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Abstract: A photofunctionalized square bipyramidal DNA nanocapsule (NC) was designed and prepared. Photoccontrollable open/close system and toehold system were introduced into the NC for the inclusion and release of a gold nanoparticle (AuNP) by photoirradiation and strand displacement. The reversible open and closed states were examined by gel electrophoresis and atomic force microscopy (AFM), and the open behavior was directly observed by high-speed AFM. The encapsulation of the DNA-modified AuNP into the NC was carried out via hybridization of a specific DNA (capture strand), and the release of the AuNP was examined by addition of toehold-containing complementary DNA (release strand). The release of the AuNP from the NC was successfully achieved by the opening of the NC and subsequent strand displacement.

Nano-sized signal-responsive devices are attracting attention because of their potential applications for imaging, diagnosis, and therapeutics.[1–2] The programmed 3-dimensional (3D) DNA nanostructure formation using the DNA origami method enables the construction of various predesigned DNA architectures.[3–6] Because of the facility of the DNA oligonucleotide functionalization, many researchers have reported the incorporation of functionalities into DNA nanostructures.[7–9] Functional molecules and nanoparticles were incorporated into three-dimensional (3D) DNA nanostructures,[7,8] however, many of these are static. Therefore, regulating configurational changes of 3D structures using a dynamic system is still a challenging issue, which can be applied for the expression of some biological activities.[9–11]

A sequential strand displacement using a toehold system was used for the operation of the DNA structural changes.[12] We used the photoresponsive oligonucleotide (ODN) developed by Liang and Asanuma[13,14] to assemble and disassemble DNA nanostructures by photoirradiation.[15–17] In addition, reversible dissociation and hybridization of photoresponsive ODNs by photoirradiation occurred in the DNA origami structure at the single-molecule level.[18]

In this work, a novel square bipyramidal DNA nanocapsule (NC) with a photoresponsive open/close system was designed and prepared. A pair of photoresponsive ODNs (PR-ODN 1 and PR-ODN 2) can hybridize in the trans-form of azobenzene and dissociate in the cis-form by photoirradiation at different wavelengths.[14] The photoresponsive NC (PR-NC) can be opened by ultraviolet (UV) irradiation, and closed by subsequent visible light (Vis) irradiation, as illustrated in Figure 1a. In addition, we demonstrate the capture and release of a gold nanoparticle (AuNP) using a PR-NC with photoirradiation. We incorporated an AuNP attached to DNA into the opened PR-NC and included it by closing the PR-NC with Vis irradiation. Consequently, the PR-NC was opened by UV irradiation, and then the AuNP was released using strand displacement via a toehold system.

Figure 1. Photoresponsive DNA nanocapsule (PR-NC) with open/close system. (a) Schematic presentation of the PR-NC showing configuration changes between the open and closed states by UV and visible light (Vis) irradiation, respectively. (b) AFM images of the open and closed form NC. (c) AFM images of PR-NC in the initial state (closed) and after UV irradiation. (d) Agarose gel electrophoresis of open and closed NC with sequential UV/Vis irradiation. Lane M: DNA marker. (e) The yield of the closed and open PR-NCs by sequential UV/Vis irradiation. The proportions were obtained by counting the number of the closed and open states of NCs in the AFM images.

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We first prepared the DNA NC in both closed and open forms using the unmodified staple strands. The NCs were prepared by mixing M13mp18 with five-equivalent staple strands in a buffer containing 20 mM Tris buffer (pH 7.6), 10 mM MgCl₂ and 1 mM EDTA, and the mixture was annealed from 85 °C to 65 °C at a rate of −1.0 °C/min, then from 65 °C to 15 °C at a rate of −0.2 °C/min. The assembled structure was imaged by AFM in the same buffer solution, and the formation of the structures was confirmed after removing the excess staple strands (Figures 1b and S1). In the closed NC, a double-layered square was mainly observed, showing that the square bipyramidal structures were compressed on the mica surface. On the other hand, in the AFM images of the open NC, two kinds of open structures were observed; one was a single-layered fully open structure with two connected squares, and the other was a double-layered half-opened structure that was attached by the side face on the mica surface (Figures 1b and S2). These results showed that the closed and open NCs could be easily identified from the different appearances of the structures in the AFM images. The dimension of the NC was estimated from the AFM image of half-opened NCs (Figure S3). The bottom edge of the pyramid was 45.0 ± 0.8 nm, which was the expected length of 128 bp dsDNA (45 nm). The side edge of the pyramid containing bundled 13 dsDNAs was 49.6 ± 3.3 nm, in which the interval of the bundled images (N=119). (d) Proportion of AuNP-attached PR-NCs obtained from the AFM images (N=118).

