

DUALITY OF CUSP SINGULARITIES

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INTRODUCTION

Arnold introduced the notion of modality of an isolated singularity (roughly the number of moduli) and classified isolated singularities of small modality. Zero-modal hypersurface isolated singularities are Kleinian singularities A_n , D_n , E_6 , E_7 and E_8 . One-modal (unimodular) hypersurface isolated singularities are simple elliptic singularities \tilde{E}_6 , \tilde{E}_7 , \tilde{E}_8 , 14 exceptional singularities and cusp singularities $T_{p,q,r}$ with $(1/p)+(1/q)+(1/r)<1$. Moreover he reported that there is a strange duality of the 14 exceptional singularities, which was made clearer later by Pinkham [3]. The purpose of this note is to show that there are similar phenomena for the remaining unimodular singularities. See [5],[6],[7].

§1 THE STRANGE DUALITY OF ARNOLD

We consider the following germs S and S' of isolated singularities at the origins;

$$S : x^2z + y^3 + z^4 = 0 \quad , \quad S' : x^3 + y^8 + z^2 = 0.$$

S and S' are among the 14 exceptional unimodular singularities. Let $f = x^2z + y^3 + z^4$, $g = x^3 + y^8 + z^2$.

$$\Delta(S) = \Delta(S').$$

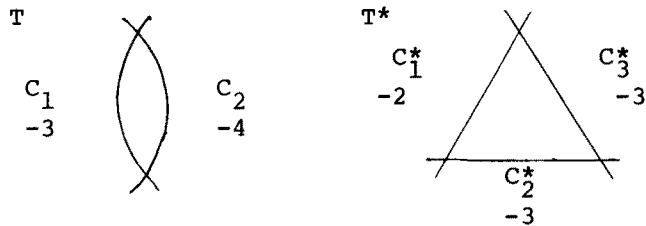
This is part of the strange duality of Arnold.

§2 $T_{3,4,4}$ AND $T_{2,5,6}$

We denote by $T_{p,q,r}$ a germ of an isolated singularity

$$x^p + y^q + z^r - xyz = 0$$

at the origin. Here $1/p + 1/q + 1/r < 1$. We define $\deg(T_{p,q,r}) = p+q+r$, $\text{index}(T_{p,q,r}) = (p-1, q-1, r-1)$, $\Delta(T_{p,q,r}) = pqr - pq - qr - rp$. Let $T = T_{3,4,4}$, $T^* = T_{2,5,6}$. First we resolve the singularities. Their exceptional sets in their minimal resolutions are cycles $C = C_1 + C_2$, $C^* = C_1^* + C_2^* + C_3^*$ of nonsingular rational curves with self-intersection numbers described below,



By blowing up the former once we obtain a cycle $C' = C_1' + C_2' + C_3'$ of nonsingular rational curves with $C_1'^2 = -1$, $C_2'^2 = -4$, $C_3'^2 = -5$ where C_2' and C_3' are proper transforms of C_1 and C_2 . Now we define $\text{cycle}(T) = (1, 4, 5)$ and $\text{cycle}(T^*) = (2, 3, 3)$. Then the first duality of T and T^* is

- 3 -

$$\text{index}(T) = \text{cycle}(T^*), \text{cycle}(T) = \text{index}(T^*).$$

The second is

$$\text{deg}(T) + \text{deg}(T^*) = 24$$

although it is still unclear why this is part of the duality. The third is

$$\Delta(T) = \Delta(T^*).$$

The intersection matrices of C and C^* are

$$(C_i C_j) = \begin{pmatrix} -3 & 2 \\ 2 & -4 \end{pmatrix}, (C_i^* C_j^*) = \begin{pmatrix} -2 & 1 & 1 \\ 1 & -3 & 1 \\ 1 & 1 & -3 \end{pmatrix}$$

whose determinants are equal to $\Delta(T)$ or $\Delta(T^*)$ up to sign.

Next we consider continued fraction expansions.

Let $\omega = [[\overline{3,4}]]$. By definition

$$\omega = 3 - \frac{1}{4 - \frac{1}{3 - \frac{1}{4 - \dots}}}} = 3 - \frac{1}{4 - \frac{1}{\omega}} = (3 + \sqrt{6})/2.$$

Then $1/\omega = [[1, 2, \overline{3, 2, 3}]]$. Since $(2, 3, 3)$ and $(3, 2, 3)$ are identified by the cyclic permutation of the irreducible components C_j^* , we may identify $(2, 3, 3)$ and $(3, 2, 3)$.

