

# Quantum scattering in crossed constant magnetic and time-dependent electric fields

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## 1 Introduction

In this article, we would like to mention the results of our paper [1], which is concerned with the study of the quantum dynamics of a charged particle in the presence of crossed constant magnetic and time-dependent electric fields.

We consider a quantum system of a charged particle moving in the plane  $\mathbf{R}^2$  in the presence of the constant magnetic field  $\mathbf{B}$  which is perpendicular to the plane, and the time-dependent electric field  $\mathbf{E}(t)$  which always lies in the plane. For the sake of simplicity, we write  $\mathbf{B}$  as  $(0, 0, B)$  with  $B > 0$ , and  $\mathbf{E}(t) = (E_1(t), E_2(t), 0)$ . Then the free Hamiltonian under consideration is defined by

$$H_0(t) = H_{0,L} - q\mathbf{E}(t) \cdot \mathbf{x}, \quad H_{0,L} = (p - qA(x))^2/(2m), \quad (1.1)$$

where  $m > 0$ ,  $q \in \mathbf{R} \setminus \{0\}$ ,  $\mathbf{x} = (x_1, x_2)$  and  $p = (p_1, p_2) = (-i\partial_1, -i\partial_2)$  are the mass, the charge, the position, and the usual momentum of the charged particle, respectively, and

$$A(x) = (-Bx_2/2, Bx_1/2)$$

is the vector potential in the symmetric gauge. Here we put  $\mathbf{E}(t) = (E_1(t), E_2(t))$ .  $H_{0,L}$  is called the free Landau Hamiltonian. It is well known that

$$\sigma(H_{0,L}) = \sigma_{\text{pp}}(H_{0,L}) = \{|\omega|(n + 1/2) \mid n \in \mathbf{N} \cup \{0\}\}$$

holds, where  $\omega = qB/m$ .  $|\omega|$  is called the Larmor frequency. Each eigenvalue of  $H_{0,L}$ , which is called a Landau level, is of infinite multiplicity (see e.g. Avron-Herbst-Simon [5]). In fact, this can be seen as follows: First of all, we introduce the momentum  $D$  and the pseudomomentum  $k$  of the charged particle in the presence of  $\mathbf{B}$  as

$$D = p - qA(x), \quad k = p + qA(x).$$

Writing  $D$  and  $k$  as  $(D_1, D_2)$  and  $(k_1, k_2)$ , respectively, we have

$$(D_1, D_2) = (p_1 + qBx_2/2, p_2 - qBx_1/2), \quad (k_1, k_2) = (p_1 - qBx_2/2, p_2 + qBx_1/2).$$

One of the basic properties of  $D$  and  $k$  is that

$$i[D_1, D_2] = -qB, \quad i[k_1, k_2] = qB, \quad i[D_j, k_l] = 0 \quad (j, l \in \{1, 2\}). \quad (1.2)$$

Putting

$$\tilde{U} = e^{iqBx_1x_2/2} e^{ip_1p_2/(qB)},$$

we have

$$\begin{aligned} \tilde{U}^* D_1 \tilde{U} &= qBx_2, & \tilde{U}^* D_2 \tilde{U} &= p_2, \\ \tilde{U}^* k_1 \tilde{U} &= p_1, & \tilde{U}^* k_2 \tilde{U} &= qBx_1 \end{aligned}$$

(see e.g. Skibsted [22]). In particular, we have

$$\tilde{U}^* H_{0,L} \tilde{U} = \text{Id} \otimes \{p_2^2/(2m) + m\omega^2 x_2^2/2\}$$

on  $\tilde{U}^* L^2(\mathbf{R}^2) = L^2(\mathbf{R}_{x_1}) \otimes L^2(\mathbf{R}_{x_2})$ , which implies the infinite multiplicity of each Landau level. In order to deal with the one dimensional harmonic oscillator  $p_2^2/(2m) + m\omega^2 x_2^2/2$ , we introduce the annihilation operator  $\tilde{a}$  and the creation operator  $\tilde{a}^*$  as

$$\tilde{a} = (|q|Bx_2 + ip_2)/\sqrt{2|q|B}, \quad \tilde{a}^* = (|q|Bx_2 - ip_2)/\sqrt{2|q|B}.$$

Then we have

$$p_2^2/(2m) + m\omega^2 x_2^2/2 = |\omega|(\tilde{a}^* \tilde{a} + 1/2).$$

We also put

$$\tilde{b} = (|q|Bx_1 + ip_1)/\sqrt{2|q|B}, \quad \tilde{b}^* = (|q|Bx_1 - ip_1)/\sqrt{2|q|B},$$

and introduce  $a, a^*, b$  and  $b^*$  as

$$\begin{aligned} a &= \tilde{U} \tilde{a} \tilde{U}^* = (qD_1/|q| + iD_2)/\sqrt{2|q|B}, & a^* &= \tilde{U} \tilde{a}^* \tilde{U}^* = (qD_1/|q| - iD_2)/\sqrt{2|q|B}, \\ b &= \tilde{U} \tilde{b} \tilde{U}^* = (ik_1 + qk_2/|q|)/\sqrt{2|q|B}, & b^* &= \tilde{U} \tilde{b}^* \tilde{U}^* = (-ik_1 + qk_2/|q|)/\sqrt{2|q|B}. \end{aligned}$$

Then we obtain an complete orthonormal system  $\{(b^*)^l (a^*)^n \phi_0 / \sqrt{l!n!}\}_{(l,n) \in (\mathbf{N} \cup \{0\})^2}$  of  $L^2(\mathbf{R}^2)$ , which consists of eigenfunctions of  $H_{0,L}$ , where  $\phi_0(x) = \sqrt{|q|B/(2\pi)} e^{-|q|Bx^2/4}$ . In fact,  $(b^*)^l (a^*)^n \phi_0 / \sqrt{l!n!}$  is an eigenfunction of  $H_{0,L}$  belonging to the Landau level  $|\omega|(n + 1/2)$ .

We see that  $H_0(t)$  is essentially self-adjoint on  $C_0^\infty(\mathbf{R}^2)$  for any  $t \in \mathbf{R}$ , by virtue of Kato's inequality associated with  $H_{0,L}$  and Nelson's commutator theorem (see e.g. Reed-Simon [19] and Gérard-Laba [15]). Its closure is also denoted by  $H_0(t)$ . Then  $H_0(t)$  can be written as

$$\begin{aligned} H_0(t) &= D^2/(2m) - q(-qB^2/2)^{-1} E(t) \cdot A(k - D) \\ &= D^2/(2m) - \alpha(t) \cdot D + \alpha(t) \cdot k \\ &= (D - m\alpha(t))^2/(2m) + \alpha(t) \cdot k - m\alpha(t)^2/2 \end{aligned} \tag{1.3}$$

where

$$\alpha(t) = (\alpha_1(t), \alpha_2(t)) = (E_2(t)/B, -E_1(t)/B) = -2A(E(t))/B^2$$

is the instantaneous drift velocity of the charged particle. Here we used

$$k - D = 2qA(x), \quad A(A(x)) = -(B/2)^2 x, \quad y \cdot A(x) = -A(y) \cdot x.$$

We note that

$$(\alpha(t), 0) = \mathbf{E}(t) \times \mathbf{B}/B^2,$$

and that  $\alpha(t)$  is independent of the charge  $q \in \mathbf{R} \setminus \{0\}$ . We also see that when  $\alpha(t) \neq 0$ ,  $\sigma(H_0(t))$  is purely absolutely continuous and

$$\sigma(H_0(t)) = \mathbf{R},$$

by virtue of (1.3).

