

# Equivariant definable homotopy extensions

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## Abstract

Let  $G$  be a definably compact definable group,  $X$  a definable  $G$  set and  $Y$  a definable closed  $G$  subset of  $X$ . We prove that a pair  $(X, Y)$  admits an equivariant definable homotopy extension.

## 1 Introduction

In this paper we consider equivariant definable homotopy extensions in an o-minimal expansion  $\mathcal{N} = (R, +, \cdot, <, \dots)$  of a real closed field  $R$ . It is known that there exist uncountably many o-minimal expansions of the field  $\mathbb{R}$  of real numbers([8]).

Definable set and definable maps are studied in [3], [4], and see also [9]. Everything is considered in  $\mathcal{N} = (R, +, \cdot, <, \dots)$  and definable maps are assumed to be continuous unless otherwise stated.

## 2 Preliminaries

Let  $R$  be a real closed field.

A *structure*  $\mathcal{N}$  is given by the following data.

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1. A set  $R$  is called the *universe* or *underlying set* of  $\mathcal{N}$ .
2. A collection of *functions*  $\{f_i | i \in I\}$ , where  $f_i : R^{n_i} \rightarrow R$  for some  $n_i \geq 1$ .
3. A collection of *relations*  $\{R_j | j \in J\}$ , where  $R_j \subset R^{m_j}$  for some  $m_j \geq 1$ .
4. A collection of distinguished elements  $\{c_k | k \in K\} \subset R$ , and each  $c_k$  is called a *constant*.

Any (or all) of the sets  $I, J, K$  may be empty.

We say that  $f$  (resp.  $L$ ) is  $m$ -place function (resp.  $m$ -place relation) if  $f : R^m \rightarrow R$  (resp.  $L \subset R^m$ ).

A *term* is a finite string of symbols obtained by repeated applications of the following three rules:

1. Constants are terms.
2. Variables are terms.
3. If  $f$  is an  $m$ -place function of  $\mathcal{N}$  and  $t_1, \dots, t_m$  are terms, then the concatenated string  $f(t_1, \dots, t_m)$  is a term.

A *formula* is a finite string of symbols  $s_1 \dots s_k$ , where each  $s_i$  is either a variable, a function, a relation, one of the logical symbols  $=, \neg, \vee, \wedge, \exists, \forall$ , one of the brackets  $(, )$ , or comma  $,$ . Arbitrary formulas are generated inductively by the following three rules:

1. For any two terms  $t_1$  and  $t_2$ ,  $t_1 = t_2$  and  $t_1 < t_2$  are formulas.
2. If  $R$  is an  $m$ -place relation and  $t_1, \dots, t_m$  are terms, then  $R(t_1, \dots, t_m)$  is a formula.
3. If  $\phi$  and  $\psi$  are formulas, then the negation  $\neg\phi$ , the disjunction  $\phi \vee \psi$ , and the conjunction  $\phi \wedge \psi$  are formulas. If  $\phi$  is a formula and  $v$  is a variable, then  $(\exists v)\phi$  and  $(\forall v)\phi$  are formulas.

A subset  $X$  of  $R^n$  is *definable* (in  $\mathcal{N}$ ) if it is defined by a formula (with parameters). Namely, there exist a formula  $\phi(x_1, \dots, x_n, y_1, \dots, y_m)$  and elements  $b_1, \dots, b_m \in R$  such that  $X = \{(a_1, \dots, a_n) \in R^n | \phi(a_1, \dots, a_n, b_1, \dots, b_m) \text{ is true in } \mathcal{N}\}$ .

For any  $-\infty \leq a < b \leq \infty$ , an open interval  $(a, b)_R$  means  $\{x \in R \mid a < x < b\}$ , for any  $a, b \in R$  with  $a < b$ , a closed interval  $[a, b]_R$  means  $\{x \in R \mid a \leq x \leq b\}$ . We call  $\mathcal{N}$  *o-minimal* (*order-minimal*) if every definable subset of  $R$  is a finite union of points and open intervals.

A real closed field  $(R, +, \cdot, <)$  is an o-minimal structure and every definable set is a semialgebraic set [10], and a definable map is a semialgebraic map [10]. In particular, the semialgebraic category is a special case of the definable one.

The topology of  $R$  is the interval topology and the topology of  $R^n$  is the product topology. Note that  $R^n$  is a Hausdorff space.

The field  $\mathbb{R}$  of real numbers,  $\mathbb{R}_{alg} = \{x \in \mathbb{R} \mid x \text{ is algebraic over } \mathbb{Q}\}$  are Archimedean real closed fields.

