Running title: Isomerization of hexoses promoted by ethanol

Kinetic analysis for the isomerization of glucose, fructose, and

mannose in subcritical aqueous ethanol

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1 Abstract:

Fructose, glucose, and mannose were treated with subcritical aqueous ethanol for $\mathbf{2}$ ethanol concentrations ranging from 0 to 80 % (v/v) at 180–200 °C. The aldose-ketose 3 4 isomerization was more favorable than ketose-aldose isomerization and glucose-mannose epimerization. The isomerization of the monosaccharides was $\mathbf{5}$ promoted by the addition of ethanol. In particular, mannose was isomerized most easily 6 to fructose in subcritical aqueous ethanol. The apparent equilibrium constants for the 7 isomerizations of mannose to fructose, $K_{eq,M\rightarrow F}$, and glucose to fructose, $K_{eq,G\rightarrow F}$, were 8 9 independent of ethanol concentration and increased with increasing temperature. Moreover, the $K_{eq,M\to F}$ value was much larger than the $K_{eq,G\to F}$ value. The enthalpies for 10 the isomerization of mannose to fructose, $\Delta H_{M\to F}$, and glucose to fructose, $\Delta H_{G\to F}$, were 11 estimated to be 18 and 24 kJ/mol, respectively, according to van't Hoff equation. 12Subcritical aqueous ethanol can be used to produce fructose from glucose and mannose 13efficiently. 14

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16 Keywords: fructose; glucose; isomerization; mannose; subcritical aqueous ethanol

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17 Introduction

Fructose is a common reducing ketose that is used commercially as a sweetener in beverages to enhance our enjoyment of foods. Fructose has positive health effects in humans as it enhances glucocorticoid action¹⁾ and insulin resistance.²⁾ Recently, fructose has been used as a renewable resource to synthesize biofuels and biochemical^{3,4)} such as 5-hydroxymethylfurfural and methyl lactate. Nowadays, fructose plays an important role in food and related industries.

24The transformation of other monosaccharides to fructose is mainly performed using alkalis, metals, and enzymes. The alkali-catalyzed isomerization has been well known 25as the Lobry de Bruyn-Alberda van Ekenstein transformation (LBAE transformation). 26In the LBAE transformation, fructose is produced from glucose in high yield (ca. 38 % 27at 25 °C) and from mannose in relatively low yield (ca. 25 % at 25 °C).⁵⁾ However, 28alkali-catalyzed isomerization usually results in many by-products, thus restricting its 29application. The product distribution in metal-catalyzed isomerization depends on the 30 type of metal ion, saccharides, cosolvents, and metal ion carrier.⁶⁻⁸⁾ Metal-catalyzed 31isomerization cannot meet commercial demands because of the low feed concentration 3233 of the substrates or the difficulty in synthesizing the catalysts. The current industrial isomerization process to produce fructose involves the utilization of an immobilized 34

glucose isomerase. This enzymatic isomerization is reversible (the equilibrium constant, 35 K_{eq} , is ca. 1 at 25 °C) and slightly endothermic, indicating that the maximum attainable 36 yield of fructose is governed by the reaction temperature.⁸⁾ 37Many researchers have investigated the isomerization of saccharides such as glucose, 38 mannose, and fructose in 100 % (v/v) subcritical water.9-11) It was reported that aldoses 39 isomerized easily than ketoses in 100 % (v/v) subcritical water.¹⁰⁾ Although kinetic 40 analysis revealed that mannose was isomerized most easily to fructose among glucose, 41 mannose, and fructose, the yields of fructose and glucose from mannose were still low. 42It was also reported that increasing the temperature shifted the chemical equilibrium 43constant for the isomerization of aldoses to ketoses to a higher value.¹⁰ 44In general, solvent variation significantly affects the reaction rate and apparent 45chemical equilibrium. Ethanol has been widely investigated in the isomerization of 46aldoses at ambient temperature using bases, enzymes, or metals.^{12–14)} Adding ethanol to 47the solvent significantly promoted these isomerizations. The promoting effect of ethanol 48

50 configuration of saccharides in aqueous ethanol¹⁵⁾ and the change in the apparent 51 chemical equilibrium.⁷⁾

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at low temperatures is usually attributed to changes in both the conformation and