When  $E(t) \equiv (E_1, E_2)$ , that is,  $E(t)$  is independent of  $t$ , Skibsted [22] essentially obtained the following factorization of the unitary propagator  $U_0(t, s)$  generated by  $H_0(t)$ :

$$U_0(t, 0) = U_1(t)e^{-itH_{0,L}}U_1(0)^*, \quad U_1(t) = e^{itm\alpha^2/2}e^{-it\alpha \cdot p}e^{i(tqA(\alpha)+m\alpha) \cdot x}, \quad (1.4)$$

where

$$\alpha = (\alpha_1, \alpha_2) = (E_2/B, -E_1/B) = -2A(E)/B^2$$

is the drift velocity of the charged particle, where  $E = (E_1, E_2)$ . Since  $H_0(t)$  is independent of  $t$  in this case,  $U_0(t, s)$  can be represented as  $e^{-i(t-s)H_0}$  by writing this time-independent Hamiltonian  $H_0(t)$  as  $H_0 = H_{0,L} - qE \cdot x$ .  $U_1(t)$  is a version of the Galilei transform which reflects the effect of the magnetic field  $\mathbf{B}$ . We note that  $U_1(0) = e^{im\alpha \cdot x} \neq \text{Id}$  because of  $\alpha \neq 0$ .

After that, for a general time-dependent electric field  $E(t)$ , Chee [6] proposed the following factorization of  $U_0(t, s)$ :

$$\begin{aligned} U_0(t, 0) &= M(R(t))e^{-itH_{0,L}}J(u(t))^*, \\ M(R(t)) &= e^{i \int_0^t R(s) \cdot qA(\dot{R}(s)) ds} e^{-iR(t) \cdot qA(x)} e^{-iR(t) \cdot p}, \\ J(u(t)) &= e^{i \int_0^t u(s) \cdot qA(\dot{u}(s)) ds} e^{iu(t) \cdot qA(x)} e^{-iu(t) \cdot p}, \end{aligned} \quad (1.5)$$

where  $R(t) = (R_1(t), R_2(t))$  and  $u(t) = (u_1(t), u_2(t))$  are given by

$$R(t) = \int_0^t \alpha(s) ds, \quad \begin{pmatrix} u_1(t) \\ u_2(t) \end{pmatrix} = \int_0^t \begin{pmatrix} \cos \omega s & -\sin \omega s \\ \sin \omega s & \cos \omega s \end{pmatrix} \begin{pmatrix} \alpha_1(s) \\ \alpha_2(s) \end{pmatrix} ds, \quad (1.6)$$

with  $\dot{R}(t) = dR(t)/dt$  and  $\dot{u}(t) = du(t)/dt$ . Here we note that  $\dot{R}(t) = \alpha(t)$ , and that one has  $R(t) = t\alpha$  when  $E(t) \equiv E$ . What we emphasize here is that  $e^{-i \int_0^t R(s) \cdot qA(\dot{R}(s)) ds} M(R(t))$  and  $e^{-i \int_0^t u(s) \cdot qA(\dot{u}(s)) ds} J(u(t))$  are just the magnetic translations  $T(R(t))$  and  $S(u(t))$  generated by  $k$  and  $D$ , respectively, where

$$T(y) = e^{-iy \cdot qA(x)} e^{-iy \cdot p} = e^{-iy \cdot k}, \quad S(y) = e^{iy \cdot qA(x)} e^{-iy \cdot p} = e^{-iy \cdot D}$$

for  $y \in \mathbf{R}^2$  (see e.g. [5] and [15]). For reference, we state one of the features which distinguish between the Galilei transform  $U_1(t)$  and the magnetic translation  $T(t\alpha)$ , where  $\alpha$  is the drift velocity:

$$\begin{aligned} U_1(t)^* x U_1(t) &= x + t\alpha, & U_1(t)^* D U_1(t) &= D + m\alpha, \\ T(t\alpha)^* x T(t\alpha) &= x + t\alpha, & T(t\alpha)^* D T(t\alpha) &= D. \end{aligned}$$

On the other hand, in the absence of the magnetic field  $\mathbf{B}$ , it is well known that the following factorization of  $U_0(t, s)$ , which is called the Avron-Herbst formula, holds (see e.g. Cycon-Froese-Kirsch-Simon [7]):

$$U_0(t, 0) = e^{-ia^0(t)} e^{ib^0(t) \cdot x} e^{-ic^0(t) \cdot p} e^{-itK_0}, \quad (1.7)$$

where  $K_0 = p^2/(2m)$ , and

$$b^0(t) = \int_0^t qE(s) ds, \quad c^0(t) = \int_0^t b^0(s)/m ds, \quad a^0(t) = \int_0^t b^0(s)^2/(2m) ds. \quad (1.8)$$

Inspired by these two formulas (1.5) and (1.7), we have derived an Avron-Herbst type formula for  $U_0(t, s)$ :

**Theorem 1.1** (Adachi-Kawamoto [1]). *The following Avron-Herbst type formula for  $U_0(t, 0)$*

$$U_0(t, 0) = e^{-ia(t)} e^{ib(t) \cdot x} T(c(t)) e^{-itH_{0,L}}, \quad T(c(t)) = e^{-ic(t) \cdot qA(x)} e^{-ic(t) \cdot p} \quad (1.9)$$

holds, where  $b(t) = (b_1(t), b_2(t))$ ,  $c(t) = (c_1(t), c_2(t))$  and  $a(t)$  are given by

$$\begin{aligned} \begin{pmatrix} b_1(t) \\ b_2(t) \end{pmatrix} &= \int_0^t \begin{pmatrix} \cos \omega(t-s) & \sin \omega(t-s) \\ -\sin \omega(t-s) & \cos \omega(t-s) \end{pmatrix} \begin{pmatrix} qE_1(s) \\ qE_2(s) \end{pmatrix} ds, \\ c(t) &= \int_0^t b(s)/m ds, \quad a(t) = \int_0^t \{b(s)^2/(2m) + b(s) \cdot qA(c(s))/m\} ds. \end{aligned} \quad (1.10)$$

Here we note that by taking  $B$  as 0 formally in (1.9) and (1.10), one can obtain the Avron-Herbst formula (1.7) in the absence of the magnetic field  $\mathbf{B}$  because  $\omega = 0$  and  $A(x) \equiv 0$ . Hence we have obtained a natural extension of the Avron-Herbst formula to the case of the presence of the magnetic field  $\mathbf{B}$ , by virtue of the magnetic translation  $T(c(t))$ .

From now on, we will discuss a scattering problem for the free Hamiltonian  $H_0(t)$  and the perturbed Hamiltonian  $H(t) = H_0(t) + V(x)$ , where the time-independent potential  $V(x)$  satisfies that  $|V(x)| \rightarrow 0$  as  $|x| \rightarrow \infty$ .

Now we explain an advantage of the Avron-Herbst type formula (1.9) from the point of view of the scattering theory: Put

$$E_{\nu, \theta}(t) = E_0(\cos(\nu t + \theta), \sin(\nu t + \theta))$$

for  $E_0 > 0$ ,  $\nu \in \mathbf{R}$  and  $\theta \in [0, 2\pi)$ . We note that  $|E_{\nu,\theta}(t)| \equiv E_0$ . Now we consider the case where  $E(t) = E_{\nu,\theta}(t)$ . By a straightforward calculation, we have

$$R(t) = \begin{cases} -E_0((\delta \cos)(\nu t), (\delta \sin)(\nu t))/(\nu B), & \nu \neq 0, \\ E_0(t \sin \theta, -t \cos \theta)/B, & \nu = 0, \end{cases}$$

$$u(t) = \begin{cases} -E_0((\delta \cos)(\tilde{\nu} t), (\delta \sin)(\tilde{\nu} t))/(\tilde{\nu} B), & \tilde{\nu} \neq 0, \\ E_0(t \sin \theta, -t \cos \theta)/B, & \tilde{\nu} = 0, \end{cases}$$

