

1                   **Near-surface vertical profiles of urban roadside**  
2                   **NOx and fine particles**

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4                   **Yoshihiro Nakashima<sup>1\*</sup>, Charlotte E. Jones<sup>2</sup>, Wakana Yamanobe<sup>3</sup>,**  
5                   **Yoshizumi Kajii<sup>2</sup>**

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7                   <sup>1</sup> *Faculty of Agriculture, Tokyo University of Agriculture and Technology, 3-8-1 Harumi-cho,*  
8                   *Fuchu, Tokyo, Japan*

9                   <sup>2</sup> *Graduate School of Global Environmental Studies, Kyoto University, Yoshida-Honmachi,*  
10                   *Sakyo-ku, Kyoto, Japan*

11                   <sup>3</sup> *Aprica Children's Products Japan Co. Ltd, 2-6-12 Ginza, Chuo-ku, Tokyo, Japan*

12  
13                   **Abstract**

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15                   This paper presents daytime vertical profiles of the NOx concentration, and the number  
16 concentration and size distribution of fine particles near a major road in urban Tokyo during  
17 spring 2011. No significant height dependence was observed in the NOx concentration,  
18 presumably due to rapid diffusion. In contrast, the number concentration of particles under 0.5  
19 µm diameter demonstrated an exponential decrease with increasing height above ground level.  
20 Vertical profiles derived from this study differ from those presented in previous studies, however,  
21 these differences may potentially be explained by the different tailpipe positions of Japanese and  
22 US heavy vehicles, as well as the meteorological conditions. This study demonstrates that in  
23 Tokyo, the fine particle concentration at 0.5 m above ground level was about 2.9 times higher  
24 than that at 2.0 m. The higher fine particle concentration immediately above ground level implies  
25 that children may be at greater risk of experiencing pollutant-related respiratory symptoms than  
26 adults.

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28                   **Keywords:** Vertical concentration profile; High density traffic road in urban area; Fine particle.  
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\* Corresponding author. Tel: +81-42-367-5258; Fax: +81-42-367-5258  
E-mail address: nakasima@cc.tuat.ac.jp

31 **INTRODUCTION**

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33 Exhaust from heavy vehicles contributes one of the major anthropogenic source of the  
34 gaseous pollutants CO, NO<sub>x</sub> and NMHCs, as well as fine particles. Fine particles in particular are  
35 known to cause adverse health effects on humans and a relationship between exposure to high  
36 concentrations of fine particles and respiratory morbidity has been suggested (Li *et al.*, 2008;  
37 Peden 2005; Riedl 2008). Recently, an immune response has been observed in humans following  
38 exposure to ultrafine particles with a diameter of less than 0.1 μm, (Li *et al.*, 2009, 2010). Several  
39 epidemiological studies into respiratory symptoms caused by inhaling anthropogenic fine  
40 particles have demonstrated that the prevalence of asthma may be related to regional motor  
41 vehicle traffic density and residential proximity to freeways (Holguin 2008; Patel and Miller  
42 2009; Salam *et al.*, 2008), while other studies reported that there was no evidence to suggest such  
43 a relationship (Waldron *et al.*, 1995; Livingstone *et al.*, 1996). To evaluate the correlation  
44 between vehicular emissions of fine particles and any potential health impacts, detailed  
45 measurements of the concentration and spatial distribution of fine and ultrafine particles are  
46 essential.

47 The spatial distribution of fine particles has been reported for highway and freeway locations  
48 (Zhu *et al.*, 2002; Zhu and Hinds 2005; Zhu *et al.*, 2006; Pohjola *et al.*, 2007; Hagler *et al.*, 2009;  
49 Hu *et al.*, 2009; He and Dhaniyala 2012). It has been reported that the horizontal distribution of  
50 particle number concentration shows an exponential decay with increasing distance from a

51 highway, in the absence of any obstructions (Zhu *et al.*, 2002). In contrast, the vertical  
52 distribution of particles does not indicate exponential decay with increasing distance from the  
53 emission source, although the maximum concentration typically occurs close to ground level (<4  
54 m). These studies were performed in areas surrounding a highway or freeway. However, it is  
55 arguably more important to carry out these measurements in the vicinity of high traffic density  
56 roads within urban areas.