53	addition of ethanol under subcritical conditions. ¹⁶⁾ The yield of fructose was lower than
54	that of glucose, and this difference increased with increasing ethanol concentration,
55	possibly because of the isomerization between the two saccharides. ¹⁶⁾ However, the
56	mechanism of isomerization has not been clarified. Therefore, we analyzed the kinetics
57	of the isomerization of glucose, mannose, and fructose in subcritical aqueous ethanol.
58	
59	Materials and Methods
60	Materials. Ethanol (purity, 99.5 %), D-fructose (> 99 %), and D-glucose (> 99 %) were
61	purchased from Wako Pure Chemical Industries (Osaka, Japan). D-Mannose (> 99 %)
62	was purchased from Nacalai Tesque (Kyoto, Japan).
63	
64	Isomerization among fructose, glucose, and mannose in subcritical aqueous ethanol.
65	Each monosaccharide was dissolved in distilled water, and ethanol was added to
66	produce the substrate solution with a final saccharide concentration of 0.5 % (w/v), at
67	which it was possible to detect saccharides and derivatives using an RI detector. In
68	addition, this concentration would not be greatly deviated from the ideal solution. The
69	solution was sonically degassed under reduced pressure before the subcritical treatment.
70	The solution reservoir was connected to a helium gasbag to prevent the redissolution of

 $\mathbf{5}$

71	oxygen, because saccharides may be oxidized into side products, which is not expected
72	for the isomerization process. ¹⁷⁾ The solution was delivered into a coiled stainless steel
73	tubular reactor (0.8 mm I.D. \times 1.0 m length) immersed in an SRX 310 silicone oil bath
74	(Toray-Dow-Corning Silicone, Tokyo, Japan) with the residence time of 30-500 s using
75	an LC-10AD VP HPLC pump (Shimadzu, Kyoto, Japan). The reaction temperature was
76	in the range 180-200 °C. To rapidly terminate the reaction, the reactor effluent was
77	directly introduced to a stainless steel tube immersed in an ice bath. The pressure inside
78	the tube was regulated at ca. 10 MPa using a back-pressure valve (Upchurch Scientific
79	Inc., Oak Harbor, WA, USA). Finally, the effluent was collected into a test tube for the
80	HPLC analysis.
81	The residence time was calculated according to the method reported previously. ¹⁶⁾
82	
83	HPLC analysis. The concentrations of unreacted substrate and produced
84	monosaccharides were quantified using an HPLC system equipped with an
85	LC-10ADVP HPLC pump (Shimadzu), an RI-101 refractometer (Showa Denko, Tokyo,
86	Japan), and a SUPELCOGEL Ca^{2+} column (7.8 mm I.D. \times 300 mm length,
87	Sigma-Aldrich, Tokyo, Japan) equipped with a guard column (4.6 mm I.D. \times 50 mm
88	length, Sigma-Aldrich, Japan). Distilled water was used as the eluent at a flow rate of

- 89 0.25 mL/min. The columns were maintained at 30 °C in a CTO-10AVP column oven
- 90 (Shimadzu).

91 **Results and discussion**

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93 *Effect of ethanol concentration on glucose isomerization*

Figure 1 shows the effect of ethanol concentration on glucose isomerization at 180 °C. 94 When the ethanol concentration exceeded 40 % (v/v), glucose isomerization 95significantly increased with increasing ethanol concentration. Fructose and mannose 96 were competitively produced from glucose both in subcritical water and in subcritical 97 aqueous ethanol. The yield of fructose was almost seven-fold higher than that of 98 99 mannose at 500 s in 60 % (v/v) aqueous ethanol. Mannose was not detected when the ethanol concentration was below 40 % (v/v). These results were in contrast to those of 100the hydrolysis of sucrose in subcritical aqueous ethanol. The hydrolysis of sucrose 101 decreased with increasing ethanol concentration, and the isomer of sucrose was not 102 obtained.¹⁶⁾ This indicates that only the isomerization of reducing sugars is promoted in 103 104 subcritical aqueous ethanol.

Figure 1 also shows that ethanol affected the isomerization and decomposition behaviors of glucose. The yield of fructose increased with increasing ethanol concentration at 500 s (Fig. 2). The selectivity of fructose also increased by the addition of ethanol and reached the highest value in 60 % (v/v) aqueous ethanol, where the

selectivity was defined as the molar ratio of the produced monosaccharide to the 109 consumed substrate. Although the selectivity of mannose was lower than that of fructose, 110 it reached *ca*. 0.1 when the ethanol concentration was >60 % (v/v). The total saccharide 111 112 concentration was maintained at a high level, which was slightly lower than the feed glucose concentration and scarcely depended on the ethanol concentration at 180 °C, 113regardless of the increase in the conversion of glucose. This indicates that the side 114 reaction of glucose was not significantly accelerated, and that most of the consumed 115glucose was converted to fructose and mannose in subcritical aqueous ethanol at 116 117180 °C.