Conversely if we start with $\omega^* = [[\overline{3, 2, 3}]]$ for instance, then we obtain $1/\omega^* = [[1, 2, \overline{4, 3}]]$. This is the fourth duality of T and T^* . Finally we reconsider the exceptional sets in the minimal resolutions. The cycles C and C^* are so-called fundamental divisors of the

singularities T and T^* . So we define $\text{Deg}(T) = -C^2$,
 $\text{Deg}(T^*) = -(C^*)^2$. Then $\text{Deg}(T) = 3$ and $\text{Deg}(T^*) = 2$. The
 fifth duality is

$\text{Deg}(T)$ = the number of irreducible components of C^* ,

$\text{Deg}(T^*)$ = the number of irreducible components of C .

The duality shown above looks like the strange duality
 of Arnold very much. In fact $(3,4,4)$ and $(2,5,6)$ are
 Gabrielov and Dolgatchev numbers of one of the 14 excep-
 tional singularities. By interpreting the above duality
 suitably we can see a similar kind of duality for

$T_{2,3,6}, T_{2,4,4}, T_{3,3,3}$ and $\Pi_{2,2,2,2}$ (in other words $\tilde{E}_8,$
 $\tilde{E}_7, \tilde{E}_6, \tilde{D}_5$).

§3 DUALITY THEOREM

Let $\Pi_{p,q,r,s}$ be a germ of an isolated singularity

$$x^p + w^r = yz, y^q + z^s = xw$$

at the origin where p,q,r,s are integers ≥ 2 , at least
 one ≥ 3 . Let $T = \Pi_{p,q,r,s}$. We define $\text{deg}(T) = p+q+r+s$,
 $\text{index}(T) = (p,q,r,s)$, $\Delta(T) = pqrs - (p+r)(q+s)$. Let C ^{the}
 be the exceptional set (the fundamental divisor) of T in
 minimal resolution of T . C is a cycle of rational
 curves. We define $\text{Deg}(T) = -C^2$, $\text{length}(T) =$ the number
 of irreducible components of C . We define $\text{length}(T_{p,q,r})$
 in the same way.

THEOREM 1. Let S be the set of all $T_{p,q,r}$ and $\Pi_{p,q,r,s}$ with length less than 5. Then there is a bijection i of S onto itself such that for any T of S

- 0) $i(i(T)) = T$,
- 1) $\text{index}(T) = \text{cycle}(i(T))$, $\text{cycle}(T) = \text{index}(i(T))$,
- 2) $\text{deg}(T) + \text{deg}(i(T)) = 24$,
- 3) $\Delta(T) = \Delta(i(T))$,
- 4) an assertion about continued fraction expansions,
- 5) $\text{Deg}(T) = \text{length}(i(T))$, $\text{length}(T) = \text{Deg}(i(T))$.

By suitable extensions of the above definitions we obtain Duality Theorem of cusp singularities in the general case. We notice that $\#(S) = 38$ and $i(T_{p,q,r}) = T_{s,t,u}$ iff (p,q,r) and (s,t,u) are Gabrielov and Dolgatchev numbers of one of the exceptional singularities.

54 INOUE-HIRZEBRUCH SURFACES

Let K be a real quadratic field with $()'$ the conjugation, M a complete module in K , i.e. a free module in K of rank two. Let $U^+(M) = \{\alpha \in K; \alpha M = M, \alpha > 0, \alpha' > 0\}$, V be a subgroup of $U^+(M)$ of finite index. It is known that $U^+(M)$ is infinite cyclic. Let H be the upper half plane $\{z \in \mathbb{C}; \text{Im}(z) > 0\}$. Define the actions of M and $U^+(M)$ on $\mathbb{C} \times H$ by

$$\begin{aligned} m &: (z_1, z_2) \rightarrow (z_1 + m, z_2 + m') \\ \alpha &: (z_1, z_2) \rightarrow (\alpha z_1, \alpha' z_2) . \end{aligned}$$