where we put  $\tilde{\nu} = \nu + \omega$ ,  $(\delta \cos)(s) = \cos(s + \theta) - \cos \theta$  and  $(\delta \sin)(s) = \sin(s + \theta) - \sin \theta$  for the sake of brevity. Hence we see that  $R(t)$  is growing of order  $|t|$  when  $\nu = 0$  because of  $|R(t)| = E_0|t|/B$  although  $R(t)$  is bounded in  $t$  when  $\nu \neq 0$ , and that  $u(t)$  is growing of order  $|t|$  when  $\tilde{\nu} = 0$  because of  $|u(t)| = E_0|t|/B$  although  $u(t)$  is bounded in  $t$  when  $\tilde{\nu} \neq 0$ . In consequence of (1.5) and the growth of  $R(t)$  or  $u(t)$ , the possibility of the existence of scattering states for the system under consideration in the case where  $\nu\tilde{\nu} = 0$  is suggested: In fact, it follows from

$$\begin{pmatrix} e^{-itH_{0,L}} D_1 e^{itH_{0,L}} \\ e^{-itH_{0,L}} D_2 e^{itH_{0,L}} \end{pmatrix} = \begin{pmatrix} \cos \omega t & -\sin \omega t \\ \sin \omega t & \cos \omega t \end{pmatrix} \begin{pmatrix} D_1 \\ D_2 \end{pmatrix},$$

which can be obtained by (1.2), that

$$e^{-itH_{0,L}} S(u(t))^* = e^{-itH_{0,L}} e^{iu(t) \cdot D} = e^{i\tilde{u}(t) \cdot D} e^{-itH_{0,L}} = S(\tilde{u}(t))^* e^{-itH_{0,L}}$$

holds, where  $\tilde{u}(t) = (\tilde{u}_1(t), \tilde{u}_2(t))$  with

$$\begin{pmatrix} \tilde{u}_1(t) \\ \tilde{u}_2(t) \end{pmatrix} = \begin{pmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{pmatrix} \begin{pmatrix} u_1(t) \\ u_2(t) \end{pmatrix}$$

$$= \int_0^t \begin{pmatrix} \cos \omega(t-s) & \sin \omega(t-s) \\ -\sin \omega(t-s) & \cos \omega(t-s) \end{pmatrix} \begin{pmatrix} \alpha_1(s) \\ \alpha_2(s) \end{pmatrix} ds.$$

Hence we obtain

$$U_0(t, 0) = e^{i \int_0^t R(s) \cdot qA(\dot{R}(s)) ds} e^{-i \int_0^t u(s) \cdot qA(\dot{u}(s)) ds} T(R(t)) S(\tilde{u}(t))^* e^{-itH_{0,L}} \quad (1.11)$$

from (1.5) by a straightforward calculation. Let  $\phi$  be an eigenfunction of  $H_{0,L}$  belonging to some Landau level  $\lambda$ . Here we note that

$$\|F(|x| \leq Ct) U_0(t, 0) \phi\|_{L^2(\mathbf{R}^2)} = \|F(|x + R(t) - \tilde{u}(t)| \leq Ct) \phi\|_{L^2(\mathbf{R}^2)}$$

for  $t > 0$ , and  $|\tilde{u}(t)| = |u(t)|$ , where  $F(|x| \leq Ct)$  stands for the characteristic function of the set  $\{x \in \mathbf{R}^2 \mid |x| \leq Ct\}$ . In the case where  $\nu\tilde{\nu} = 0$ ,  $|R(t) - \tilde{u}(t)| \geq 3E_0t/(4B)$  holds for sufficiently large  $t > 0$ . Then, by taking  $C$  as  $E_0/(2B)$ , we obtain

$$\|F(|x| \leq E_0t/(2B)) U_0(t, 0) \phi\|_{L^2(\mathbf{R}^2)} \leq \|F(|x| \geq E_0t/(4B)) \phi\|_{L^2(\mathbf{R}^2)} \rightarrow 0$$

as  $t \rightarrow \infty$ , by virtue of the triangle inequality. This suggests the possibility of the existence of scattering states in the case where  $\nu\tilde{\nu} = 0$ . As is well known, the case where  $\tilde{\nu} = 0$ , that is,  $\nu = -\omega$ , is closely related with the phenomenon of the cyclotron resonance. The formula (1.11) can be also obtained by the idea of Enss-Veselić [12]: We first introduce

$$\hat{H}_0(t) = \hat{H}_{0,\omega} - \hat{f}(t)z + \hat{g}(t)p_z, \quad \hat{H}_{0,\omega} = p_z^2/(2m) + m\omega^2 z^2/2$$

acting on  $L^2(\mathbf{R}_z)$ , where  $z \in \mathbf{R}$  and  $p_z = -id/dz$ . Then one can obtain a factorization of the propagator  $\hat{U}_0(t, s)$  generated by  $\hat{H}_0(t)$ :

$$\hat{U}_0(t, 0) = e^{-i\hat{a}(t)} e^{i\hat{b}(t)z} e^{-i\hat{c}(t)p_z} e^{-it\hat{H}_{0,\omega}}.$$

In fact, the differential equations which  $\hat{a}(t)$ ,  $\hat{b}(t)$  and  $\hat{c}(t)$  should obey are as follows:

$$\begin{cases} \begin{pmatrix} \dot{\hat{b}}(t) \\ \dot{\hat{c}}(t) \end{pmatrix} = \begin{pmatrix} 0 & -m\omega^2 \\ 1/m & 0 \end{pmatrix} \begin{pmatrix} \hat{b}(t) \\ \hat{c}(t) \end{pmatrix} + \begin{pmatrix} \hat{f}(t) \\ \hat{g}(t) \end{pmatrix}, \\ \dot{\hat{a}}(t) = \hat{b}(t)\hat{c}(t) - \hat{b}(t)^2/(2m) - m\omega^2\hat{c}(t)^2/2 \end{cases}$$

with  $\hat{a}(0) = \hat{b}(0) = \hat{c}(0) = 0$ . Then one can obtain

$$\begin{pmatrix} \hat{b}(t) \\ \hat{c}(t) \end{pmatrix} = \int_0^t \begin{pmatrix} \cos \hat{\omega}(t-s) & -m\hat{\omega} \sin \hat{\omega}(t-s) \\ \sin \hat{\omega}(t-s)/(m\hat{\omega}) & \cos \hat{\omega}(t-s) \end{pmatrix} \begin{pmatrix} \hat{f}(s) \\ \hat{g}(s) \end{pmatrix} ds \quad (1.12)$$

by a straightforward calculation. Here we note that  $H_0(t) = H_{0,L} - \alpha(t) \cdot D + \alpha(t) \cdot k$  holds (see (1.3)). Using  $\tilde{U}^* H_0(t) \tilde{U} = \hat{H}_{0,\omega} - \alpha(t) \cdot \tilde{D} + \alpha(t) \cdot \tilde{k}$  with  $z = x_2$ ,  $\tilde{D} = (qBx_2, p_2)$  and  $\tilde{k} = (p_1, qBx_1)$ , we obtain

$$\tilde{U}^* U_0(t, 0) \tilde{U} = \tilde{T}(t, 0) e^{-i\hat{a}(t)} e^{i\hat{b}(t)x_2} e^{-i\hat{c}(t)p_2} e^{-it\hat{H}_{0,\omega}}$$

with  $\hat{f}(t) = qB\alpha_1(t) = qE_2(t)$ ,  $\hat{g}(t) = -\alpha_2(t) = E_1(t)/B$  and  $R(t) = \int_0^t \alpha(s) ds$ , where  $\tilde{T}(t, s)$  is the propagator generated by  $\alpha(t) \cdot \tilde{k} = \alpha_1(t)p_1 + qB\alpha_2(t)x_1$ . In the same way as above, we obtain the following representation of  $\tilde{T}(t, 0)$ :

$$\begin{aligned} \tilde{T}(t, 0) &= e^{-i\hat{a}(t)} e^{-i\hat{b}(t)x_1} e^{-i\hat{c}(t)p_1}, \\ \hat{b}(t) &= qBR_2(t), \quad \hat{c}(t) = R_1(t), \quad \hat{a}(t) = -\int_0^t qBR_2(s)\alpha_1(s) ds. \end{aligned}$$