The contribution of ethanol in promoting glucose isomerization under subcritical 118aqueous conditions is still unclear. It is known that the pK_a values of glucose in aqueous 119 ethanol and methanol- d_4 decrease with increasing alcohol concentration at ambient 120temperature, and that the initial reaction rate of alkali-catalyzed glucose isomerization is 121positively related to the ionization constant.¹²⁾ Therefore, an increase in ethanol 122concentration would accelerate glucose isomerization. Another important role of ethanol 123is that it changes the anomeric equilibrium of glucose, thus changing the apparent 124chemical equilibrium and facilitating the isomerization of glucose.¹⁵⁾ Ethanol not only 125changes the physical properties of the sugar solution but also participates in hydrogen 126

atom migration during the isomerization.⁶⁾ On the other hand, a recent study reported 127128that the initial presence of water in aqueous alcohol suppressed the aluminum-containing zeolite-catalyzed isomerization of glucose to fructose at 120 °C, 129and that the one-pot synthesis of fructose from glucose could not be performed using 130 aqueous alcohol.¹⁸⁾ However, these reported results are different from our results. One 131of the reasons may be that the reaction temperature was different in our study, and the 132133role of ethanol in promoting glucose isomerization may change under subcritical 134conditions.

135

136 *Temperature dependence of glucose isomerization*

Figure 3 shows the effect of temperature on glucose isomerization in 80 % (v/v) 137subcritical aqueous ethanol. Increasing reaction temperature increased the conversion of 138glucose and the yields of fructose and mannose at a given residence time. The 139140 maximum yield of fructose was achieved faster at higher temperatures. However, the total saccharide concentration at a given residence time decreased with increasing 141reaction temperature (Fig. 2), indicating that the fraction of disappeared hexoses 142increased at higher temperatures. Another disadvantage of increasing the reaction 143temperature is that the selectivity of fructose decreased. A higher maximum yield of 144

fructose was realized at higher temperatures even though the selectivity was lower. In contrast, the selectivity increased at lower temperatures even though a longer residence time was required. Therefore, an appropriate temperature should be selected for the efficient production of fructose from glucose. Similar temperature effects were also observed at other ethanol concentrations. To achieve the maximum yield of fructose within a short time, the isomerization was performed in 80 % (v/v) aqueous ethanol at 180 °C in the subsequent studies.

152

153 *Mutual isomerization of hexoses*

To investigate the mutual isomerization among glucose, mannose, and fructose, the 154latter two saccharides were also treated under the same conditions as those for glucose. 155156Fig. 4 shows the isomerizations of fructose to glucose and mannose, glucose to fructose and mannose, and mannose to fructose and glucose in 80 % (v/v) aqueous ethanol at 157158180 °C. The consumption of fructose was the slowest among the three saccharides. Although mannose and glucose were produced from fructose almost in the same yields, 159the yields were low. These results indicated that fructose significantly decomposed 160161 under these conditions.

162 The isomerization of mannose proceeded faster than that of fructose and glucose.

Fructose was most produced from mannose. However, when mannose was treated with 163 80% (v/v) aqueous ethanol at 200 °C, the yield of fructose first reached the highest 164 value at a residence time of ca. 150 s and then decreased at longer residence times, 165166 indicating that the fructose obtained was consequently decomposed (Fig. 4(b)). The yield of fructose produced from mannose was higher than that of fructose produced 167 from glucose within the investigated residence time. Glucose was produced from 168mannose in a relatively low yield; however, the yield was more than that of mannose 169 produced from glucose. 170

171The substrate-dependent chemoselective isomerization of the investigated monosaccharides in subcritical aqueous ethanol was unique compared to the alkali- and 172metal-catalyzed isomerizations.⁵⁻⁷⁾ In alkaline aqueous solutions, fructose isomerized to 173174glucose with the highest rate and excellent selectivity. However, the isomerization of mannose was the slowest, and both glucose and fructose were produced almost in the 175same vield.⁵⁾ Moreover, mannose isomerized slower than glucose in many ethanolic and 176 methanolic solutions of metal ions.^{6,7)} Many studies reported that glucose was 177isomerized to fructose more easily than mannose under alkaline conditions.^{15,19,20} These 178179studies were carried out at low reaction temperatures. The unique product distribution among the investigated saccharides in subcritical aqueous ethanol can be attributed to 180

181 the difference in the temperature dependence of the rate constants of the reactions.

