

## AN EXAMPLE OF STABLE HIGGS BUNDLES WHICH DO NOT SATISFY THE BOGOMOLOV INEQUALITY

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### INTRODUCTION

The Bogomolov inequality for semistable vector bundles on smooth complex projective  $n$ -folds reads

$$c_2(\mathcal{E})A^{n-2} \geq \frac{r-1}{2r}c_1(\mathcal{E})^2A^{n-2},$$

where  $A$  is an ample divisor and  $\mathcal{E}$  is an  $A$ -semistable vector bundle of rank  $r$ . In case  $\mathcal{E}$  is  $A$ -stable with vanishing  $c_1(\mathcal{E})$ , the lower bound of this inequality  $c_2(\mathcal{E})A^{n-2} \geq 0$  is attained if and only if  $\mathcal{E}$  is a flat hermitian bundle associated with an irreducible unitary representation of the fundamental group  $\pi_1(X)$ , thereby establishing the one-to-one *Kobayashi-Hitchin correspondence* between the stable bundles with vanishing Chern classes and the irreducible unitary representations of  $\pi_1(X)$  [2]. The inequality is natural enough to have proofs by several different approaches (geometric invariant theory [1]; characteristic  $p$  method [3]; the theory of effective cones on ruled surfaces [8]; differential geometry [2]) and generalizes to bigger classes of semistable bundles, including orbibundles and parabolic bundles.

Another important class of generalised vector bundles is that of Higgs bundles (see [9]), and it is a natural question to ask if the Bogomolov inequality extends also to this class. The inequality is indeed true for standard types of Higgs bundles listed in Section 1 as Examples 0, 1, 2, and for bundles of small ranks 2, 3 as well [7]. Unfortunately, however, this is not the case for Higgs bundles of higher rank. In this note, we construct stable Higgs 4-bundles on surfaces of general type for which the inequality breaks down (Proposition 4 in Section 3). Starting from this example, we also find a nontrivially deforming families of stable Higgs 4-bundles with trivial Chern classes or, equivalently, non-trivial deformations of irreducible  $SL(4)$ -representations of the fundamental group (Section 4).

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### 1. HIGGS BUNDLES: DEFINITION AND STANDARD EXAMPLES

Let  $\mathcal{E}$  be a vector bundle on a complex manifold  $X$  and  $\theta: \mathcal{E} \rightarrow \Omega_X^1 \otimes \mathcal{E}$  an  $\mathcal{O}_X$ -linear mapping. The pair  $(\mathcal{E}, \theta)$  is said to be a *Higgs bundle* if the natural composite map  $\theta \wedge \theta: \mathcal{E} \rightarrow \Omega_X^2 \otimes \mathcal{E}$  identically vanishes. Alternatively,  $\mathcal{E}$  is a Higgs

bundle if an  $\mathcal{O}_X$ -linear action of the sheaf of the local vector fields  $\Theta_X$  on  $\mathcal{E}$  is given in such a way that  $\xi_1(\xi_2(e)) = \xi_2(\xi_1(e))$ ,  $\forall \xi_i \in \Theta_X, \forall e \in \mathcal{E}$ . In other words, a Higgs bundle is a vector bundle equipped with a  $\text{Sym } \Theta_X$ -module structure, where  $\text{Sym } \Theta_X = \bigoplus_{i=0}^{\infty} \text{Sym}^i \Theta_X$  is the symmetric tensor algebra generated by  $\Theta_X$ .

Higgs subsheaves are, by definition,  $\text{Sym } \Theta_X$ -submodules. Given an ample divisor  $A$  on  $X$ , the notion of  $A$ -(semi)stability of Higgs bundles is naturally defined.

Historically, Higgs structures were introduced as the moduli of flat connections [5]. Let  $\mathcal{E}$  be a vector bundle with an integrable connection  $\nabla_0: \mathcal{E} \rightarrow \Omega_X^1 \otimes \mathcal{E}$ . Given another integrable connection  $\nabla$ , the difference  $\theta = \nabla - \nabla_0: \mathcal{E} \rightarrow \Omega_X^1 \otimes \mathcal{E}$  obviously gives a Higgs bundle structure and this correspondence translates the moduli of the flat connections on  $\mathcal{E}$  into the moduli of Higgs bundle structures.

We give below several standard examples of Higgs bundles.

