 85 al., 2002; Macaúbas and Demarquette, 2002; Barbero and Julius, 2003; Tajvidi et al., 2005; Liu et al., 86 2009; Barbero and Ford, 2004; Nakada et al., 2011).

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The application of the TTSP requires that all relaxation processes depend equally on

88 temperature. This requirement has not been rigorously considered in the literature. Thus, the validity

89 of the TTSP must be strictly considered before applying it to a multi-phase system. In some cases,

90 authors have pointed out the non-applicability of the TTSP to multi-phase systems but did not suggest

91 conditions that might make it applicable.

In this work, the applicability of the TTSP to a multi-phase system has been formulated using a mixture law. The analytical conditions for applicability are discussed. The stretched-exponential function has been applied to analysis of wood as a multi-phase system.

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- 97 Results and discussion
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99 Time-temperature superposition principle (TTSP)

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101 The TTSP implies that time or frequency is equivalent to temperature. That is, time or 102 frequency at temperature T_1 is related to that at temperature T_0 by the following equations:

(1)

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$$t(T_1) = \frac{t(T_0)}{a_T},$$

104 $\omega(T_1) = \omega(T_0)a_T , \qquad (2)$

105where $t(T_0)$ and $t(T_1)$ are times and $\omega(T_1)$ and $\omega(T_0)$ are frequencies at temperatures T_0 and T_1 . These relationships make it possible to establish a curve ("master curve") as a function of the 106logarithm of the time or frequency by superimposing the curves measured at various temperatures. 107108Rouse theory predicts the TTSP and indicates that it should be applied to the glass transition and 109rubbery regions where relaxation processes relate to the free volume. Application of the TTSP to polymers in the glassy state and to crystalline polymers has been reported (Faucher, 1959; 110Nagamatsu and Yoshitomi, 1959; Yoshitomi et al., 1958). The authors reported different mechanisms 111 112having different temperature dependencies. It should be stressed that equations (1) and (2) imply that 113all relaxation processes depend equally upon temperature.

114 Time at temperature T_1 , $t(T_1)$, is reduced to that at temperature T_0 , $t(T_0)$, using equations 115 (1) and (2). Figure 1 shows how a relaxation curve measured at temperature T_1 can be superimposed 116 on one measured at temperature T_0 using a small vertical shift.

117 Using equations (1) and (2), we have

$$E(t/a_T, T_0) = \frac{T_0 \rho_0}{T_\rho} E(t, T_1) , \qquad (3)$$

119
$$E'(\omega a_T, T_0) = \frac{T_0 \rho_0}{T_\rho} E'(\omega, T_1) , \qquad (4)$$

120
$$E''(\omega a_T, T_0) = \frac{T_0 \rho_0}{T_\rho} E''(\omega, T_1) , \qquad (5)$$

where E(t,T), $E'(\omega,T)$, and $E''(\omega,T)$ are the relaxation modulus, storage modulus, and loss modulus at temperature T. The factor $T_{0\rho_0}/T_{\rho}$ is related to thermal expansion and is almost unity. Equations (3) to (5) show that two curves can be superimposed on a logarithmic scale by shifting on the basis of $\ln a_T$. Similar equations can also be derived for viscosity, static compliance, and dynamic compliance.

Equations (1) and (2) require that all relaxation processes depend equally on temperature. A smooth curve established by superimposing curves at different temperatures does not necessarily validate the TTSP and is rheologically nonsensical if the above requirement does not hold. The TTSP implies equivalence between time and temperature but not superimposition of curves simply by shifting.

131 The applicability of the TTSP to multi-phase systems for stress relaxation processes is 132 discussed below. A thermal correction factor $T_{0\rho_0}/T_{\rho} = 1$ is used to simplify the analysis. The same 133 analysis should be valid for dynamic behavior.

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136 Applicability of the TTSP to a multi-phase system

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138Fesco and Tschoegl (1971) theoretically examined the application of the TTSP to a thermorheologically complex system and found that the shift factor was a function of both 139140 temperature and time. Subsequently, Kaplan and Tschoegl (1974) derived the master curve for a two-phase system using the master curve of each component and Takayanagi's mixture law. They 141 142estimated the time dependence of the shift factor using the distance between the obtained master 143curve of each component and an experimental curve. Their results showed that the master curve cannot be established from relaxation curves at various temperatures simply by shifting on a 144logarithmic scale. Here, we analytically determine the conditions of applicability of the TTSP for a 145multi-phase system by extending the work of Kaplan and Tschoegl (1974). 146

147Assuming a mixture law that is independent of temperature, a situation that holds for most148multi-phase polymers, the relaxation modulus at temperature T of a multi-phase system is149represented by

150 $E^{n}(t,T) = \sum_{i} \theta_{i} E_{i}^{n}(t,T) ,$

151 where θ_i and E_i are the volume fraction and the relaxation modulus for component *i*, respectively, 152 and *n* is the mixture state parameter and $-1 \le n \le 1$.