57 This study focuses on determining the vertical profiles of the NO<sub>x</sub> concentration and number  
58 concentration of fine particles within a busy urban street in central Tokyo. The concentrations of  
59 NO<sub>x</sub> and fine particles were measured at heights from 0.5 to 2.0 m above ground level, since this  
60 corresponds to the region where humans will be most directly affected by exposure to vehicular  
61 emissions. In theory, young children and babies transported via a stroller may be the most  
62 affected by air pollutants near the surface, due to both their relatively low height above ground  
63 level and undeveloped respiratory system. The relationship between road traffic and adverse  
64 respiratory effects in children has been studied previously (Weiland *et al.*, 1994; Oosterlee *et al.*,  
65 1996; Ciccone *et al.*, 1998), and differences in the adverse respiratory effects of fine particles on  
66 children and adults have been reported (Oosterlee *et al.*, 1996)

67

## 68 **METHODS**

69

70 Field measurements were conducted in urban Tokyo on April 4th, 2011. Fig. 1 shows the  
71 location of the measurement site, which was near to the intersection of two main roads, oriented  
72 in the direction of Northeast to Southwest (Route-15) and Northwest to Southeast (Route-304).  
73 At a distance of about 300 m from the site, two local roads run parallel to Route-15 in the  
74 direction of Northwest (Route-405) and Southeast (Route-3016), respectively. In addition, an  
75 elevated highway surrounds the measurement site, at a distance of 300-600 m. The area is  
76 relatively flat, with tall buildings (6-12 stories) located alongside all roads near to the site. Due to  
77 the built up nature of the area, particle emissions from the road adjacent to the site were expected  
78 to dominate the measurements, while contributions from other roads in the vicinity are thought to  
79 be small. The measurements were carried out between 15:00-16:00 Japanese local time -  
80 corresponding to a period of high road usage, with respect to both vehicles and pedestrians.  
81 Traffic data for the local roads around the site was obtained from the Ministry of Land,  
82 Infrastructure, Transport and Tourism. According to the data, the traffic volume for vehicles in  
83 the daytime (7:00-19:00) is approximately 31,000 vehicles per day, with heavy duty vehicles  
84 accounting for 16% of the total. The average vehicle speed on the road in typical, congested  
85 conditions was 12 km h<sup>-1</sup>.

86 The size distribution and number concentration of fine particles were measured using an  
87 optical particle counter (OPC, model 1.109, Grimm). A laser diode was employed to detect and

88 count particulates. The size distribution was set from 0.25 to 3.0  $\mu\text{m}$ . Particles of less than 0.25  
89  $\mu\text{m}$  in diameter cannot be detected by the instrument, since these particles are outside of the range  
90 for detection. The OPC instrument continuously sampled air at  $1.2 \text{ L min}^{-1}$  and the concentration  
91 of each particle size range was measured every 6 seconds. The air was supplied to the instrument  
92 via PFA tube (6.35 mm outer diameter, 3 m length) and the inlet of the tube was fixed to a  
93 moveable rod, such that the vertical height of the inlet from the ground could be changed  
94 periodically. The inlet height was alternated between 0.25, 0.5, 1.0, 1.5 and 2.0 m above ground  
95 level. The inlet and OPC were deployed about 1m away from the intersection. The accumulation  
96 time was set to 2 minutes for each measurement height, with an interval of 1 minute following  
97 each inlet height adjustment. The full vertical profile measurements were carried out 3 times and  
98 the averaged particle number concentration was derived for each height. In addition to the  
99 particle measurements, the vertical profile of nitrogen oxides (NO and NO<sub>2</sub>) was measured by O<sub>3</sub>  
100 chemiluminescence (Model 42i-TL, Thermo Electron) simultaneously via the same inlet system.  
101 Unfortunately, meteorological data including ambient temperature, wind speed and direction  
102 were not measured due to instrument difficulties. However, it is assumed that the difference of  
103 the concentration of the trace species coming from upwind and downwind is small since the  
104 roadside are surrounded by the tall buildings.