**Example 0.** An ordinary vector bundle is viewed as a Higgs bundle with trivial (zero) action of  $\Theta_X$ .

**Example 1.** Let  $X$  be a complex manifold. Then

$$\mathbf{E}_X^l = \bigoplus_{i=0}^l \text{Sym}^i \Theta_X$$

is a Higgs bundle, where the action of  $\text{Sym}^j \Theta$  is defined by the standard multiplication

$$\text{Sym}^j \Theta \otimes \text{Sym}^i \Theta_X \rightarrow \begin{cases} \text{Sym}^{i+j} \Theta_X, & \text{for } 0 \leq i+j \leq l \\ 0, & \text{for } i+j > l. \end{cases}$$

Given  $m \leq l$ , the sheaf

$$\mathbf{E}_X^{l,m} = \bigoplus_{i=m}^l \text{Sym}^i \Theta_X$$

is a Higgs subbundle of  $\mathbf{E}_X^l$ , and the quotient  $\mathbf{E}_X^l / \mathbf{E}_X^{l,m}$  is isomorphic to  $\mathbf{E}_X^m$ . (Actually a more natural definition of  $\mathbf{E}_X^l$  is the quotient  $\mathbf{E}_X^\infty / \mathbf{E}_X^{\infty,l}$ .)

If  $K_X A > 0$  and  $\Theta_X$  is  $A$ -semistable as an ordinary vector bundle [resp. if  $K_X A \geq 0$  and  $\Theta_X$  is  $A$ -semistable], then  $\mathbf{E}_X^l$  is an  $A$ -stable [resp.  $A$ -semistable] Higgs bundle. If  $K_X$  is ample and  $A = K_X$ , then the Yau inequality [10]

$$(-1)^n c_2(X) c_1(X)^{n-2} \geq (-1)^n \frac{\dim X - 1}{2 \dim X} c_1(X)^n$$

yields the Bogomolov inequality for the Higgs bundle  $\mathbf{E}_X^l$ .

**Example 2.** Given a non-negative integer  $l$ , we define the Higgs bundle  $\mathbf{F}_X^l$  by

$$\mathbf{F}_X^l = \bigoplus_{i=0}^l \text{Sym}^i \Omega_X^1,$$

where the action of  $\text{Sym}^j \Theta$  is given by the contraction homomorphism

$$\text{Sym}^j \Theta \otimes \text{Sym}^i \Omega_X^1 \rightarrow \begin{cases} \text{Sym}^{i-j} \Omega_X^1, & \text{for } j \leq i \\ 0, & \text{for } j > i. \end{cases}$$

If  $m \leq l$ , then  $\mathbf{F}_X^m \subset \mathbf{F}_X^l$  is naturally a Higgs subbundle.  $\mathbf{F}_X^l$  is an  $A$ -stable [resp.  $A$ -semistable] Higgs bundle if  $K_X A > 0$  and  $\text{Sym}^l \Omega_X^1$  is  $A$ -stable as an ordinary vector bundle [resp. if  $K_X A \geq 0$  and  $\Omega_X^1$  is  $A$ -semistable]. When, in addition,  $A = K_X$  is ample, the Bogomolov inequality is satisfied by  $\mathbf{F}_X^l$ .

**Example 3.** Let  $g: X \rightarrow Y$  be a morphism between complex manifolds and  $\mathcal{E}$  a Higgs bundle on  $Y$ . The natural homomorphism  $\Theta_X \rightarrow g^*\Theta_Y$  defines a canonical Higgs bundle structure on  $g^*\mathcal{E}$ .

**Example 4.** Given two Higgs bundles  $\mathcal{E}_i$ ,  $i = 1, 2$ , the tensor bundle  $\mathcal{E}_1 \otimes \mathcal{E}_2$  is a Higgs bundle by defining  $\theta(e_1 \otimes e_2) = \theta(e_1) \otimes e_2 + e_1 \otimes \theta(e_2)$ ,  $\theta \in \Theta_X$ ,  $e_i \in E_i$ . (the tensor Higgs bundle). The dual bundle  $\mathcal{E}^\vee$  is a Higgs bundle (the dual Higgs bundle) by  $\langle e|\theta(e^\vee)\rangle = -\langle\theta(e)|e^\vee\rangle$ . Here  $\theta \in \Theta_X$ ,  $e \in \mathcal{E}$ ,  $e^\vee \in \mathcal{E}^\vee$ , while  $\langle \cdot | \cdot \rangle$  stands for the canonical bilinear pairing.  $\mathbf{F}_X^l$  is the dual Higgs bundle of  $\mathbf{E}_X^l$ , if we give a nondegenerate pairing between  $\text{Sym}^m \Theta_X$  and  $\text{Sym}^m \Omega_X^1$  by