Noting that  $qB = m\omega$  and using the Baker-Campbell-Hausdorff formula, we have

$$\begin{aligned} U_0(t, 0) &= e^{-i\hat{a}(t)} e^{-i\hat{b}(t)k_2/(qB)} e^{-i\hat{c}(t)k_1} e^{-i\hat{a}(t)} e^{i\hat{b}(t)D_1/(qB)} e^{-i\hat{c}(t)D_2} e^{-itH_{0,L}} \\ &= e^{-i(\hat{a}(t)+\hat{b}(t)\hat{c}(t)/2)} e^{-i(\hat{a}(t)-\hat{b}(t)\hat{c}(t)/2)} T(R(t)) S(\tilde{u}(t)) e^{-itH_{0,L}} \end{aligned}$$

with

$$\begin{pmatrix} \tilde{u}_1(t) \\ \tilde{u}_2(t) \end{pmatrix} = \begin{pmatrix} \hat{b}(t)/(qB) \\ -\hat{c}(t) \end{pmatrix} = \int_0^t \begin{pmatrix} \cos \omega(t-s) & \sin \omega(t-s) \\ -\sin \omega(t-s) & \cos \omega(t-s) \end{pmatrix} \begin{pmatrix} \alpha_1(s) \\ \alpha_2(s) \end{pmatrix} ds.$$

By a straightforward calculation, we also have

$$-\frac{d}{dt}(\check{a}(t) + \check{b}(t)\check{c}(t)/2) = R(t) \cdot qA(\dot{R}(t)), \quad \frac{d}{dt}(\hat{a}(t) - \hat{b}(t)\hat{c}(t)/2) = u(t) \cdot qA(\dot{u}(t)),$$

which yields (1.11).

Now we will make a similar calculation on  $c(t)$ . In fact, we have

$$b_1(t) = \begin{cases} qE_0\{\sin(\nu t + \theta) - \sin(-\omega t + \theta)\}/\tilde{\nu}, & \tilde{\nu} \neq 0, \\ qE_0 t \cos(-\omega t + \theta), & \tilde{\nu} = 0, \end{cases}$$

$$b_2(t) = \begin{cases} -qE_0\{\cos(\nu t + \theta) - \cos(-\omega t + \theta)\}/\tilde{\nu}, & \tilde{\nu} \neq 0, \\ qE_0 t \sin(-\omega t + \theta), & \tilde{\nu} = 0, \end{cases}$$

as for  $b(t)$ . Here we used  $\tilde{\nu} - \omega = \nu$ . Hence we have

$$c_1(t) = \begin{cases} -(\omega/\tilde{\nu})E_0\{(\delta \cos)(\nu t)/\nu + (\delta \cos)(-\omega t)/\omega\}/B, & \nu\tilde{\nu} \neq 0, \\ E_0\{t \sin \theta - (\delta \cos)(-\omega t)/\omega\}/B, & \nu = 0, \\ E_0\{-t \sin(-\omega t + \theta) + (\delta \cos)(-\omega t)/\omega\}/B, & \tilde{\nu} = 0, \end{cases}$$

$$c_2(t) = \begin{cases} -(\omega/\tilde{\nu})E_0\{(\delta \sin)(\nu t)/\nu + (\delta \sin)(-\omega t)/\omega\}/B, & \nu\tilde{\nu} \neq 0, \\ E_0\{-t \cos \theta - (\delta \sin)(-\omega t)/\omega\}/B, & \nu = 0, \\ E_0\{t \cos(-\omega t + \theta) + (\delta \sin)(-\omega t)/\omega\}/B, & \tilde{\nu} = 0, \end{cases}$$

where we used  $\omega = qB/m$ . Hence we see that  $c(t)$  is growing of order  $|t|$  when  $\nu\tilde{\nu} = 0$ , although  $c(t)$  is bounded in  $t$  when  $\nu\tilde{\nu} \neq 0$ . We note that when  $\nu = 0$ ,

$$c(t) - E_0(-(\delta \cos)(-\omega t), -(\delta \sin)(-\omega t))/(\omega B) = t\alpha \quad (1.13)$$

holds by  $(E_1, E_2) = E_0(\cos \theta, \sin \theta)$ , and that when  $\tilde{\nu} = 0$ , that is,  $\nu = -\omega$ ,

$$c(t) - E_0((\delta \cos)(-\omega t), (\delta \sin)(-\omega t))/(\omega B) = -t\alpha(t) \quad (1.14)$$

holds. In consequence of (1.9), the possibility of the existence of scattering states for the system under consideration in the case where  $\nu\tilde{\nu} = 0$  is suggested by the growth of  $c(t)$  only: In fact,

$$\|F(|x| \leq Ct)U_0(t, 0)\phi\|_{L^2(\mathbf{R}^2)} = \|F(|x + c(t)| \leq Ct)\phi\|_{L^2(\mathbf{R}^2)}$$

holds for some eigenfunction  $\phi$  of  $H_{0,L}$ , and in the case where  $\nu\tilde{\nu} = 0$ ,  $|c(t)| \geq 3E_0t/(4B)$  holds for sufficiently large  $t > 0$ . Thus, by the same argument as above, we see that  $\|F(|x| \leq E_0t/(2B))U_0(t, 0)\phi\|_{L^2(\mathbf{R}^2)} \rightarrow 0$  as  $t \rightarrow \infty$  in the case where  $\nu\tilde{\nu} = 0$ . Here we note that

$$\begin{pmatrix} c_1(t) \\ c_2(t) \end{pmatrix} = \begin{pmatrix} R_1(t) \\ R_2(t) \end{pmatrix} - \begin{pmatrix} \tilde{u}_1(t) \\ \tilde{u}_2(t) \end{pmatrix} = \int_0^t \begin{pmatrix} 1 - \cos \omega(t-s) & -\sin \omega(t-s) \\ \sin \omega(t-s) & 1 - \cos \omega(t-s) \end{pmatrix} \begin{pmatrix} \alpha_1(s) \\ \alpha_2(s) \end{pmatrix} ds \quad (1.15)$$

can be verified by a straightforward calculation. Moreover, it follows from (1.9) that

$$U_0(t, s) = \mathcal{F}(t)e^{-i(t-s)H_{0,L}}\mathcal{F}(s)^*, \quad \mathcal{F}(t) = e^{-ia(t)}e^{ib(t)\cdot x}T(c(t)), \quad (1.16)$$

holds, although such a formula cannot be obtained from (1.5) easily. We note that  $\mathcal{F}(0) = \text{Id}$  by definition. These show an advantage of the Avron-Herbst type formula (1.9).

The existence of scattering states is equivalent to the existence of (modified) wave operators, as is well known. In this article, we consider the case where  $E(t) = E_{\nu,\theta}(t)$  with  $\nu \in \{0, -\omega\}$  and  $\theta \in [0, 2\pi)$  only, give a short-range condition on the potential  $V$ , which implies the existence of usual wave operators, and propose a rather simple modifier by which the modified wave operators can be defined for some long-range potentials. Now we pose the following assumption (V1) on  $V$ :

(V1)  $V$  is written as the sum of real-valued functions  $V^{\text{sing}}$ ,  $V^{\text{s}}$  and  $V^1$ , and that  $V^{\text{sing}}$ ,  $V^{\text{s}}$  and  $V^1$  satisfy the following conditions:  $V^{\text{sing}}$  is compactly supported, belongs to  $L^p(\mathbf{R}^2)$  with  $2 \leq p < \infty$ , and satisfies  $|\nabla V^{\text{sing}}| \in L^{2p/(p+1)}(\mathbf{R}^2)$ .  $V^{\text{s}}$  belongs to  $C^1(\mathbf{R}^2)$ , and satisfies

$$|V^{\text{s}}(x)| \leq C_0 \langle x \rangle^{-\rho_{\text{s},0}}, \quad |(\nabla V^{\text{s}})(x)| \leq C_1 \langle x \rangle^{-\rho_{\text{s},1}} \quad (1.17)$$

for some  $\rho_{\text{s},0} > 1$  and  $\rho_{\text{s},1} > 0$ , where  $C_0$  and  $C_1$  are non-negative constants.  $V^1$  belongs to  $C^1(\mathbf{R}^2)$ , and satisfies

$$|V^1(x)| \leq \tilde{C}_0 \langle x \rangle^{-\rho_1}, \quad |(\nabla V^1)(x)| \leq \tilde{C}_1 \langle x \rangle^{-1-\rho_1} \quad (1.18)$$

for some  $0 < \rho_1 \leq 1$ , where  $\tilde{C}_0$  and  $\tilde{C}_1$  are non-negative constants.