Let $G(M,V)$ be the group generated by the actions of M and V on $\mathbb{C} \times H$ as above. The action of $G(M,V)$ on $\mathbb{C} \times H$ is free and properly discontinuous so that we have a quotient complex space $X'(M,V) := \mathbb{C} \times H / G(M,V)$. By adding to $X'(M,V)$ an ideal point ∞ called a cusp and endowing the union of ∞ and $X'(M,V)$ with a suitable topology and a suitable structure as a ringed space, we obtain a normal complex space $X(M,V)$. Let ω be a real quadratic irrationality with $\omega > 1 > \omega' > 0$. Let $1/\omega = [[f_1, \dots, f_h, \overline{e_1, \dots, e_k}]]$, and set $\omega^* = [[\overline{e_1, \dots, e_k}]]$.

LEMMA 1. There exists β in K such that

$$\beta\beta' = -1, \quad \beta(\mathbb{Z} + \mathbb{Z}\omega) = \mathbb{Z} + \mathbb{Z}\omega^*.$$

Let $M = \mathbb{Z} + \mathbb{Z}\omega$, $N = \mathbb{Z} + \mathbb{Z}\omega^*$. Then $U^+(M) = U^+(N)$. Let V be a subgroup of $U^+(M)$ of finite index. Let (z_1, z_2) and (w_1, w_2) be the coordinates of $X(M,V)$ and $X(N,V)$ with cusps deleted respectively. Then by identifying them by the relation $w_1 = \beta z_1$, $w_2 = \beta' z_2$, we can form a compact complex space $Y = Y(M,V)$ with cusp singularities.

THEOREM 2 (Inoue [2]). The minimal model $S(M,V)$ of $Y(M,V)$ has $b_1 = 1$, $b_2 > 0$ and no meromorphic functions except constants.

We call $S(M,V)$ an Inoue-Hirzebruch surface (associated with (M,V)) and $Y(M,V)$ a singular Inoue-Hirzebruch surface (with two cusps). Let p and q be the cusps of

$X(M,V)$ and $X(N,V)$ and we denote by the same p and q the cusps of $Y = Y(M,V)$.

We notice that any of $T_{p,q,r}$ and $\Pi_{p,q,r,s}$ is isomorphic to (Y,p) for some M and V . If $T(\in S)$ is isomorphic to the germ of Y at p (Y,p) , then $i(T)$ is isomorphic to (Y,q) . And then $\Delta(T) = \#(\text{the torsion part of } H_1(\mathbb{R} \times H/G(M,V), \mathbb{Z}))$ where $\mathbb{R} \times H/G(M,V)$ is a subset of $X(M,V)$ by the natural inclusion of $\mathbb{R} \times H$ into $\mathbb{C} \times H$. Since it is a subset of $X(N,V)$ too, this explains THEOREM 1 3). The relation between M and N is well described by the following

LEMMA 2 (Kenji Ueno) There exists a totally positive γ such that $N = \gamma(M^*)'$ where $M^* = \{x \in K; \text{tr}(xy) \in \mathbb{Z} \text{ for any } y \text{ in } M\}$, $(M^*)' = \{x'; x \in M^*\}$. In particular $X(N,V)$ is isomorphic to $X((M^*)',V)$.

THEOREM 3. Assume that (Y,p) and (Y,q) belong to S . Then $\text{Def}(Y)$ ($:=$ the deformation functor of Y) is non-obstructed and $\text{Def}(Y) = \text{Def}(Y,p) \times \text{Def}(Y,q)$, Y is smoothable by flat deformation. Any smooth deformation of Y is a minimal K3 surface.

THEOREM 4. Assume that (Y,p) and (Y,q) belong to S . Let Z be Y with q resolved (i.e. with q replaced by a cycle C^* of rational curves). Then Z is smoothable by flat deformation with C^* preserved. Any smooth deformation Z_t of Z with C^* preserved is the projective

plane \mathbb{P}^2 blown up along finitely many points lying on a rational cubic curve with a node and K_{Z_t} ($:=$ the canonical line bundle of Z_t) $= -C^*$. Moreover $H(Y, p) := \{a \in H_2(Z_t, \mathbb{Z}); aC_j^* = 0 \text{ for any irreducible component } C_j^* \text{ of } C^*\}$ has a \mathbb{Z} -base in $R(Y, p) := \{a \in H(Y, p): a^2 = -2\}$ whose intersection diagram (Dynkin diagram) is $T_{p, q, r}$ or $II_{p, q, r, s}$ corresponding to the type of the singularity (Y, p) .