$$\langle \theta_1 \otimes \cdots \otimes \theta_m | \omega_1 \otimes \cdots \otimes \omega_m \rangle = \frac{(-1)^m}{m!} \sum_{\sigma \in \mathfrak{S}_m} \prod_{i=1}^m \omega_i(\theta_{\sigma(i)}).$$

## 2. HIRZEBRUCH'S KUMMER COVERS $X^{(n)}$ ATTACHED TO THE COMPLETE QUADRILATERAL LINE CONFIGURATION

We briefly review Hirzebruch's construction of Kummer covers of projective plane branching along a complete quadrilateral [4].

Take general four points  $P_1, \dots, P_4$  on projective plane  $\mathbb{P}^2$ , and let  $L_{ij} = L_{ji}$  denote the line connecting  $P_i$  and  $P_j$  ( $i \neq j$ ). The reduced divisor  $D = \bigcup L_{ij}$  is the so-called complete quadrilateral consisting of six lines, and the  $P_i$  are the triple points of  $D$ . The complete quadrilateral  $D$  has extra three double points of the form  $L_{i_1, i_2} \cap L_{j_1, j_2}$ , where  $\{i_1, i_2, j_1, j_2\} = \{1, 2, 3, 4\}$ . Exactly three singular points of  $D$  lies on each  $L_{ij}$ , two of which are triple points and one a double point. Thus the Euler number of the nonsingular locus of  $D$  is  $6 \times (2 - 3) = -6$ , while that of  $D$  is  $-6 + 4 + 3 = 1$ . Therefore the Euler number of the complement of  $D$  is given by  $e(X \setminus D) = 3 - 1 = 2$ .

Let  $\mu: X \rightarrow \mathbb{P}^2$  be the blowing up at the four triple points  $P_1, \dots, P_4$  of  $D$  and  $E_i \subset X$  the exceptional curve over  $P_i$ .  $X$  is a Del Pezzo surface of degree 5, with very ample anticanonical divisor  $-K_X \sim 3H - \sum E_i$ , where  $H$  denotes the pullback of the hyperplane of  $\mathbb{P}^2$ . The effective divisor  $\mu^*D$  is supported by a reduced effective divisor

$$\tilde{D} \sim \mu^* \sum L_{ij} - 2 \sum E_i \sim 6\mu^*H - 2 \sum E_i \sim -2K_X$$

with only simple normal crossings. Each  $E_i$  contains three singular points of  $\tilde{D}$  so that  $\tilde{D}$  has exactly  $4 \times 3 + 3 = 15$  double points. If  $\tilde{L}_{ij} \subset X$  denotes the strict transform of  $L_{ij}$ , we have

$$\#\tilde{L}_{ij} \cap \text{Sing}(\tilde{D}) = 3 = \#E_i \cap \text{Sing}(\tilde{D}).$$

Given a positive integer  $n$ , there exists a Kummer covering  $\pi^{(n)}: X^{(n)} \rightarrow X$  of degree  $n^5$  branching along  $\tilde{D}$  (see Hirzebruch[4]). The function field of  $X^{(n)}$  is simply obtained by adjoining the  $n$ -th roots  $\sqrt[n]{l_{ij}/l_{kl}}$  ( $i, j, k, l \in \{1, 2, 3, 4\}$ ) to  $\mathbb{C}(\mathbb{P}^2)$ , where  $l_{ij}$  is a linear defining equation of the line  $L_{ij}$ .  $X^{(n)}$  is a smooth projective surface and the local description of  $X_n$  is quite simple: if  $\tilde{D}$  is locally defined by the equation  $x = 0$  or  $xy = 0$ , then  $\pi_n^*: \mathcal{O}_X \rightarrow \mathcal{O}_{X_n}$  is given by  $(x, y) \mapsto (t^n, y)$  or  $(x, y) \mapsto (t^n, u^n)$ , where  $(x, y)$  and  $(t, u)$  are local coordinates of  $X$  and  $X^{(n)}$ .