Under this assumption (V1), we see that the propagator  $U(t, s)$  generated by

$$H(t) = H_0(t) + V \quad (1.19)$$

exists uniquely, by virtue of the results of Yajima [23] and  $\mathcal{F}(t)$  in (1.16). If the local singularity of  $V^{\text{sing}}$  is like  $|x|^{-\gamma}$ , and that of  $|\nabla V^{\text{sing}}|$  is like  $|x|^{-1-\gamma}$ , then  $\gamma$  should satisfy  $0 < \gamma < 1/2$ .

Then we obtain the following result about the existence of (modified) wave operators:

**Theorem 1.2** (Adachi-Kawamoto [1]). *Suppose that (V1) is satisfied, and that  $E(t) = E_{\nu,\theta}(t)$  with  $\nu \in \{0, -\omega\}$  and  $\theta \in [0, 2\pi)$ . If  $V^1 = 0$ , then the wave operators*

$$W^\pm = \text{s-lim}_{t \rightarrow \pm\infty} U(t, 0)^* U_0(t, 0) \quad (1.20)$$

*exist. If  $V^1 \neq 0$ , then the modified wave operators*

$$W_G^\pm = \text{s-lim}_{t \rightarrow \pm\infty} U(t, 0)^* U_0(t, 0) e^{-i \int_0^t V^1(c(s)) ds} \quad (1.21)$$

*exist.*

Next we will consider the problem of the asymptotic completeness of wave operators when  $\nu = 0$ , that is,  $E(t)$  is independent of  $t$ . Since the Hamiltonians under consideration are independent of  $t$  when  $\nu = 0$ , we write  $H_0(t)$  and  $H(t)$  as  $H_0$  and  $H$ , respectively. Then  $U_0(t, s)$  and  $U(t, s)$  are represented as  $e^{-i(t-s)H_0}$  and  $e^{-i(t-s)H}$ , respectively. We need the following assumption (V2) on  $V$ , which is stronger than (V1) in terms of the regularity of  $V$ :

(V2)  $V$  is written as the sum of real-valued functions  $V^s$  and  $V^1$ , and that  $V^s$  and  $V^1$  satisfy the following conditions:  $V^s$  belongs to  $C^2(\mathbf{R}^2)$ , and satisfies  $|\partial^\alpha V^s(x)| \leq C_2$  with  $|\alpha| = 2$  in addition to (1.17), where  $C_2$  is a non-negative constant.  $V^1$  belongs to  $C^2(\mathbf{R}^2)$ , and satisfies  $|\partial^\alpha V^1(x)| \leq \tilde{C}_2$  with  $|\alpha| = 2$  in addition to (1.18), where  $\tilde{C}_2$  is a non-negative constant.

The result of the asymptotic completeness obtained in this article is as follows:

**Theorem 1.3** (Adachi-Kawamoto [1]). *Suppose that (V2) is satisfied, and that  $E(t)$  is written as  $E_{0,\theta}(t) \equiv E_0(\cos \theta, \sin \theta)$  with  $\theta \in [0, 2\pi)$ . Assume further the short-range condition  $V^1 = 0$ . Then  $W^\pm$  are asymptotically complete, that is,*

$$\text{Ran } W^\pm = L_c^2(H), \quad (1.22)$$

where  $L_c^2(H)$  is the continuous spectral subspace of the Hamiltonian  $H$ .

Unfortunately the long-range case cannot be dealt with by our analysis. The propagation estimates obtained in this article (see e.g. Proposition 4.4) are not sufficient for the study of the long-range case.

In considering the case where  $\nu = -\omega$ , the rotating frame is useful: For  $x = (x_1, x_2) \in \mathbf{R}^2$ , we define  $\hat{R}(\omega t)^{-1}x = ((\hat{R}(\omega t)^{-1}x)_1, (\hat{R}(\omega t)^{-1}x)_2)$  by

$$\begin{pmatrix} (\hat{R}(\omega t)^{-1}x)_1 \\ (\hat{R}(\omega t)^{-1}x)_2 \end{pmatrix} = \begin{pmatrix} \cos \omega t & -\sin \omega t \\ \sin \omega t & \cos \omega t \end{pmatrix}^{-1} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix},$$

and put  $L = x_1 p_2 - x_2 p_1$ , which is called the angular momentum. Then  $e^{-i\omega t L}$  can be represented as

$$(e^{-i\omega t L} \phi)(x) = \phi(\hat{R}(\omega t)^{-1}x)$$

(see e.g. Enss-Kostrykin-Schrader [11]). Let  $\Psi(t, x)$  be a solution of the Schrödinger equation

$$i\partial_t\Psi(t) = H(t)\Psi(t), \quad H(t) = H_{0,L} - qE_{-\omega,\theta}(t) \cdot x + V(x).$$

For such a  $\Psi(t, x)$ , put

$$\Phi(t, x) = (e^{-i\omega t L}\Psi(t))(x) = \Psi(t, \hat{R}(\omega t)^{-1}x).$$

Then one can see that  $\Phi(t, x)$  satisfies the Schrödinger equation

$$i\partial_t\Phi(t) = \hat{H}(t)\Phi(t), \quad \hat{H}(t) = \omega L + e^{-i\omega t L}H(t)e^{i\omega t L}.$$

By a straightforward calculation, we have

$$\begin{aligned} \hat{H}(t) &= \omega L + H_{0,L} - qE_{-\omega,\theta}(t) \cdot (\hat{R}(\omega t)^{-1}x) + V(\hat{R}(\omega t)^{-1}x) \\ &= (p + qA(x))^2/(2m) - qE_{0,\theta}(t) \cdot x + V(\hat{R}(\omega t)^{-1}x) \\ &= (p + qA(x))^2/(2m) - qE_0(\cos\theta, \sin\theta) \cdot x + V(\hat{R}(\omega t)^{-1}x) \\ &= \hat{H}_0 + V(\hat{R}(\omega t)^{-1}x). \end{aligned}$$

Here we used

$$H_{0,L} = p^2/(2m) + m\omega^2 x^2/8 - \omega L/2.$$

Hence we see that the problem under consideration can be reduced to the one in the case where  $\nu = 0$ , the magnetic field is given by  $-\mathbf{B}$ , and the potential is given as the rotating potential  $V(\hat{R}(\omega t)^{-1}x)$ , which is periodic in time. In particular, in the case where the regular short-range potential  $V$  is radial, that is,  $V$  depends on  $|x|$  only, the asymptotic completeness can be guaranteed by virtue of Theorem 1.3, because  $V(\hat{R}(\omega t)^{-1}x) \equiv V(x)$ .

In the same way as above, the scattering problems for the Hamiltonian perturbed by the rotating potential  $V(\hat{R}(\omega t)x)$

$$\tilde{H}(t) = H_{0,L} - qE_{-\omega,\theta}(t) \cdot x + V(\hat{R}(\omega t)x)$$

can be reduced to the ones for the time-independent Hamiltonian

$$\hat{H} = \hat{H}_0 + V(x).$$

Then the asymptotic completeness can be guaranteed by virtue of Theorem 1.3, even if the regular short-range potential  $V$  is not radial.