The above two theorems were studied by J. Wahl and E. Looijenga too, but in more detail.

By an elliptic deformation Z_t (or U_t) of Z (or (Y, p)) we mean a fibre of $\pi : Z \rightarrow D$ (or $f : U \rightarrow D$) such that $Z_0 = Z$ (or $U_0 = (Y, p)$) and $h^1(\tilde{Z}_t, \mathcal{O}_{\tilde{Z}_t}) = 1$ (or $h^1(\tilde{U}_t, \mathcal{O}_{\tilde{U}_t}) = 1$) where \tilde{Z}_t (or \tilde{U}_t) is the nonsingular model of Z_t (or U_t).

THEOREM 5 There exists a proper flat family $f : \mathfrak{X} \rightarrow B$ such that $\mathfrak{X}_0 = Z$ and f is versal for both elliptic deformations of Z and elliptic deformations of (Y, p) . Nonsingular models of \mathfrak{X}_t are surfaces with $b_1 = 1$ and global spherical shells.

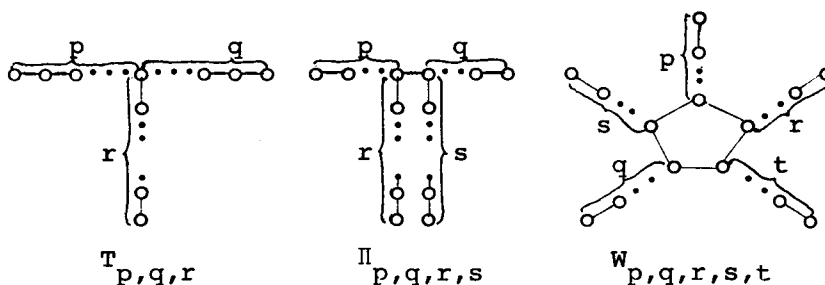
For simplicity we assume $\text{Deg}(Y, p) \geq 5$.

THEOREM 5 (CONTINUED) Define the "Dynkin diagram"

of Z or (Y,p) as follows,

$$\begin{array}{ll} T_{p,q,r} & \text{if } \text{index}(Y,p) = (p-1,q-1,r-1) \\ \Pi_{p,q,r,s} & \text{if } \text{index}(Y,p) = (p,q,r,s) \\ W_{p,q,r,s,t} & \text{if } \text{index}(Y,p) = (p,q,r,s,t) \end{array}$$

where $\text{index}(Y,p) := \text{cycle}(Y,q)$ which is the sequence of (-1) times selfintersection numbers of the exceptional rational curves. (See [6].) Then the singularities of elliptic deformations X_t of Z are in one to one correspondence with proper subdiagrams containing one of $T_{2,3,6}, T_{2,4,4}, T_{3,3,3}, \Pi_{2,2,2,2,2}$ and $W_{1,1,1,1,1}$ (in other words $\tilde{E}_8, \tilde{E}_7, \tilde{E}_6, \tilde{D}_5, \tilde{A}_4$). In particular the singularities of X_t are simple elliptic singularities, cusp singularities or rational double singularities A_k .



By THEOREM 4 there exists a proper flat family $f : Y \rightarrow D$ such that $Y_0 = Z$ (= a singular Inoue-Hirzebruch surface with one cusp) and Y_t ($t \neq 0$) is a rational surface. We notice that Z is by no means an algebraic surface. It is also remarkable to notice

THEOREM 6 (T. Oda [8]) There exists a proper flat family $f : X^* \rightarrow D$ such that $X_0^* =$ a rational surface with a double curve and X_t^* ($t \neq 0$) is a nonsingular Inoue-Hirzebruch surface.