The Puiseux series  $\mathbb{R}[X]^\wedge$ , namely  $\sum_{i=k}^{\infty} a_i X^{\frac{i}{q}}$ ,  $k \in \mathbb{Z}, q \in \mathbb{N}, a_i \in \mathbb{R}$  is a non-Archimedean real closed field.

**Fact 2.1.** (1) *The characteristic of a real closed field is 0.*

(2) *For any cardinality  $\kappa \geq \aleph_0$ , there exist  $2^\kappa$  many non-isomorphic real closed fields whose cardinality are  $\kappa$ .*

(3) *In a general real closed field, even for a  $C^\infty$  function, the intermediate value theorem, existence theorem of maximum and minimum, Rolle's theorem, the mean value theorem do not hold. Even for a  $C^\infty$  function  $f$  in one variable, the result that  $f' > 0$  implies  $f$  is increasing does not hold.*

**Definition 2.2.** Let  $X \subset R^n, Y \subset R^m$  be definable sets.

(1) A continuous map  $f : X \rightarrow Y$  is a *definable map* if the graph of  $f$  ( $\subset R^n \times R^m$ ) is definable.

(2) A definable map  $f : X \rightarrow Y$  is a *definable homeomorphism* if there exists a definable map  $f' : Y \rightarrow X$  such that  $f \circ f' = id_Y, f' \circ f = id_X$ .

**Definition 2.3.** A group  $G$  is a *definable group* if  $G$  is definable and the group operations  $G \times G \rightarrow G, G \rightarrow G$  are definable.

As in the field  $\mathbb{R}$ , for any real closed field  $R$ , we can define the  $n$ -th general linear  $G(n, R)$ , the  $n$ -th orthogonal group  $O(n)$ .

Let  $G, G'$  be definable groups. A group homomorphism  $f : G \rightarrow G'$  is a *definable group homomorphism* if  $f$  is definable. A definable group homomorphism  $f : G \rightarrow GL(n, R)$  is called a *definable  $G$  representation*. A definable group homomorphism  $f : G \rightarrow O(n)$  is called a *definable orthogonal*

$G$  representation and  $R^n$  with the orthogonal action induced from an orthogonal  $G$  representation is called a *definable orthogonal  $G$  representation space*.

**Definition 2.4.** (1) A  $G$  invariant definable subset of a definable orthogonal  $G$  representation space is a *definable  $G$  set*.

Let  $X, Y$  be definable  $G$  sets.

(2) A definable map  $f : X \rightarrow Y$  is a *definable  $G$  map* if for any  $x \in X, g \in G, f(gx) = gf(x)$ .

(3) A definable  $G$  map  $f : X \rightarrow Y$  is a *definable  $G$  homeomorphism* if there exists a definable  $G$  map  $h : Y \rightarrow X$  such that  $f \circ h = id_Y, h \circ f = id_X$ .

**Definition 2.5.** (1) A definable set  $X \subset R^n$  is *definably compact* if for any definable map  $f : (a, b)_R \rightarrow X$ , there exist the limits  $\lim_{x \rightarrow a+0} f(x), \lim_{x \rightarrow b-0} f(x)$  in  $X$ .

(2) A definable set  $X \subset R^n$  is *definably connected* if there exist no definable open subsets  $U, V$  of  $X$  such that  $X = U \cup V, U \cap V = \emptyset, U \neq \emptyset, V \neq \emptyset$ .

A compact (resp. A connected) definable set is definably compact (resp. definably connected). But a definably compact (resp. a definably connected) definable set is not always compact (resp. connected). For example, if  $R = \mathbb{R}_{alg}$ , then  $[0, 1]_{\mathbb{R}_{alg}} = \{x \in \mathbb{R}_{alg} | 0 \leq x \leq 1\}$  is definably compact and definably connected, but it is neither compact nor connected.

**Theorem 2.6** ([7]). *For a definable set  $X \subset R^n$ ,  $X$  is definably compact if and only if  $X$  is closed and bounded.*

The following is a definable version of the fact that the image of a compact (resp. a connected) set by a continuous map is compact (resp. connected).