In particular, the inverse image  $(\pi^{(n)})^{-1}(p) \subset X^{(n)}$  of a closed point  $p \in X$  consists of  $n^5$  [resp.  $n^4, n^3$ ] points when  $p \in X \setminus \tilde{D}$  [resp.  $p \in \tilde{D} \setminus \text{Sing}(\tilde{D})$ ,  $p \in \text{Sing}(\tilde{D})$ ]. The topological Euler number  $e(X^{(n)})$  of  $X^{(n)}$  is thus given by

$$\begin{aligned} n^{-5}e(X^{(n)}) &= e(X \setminus \tilde{D}) + n^{-1}e(\tilde{D} \setminus \text{Sing}(\tilde{D})) + n^{-2}e(\text{Sing}(\tilde{D})) \\ &= 2 + n^{-1} \times (6 + 4) \times (2 - 3) + n^{-2} \times 15 = 2 - 10n^{-1} + 15n^{-2}. \end{aligned}$$

On the other hand, we calculate  $K_{X^{(n)}}$  as

$$K_{X^{(n)}} \sim \pi^{(n)*}(K_X + (1 - n^{-1})\tilde{D}) \sim (1 - 2n^{-1})\pi^{(n)*}(-K_X),$$

and hence

$$c_1(X^{(n)})^2 = c_1(\Omega_{X^{(n)}}^1)^2 = 5n^5(1 - 2n^{-1})^2.$$

The surface  $X_n$  has ample canonical divisor if  $n \geq 3$ .

When  $n = 5$ , we have  $c_1^2(X_5) = 5^4 \times 9$ ,  $c_2(X_5) = e(X_5) = 5^4 \times 3$ , meaning that  $X_5$  is a surface of general type which attains the upper bound of the Miyaoka-Yau inequality  $K^2 \leq 3c_2$ .

The Del Pezzo surface  $X$  carries five linear pencils  $|2H - \sum E_i|$ ,  $|H - E_1|$ ,  $\dots$ ,  $|H - E_4|$ , defining five surjective morphisms from  $X$  onto  $\mathbb{P}^1$ . Each of these morphisms has exactly three fibres contained in the branch locus  $\tilde{D}$ . For instance, for the morphism associated with  $|2H - \sum E_i|$ , the three curves  $\tilde{L}_{12} + \tilde{L}_{34}$ ,  $\tilde{L}_{13} + \tilde{L}_{24}$  and  $\tilde{L}_{14} + \tilde{L}_{23}$  are such fibres, and so are  $\tilde{L}_{1i} + E_i$ ,  $i = 2, 3, 4$  for  $|H - E_1|$ .

Upstairs on  $X^{(n)}$ , there are thus five morphisms  $f_0^{(n)}, \dots, f_4^{(n)}$  onto the curve  $C^{(n)}$ , an  $n^2$ -sheeted Kummer cover of  $\mathbb{P}^1$  branching at three points, 0, 1 and  $\infty$ , say. The pullback line bundle  $\mathcal{L}_j^{(n)} = f_j^{(n)*}\omega_{C^{(n)}}$  is an invertible subsheaf of  $\Omega_{X^{(n)}}^1$ . We easily check that  $\mathcal{L}_j^{(n)}$  is saturated in  $\Omega_{X^{(n)}}^1$  and that

$$\begin{aligned} \mathcal{L}_0^{(n)} &\sim (1 - 3n^{-1})\pi^{(n)*}(2H - \sum E_i), \\ \mathcal{L}_i^{(n)} &\sim (1 - 3n^{-1})\pi^{(n)*}(H - E_i), \quad i = 1, \dots, n. \end{aligned}$$

Ishida [6] determined the irregularity of  $X^{(n)}$  by showing that the natural map  $\bigoplus_j f_j^* H^0(C^{(n)}, \Omega_{C^{(n)}}^1) \rightarrow H^0(X^{(n)}, \Omega_{X^{(n)}}^1)$  is an isomorphism (for instance,  $q(X^{(5)}) = 5g(C^{(5)}) = 30$ ).