105

## 106 **RESULTS AND DISCUSSIONs**

107

108 Vertical profiles of the concentrations of NO and NO<sub>2</sub> are shown in Fig. 2. No height  
109 dependence was observed in the NO concentration, while a slight height dependence was  
110 observed for NO<sub>2</sub>, within the standard deviation of the measurement. Since gaseous species such  
111 as NO and NO<sub>2</sub> can readily diffuse within the atmosphere, no strong vertical variation was  
112 observed for NO and NO<sub>2</sub> within the range of sampling heights tested. The vertical profiles of  
113 pollutant gases have been simulated for CO (Johnson *et al.*, 1973). In scenarios where roadside  
114 emission sources are surrounded by tall buildings, the so called “street canyon”, emitted gases are  
115 thought to be mixed by helical air circulation. The vertical profiles of pollutant gases for a height  
116  $z$  may be derived as follows;

$$117 \quad C(z) = C(0) \times \frac{x+2}{[(x^2 + z^2)^{1/2} + 2]} \quad (1)$$

118

119 where  $x$  stands for the horizontal distance between the emission source and measurement point  
120 (2.0 m in the present study), and  $C(0)$  is the surface concentration of the pollutant. Applying the Eq.  
121 (1) to the present measurement, the ratio of the concentration between the heights of 0.25 and 2.0  
122 m were 0.83. Since the standard deviation of the concentration for each sampling height is large,  
123 no height dependence of the concentration simulated by Eq. (1) was observed clearly.

124 Fig. 3 shows the size distribution of fine particles for each sampling height. Whilst there was  
125 virtually no significant height dependence observed in the NO and NO<sub>2</sub> mixing ratios, in contrast,  
126 the number concentration of fine particles did demonstrate a degree of height dependence. For all  
127 sampling heights, the maximum number concentration was observed for particles of size 0.25-  
128 0.30 μm, and the number concentration exponentially decreased with increasing particle size. Fig.  
129 3 indicates that the particle size distribution is broadly uniform throughout all sampling heights,  
130 suggesting that there is no unique formation or loss process of the particles occurring at any  
131 individual sampling heights. However, the overall number concentration was seen to decrease  
132 with increasing sampling height.

133 Fig. 4 shows the vertical profiles of the number concentration of particles for each size range.  
134 Particles of diameter larger than 0.5 μm showed vertical concentration profiles which were  
135 almost uniform (within one standard deviation), while a clear height dependence was observed in  
136 the concentration of the smaller particles. The concentration of particles within each size range  
137 decreased with increasing height, resulting in apparently exponential vertical profiles of the  
138 number concentration. Vertical profiles of the number concentration of fine particles have  
139 previously been measured near a highway in September 2009 in Liverpool, New York (He and  
140 Dhaniyala 2012) and close to a freeway in Los Angeles in July 2001 (Zhu and Hinds 2005). The  
141 results from these studies indicate that the maximum particle concentration occurred at a height

142 of 3.4 m (He and Dhaniyala 2012) and 3.0 m (Zhu and Hinds 2005). He and Dhaniyala have  
143 explained their results by the position of the tailpipe in heavy duty vehicles and the generation of  
144 a thermal plume. Zhu and Hinds, on the other hands, have not explained for the measured vertical  
145 profiles but there is the evidence that the ground level of measurement site was ~4.5 m lower than  
146 the Freeway. In the present study, the tailpipe of heavy vehicles in Japan is located in almost  
147 exactly the same position as that of normal commuter gasoline vehicles, ~0.5 m above ground  
148 level. In addition, the measurements for this study were carried out in late afternoon in April, and  
149 as such the ground was not significantly heated since the sunlight was blocked by the tall  
150 buildings, which would reduce any thermal plume effect. Ground level of the sampling site is  
151 almost same as that of the roadside. These differing conditions are consistent with the differences  
152 in the vertical profiles of fine particles measured in this work and the previous studies. Since  
153 different vertical profiles of roadside particulates have been observed in different locations,  
154 further studies to determine the dependence of the particle number concentration and size  
155 distribution on seasonal changes, as well as on the position of the tailpipe are necessary.