**Proposition 2.7.** *Let  $X \subset R^n, Y \subset R^m$  be definable set,  $f : X \rightarrow Y$  a definable map. If  $X$  is definably compact (resp. definably connected), then  $f(X)$  is definably compact (resp. definably connected).*

**Theorem 2.8.** (1) *(The intermediate value theorem) For a definable function  $f$  on a definably connected set  $X$ , if  $a, b \in X, f(a) \neq f(b)$  then  $f$  takes all values between  $f(a)$  and  $f(b)$ .*

(2) *(Existence theorem of maximum and minimum) Every definable function on a definably compact set attains maximum and minimum.*

(3) (Rolle's theorem) Let  $f : [a, b]_R \rightarrow R$  be a definable function such that  $f$  is differentiable on  $(a, b)_R$  and  $f(a) = f(b)$ . Then there exists  $c$  between  $a$  and  $b$  with  $f'(c) = 0$ .

(4) (The mean value theorem) Let  $f : [a, b]_R \rightarrow R$  be a definable function which is differentiable on  $(a, b)_R$ . Then there exists  $c$  between  $a$  and  $b$  with  $f'(c) = \frac{f(b) - f(a)}{b - a}$ .

(5) Let  $f : (a, b)_R \rightarrow R$  be a differentiable definable function. If  $f' > 0$  on  $(a, b)_R$ , then  $f$  is increasing.

**Example 2.9.** (1) Let  $\mathcal{N}$  be  $(\mathbb{R}_{alg}, +, \cdot, <)$ . Then  $f : \mathbb{R}_{alg} \rightarrow \mathbb{R}_{alg}, f(x) = 2^x$  is not defined ([11]).

(2) Let  $\mathcal{N}$  be  $(\mathbb{R}, +, \cdot, <)$ . Then  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = 2^x$  is defined but not definable, and  $h : \mathbb{R} \rightarrow \mathbb{R}, h(x) = \sin x$  is defined but not definable.

### 3 Equivariant definable homotopy extensions

Let  $X, Y$  be definable set and  $f : X \rightarrow Y$  a definable map. We say that  $f$  is *definably proper* if for any definably compact subset  $C$  of  $Y$ ,  $f^{-1}(C)$  is a definably compact subset of  $X$ .

Let  $A \subset R^n, S \subset R^m$  be definable sets, and let  $f : S \rightarrow A$  be a definable map. We say that  $f$  is *definably trivial* if there exist a definable set  $F \subset R^N$  for some  $N \in \mathbb{N}$ , and a definable map  $h : S \rightarrow F$  such that  $(f, h) : S \rightarrow A \times F$  is a definable homeomorphism. In this case, each fiber  $f^{-1}(a)$  of  $f$  over  $a$  is definably homeomorphic to  $F$ .

In o-minimal expansions of real closed fields, the following five theorems are known.

**Theorem 3.1.** (1) (Monotonicity theorem (e.g. 3.1.2, 3.1.6. [3])). Let  $f : (a, b)_R \rightarrow R$  be a function with the definable graph. Then there exist finitely many points  $a = a_0 < a_1 < \dots < a_k = b$  such that on each subinterval  $(a_j, a_{j+1})_R$ , the function is either constant, or strictly monotone and continuous. Moreover for any  $c \in (a, b)_R$ , the limits  $\lim_{x \rightarrow c+0} f(x), \lim_{x \rightarrow c-0} f(x)$  exist in  $R \cup \{\infty\} \cup \{-\infty\}$ .

(2) (Cell decomposition theorem (e.g. 3.2.11. [3])). For any definable subsets  $A_1, \dots, A_k$  of  $R^n$ , there exists a cell decomposition of  $R^n$  partitioning each  $A_1, \dots, A_k$ .

Let  $A$  be a definable subset of  $R^n$  and  $f : A \rightarrow R$  a function with the definable graph. Then there exists a cell decomposition  $\mathcal{D}$  of  $R^n$  partitioning  $A$  such that each  $B \subset A, B \in \mathcal{D}$ ,  $f|_B : B \rightarrow R$  is continuous.

(3) (Triangulation theorem (e.g. 8.2.9. [3])). Let  $S \subset R^n$  be a definable set and let  $S_1, S_2, \dots, S_k$  be definable subsets of  $S$ . Then  $S$  has a triangulation in  $R^n$  compatible with  $S_1, \dots, S_k$ .

(4) (Piecewise trivialization theorem (e.g. 8.2.9. [3])). Let  $f : S \rightarrow A$  be a definable map between definable sets  $S$  and  $A$ . Then there is a finite partition  $A_1, \dots, A_k$  of  $A$  into definable sets  $A_i$  such that each  $f|_{f^{-1}(A_i)} : f^{-1}(A_i) \rightarrow A_i$  is definably trivial.