## 2 Avron-Herbst type formula

We first give the differential equations which  $a(t)$ ,  $b(t)$  and  $c(t)$  in (1.9) should satisfy with the initial conditions  $a(0) = 0$  and  $b(0) = c(0) = 0$ , by formal observation: Suppose that (1.9) holds. By differentiating (1.9) in  $t$  formally, one can obtain

$$i\dot{U}_0(t, 0) = e^{-ia(t)}e^{ib(t)\cdot x}T(c(t))H_{0,L}e^{-itH_{0,L}}$$

$$\begin{aligned}
& + e^{-ia(t)} e^{ib(t) \cdot x} e^{-ic(t) \cdot qA(x)} (\dot{c}(t) \cdot p) e^{-ic(t) \cdot p} e^{-itH_{0,L}} \\
& + (\dot{a}(t) - \dot{b}(t) \cdot x + \dot{c}(t) \cdot qA(x)) U_0(t, 0).
\end{aligned}$$

Here we note that  $H_{0,L} = D^2/(2m)$  commutes with  $T(c(t))$  since the magnetic translation  $T(c(t))$  is generated by the pseudomomentum  $k$  which commutes with  $D$  as mentioned before, and that  $e^{-ic(t) \cdot qA(x)} p e^{ic(t) \cdot qA(x)} = p - qA(c(t))$  since  $c(t) \cdot qA(x) = -qA(c(t)) \cdot x$ . Thus one has

$$\begin{aligned}
H_0(t) &= (p - b(t) - qA(x))^2/(2m) + \dot{c}(t) \cdot (p - b(t) - qA(c(t))) \\
&+ \dot{a}(t) - \dot{b}(t) \cdot x + \dot{c}(t) \cdot qA(x) \\
&= H_{0,L} + (-b(t)/m + \dot{c}(t)) \cdot (p - qA(x)) - (\dot{b}(t) + 2qA(\dot{c}(t))) \cdot x \\
&+ \dot{a}(t) - \dot{c}(t) \cdot (b(t) + qA(c(t))) + b(t)^2/(2m)
\end{aligned}$$

since  $i\dot{U}_0(t, 0) = H_0(t)U_0(t, 0)$  and  $\dot{c}(t) \cdot qA(x) = -qA(\dot{c}(t)) \cdot x$ . It follows from this that

$$\begin{aligned}
-b(t)/m + \dot{c}(t) &= 0, \quad \dot{b}(t) + 2qA(\dot{c}(t)) = qE(t), \\
\dot{a}(t) - \dot{c}(t) \cdot (b(t) + qA(c(t))) + b(t)^2/(2m) &= 0.
\end{aligned}$$

Thus one obtain the differential equations

$$\begin{cases} \dot{b}(t) + 2qA(\dot{c}(t))/m = qE(t), \\ \dot{c}(t) = b(t)/m, \\ \dot{a}(t) = b(t)^2/(2m) + b(t) \cdot qA(c(t))/m, \end{cases} \quad (2.1)$$

for  $a(t)$ ,  $b(t)$  and  $c(t)$ . The first equation of (2.1) is written as

$$\frac{d}{dt} \begin{pmatrix} b_1(t) \\ b_2(t) \end{pmatrix} + \begin{pmatrix} 0 & -\omega \\ \omega & 0 \end{pmatrix} \begin{pmatrix} b_1(t) \\ b_2(t) \end{pmatrix} = \begin{pmatrix} qE_1(t) \\ qE_2(t) \end{pmatrix} \quad (2.2)$$

with  $\omega = qB/m$ . Thus, by putting

$$\begin{pmatrix} \tilde{b}_1(t) \\ \tilde{b}_2(t) \end{pmatrix} = \begin{pmatrix} \cos \omega t & -\sin \omega t \\ \sin \omega t & \cos \omega t \end{pmatrix} \begin{pmatrix} b_1(t) \\ b_2(t) \end{pmatrix},$$

the equation (2.2) can be reduced to

$$\frac{d}{dt} \begin{pmatrix} \tilde{b}_1(t) \\ \tilde{b}_2(t) \end{pmatrix} = \begin{pmatrix} \cos \omega t & -\sin \omega t \\ \sin \omega t & \cos \omega t \end{pmatrix} \begin{pmatrix} qE_1(t) \\ qE_2(t) \end{pmatrix} \quad (2.3)$$

as is well known. Therefore the solution of (2.1) with the initial conditions  $a(0) = 0$  and  $b(0) = c(0) = 0$  is given by (1.10). This fact yields Theorem 1.1. As for the detailed proof, see [1].

**Remark 2.1.** Recently Asai [2] has used the Avron-Herbst type formula in Theorem 1.1 in the study of the existence of the wave operators in the case where  $E(t)$  is given by

$$E(t) = E_0(1 + |t|)^{-\mu}(\cos(\nu t + \theta), \sin(\nu t + \theta)) + \bar{E}(t),$$

where  $0 < \mu < 1$ ,  $\nu \in \{0, -\omega\}$ , and  $\bar{E}(t) = (\bar{E}_1(t), \bar{E}_2(t))$  satisfies

$$\left| \int_0^t \begin{pmatrix} 1 - \cos \omega(t-s) & -\sin \omega(t-s) \\ \sin \omega(t-s) & 1 - \cos \omega(t-s) \end{pmatrix} \begin{pmatrix} \bar{\alpha}_1(s) \\ \bar{\alpha}_2(s) \end{pmatrix} ds \right| \leq C_{\bar{E}} \min\{|t|, |t|^{1-\mu_1}\} \quad (2.4)$$

with some  $\mu_1$  such that  $\mu < \mu_1 \leq 1$ , where  $\bar{\alpha}(t) = (\bar{\alpha}_1(t), \bar{\alpha}_2(t)) = (\bar{E}_2(t)/B, -\bar{E}_1(t)/B)$ . Then, by virtue of (1.15), one can see that  $|c(t)|$  is growing of order  $|t|^{1-\mu}$ , which implies that the potential  $V(x)$  satisfying  $|V(x)| \leq C\langle x \rangle^{-\rho}$  with  $\rho > 1/(1-\mu)$  is of short-range. One of the typical examples of such  $\bar{E}(t)$ 's is the one satisfying  $|\bar{E}(t)| \leq C(1 + |t|)^{-\mu_2}$  with  $\mu_2 > \mu$ . However,  $\bar{E}(t) = E_{\nu, \theta}(t)$  with  $\nu \in \mathbf{R} \setminus \{0, -\omega\}$  also satisfies (2.4) with  $\mu_1 = 1$  as is seen above, which implies that the ‘‘perturbation’’ term  $\bar{E}(t)$  is not necessarily decaying faster than the ‘‘leading’’ term  $E_0(1 + |t|)^{-\mu}(\cos(\nu t + \theta), \sin(\nu t + \theta))$  of  $E(t)$ .

### 3 Existence of wave operators

In the present and next sections, we sometimes use the following convention for smooth cut-off functions  $F_\delta$  with  $0 \leq F_\delta \leq 1$  for sufficiently small  $\delta > 0$ : We define

$$\begin{aligned} F_\delta(s \leq d) &= 1 \quad \text{for } s \leq d - \delta, & = 0 \quad \text{for } s \geq d, \\ F_\delta(s \geq d) &= 1 \quad \text{for } s \geq d + \delta, & = 0 \quad \text{for } s \leq d, \end{aligned}$$

and  $F_\delta(d_1 \leq s \leq d_2) = F_\delta(s \geq d_1) F_\delta(s \leq d_2)$ .

Throughout this section, we suppose that (V1) is satisfied, and that  $E(t) = E_{\nu, \theta}(t) = E_0(\cos(\nu t + \theta), \sin(\nu t + \theta))$  with  $\nu \in \{0, -\omega\}$  and  $\theta \in [0, 2\pi)$ . Then it follows from (1.13) and (1.14) that

$$|c(t)| \geq 9E_0|t|/(10B)$$

for  $|t| \geq 20/|\omega|$ , because

$$|E_0((\delta \cos)(-\omega t), (\delta \sin)(-\omega t))/(\omega B)| = 2E_0|\sin(-\omega t/2)|/(|\omega|B) \leq 2E_0/(|\omega|B)$$

and  $|\alpha| = E_0/B$ .