§5 COHN'S SUPPORT POLYGONS

Let M be a complete module in a real quadratic field K . We embed M into \mathbb{R}^2 by the mapping $x \rightarrow (x, x')$. By this mapping we identify M as a subset of \mathbb{R}^2 . We define $M^+ := \{x \in M; x > 0, x' > 0\}$, $M^- := \{x \in M; x < 0, x' < 0\}$ which we view as subsets of \mathbb{R}^2 . We let $\Sigma^+(M)$ and $\Sigma^-(M)$ be the convex hulls of M^+ and M^- respectively. Then $\Sigma^\pm(M)$ is a convex set bounded by infinitely many line segments connecting two points of M^\pm . Let $\partial\Sigma^\pm(M)$ be the boundary of $\Sigma^\pm(M)$. We number $\partial\Sigma^\pm(M) \cap M$ consecutively. If $M = \mathbb{Z} + \mathbb{Z}\omega$ and ω is a totally positive quadratic irrationality with $\omega > 1 > \omega' > 0$ (i.e. reduced), then we may assume $\partial\Sigma^+(M) \cap M = \{n_j; j \in \mathbb{Z}\}$, $\partial\Sigma^-(M) \cap M = \{n_j^*; j \in \mathbb{Z}\}$, $n_0 = 1$, $n_{-1} = \omega$, $n_0^* = (\omega-1)/\omega^*$, $n_{-1}^* = \omega-1$. $U^+(M)$ acts on M^+ therefore on $\partial\Sigma^\pm(M) \cap M$. $\#(\partial\Sigma^\pm(M) \cap M \text{ mod } U^+(M))$ is finite. There exist positive integers a_j and a_j^* (≥ 2) such that

$$n_{j-1} + n_{j+1} = a_j n_j, \quad n_{j-1}^* + n_{j+1}^* = a_j^* n_j^* \quad (j \in \mathbb{Z})$$

$$\text{Let } \text{Dec}^+ = \{\{0\}, \mathbb{R}_+ n_j, \mathbb{R}_+ n_{j-1} + \mathbb{R}_+ n_j \quad (j \in \mathbb{Z})\}$$

$$\text{Dec}^- = \{\{0\}, \mathbb{R}_+ n_j^*, \mathbb{R}_+ n_{j-1}^* + \mathbb{R}_+ n_j^* \quad (j \in \mathbb{Z})\}.$$

Then evidently Dec^+ and Dec^- are cone decompositions of $\mathbb{R}_+ \times \mathbb{R}_+$ and $\mathbb{R}_+ \times \mathbb{R}_-$ respectively. By the general theory of torus embeddings we can construct complex algebraic varieties locally of finite type $\text{Temb}(\text{Dec}^+)$ and $\text{Temb}(\text{Dec}^-)$. The groups $U^+(M)$ and V act upon both of them freely and properly discontinuously. The quotient surfaces $\text{Temb}(\text{Dec}^\pm)/V$ are naturally minimal resolutions of (Y, p) and (Y, q) ([8]) where $Y = Y(M, V)$. By THEOREM 1 (or by definition in the general case) $\text{index}(Y, p) = (a_j^* ; j=1, \dots, s)$ (= the representatives of $a_j^* \bmod V$) and $\text{index}(Y, q) = (a_j ; j=1, \dots, t)$ (= the representatives of $a_j \bmod V$) if $s \geq 3$ or $t \geq 3$ respectively.

§ 6 FOURIER-JACOBI SERIES

Let $X'(M, V)$ be the natural image of $H \times H$ in $X(M, V)$, $X^0(M, V)$ the union of $X'(M, V)$ and the unique cusp of $X(M, V)$. Clearly $X^0(M, V)$ is an open neighborhood of the cusp ∞ . For a totally positive m in M^* we can define a convergent power series $F_m(z_1, z_2)$ on $X^0(M, V)$ by

$$F_m(z_1, z_2) = \sum_{v \in V} \exp(2\pi i (vmz_1 + v'm'z_2)).$$

Let n_j^* ($j=1, \dots, s$) be the representatives of $\partial\Sigma^-(M) \cap M \bmod V$. We notice that $m \equiv m^* \bmod V$ implies $F_m = F_{m^*}$.

On the other hand THEOREM 1 says $s = \text{Deg}((X(M, V), \infty))$.

Let ω be a totally positive reduced quadratic irrationality

(i.e. $\omega > 1 > \omega' > 0$), $M = \mathbb{Z} + \mathbb{Z}\omega$. We define a \mathbb{Z} homomorphism f of K onto K by $f(x) = (x/(\omega - \omega'))'$. This f induces a bijection of M^- with $(M^*)^+$ where $M^* = M'/(\omega - \omega')$.