In view of the definitions of  $X^{(n)}$  and  $C^{(n)}$ , the family  $(X^{(n)}, C^{(n)}, f_i^{(n)})$  form a partially ordered tower: there are natural Kummer covers  $\pi_{(n)}^{(mn)} : X^{(mn)} \rightarrow X^{(n)}$  of degree  $m^5$  and  $p_{(n)}^{(mn)} : C^{(mn)} \rightarrow C^{(n)}$  such that the diagram

$$\begin{array}{ccc} X^{(mn)} & \xrightarrow{\pi_{(n)}^{(mn)}} & X^{(n)} \\ f_i^{(mn)} \downarrow & & f_i^{(n)} \downarrow \\ C^{(mn)} & \xrightarrow{p_{(n)}^{(mn)}} & C^{(n)} \end{array}$$

commutes.

### 3. CONSTRUCTION OF A STABLE HIGGS 4-BUNDLE $\mathcal{H}$

Let the notation be as in the previous section.

We construct a Higgs 4-bundle  $\mathcal{H}$  on  $X^{(15)}$  as a subsheaf of  $\pi_{(5)}^{(15)*} \mathbf{F}_{X^{(5)}}^2$  (see Section 1, Examples 2 and 3).

Let  $C_{ij}^{(n)}, F_k^{(n)} \subset X^{(n)}$  ( $1 \leq i < j \leq 4$ ,  $1 \leq k \leq 4$ ) be the inverse images of  $\tilde{L}_{ij}, E_k \subset X$  with reduced scheme structures. Each of them is a union of  $n^3$  copies of a curve isomorphic to  $C^{(n)}$ .  $C_{ij}^{(n)}$  is contained in  $n^3$  fibres of  $f_i^{(n)}, f_j^{(n)}$  and  $f_0^{(n)}$ , whereas it is union of sections of  $f_l^{(n)}$  for  $l \in \{1, 2, 3, 4\} \setminus \{i, j\}$ . Similarly,  $F_i^{(n)}$  is a union of sections of  $f_i^{(n)}, f_0^{(n)}$  and contained in fibres of the other three projections to  $C^{(n)}$ .

Let  $n = 3$ . Then  $C^{(3)}$  is an elliptic curve. Fix a basis  $\eta$  of  $H^0(C^{(3)}, \Omega_{C^{(3)}}^1) \simeq \mathbb{C}$ , and put  $\eta_i = f_i^{(3)*} \eta \in H^0(X^{(3)}, \Omega_{X^{(3)}}^1)$ .

**Proposition 1.** *The 1-forms  $\eta_0$  and  $\omega = \eta_0 - \eta_1 - \eta_2 - \eta_3 - \eta_4$  are non-zero and sit in the subsheaves*

$$\text{Ker}(\Omega_{X^{(3)}}^1 \rightarrow \bigoplus_{ij} \Omega_{C_{ij}^{(3)}}^1)$$

and

$$\text{Ker}(\Omega_{X^{(3)}}^1 \rightarrow \bigoplus_{i=1}^4 \Omega_{F_i^{(3)}}^1),$$

respectively.

*Proof.* This immediately follows from the following two facts.

- (1)  $f_0$  maps each  $\tilde{L}_{ij}$  to a single point on  $\mathbb{P}^1$  or, equivalently,  $f_0^{(3)}$  maps each  $C_{ij}^{(3)}$  to finitely many points of  $C^{(3)}$ ;
- (2)  $f_i : E_j \rightarrow \mathbb{P}^1$  is either an isomorphism ( $i = 0$  or  $i = j$ ) or a constant map ( $j \neq i = 1, 2, 3, 4$ ) or, equivalently,  $f_i^{(3)} : F_j^{(3)} \rightarrow C^{(3)}$  restricted to each irreducible component is either an isomorphism or a constant map.

Indeed, viewed as 1-forms on  $C_{ij}^{(3)} \simeq C^{(3)}$ ,  $\eta_0 = \eta_i = \eta_j = 0$ ,  $\eta_l = \eta$ ,  $l \neq 0, i, j$ , so that  $\eta_0|_{C_{ij}^{(3)}} = 0$ ,  $\omega|_{C_{ij}^{(3)}} = -2\eta \neq 0$ . On  $F_i^{(3)}$ , we have  $\eta_0 = \eta_i = \eta$ ,  $\eta_j = 0$ ,  $j \neq 0, i$ , so that  $\eta_0|_{F_i^{(3)}} = \eta \neq 0$ ,  $\omega|_{F_i^{(3)}} = 0$ .