156 For each particle size, the ratio between the standard number concentration at each height and  
157 the concentration at 2.0 m was calculated. For particles smaller than 0.5  $\mu\text{m}$  diameter, the ratio of  
158 the number concentration increased exponentially as the sampling height decreased. The  
159 maximum ratio was 2.9 for the 0.25-0.30  $\mu\text{m}$  size range. This indicates that the level of exposure



160 to fine particles, and therefore the potential for related adverse health effects, may be reduced  
161 with increasing height above ground level. In light of this, it might be anticipated that children  
162 would be more susceptible to health issues related to roadside fine particle levels compared to  
163 adults. Health implications for children living near to areas with high traffic density have been  
164 researched previously (Weiland *et al.*, 1994; Oosterlee *et al.*, 1996; Ciccone *et al.*, 1998).  
165 Ciccone *et al.* (1998), investigated chronic respiratory symptoms in children under fifteen years  
166 of age and compared them with those of adults living in similar conditions. They concluded that  
167 living in the vicinity of busy streets with high traffic flow increased the risk of developing  
168 chronic respiratory symptoms in children, while there was no significant correlation in adults.  
169 Although they did not offer an explanation for the increased risk in children, fine particles are  
170 thought to be a contributing factor.

171 Based on the results of this study, it is implied the importance of the reduction of the number  
172 concentration of fine particle at the lower height. It should be noted that ultrafine particles were  
173 not observed in this study, as ambient concentrations were below the instrument detection limit.  
174 Based upon the observed vertical profile of fine particles, it is probable that the same height  
175 dependence of the number concentration of ultrafine particles will be observed. Recently, a  
176 relationship between exposure to ultrafine particles and adverse health effects has been confirmed  
177 (Oberdörster *et al.*, 1994; Penttinen *et al.*, 2001; Li *et al.*, 2010). As such, in future studies to

178 assess the impact of particulate emissions on human health, vertical profile measurements for  
179 both fine and ultrafine particles would be desirable.

180

## 181 **CONCLUSIONS**

182

183 Vertical profile measurements of the number concentration of fine particles in an urban street  
184 were carried out during the afternoon in spring 2011. The results obtained imply that the risk of  
185 developing chronic respiratory symptoms may be greater for children compared to adults. The  
186 exponential decay of the particle number concentration with increasing height above ground level  
187 is thought to be the result of the low position of tailpipes on Japanese heavy vehicles and the  
188 relatively cold road surface. To assess the risk of developing particulate-related respiratory  
189 diseases as a result of exposure to vehicular emissions, both the horizontal and vertical  
190 distribution of the fine particle concentration should be monitored. In summertime, strong  
191 thermal plumes are created in urban areas due to the heat-island phenomenon. As such, it is  
192 anticipated that the vertical profile will show seasonal variations. Thus the vertical profile  
193 measurements should be repeated in different seasons, in order to achieve a more detailed  
194 assessment of typical yearly exposure levels. In addition, in future studies, detailed measurements  
195 including ultrafine particles, as well as fine particles should be carried out.

196

## 197 **ACKNOWLEDGMENTS**

198

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202

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### Figure Captions

270 **Fig. 1.** An aerial photograph of the measurement site and the surrounding terrain.

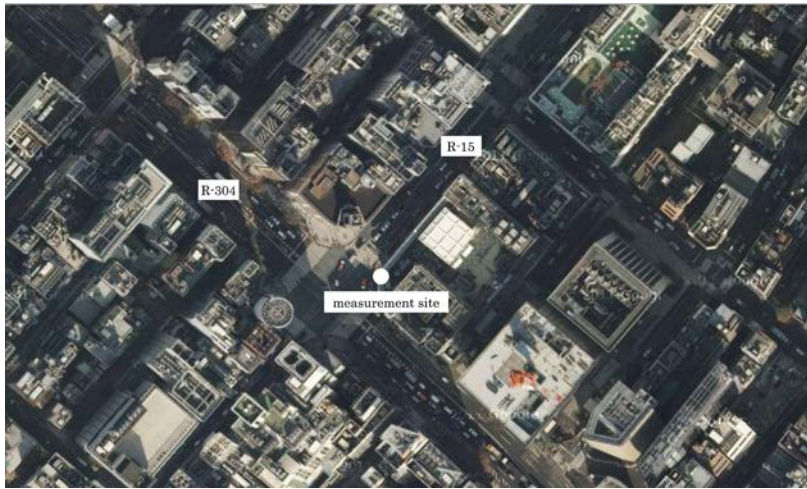
271 **Fig. 2.** Vertical profiles of the concentration of NO (red) and NO<sub>2</sub> (blue). Plots of NO<sub>2</sub> are shifted  
272 to 0.1 m higher in this figure. Error bars indicate one standard deviation.