182 Kinetic analysis of the isomerization and decomposition of monosaccharides

As shown above, glucose, mannose, and fructose were isomerized and decomposed in parallel in subcritical aqueous ethanol. The probable reaction pathways are shown in Scheme 1, where k_i ($i = F \rightarrow G, F \rightarrow M, Fd, G \rightarrow F, G \rightarrow M, Gd, M \rightarrow F, M \rightarrow G, and Md$) are the rate constants, and F, G, M, and d represent fructose, glucose, mannose, and the decomposition of these three monosaccharides, respectively. When the decomposition and isomerization of the monosaccharides were assumed to follow first-order kinetics,¹⁰)

189 the reaction rate of each monosaccharide can be expressed as follows:

190
$$\frac{dC_{\rm F}}{dt} = -(k_{\rm F\to G} + k_{\rm F\to M} + k_{\rm Fd})C_{\rm F} + k_{\rm G\to F}C_{\rm G} + k_{\rm M\to F}C_{\rm M}$$
(1)

191
$$\frac{dC_{\rm G}}{dt} = -(k_{\rm G\to F} + k_{\rm G\to M} + k_{\rm Gd})C_{\rm G} + k_{\rm F\to G}C_{\rm F} + k_{\rm M\to G}C_{\rm M}$$
(2)

192
$$\frac{dC_{\rm M}}{dt} = -(k_{\rm M\to G} + k_{\rm M\to F} + k_{\rm Md})C_{\rm M} + k_{\rm F\to M}C_{\rm F} + k_{\rm G\to M}C_{\rm G}$$
(3)

where $C_{\rm F}$, $C_{\rm G}$, and $C_{\rm M}$ are the concentrations of fructose, glucose, and mannose, respectively.

The rate constants for the reaction of each substrate were evaluated by minimizing the sum of the residual square between the experimental and calculated C_i values using the Solver of Microsoft[®] Excel 2010. The curves in Figs. 1, 3, and 4 were drawn using the estimated rate constants. The rate constants obtained for the isomerization and

decomposition of each substrate at 180, 190, and 200 °C were plotted against the 199 200ethanol concentrations shown in Fig. 5. The values of $k_{M\to F}$, $k_{G\to F}$, and k_{Fd} were larger than the others under any condition, and the $k_{M\to F}$ value was the largest, indicating that 201202 mannose was most easily isomerized to fructose. In contrast, the rate constants for the reverse reactions, $k_{F \rightarrow M}$ and $k_{F \rightarrow G}$, were much smaller. The rate constants for the 203isomerizations between mannose and glucose, $k_{M \to G}$ and $k_{G \to M}$, were also smaller than 204205 $k_{M\to F}$ and $k_{G\to F}$. These results indicated that the isomerization of mannose and glucose to fructose was faster than the reverse reactions and the isomerization between mannose 206 207and glucose, and that these isomerizations were accelerated by the addition of ethanol and increase in temperature. Although decompositions were also promoted by increase 208209 in temperature and ethanol concentration, they were less sensitive to temperature and 210ethanol concentration than isomerizations. The $k_{M\to F}$ was the most sensitive to the change in ethanol concentration. 211

To investigate the temperature dependence of the rate constants, the k_i values were plotted against the reciprocal of absolute temperature (Arrhenius plot). Fig. 6 shows the typical Arrhenius plots of the rate constants obtained in 80 % (v/v) subcritical aqueous ethanol. The rate constants of the respective reaction steps separately lie on the straight lines. The frequency factors and activation energies were calculated to be in the ranges of 10^{8} – 10^{12} s⁻¹ and 90–130 kJ/mol, respectively. The activation energies of the isomerizations were similar to those of the monosaccharide decompositions in 100 % (v/v) subcritical water,²¹⁾ thus indicating that the isomerization and decomposition of monosaccharides would have a similar energy barrier.

221

222 Reaction equilibrium for the isomerization of monosaccharides

Figure 5 also shows that the isomerizations of mannose to fructose and glucose to fructose have high reaction equilibrium constants, K_{eq} . The K_{eq} values were calculated from the rate constants ($K_{eq,M\rightarrow F} = k_{M\rightarrow F}/k_{F\rightarrow M}$ and $K_{eq,G\rightarrow F} = k_{G\rightarrow F}/k_{F\rightarrow G}$); they were not affected by ethanol concentration. The equilibrium constants for each reaction at different ethanol concentrations were averaged and plotted against the reciprocals of absolute temperature according to van't Hoff equation:

229
$$\ln K_{\rm eq} = -\frac{\Delta H}{RT}$$
(4)

where ΔH and *R* are the change in enthalpy and gas constant, respectively (Fig. 7). The equilibrium constants for the isomerization of mannose to fructose, $K_{eq,M\rightarrow F}$, were higher than those for the isomerization of glucose to fructose, $K_{eq,G\rightarrow F}$. The plot of the equilibrium constant for each isomerization lies on a straight line with high correlation coefficients (0.997 for $K_{eq,M\rightarrow F}$ and 0.996 for $K_{eq,G\rightarrow F}$). The enthalpies for the isomerizations of mannose to fructose, $\Delta H_{M\to F}$, and glucose to fructose, $\Delta H_{G\to F}$, were calculated to be 18 and 24 kJ/mol, respectively. The positive enthalpies indicate that both the isomerizations were endothermic reactions. Therefore, the yield of fructose would increase with increasing temperature.