For incorporation of the PR-ODNs to the NC, the PR-ODNs were connected to the specific staple strands via disulfide bond (Table S1). The staple-PR-ODN strands were incorporated into the edges of the top and bottom pyramids of the NC by annealing using the same conditions above. To improve the efficient closing, we incorporate three PR-ODN pairs in the front edge of the NC and additional two pairs in the both side edges. In the AFM image of the PR-NC in the initial state, a double-layered square was mainly observed similar to the closed NC, indicating that the PR-ODNs tightly closed the top and bottom pyramidal structures (Figures 1c and S4). Then, the closed PR-NC was treated with UV irradiation for 5 min, and the opened structures were observed in 88% including fully open and half opened structures (Figures 1c and S5). These results indicated that the PR-ODNs control the open/closed state of the NC by photoirradiation. The reversible open/close behaviors of the PR-NC were examined by gel electrophoresis (Figure 1d). Comparing with the mobility of the closed and open states of the NC using unmodified staples, the mobility of the PR-NC with UV and Vis irradiation was similar to the open and closed unmodified NCs, respectively. These results showed that the open and closed states of the PR-NC could be controlled by UV and Vis irradiation, respectively. The open/close system was also examined by counting the number of open and closed PR-NCs in the AFM images (Figure 1e). The reversible open/close switching was observed using sequential UV and Vis irradiation. We also tried to observe opening of the PR-NC using high-speed AFM. The PR-NC remained closed during the AFM scanning, and then the closed PR-NC opened immediately after UV irradiation (Figure S6). The opening event of the PR-NC with UV irradiation can be directly visualized by high-speed AFM.

We next tried to introduce AuNP inside the PR-NC (Figure 2). AuNP has been used for demonstrating of its incorporation to the nanocages. To include AuNP inside, we introduced four single-stranded DNAs (capture strands) which were connected to the staple strands to the bottom pyramid of the PR-NC cavity. The assembled NC was exposed to UV to open the structure. Then, two-equivalent of a 10 nm AuNP modified with the complementary strand was added to the opened PR-NC to introduce AuNP by hybridization. After incubation with AuNP under UV irradiation, the NC was closed by Vis irradiation to encapsulate the AuNP. In the gel electrophoresis image, the slower migrating band appeared compared with the empty PR-NC (Figure S7). The sample was observed by cryo electron microscopy (EM) and AFM. In the EM images, we found the AuNP-attached PR-NCs and empty PR-NCs (Figures 2b and S8). Attachment of AuNP were observed inside and outside the NCs. The number of AuNP-attached PR-NCs was counted from the EM images. In the EM images, 49% of the NCs included AuNP in the NC. The attachment of AuNP outside the NCs should occur by hybridization to the closed PR-NC, and 13% of the NCs had AuNP outside. AuNPs attached outside the NCs were also observed in 12% of the samples. We also observed the PR-NCs with AuNPs attached by AFM. During the multiple
AFM scanning, the AuNP-including NCs were opened, and the opened AuNP-attached NCs were counted (Figure S9). The yield of NCs with AuNP encapsulated was ~40%, and empty NCs were observed in 48% of the samples (Figure 2d). AuNPs attached outside the NCs were found in 8% of the samples, and those attached at unclear positions to the NCs were observed in 4% of the samples. From the results of both EM and AFM images, the AuNP encapsulated in the NC yielded over 40%. We also examined the AuNP binding to the initial PR-NC (closed). AuNP was incubated with the PR-NC, and we found that 18% of the NC carried AuNP, in which 4% of AuNP bound outside the closed NC and 10% of AuNP bound to the half-opened NC (Figure S10). The capture strands can also trap AuNP outside the NC, probably because the capture strands thread the gap space of the DNA origami. Also AuNP should bind to an opened NC that was a minor product of PR-NC, because the closing of the PR-NC was not perfect due to the incomplete trans-cis photoisomerization of the azobenzene molecule. 

First, the closed PR-NCs were exposed to UV light to open, and the irradiated samples were observed by AFM. We found that AuNP was attached at the center of one of the square pyramids of the opened PR-NC (Figures 3b and S11). The number of AuNPs attached to the center in the opened PR-NCs was counted (N=204). Around 44% of the PR-NCs had AuNP, and 86% of the opened PR-NCs had AuNP at the center (Figure 3c). These results indicated that 38% of AuNP was included inside the NCs when AuNPs were incorporated into the opened PR-NCs. We also observed the opening events of the AuNP-encapsulated PR-NCs using high-speed AFM (Figure S12). The AuNP-encapsulated PR-NCs opened under UV irradiation. The AuNPs appeared at the center of the pyramid of the opened PR-NC during AFM scanning, and remained attached inside the NC after the PR-NC opened.

Finally, the release of AuNPs was examined by strand displacement using toehold-containing DNA strands. In the previous reports, the release of AuNPs from the DNA nanostructures has been achieved by strand displacement. PR-NCs with AuNPs attached were closed by Vis irradiation, and then the release strand containing the toehold part was added to the sample after UV irradiation (Figure 3a). The number of PR-NCs in which AuNP remained attached was counted and compared with that before the addition of the release strand. The amount of AuNPs attached to the PR-NC dramatically decreased after UV irradiation and incubation with the release strand, and ~90% of AuNPs were removed from the PR-NCs (Figures 3c and S13). Interestingly, when the closed PR-NC was incubated with the release strand, the release of AuNPs was suppressed (Figure 3c). These results indicated that the opened PR-NCs allowed hybridization of the release strand to detach AuNPs, whereas the closed PR-NCs prevent strand displacement by the release strand and the consequent release of AuNPs.

In conclusion, a square bipyramidal DNA NC was designed and constructed, and a photocontrollable open/close system was introduced into the NC. The reversible open/closed state of PR-NC was switched by Vis and UV irradiation. The inclusion of AuNPs into the PR-NC was also observed via hybridization of DNA strands between the NCs and AuNPs. In addition, the release of AuNPs was successfully controlled using photoirradiation and strand displacement. These nano-size PR-NCs could be applied for an intelligent carrier for delivery of nanomaterials to cells similar to a virus capsid.

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