273 **Fig. 3.** Size distribution of the fine particle for each sampling height.

274 **Fig. 4.** Vertical profiles of number concentration of fine particle for each particle diameter. Errors  
275 bar indicate one standard deviation.

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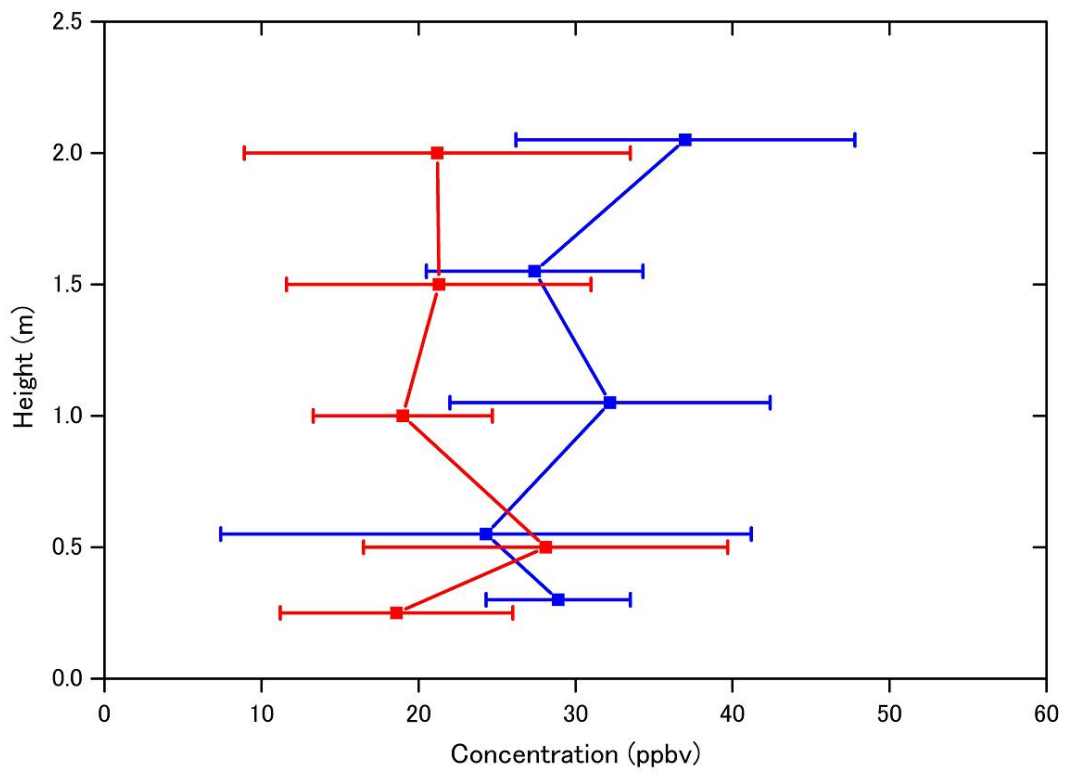
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**Fig. 1.**