THEOREM 7-1 Assume $s \geq 3$. Then $(X(M, V), \infty)$ is embedded into \mathbb{C}^s by $F_f(n_j^*)$ ($j=1, \dots, s$).

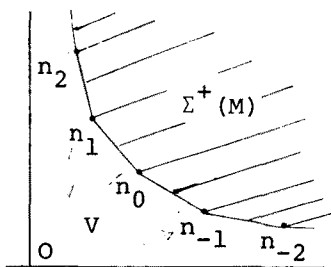
THEOREM 7-2 Assume $s = 2$. Then $(X(M, V), \infty)$ is embedded into \mathbb{C}^3 by $F_f(n_j^*)$ ($j=-1/2, 0, 1$) where $n_{-1/2}^* = n_{-1}^* + n_0^*$.

THEOREM 7-3 Assume $s = 1$. Then $(X(M, V), \infty)$ is embedded into \mathbb{C}^3 by $F_f(n_j^*)$ ($j=-1/4, -1/2, -1$) where $n_{-1/2}^* = n_{-1}^* + n_0^*$, $n_{-1/4}^* = n_{-1/2}^* + n_0^*$.

THEOREM 7 was proved also by Ueno.

The above choices of n_j^* in the cases $s = 1$ and 2 match the definitions of $\text{cycle}(T)$ which seem to be rather artificial. Let us check this by the example in §2.

Let $\omega = [[\overline{3, 4}]]$, $\omega^* = [[\overline{3, 2, 3}]]$, $M = \mathbb{Z} + \mathbb{Z}\omega$, $N = \mathbb{Z} + \mathbb{Z}\omega^*$, $V = U^+(M)$. Then $(X(M, V), \infty) \cong T_{3, 4, 4}$ and $(X(N, V), \infty) \cong T_{2, 5, 6}$. $\text{Temb}(\text{Dec}^+)$ and $\text{Temb}(\text{Dec}^-)$ are minimal resolutions of $(X(M, V), \infty)$ and $(X(N, V), \infty)$ respectively. Then the support polygon is as follows.



representatives

$$n_{-1} + n_1 = 3n_0 \quad n_0, n_1$$

$$n_0 + n_2 = 4n_1$$

$$n_1 + n_3 = 3n_2$$

....

$$n_{-1}^* + n_1^* = 3n_0^*$$

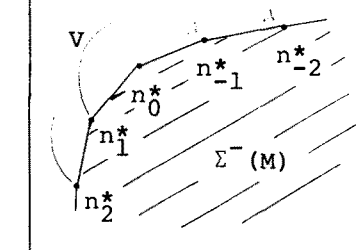
$$n_0^* + n_2^* = 2n_1^*$$

$$n_1^* + n_3^* = 3n_2^*$$

$$n_2^* + n_4^* = 3n_3^*$$

....

n_0^*, n_1^*, n_2^*



Let $n_{2k-(1/2)} = n_{2k-1} + n_{2k}$. Then we have

$$n_{-1} + n_0 = n_{-1/2}, \quad n_{-1/2} + n_1 = 4n_0, \quad n_0 + n_{3/2} = 5n_1.$$

Recall cycle $(T_{3,4,4}) = (1,4,5)$ and this was defined by blowing up once. By the general theory of torus embeddings any equivariant blowing-up of $\text{Temb}(\text{Dec}^+)$ corresponds to the subdivision of Dec^+ . Let $f_j = F_f(n_j^*)$

$$(j=0,1,2), \quad g_j = F((\omega^*-1)n_j/(\omega^*-\omega^*')), \quad (j=-1/2,0,1).$$

Then we can show that

$$f_0^4 + f_1^3 + f_2^4 - f_0 f_1 f_2 = \text{formal power series of } f_0, f_1, f_2$$

(terms of higher degree in some sense)

$$g_{-1/2}^2 + g_0^5 + g_1^6 - g_{-1/2} g_0 g_1 = \text{formal power series of } g_{-1/2}, g_0, g_1$$

(terms of higher degree in some sense).

We notice that $(a_0^*, a_1^*, a_2^*) = (3, 2, 3)$ and $(a_0, a_1) = (3, 4)$ so the triple defined newly is $(1, 4, 5)$. Similar facts are seen for all $T_{p,q,r}$ and $\Pi_{p,q,r,s}$. For the detail see [7].

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