(6)

- 153 Assuming that the TTSP is valid for each component i, the relationship between moduli at 154 different temperatures T_0 and T_1 is described by equation (7):

156 If the temperature dependence of all components is equal, that is $a_{T0} = a_{T1} = \cdots = a_{Ti} = \cdots \equiv a_T$, then 157 the modulus of the entire system is:

158 $E^{n}(t,T_{1}) = \sum_{i} \theta_{i} E_{i}^{n}(t,T_{1}) = \sum_{i} \theta_{i} E_{i}^{n}(t/a_{T},T_{0})$ (8)

159 This equation is equal to $E^n(t/a_T, T_0)$.

160 The above result means that the TTSP holds for a multi-phase system when the TTSP is 161 valid for all components and more they have the same shift factor. This is the simplest case that can be 162 used to validate the TTSP. Our interest is the applicability of the TTSP to a multi-phase system when 163 the temperature dependence of at least one component differs from the others, even though the TTSP 164 is valid for each component.

165 In such a case, when the component that differs from the others is represented by subscript 166 *p* , equation (8) can be rewritten as

$$E^{n}(t,T_{1}) = \theta_{p} E_{p}^{n}(t/a_{Tp},T_{0}) + \sum_{i \neq p} \theta_{i} E_{i}^{n}(t/a_{T},T_{0}), \qquad (9)$$

168 where a_{Tp} and a_T are the shift factors of the different component and the others, respectively. 169 Equation (9) means that a curve for T_1 does not superimpose on that for T_0 by the same shift factor 170 because the shift factors a_{Tp} and a_T differ in the first term and the second term on the right-hand 171 side in the equation. This argument is equally valid when more than two components differ from each 172 other and more have shift factors that differ from the others.

173Equation (9) indicates that curves at different temperatures cannot be superimposed using a 174common shift factor. However, they can be superimposed using the procedure of Kaplan and Tschoegl 175(1974). That is, we first establish a master curve for each component using a shift factor for the pure 176component and then calculate the master curve of the entire system using a mixture law. This 177procedure is acceptable only when the volume fraction, relaxation properties, and shift factor for each component are known, and the interaction between the components is negligible. That is, equation (9) 178179indicates that superimposition using a single shift factor is impossible for a multi-phase system. The above discussion implies that the TTSP is not valid for a multi-phase system containing components 180181 having different temperature dependencies.

To date, the applicability of TTSP has been discussed for a multi-phase system in which the components had different temperature dependencies, although the TTSP is not valid for a multi-phase system containing components having different temperature dependencies (Fesco and Tschoegl, 1971; Kaplan and Tschoegl, 1974; Nakano, 1995). Some studies have shown that the TTSP holds completely or partially for some multi-phase systems, for example polymer liquid crystal and natural products such as wood, respectively. This fact appears to suggest a restrictive condition for applicability to a multi-phase system of TTSP. Thus, we aimed to identify the condition for which the TTSP is valid. We examined a multi-phase system in which some components had slight or no temperature dependence.

190 When the 0th to qth components do not have temperature dependencies, relaxation processes 191 are frozen or completed, and the other components have equal temperature dependencies, the 192 relaxation modulus at T_1 is given by

193
$$E^{n}(t,T_{1}) = \sum_{i=0}^{q} \theta_{i} E_{i}^{n}(t,T_{1}) + \sum_{i>q} \theta_{i} E_{i}^{n}(t,T_{1}) .$$
(10)

Here, because the 0th to qth components do not have temperature dependencies, the first term of the right-hand side in equation (10) is constant. Thus, equation (10) reduces to

196
$$E^n(t,T_1) = const. + \sum_{i>q} \theta_i E_i^n(t,T_1) .$$

197 As the other components have equal temperature dependencies,

198
$$E^{n}(t,T_{1}) = const. + \sum_{i>q} \theta_{i} E_{i}^{n}(t/a_{T},T_{0})$$
(11)

199 This is equal to $E^n(t/a_T, T_0)$. That is, the TTSP is valid.

The above discussion shows that, in general, the TTSP holds for a multi-phase system when the TTSP is valid for all components and their shift factors are identical. The TTSP is not valid for a system that contains at least one component having different temperature dependence. Moreover, the TTSP holds for a multi-phase system in which some of the components have no or nearly no temperature dependencies and the others have the same temperature dependence.