In conclusion, the isomerizations among glucose, mannose, and fructose were 239significantly promoted in subcritical aqueous ethanol. Mannose and glucose were easily 240isomerized to fructose. However, the isomerizations of fructose to glucose and mannose 241242and that between glucose and mannose were not favorable in subcritical aqueous 243ethanol. Fructose mainly underwent decomposition when it was used as a substrate. The kinetic study showed that $k_{M\to F}$, $k_{G\to F}$, and k_{Fd} were larger than the other rate constants. 244Both the isomerizations of mannose to fructose and glucose to fructose had high 245equilibrium constants, indicating that subcritical aqueous ethanol may be a useful 246reaction medium to produce high fructose syrup. 247

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Scheme 1. Simplified reaction pathways for the isomerization of three hexoses in

318 Figure Captions

319

320	Fig. 1. Changes in (a) the fraction of remaining glucose treated at 180 °C in (\triangle) 0 %
321	(v/v) (subcritical water alone), (O) 20 %, (D) 40 %, (D) 60 %, and (\diamondsuit) 80 %
322	subcritical aqueous ethanol and (b) the yields of the fructose (open symbols) and
323	mannose (closed symbols) obtained with residence times. The symbols in (b) are the
324	same as those in (a). The curves show the calculated results.
325	
326	Fig. 2. Effect of ethanol concentration on the $(\blacklozenge, \diamondsuit)$ selectivity and $(\blacklozenge, \bigcirc)$ yield of
327	fructose, (\blacksquare , \Box) selectivity of mannose, and (\blacktriangle , \triangle) the total saccharide content when
328	glucose was treated at 180 °C (closed symbols) and 190 °C (open symbols) for 500 s.
329	
330	Fig. 3. Changes in (a) the fraction of remaining glucose at (\bigcirc)180 °C, (\Box) 190 °C, and
331	(\diamondsuit) 200 °C in 80 vol % subcritical aqueous ethanol and (b) the yields of fructose (open
332	symbols) and mannose (closed symbols) obtained with residence times. The symbols in
333	(b) are the same as those in (a). Curves show the calculated results.
334	

335 Fig. 4. Changes in (a) the fractions of remaining (\diamondsuit) fructose, (\Box) glucose, and (\bigtriangleup)

336	mannose at 200 °C in 80 % (v/v) subcritical aqueous ethanol and (b) the yields of the
337	hexoses obtained with residence times. Symbols \triangle and \blacktriangle represent fructose and
338	glucose produced from mannose; \Box and \blacksquare represent fructose and mannose produced
339	from glucose; and \diamondsuit and \blacklozenge represent mannose and glucose produced from fructose,
340	respectively.
341	
342	Fig. 5. Dependencies of the rate constants of the respective reaction steps on the ethanol
343	concentration at (a) 180 °C, (b) 190 °C, and (c) 200 °C. The rate constants are expressed
344	as follows: $(\blacklozenge) k_{M\to F}$, $(\diamondsuit) k_{Fd}$, $(\bigtriangleup) k_{G\to F}$, $(\blacktriangle) k_{Md}$, $(\bigcirc) k_{Gd}$, $(\blacklozenge) k_{M\to G}$, $(\Box) k_{F\to M}$, (\blacksquare)
345	$k_{\mathrm{F}\to\mathrm{G}}$, and $(\mathbf{\nabla}) k_{\mathrm{G}\to\mathrm{M}}$.
346	
347	Fig. 6. Arrhenius plot for the rate constants of the respective reaction steps in 80 $\%$ (v/v)
348	subcritical aqueous ethanol. Symbols are the same as those shown in Fig. 5.
349	
350	Fig. 7. Temperature dependence of the equilibrium constants for the isomerization of
351	mannose to fructose (\Box , $K_{eq,M\rightarrow F}$) and glucose to fructose (\diamondsuit , $K_{eq,G\rightarrow F}$).









402 Fig. 3 Gao *et al*.





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419 Fig. 4 Gao *et al*.