**Corollary 2.** *In the same notation as above,*

$$\begin{aligned} \pi_{(3)}^{(3n)*} \eta_0 &\in \Omega_{X^{(3n)}}^1(-(n-1) \sum_{1 \leq i < j \leq 4} C_{ij}^{(3n)}), \\ \pi_{(3)}^{(3n)*} \omega &\in \Omega_{X^{(3n)}}^1(-(n-1) \sum_{i=1}^4 F_i^{(3n)}). \end{aligned}$$

*Proof.* Let  $(x, y)$  be a local coordinate at a general point of  $C_{ij}^{(3)}$  such that  $C_{ij}^{(3)}$  is defined by  $x = 0$ .  $\pi_{(3)}^{(3n)}$  is then given by  $(t, u) \mapsto (x, y) = (t^n, u)$ . Proposition 1 asserts that  $\eta_0$  is of the form  $\alpha dx + x\beta dy$ ,  $\alpha, \beta \in \mathcal{O}$ , so that  $\pi_{(3)}^{(3n)*} \eta_0$  is of the form  $nt^{n-1}\alpha dt + t^n\beta du$ . The second statement for  $\omega$  is similarly proved.

$\Omega_{X^{(3n)}}^1$  contains  $\pi_{(n)}^{(3n)*}\Omega_{X^{(n)}}^1$  as well as  $\pi_{(3)}^{(3n)*}\Omega_{X^{(3)}}^1$ . At a general point  $p$  of each component of the ramification locus, the former subsheaf is generated by  $t^2 dt, du$ , while the latter by  $t^{n-1} dt, du$ . In particular, when  $n > 3$ ,

$$\pi_{(3)}^{(3n)*}\Omega_{X^{(3)}}^1 \subset \pi_{(n)}^{(3n)*}\Omega_{X^{(n)}}^1 \subset \Omega_{X^{(3n)}}^1.$$

The following assertion follows from the above local description of  $\pi_{(n)}^{(3n)*}\Omega_{X^{(n)}}^1$  together with the proof of Corollary 2.

**Corollary 3.** *Fix  $n \geq 4$ . Then*

$$\begin{aligned} \pi_{(3)}^{(3n)*}\eta_0 &\in (\pi_{(n)}^{(3n)*}\Omega_{X^{(n)}}^1)(-(n-3) \sum_{1 \leq i < j \leq 4} C_{ij}^{(3n)}), \\ \pi_{(3)}^{(3n)*}\omega &\in (\pi_{(n)}^{(3n)*}\Omega_{X^{(n)}}^1)(-(n-3) \sum_{i=1}^4 F_i^{(3n)}). \end{aligned}$$

Hence

$$\begin{aligned} \pi_{(3)}^{(3n)*}\eta_0\omega &\in \pi_{(n)}^{(3n)*}\mathrm{Sym}^2\Omega_{X^{(n)}}^1(-(n-3) \left( \sum_{1 \leq i < j \leq 4} C_{ij}^{(3n)} + \sum_{i=1}^4 F_i^{(3n)} \right)) \\ &= (\pi_{(n)}^{(3n)*}\mathrm{Sym}^2\Omega_{X^{(n)}}^1) \left( -\frac{2(n-3)}{3n} \pi_{(3n)*}(3H - \sum E_i) \right) \\ &= \pi_{(n)}^{(3n)*}\mathrm{Sym}^2\Omega_{X^{(n)}}^1 \left( -\frac{2(n-3)}{3(n-2)} K_{X^{(n)}} \right). \end{aligned}$$

Put  $n = 5$ . Then we get the following

**Proposition 4.** (1)  $\pi_{(5)}^{(15)*}\mathrm{Sym}^2\Omega_{X^{(5)}}^1 \supset \mathcal{L} = \mathcal{O}(\frac{4}{9}\pi_{(5)}^{(15)*}K_{X^{(5)}})$ .