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207 Application of TTSP to wood as a multi-phase system

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209Wood has a complex high-order structure and consists of multiple components, e.g., cellulose, 210hemicellulose, and lignin. The cell wall of wood contains these three components. Cellulose is a rigid 211polymer and is the primary component. It is semi-crystalline and constitutes the framework of the cell 212wall; the cellulose is embedded in a matrix consisting of hemicellulose and lignin. That is, wood is 213made up of both a framework and matrix having longer and shorter relaxation times. The relaxation 214process of a multi-phase system with such components thus consists of two processes. Wood and bamboo have characteristic relaxation behaviors, e.g., "mechano-sorptive behaviors" which is the 215216peculiar relaxation behavior under changing moisture content and whose mechanism has not elucidated (Armstrong and Christensen, 1961; Gibson, 1965; Grossman, 1976). The relaxation 217

218 properties of wood have been examined extensively, and those of bamboo recently, via microstructural 219 analysis (Aoyagi and Nakano, 2009; Tsubaki and Nakano, 2010; Kanzawa et al., 2011).

220Wood can be regarded as a multi-phase system consisting of a framework (subscript f) and a 221matrix (subscript m). The temperature dependencies of their relaxation properties are expected to be 222quite different, that is, $a_{Tm} \neq a_{Tf}$. In general, the TTSP does not hold for wood, according to the above 223discussion. However, Irvin (1980), Salmén (1984), Stephen et al. (1987), and Laborie (2004) reported 224that lignin, the main component of the matrix, obeys the WLF equation. The shift factor of the 225framework is expected to obey the Arrhenius equation because of local contributions to the relaxation of microfibrils (Ferry, 1980). In the following discussion, we examine the applicability of the TTSP to 226227wet wood because in this state, the relaxation properties of a framework and matrix are expected to be 228verv different.

Wood is porous biomaterial which consists of vacant space and wood cell substance. Thus, the high-order structure will affect Young's modulus. Its contribution is represented by the front factor in the following equation obtained by using a mixture low (Ohgama and Yamada, 1974; Gibson and Ashby, 1997; Nakao and Nakano, 2011):

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$$E(t) = \Phi(\theta, \zeta) E_{\mathcal{S}}(t) , \qquad (12)$$

where $\Phi(\theta, \xi)$ is the front factor related to the shape factor ξ and the volume fraction of wood substance θ , and E_s is Young's modulus of the wood substance. Below 100°C, $\Phi(\theta,m)$ is almost independent of time and temperature. Accordingly, E(t) reduces to $E_s(t)$.

Reports have stated that the TTSP holds totally or partially for wood, although the above discussion stated that the TTSP generally does not hold for a multi-phase system. We resolve this discrepancy below.

In the following discussion, Young's moduli of the wood components are represented by a stretched-exponential function having characteristic relaxation time τ_0 and stretching parameter β . This function is appropriate because relaxation properties are characterized by two parameters. This approach has been applied to the analysis of the effects of water adsorption on the stress relaxation of wood (Nakao and Nakano, 2011). Equivalence between a stretched-exponential function and the formulation by the linear viscoelastic theory has been clarified by Lindsey and Patterson (1987).

Now, consider wet wood as a two-phase system consisting of a framework and a matrix: the
former is cellulose and the latter is hemicellulose plus lignin. Using the stretched-exponential function,
Young's moduli of the framework and the matrix are represented by

249
$$E_m(t) = E_m(0) \exp[-(\frac{t}{\tau_{0m}})^{\beta_m}] + E_m(\infty), \qquad (13)$$

250
$$E_{f}(t) = E_{f}(0) \exp[-(\frac{t}{\tau_{0f}})^{\beta_{f}}] + E_{f}(\infty), \qquad (14)$$

where subscripts f and m are the framework and the matrix, respectively, $E_i(0)$ and $E_i(\infty)$ (i = f, m) are the instantaneous Young's modulus and the long-time asymptote (equivalent Young's modulus), respectively. Then, according a mixture law, Young's modulus of wood is represented by

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4
$$E_s^{\ n}(t) = \theta_m E_m^{\ n}(t) + \theta_f E_f^{\ n}(t).$$
 (15)

Relaxation properties of both the framework and matrix are expected to differ remarkably under the wet condition. This is because the glass transition temperatures of wet wood components are much more than 100°C, less than room temperature, and about 60°C for cellulose, hemicellulose, and lignin, respectively (Goring, 1963; Irvine, 1980, 1984; Salmén, 1982, 1998), so that T_{gf} and T_{gm} differ from each other.