The following propagation estimate for  $U_0(t, 0)$  is useful for the proof of Theorem 1.2.

**Proposition 3.1.** *Let  $\phi \in \mathcal{D}((p^2 + x^2)^N)$  with  $N \in \mathbf{N}$ ,  $\epsilon > 0$  and  $\sigma > 0$ . Then*

$$\|F_\epsilon(t^{-\sigma}|x - c(t)| \geq \epsilon)U_0(t, 0)\phi\|_{L^2(\mathbf{R}^2)} = O(t^{-2N\sigma}) \quad (3.1)$$

holds as  $t \rightarrow \infty$ .

In the proof, we have only to use

$$U_0(t, 0)^* F_\epsilon(t^{-\sigma}|x - c(t)| \geq \epsilon) U_0(t, 0) = e^{itH_{0,L}} F_\epsilon(t^{-\sigma}|x| \geq \epsilon) e^{-itH_{0,L}}$$

by virtue of the Avron-Herbst type formula (1.9). As for the detailed proof, see [1].

Now we state the outline of the proof of Theorem 1.2. We first consider the case where  $V^1 = 0$ . By density argument, one has only to prove the existence of  $W^+\phi$  for  $\phi \in \mathcal{S}(\mathbf{R}^2)$ . Let  $f \in C_0^\infty(\mathbf{R}^2)$  be such that  $0 \leq f \leq 1$ ,  $f(x) = 1$  for  $|x| \leq 1$  and  $f(x) = 0$  for  $|x| \geq 2$ , and  $\sigma$  be such that  $0 < \sigma < 1$ . Put  $g = 1 - f$ . Then we see that

$$\lim_{t \rightarrow \infty} U(t, 0)^* g(t^{-\sigma}(x - c(t))) U_0(t, 0) \phi = 0 \quad (3.2)$$

by virtue of Proposition 3.1. Thus we have only to prove the existence of

$$\lim_{t \rightarrow \infty} U(t, 0)^* f(t^{-\sigma}(x - c(t))) U_0(t, 0) \phi. \quad (3.3)$$

Here we note that on the support of  $f(t^{-\sigma}(x - c(t)))$ ,

$$|x| \geq |c(t)| - |x - c(t)| \geq |c(t)| - 2t^\sigma$$

holds, and that  $|c(t)| \geq 9E_0t/(10B)$  for  $t \geq 20/|\omega|$  as mentioned above. Thus we see that

$$V f(t^{-\sigma}(x - c(t))) = O(t^{-\rho_{s,0}})$$

as  $t \rightarrow \infty$  by the assumption on  $V$  and  $\sigma < 1$ . By virtue of this and Proposition 3.1, one can obtain

$$\frac{d}{dt} (U(t, 0)^* f(t^{-\sigma}(x - c(t))) U_0(t, 0) \phi) = O(t^{-\rho_{s,0}}) + O(t^{-(2N+1)\sigma}).$$

By taking  $N \in \mathbf{N}$  so large that  $(2N + 1)\sigma > 1$ , one can show the existence of (3.3) because of  $\rho_{s,0} > 1$  and  $(2N + 1)\sigma > 1$ , by virtue of the Cook-Kuroda method.

We next consider the case where  $V^1 \neq 0$ . By density argument, one has only to prove the existence of  $W_G^+\phi$  for  $\phi \in \mathcal{S}(\mathbf{R}^2)$ . Let  $\sigma$  be such that  $0 < \sigma < \rho_1 \leq 1$ . In the same way as in the case where  $V^1 = 0$ , we see that

$$\lim_{t \rightarrow \infty} U(t, 0)^* g(t^{-\sigma}(x - c(t))) U_0(t, 0) e^{-i \int_0^t V^1(c(s)) ds} \phi = 0 \quad (3.4)$$

by virtue of Proposition 3.1. Here we note that the modifier  $e^{-i \int_0^t V^1(c(s)) ds}$  commutes with  $U_0(t, 0)$ . Thus we have only to prove the existence of

$$\lim_{t \rightarrow \infty} U(t, 0)^* f(t^{-\sigma}(x - c(t))) U_0(t, 0) e^{-i \int_0^t V^1(c(s)) ds} \phi. \quad (3.5)$$

To this end, we will estimate  $(V^1(x) - V^1(c(t))) f(t^{-\sigma}(x - c(t))) U_0(t, 0) e^{-i \int_0^t V^1(c(s)) ds} \phi$ . We put  $V_1(t, x) = V^1(x) g(5Bx/(2E_0t))$ . Then

$$(V^1(x) - V^1(c(t))) f(t^{-\sigma}(x - c(t))) = (V_1(t, x) - V_1(t, c(t))) f(t^{-\sigma}(x - c(t)))$$

holds for  $t \geq \max\{20/|\omega|, (20B/E_0)^{1/(1-\sigma)}\}$ , since  $g(5Bx/(2E_0t)) = 1$  for  $|x| \geq 4E_0t/(5B)$ , and  $|c(t)| \geq 9E_0t/(10B)$  for  $t \geq 20/|\omega|$  as mentioned above. By rewriting  $V_1(t, x) - V_1(t, c(t))$  as

$$V_1(t, x) - V_1(t, c(t)) = \int_0^1 (\nabla V_1)(t, c(t) + \tau(x - c(t))) \cdot (x - c(t)) d\tau$$

and taking account of  $\sup_{y \in \mathbf{R}^2} |(\nabla V_1)(t, y)| = O(t^{-1-\rho_1})$  by the definition of  $V_1$  and the assumption on  $V^1$ , we have

$$(V^1(x) - V^1(c(t)))f(t^{-\sigma}(x - c(t)))U_0(t, 0)e^{-i \int_0^t V^1(c(s)) ds} \phi = O(t^{-1-\rho_1+\sigma}).$$

Therefore, in the same way as in the case where  $V^1 = 0$ , we obtain

$$\begin{aligned} & \frac{d}{dt}(U(t, 0)^* f(t^{-\sigma}(x - c(t)))U_0(t, 0)e^{-i \int_0^t V^1(c(s)) ds} \phi) \\ &= O(t^{-\rho_{s,0}}) + O(t^{-(2N+1)\sigma}) + O(t^{-(1+\rho_1-\sigma)}) \end{aligned}$$

for any  $N \in \mathbf{N}$ . By taking  $N \in \mathbf{N}$  so large that  $(2N+1)\sigma > 1$ , one can show the existence of (3.5) because of  $\rho_{s,0} > 1$ ,  $(2N+1)\sigma > 1$  and  $1 + \rho_1 - \sigma > 1$ , by virtue of the Cook-Kuroda method. As for the detailed proof of Theorem 1.2, see [1].

## 4 Asymptotic completeness

Throughout this section, we suppose that  $E(t) = E_{0,\theta}(t) \equiv E_0(\cos \theta, \sin \theta)$ . Then we write  $E(t)$ ,  $H_0(t)$  and  $H(t)$  as

$$E = (E_1, E_2), \quad H_0 = H_{0,L} - qE \cdot x, \quad H = H_0 + V,$$

respectively, because  $E(t)$ ,  $H_0(t)$  and  $H(t)$  are independent of  $t$  in this case. Since  $H_0 = (D - m\alpha)^2/(2m) + \alpha \cdot k - m\alpha^2/2$  (see (1.3)) and  $V$  is  $H_0$ -compact under the assumption (V1), we see that

$$\sigma(H_0) = \sigma_{\text{ess}}(H_0) = \mathbf{R}, \quad \sigma(H) = \sigma_{\text{ess}}(H) = \mathbf{R}$$

because of  $\alpha \neq 0$ , by virtue of the Weyl theorem. The following result can be obtained by virtue of the Mourre theory:

**Proposition 4.1.** *Suppose that (V1) is satisfied. Then the pure point spectrum  $\sigma_{\text{pp}}(H)$  of  $H$  is at most countable, and has no accumulation point. Each eigenvalue of  $H$  has at most finite multiplicity.*

In fact, putting  $\tilde{A} = qE \cdot k$ , we have the Mourre estimate

$$f(H)i[H, \tilde{A}]f(H) = q^2|E|^2f(H)^2 + K_f, \quad (4.1)$$

where  $f \in C_0^\infty(\mathbf{R}; \mathbf{R})$  and  $K_f = -f(H)qE \cdot (\nabla V)f(H)$ , which is compact on  $L^2(\mathbf{R}^2)$ .