(5) (Existence of definable quotients (e.g. 10.2.18 [3])). Let  $G$  be a definably compact definable group and  $X$  a definable  $G$  set. Then the orbit space  $X/G$  exists as a definable set and the orbit map  $\pi : X \rightarrow X/G$  is surjective, definable and definably proper.

**Question 3.2.** Let  $X, Y$  be definable sets and  $A$  a definable subset of  $X$ .

(1) (Extensions of definable maps) Let  $f : A \rightarrow Y$  be a definable map. When does  $f : A \rightarrow Y$  extend a definable map  $F : X \rightarrow Y$ ?

(2) (Definable homotopy extensions) Let  $f : X \rightarrow Y$  be a definable map and a definable homotopy  $F : A \times [0, 1]_R \rightarrow Y$  such that  $F(x, 0) = f(x)$  for any  $x \in A$ . When does a definable homotopy  $H : X \times [0, 1]_R \rightarrow Y$  exist such that  $H(x, 0) = f(x)$  for any  $x \in X$  and  $H|_{A \times [0, 1]_R} = F$ ?

**Theorem 3.3** (Definable Tietze extension theorem [1]). Let  $X, Y$  be definable sets,  $A$  a definable closed subset of  $X$  and  $f : A \rightarrow R$  a definable function. Then there exists a definable function  $F : X \rightarrow R$  such that  $F|_A = f$ .

**Theorem 3.4** (Definable homotopy extension theorem [2]). Let  $X, Y$  be definable sets and  $A$  a definable closed subset of  $X$ . For any definable map  $f : X \rightarrow Y$  and for any definable homotopy  $F : A \times [0, 1]_R \rightarrow Y$  such that  $F(x, 0) = f(x)$  for any  $x \in A$ , there exists a definable homotopy  $H : X \times [0, 1]_R \rightarrow Y$  such that  $H(x, 0) = f(x)$  for any  $x \in X$  and  $H|_{A \times [0, 1]_R} = F$ .

To consider Question 3.2, we need to construct an obstruction theory in the definable category.

The following question is an equivariant version of Question 3.2.

**Question 3.5.** Let  $G$  be a definable group,  $X, Y$  a definable  $G$  sets and  $A$  a definable  $G$  subset of  $X$ .

(1) (*Extensions of definable  $G$  maps*) Let  $f : A \rightarrow Y$  be a definable  $G$  map. When does  $f : A \rightarrow Y$  extend a definable  $G$  map  $F : X \rightarrow Y$ ?

(2) (*Equivariant definable homotopy extensions*) Let  $f : X \rightarrow Y$  be a definable  $G$  map and an equivariant definable homotopy  $F : A \times [0, 1]_R \rightarrow Y$  such that  $F(x, 0) = f(x)$  for any  $x \in A$ . When does an equivariant definable homotopy  $H : X \times [0, 1]_R \rightarrow Y$  exist such that  $H(x, 0) = f(x)$  for any  $x \in X$  and  $H|_{A \times [0, 1]_R} = F$ ?

We have the following result.

**Theorem 3.6** ([6]). *Let  $G$  be a definably compact definable group,  $X$  a definable  $G$  set and  $A$  a definable closed  $G$  subset of  $X$ . For any definable  $G$  map  $f : X \rightarrow Y$  and for any equivariant definable homotopy  $F : A \times [0, 1]_R \rightarrow Y$  such that  $F(x, 0) = f(x)$  for any  $x \in A$ , there exists an equivariant definable homotopy  $H : X \times [0, 1]_R \rightarrow Y$  such that  $H(x, 0) = f(x)$  for any  $x \in X$  and  $H|_{A \times [0, 1]_R} = F$ .*

Theorem 3.6 is proved in the case where  $R = \mathbb{R}$  ([5]).

To prove Theorem 3.6, we need the following results.

**Theorem 3.7** ([6]). *Let  $G$  be a definably compact definable group and  $Y$  a definable closed  $G$  subset of a definable  $G$  set  $X$ . Then there exists a  $G$  invariant definable open neighborhood  $U$  of  $Y$  in  $X$  such that  $Y$  is a definable strong  $G$  deformation retract of both  $U$  and of the closure  $\text{cl } U$  of  $U$  in  $X$ .*

**Proposition 3.8** ([6]). *Let  $G$  be a definably compact definable group and  $A, B$  disjoint definable closed  $G$  subsets of a definable  $G$  set  $X$ . Then there exists a  $G$  invariant definable map  $f : X \rightarrow [0, 1]_R$  with  $A = f^{-1}(0)$  and  $B = f^{-1}(1)$ .*

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