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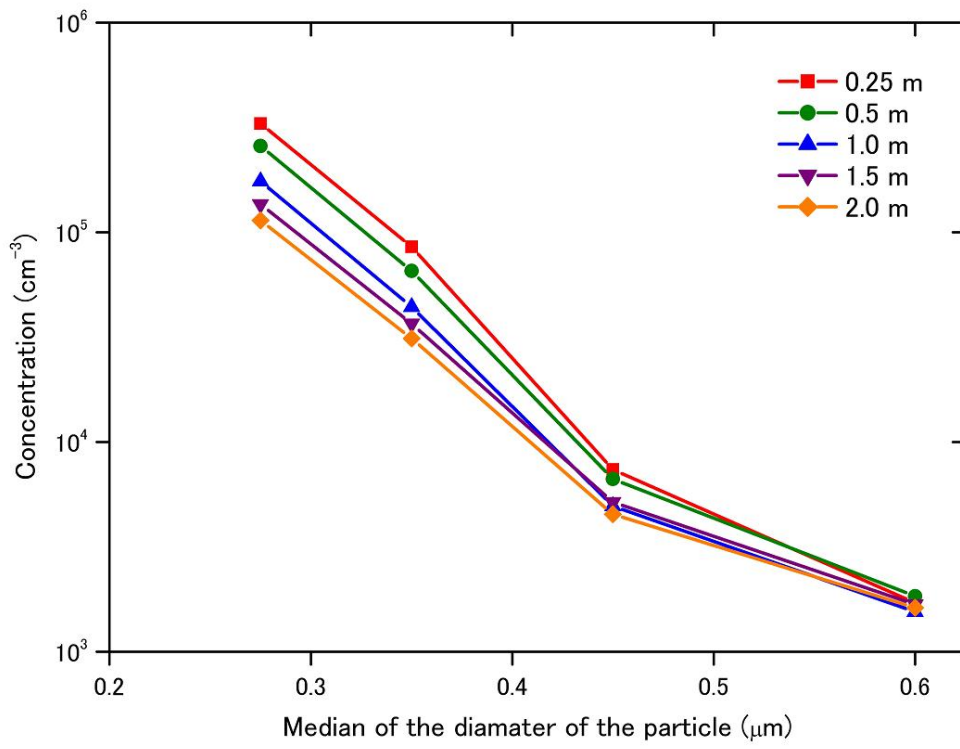
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**Fig. 2.**



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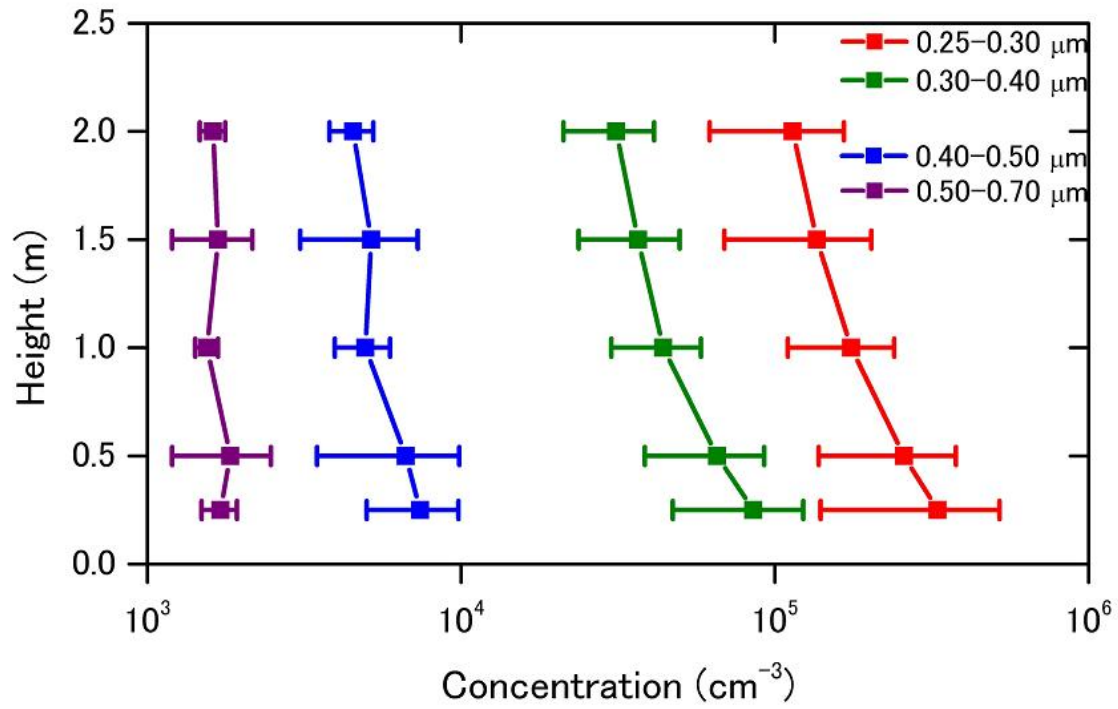
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**Fig. 3.**



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**Fig. 4.**