In obtaining some useful propagation estimates for  $e^{-itH}$ , we need the assumption (V2). Here we note that  $[H, \tilde{A}]$  and  $[[H, \tilde{A}], \tilde{A}]$  are bounded under the assumption (V2):

**Proposition 4.2.** *Suppose that (V2) is satisfied. Let  $c_0, c_1 \in \mathbf{R}$  be such that  $c_0 < c_1 < q^2|E|^2$ , and let  $\epsilon > 0$ . Then for any real-valued  $f \in C_0^\infty(\mathbf{R} \setminus \sigma_{\text{pp}}(H))$ , there exists  $C > 0$  such that*

$$\int_1^\infty \|F_\epsilon(c_0 \leq \tilde{A}/t \leq c_1)f(H)e^{-itH}\psi\|_{L^2(\mathbf{R}^2)}^2 \frac{dt}{t} \leq C\|\psi\|_{L^2(\mathbf{R}^2)}^2 \quad (4.2)$$

for any  $\psi \in L^2(\mathbf{R}^2)$ . Moreover,

$$\int_1^\infty \|F_\epsilon(\tilde{A}/t \leq c_1)f(H)e^{-itH}\psi\|_{L^2(\mathbf{R}^2)}^2 \frac{dt}{t} < \infty \quad (4.3)$$

for any  $\psi \in \mathcal{D}(\langle \tilde{A} \rangle^{1/2})$ .

**Proposition 4.3.** *Suppose that (V2) is satisfied. Let  $c_1 \in \mathbf{R}$  be such that  $c_1 < q^2|E|^2$ , and let  $\epsilon > 0$ . Then for any real-valued  $f \in C_0^\infty(\mathbf{R} \setminus \sigma_{\text{pp}}(H))$ ,*

$$\text{s-}\lim_{t \rightarrow \infty} F_\epsilon(\tilde{A}/t \leq c_1)f(H)e^{-itH} = 0 \quad (4.4)$$

holds.

These can be shown in the same way as in Sigal-Soffer [20].

Taking account of

$$qE \cdot (k - D) = 2q^2E \cdot A(x) = -2q^2A(E) \cdot x = q^2B^2\alpha \cdot x,$$

we have

$$\begin{aligned} \{F_\epsilon(c_0 \leq \tilde{A}/t \leq c_1) - F_\epsilon(c_0 \leq q^2B^2\alpha \cdot x/t \leq c_1)\}f(H) &= O(t^{-1}), \\ \{F_\epsilon(\tilde{A}/t \leq c_1) - F_\epsilon(q^2B^2\alpha \cdot x/t \leq c_1)\}f(H) &= O(t^{-1}). \end{aligned} \quad (4.5)$$

Hence the next proposition follows from (4.5), Propositions 4.2 and 4.3 immediately:

**Proposition 4.4.** *Suppose that (V2) is satisfied. Let  $c_0, c_1 \in \mathbf{R}$  be such that  $c_0 < c_1 < q^2|E|^2$ , and let  $\epsilon > 0$ . Then for any real-valued  $f \in C_0^\infty(\mathbf{R} \setminus \sigma_{\text{pp}}(H))$ , there exists  $C > 0$  such that*

$$\int_1^\infty \|F_\epsilon(c_0 \leq q^2B^2\alpha \cdot x/t \leq c_1)f(H)e^{-itH}\psi\|_{L^2(\mathbf{R}^2)}^2 \frac{dt}{t} \leq C\|\psi\|_{L^2(\mathbf{R}^2)}^2 \quad (4.6)$$

for any  $\psi \in L^2(\mathbf{R}^2)$ . Moreover,

$$\text{s-}\lim_{t \rightarrow \infty} F_\epsilon(q^2B^2\alpha \cdot x/t \leq c_1)f(H)e^{-itH} = 0 \quad (4.7)$$

holds.

Now we will state the outline of the proof of Theorem 1.3: We put  $\varepsilon = |\alpha|/10 = |E|/(10B)$  and  $\hat{\alpha} = \alpha/|\alpha|$ . Since  $|c(t) - t\alpha| \leq 2|E|/(|\omega|B)$  (see §1), we see that  $\hat{\alpha} \cdot t\alpha/t = |\alpha| = 10\varepsilon$  and

$$\hat{\alpha} \cdot c(t)/t \geq |\alpha| - 2|E|/(|\omega|Bt) \geq 9\varepsilon \quad (4.8)$$

for  $t \geq 20/|\omega|$ , which is important for understanding the behavior of the charged particle.

Here we note that besides (V2), the short-range condition  $V^1 = 0$  is assumed in Theorem 1.3. As is well known, one has only to prove the existence of

$$\text{s-lim}_{t \rightarrow \infty} e^{itH_0} e^{-itH} P_c(H), \quad (4.9)$$

where  $P_c(H)$  is the spectral projection onto the continuous spectral subspace  $L_c^2(H)$  of the Hamiltonian  $H$ . To this end, we will show the existence of

$$\text{s-lim}_{t \rightarrow \infty} e^{itH_0} f(H) e^{-itH} \quad (4.10)$$

for any real-valued  $f \in C_0^\infty(\mathbf{R} \setminus \sigma_{\text{pp}}(H))$ . By virtue of (4.7), we have

$$\text{s-lim}_{t \rightarrow \infty} e^{itH_0} F_\varepsilon(\hat{\alpha} \cdot x/t \leq 8\varepsilon) f(H) e^{-itH} = 0. \quad (4.11)$$

Taking account of that  $1 - F_\varepsilon(\hat{\alpha} \cdot x/t \leq 8\varepsilon)$  may be written as  $F_\varepsilon(\hat{\alpha} \cdot x/t \geq 7\varepsilon)$  by definition, we have only to prove the existence of

$$\text{s-lim}_{t \rightarrow \infty} e^{itH_0} F_\varepsilon(\hat{\alpha} \cdot x/t \geq 7\varepsilon) f(H) e^{-itH}. \quad (4.12)$$

By taking  $f_1 \in C_0^\infty(\mathbf{R})$  such that  $f_1(s)f(s) = f(s)$ , one has only to show the existence of

$$\text{s-lim}_{t \rightarrow \infty} e^{itH_0} f_1(H_0) F_\varepsilon(\hat{\alpha} \cdot x/t \geq 7\varepsilon) f(H) e^{-itH}, \quad (4.13)$$

which can be proved by Proposition 4.4 and

$$V^s(x) F_\varepsilon(\hat{\alpha} \cdot x/t \geq 7\varepsilon) = O(t^{-\rho_{s,0}}) \quad (4.14)$$

with  $\rho_{s,0} > 1$ . This yields the asymptotic completeness of  $W^+$ .

In dealing with the long-range case, one needs the propagation estimates for  $e^{-itH}$  analogous to Proposition 3.1, which is much sharper than Proposition 4.4. One of the keys in the proof of Theorem 1.2 is that  $\sigma$  in Proposition 3.1 can be taken as  $0 < \sigma < \rho_l \leq 1$ . Unfortunately such sharp estimates have not been obtained for  $e^{-itH}$  yet.

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