The above discussion concluded that the TTSP holds for a multi-phase system when one phase obeys the relaxation process while the others scarcely contribute to the relaxation. Define category (i) as the condition under which the matrix obeys the relaxation process while the framework scarcely contributes to the relaxation and category (ii) as the opposite condition. For our system,

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(i)
$$T < T_{gf}$$
 and $t << \tau_{0f}$

(ii)
$$T > T_{gm}$$
 and $t >> \tau_{0m}$

Previous work (Irvin, 1980; Salmén, 1984; Stephen et al., 1987) indicated that the TTSP is valid for lignin in the matrix of the cell wall. The matrix consists of hemicellulose and lignin. There are approximately equal amounts of each, although the ratio differs somewhat between hardwoods and softwoods. As mentioned before, the glass transition temperature T_g of hemicellulose is lower than those of the other components and well below room temperature when wet. On the other hand, the T_g of lignin is about 60°C even when wet. Thus, lignin is the phase that obeys the relaxation process of the matrix from room temperature to 100°C, while hemicellulose does not.

273 Now, we consider the condition $t \ll \tau_{0f}$. Considering $t/\tau_{0f} \approx 0$ in the exponential term in 274 equation (11), equation (11) becomes

$$E_f(t) \approx E_f(0) + E_f(\infty) = const \equiv K_f$$
(16)

276 Thus, equation (15) reduces to

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$$E_s^n(t) = \theta_m E_m^n(t) + \theta_f K_f^n . \tag{17}$$

Equation (17) shows that the matrix obeys the relaxation process for wood and the framework does not contribute. The relaxation modulus measured at two temperatures T_0 and T_1 under $T_0 < T_1 << T_{gf}$ is represented by

282
$$E_s^{\ n}(t,T_0) = \theta_m E_m^{\ n}(t,T_0) + \theta_f K_f^{\ n}$$
(18)

283
$$E_s^{\ n}(t,T_1) = \theta_m E_m^{\ n}(t,T_1) + \theta_f K_f^{\ n}$$
(19)

Now, considering $t(T_1) = t(T_0)/a_{Tm}$ because TTSP is valid for the matrix,

285
$$E_m(t,T_1) = E_m(t/a_{Tm},T_0)$$
. (20)

286 Thus, equation (19) reduces to $E_s^n(t,T_1) = \theta_m E_m^n(t/a_{Tm},T_0) + \theta_f K_f^n$. Accordingly,

287 $E_s^{n}(t,T_1) = E_s^{n}(t/a_{Tm},T_0)$ (21)

288 This equation implies that the TTSP is applicable for wood.

289 When the framework follows the relaxation process after the relaxation process of the matrix 290 is complete, that is, under the condition $t >> \tau_{0m}$,

$$E_m(t) \approx E_m(\infty) = const \equiv K_m.$$
⁽²²⁾

292 Thus,

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$$E_s^{\ n}(t) = \theta_m K_m^{\ n} + \theta_f E_f^{\ n}(t) \tag{23}$$

(24)

294 Accordingly,

 $E_s^{n}(t,T_1) = E_s^{n}(t/a_{Tf},T_0)$

296 Equation (24) also shows the validity of the TTSP.

It is clear from the above discussion that the TTSP holds for wood as a multi-phase system if condition (i) or (ii) is satisfied, in other words, in a limited temperature region or time period. The same discussion is also valid for dynamic behavior. Moreover, even if the TTSP is not valid for the framework, the TTSP is applicable for wood under the conditions $T_0 < T_1 << T_{gf}$ and $t << \tau_{0f}$. This is because wood consists of components having very different relaxation properties. This result supports reports stating that the TTSP is partially valid. It should be noted that the above discussion has not been applied to materials made of similar components.

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306 Conclusion

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308 The applicability of the TTSP to multi-phase systems was analytically discussed assuming a mixture law. It was concluded that the TTSP does not hold for multi-phase systems in general but does hold for 309 the two-phase system when both components have the same temperature dependence and when both 310311have no temperature dependence. The applicability of the TTSP to wet wood was examined using a 312mixture law and a stretched-exponential function having characteristic relaxation time τ_0 and 313stretching parameter β . Wood can be considered as a multi-phase system made up of a framework (f) 314 and a matrix (m); at less than 100°C, the framework (microfibrils) is rigid while the matrix (hemicelluloses and lignin) is flexible, because their glass transition temperatures T_{gf} and T_{gm} 315under wet condition are much more than 100°C and near or less than 60°C, respectively. As a result, 316 317the TTSP holds for the matrix in the shorter time region $t \ll \tau_{0f}$ under $T < T_{gf}$, while the TTSP 318holds for the framework in the longer time region $t \gg \tau_{0m}$ under $T > T_{gm}$.

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412	Caption
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415	Fig. 1. Shift of the relaxation modulus along a logarithmic time axis and correction along a logarithmic
416	vertical axis for the application of the time-temperature superposition principle (TTSP).
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