(2)  $\mathcal{H} = \mathcal{L} \oplus \pi_{(5)}^{(15)*}\Omega_{X^{(5)}}^1 \oplus \mathcal{O}$  is a Higgs subsheaf of

$$\pi_{(5)}^{(15)*}\mathbf{F}_{X^{(5)}}^2 = \pi_{(5)}^{(15)*}(\mathrm{Sym}^2\Omega_{X^{(5)}}^1 \oplus \Omega_{X^{(5)}}^1 \oplus \mathcal{O}_{X^{(5)}}).$$

(3)  $c_1(\mathcal{H}) = \frac{13}{9}\pi_{(5)}^{(15)*}K_{X^{(5)}}$ ,  $c_2(\mathcal{H}) = \pi_{(5)}^{(15)*}(c_2(\Omega_{X^{(5)}}^1) + \frac{4}{9}K_{X^{(5)}}^2) = \frac{7}{9}\pi_{(5)}^{(15)*}K_{X^{(5)}}^2$ , so that

$$\frac{c_1^2(\mathcal{H})}{c_2(\mathcal{H})} = \frac{169}{63} = \frac{8}{3} \times \frac{169}{168} > \frac{8}{3}.$$

(4) *The Higgs 4-bundle  $\mathcal{H}$  is  $\pi_{(5)}^{(15)*}K_{X^{(5)}}$ -stable.*

*Proof.* Corollary 3 is rephrased into (1), which in turn yields (2). (3) follows from direct computation. In order to show (4), we check that the average degree of a saturated Higgs subsheaf of  $\mathcal{H}$  is strictly smaller than  $(13/36)\pi_{(5)}^{(15)*}K_{X^{(5)}}^2$ , the average degree of  $\mathcal{H}$ . At a general point  $q$  of  $C_{ij}^{(3)}$ , the product  $\eta_0\omega$  is of the form  $\alpha dx dy$ , where  $\alpha \in \mathcal{O}^\times$  and  $(x, y)$  is a local coordinate. Hence  $\Theta_{X^{(3)}}\mathcal{O}\eta_0\omega = \Omega_{X^{(3)}}^1$  around  $q$ . Then it is obvious that, at a general point  $p \in X^{(15)}$ ,  $\Theta_{X^{(15)}, p} = (\pi_{(5)}^{(15)*}\Theta_{X^{(5)}})_p = (\pi^{(15)*}\Theta_X)_p$ ,  $\Theta_{X^{(15)}, p}\mathcal{L}_p = \pi_{(5)}^{(15)*}\Omega_{X^{(5)}, p}^1$ . This shows that a proper Higgs subsheaf of  $\mathcal{H}$  must be contained in  $\pi_{(5)}^{(15)*}\mathbf{F}_{X^{(5)}}^1 = \pi_{(5)}^{(15)*}(\Omega_{X^{(5)}}^1 \oplus \mathcal{O}_{X^{(5)}})$ , and the assertion follows from the semistability of  $\mathbf{F}_{X^{(5)}}^1$  of average degree  $(1/3)\pi_{(5)}^{(15)*}K_{X^{(5)}}^2$ .

#### 4. STABLE HIGGS 4-BUNDLES WITH VANISHING CHERN CLASSES

Starting from the 4-bundle  $\mathcal{H}$  described in the previous section, we can construct many stable Higgs 4-bundles with trivial Chern classes.

Recall that  $\mathcal{H}$  is the direct sum  $\mathcal{L} \oplus \pi_{(5)}^{(15)*} \Omega_{X^{(5)}}^1 \oplus \mathcal{O}$ . Take line bundles  $\mathcal{L}_1, \mathcal{L}_2$  such that  $\mathcal{L}_1 \subset \mathcal{L}$ ,  $\mathcal{L}_2 \supset \mathcal{O}$ . Then  $\mathcal{H}' = \mathcal{L}_1 \oplus \pi_{(5)}^{(15)*} \Omega_{X^{(5)}}^1 \oplus \mathcal{L}_2$  is naturally a Higgs bundle.  $\mathcal{H}'$  is stable if

- (1)  $c_1(\mathcal{L}_1) \pi_{(5)}^{(15)*} K_{X^{(5)}} > \frac{1}{3} (\pi_{(5)}^{(15)*} K_{X^{(5)}} + c_1(\mathcal{L}_2)) \pi_{(5)}^{(15)*} K_{X^{(5)}}$ , and
- (2)  $c_1(\mathcal{L}_2) \pi_{(5)}^{(15)*} K_{X^{(5)}} < \frac{1}{2} (\pi_{(5)}^{(15)*} K_{X^{(5)}})^2$ .

Choose  $\mathcal{L}_i$  to be of the form  $\mathcal{O}(t_i \pi_{(5)}^{(15)*} K_{X^{(5)}})$ , where  $t_i \in \mathbb{Q}$ ,  $t_1 < 4/9, t_2 > 0$ . Such  $\mathcal{L}_i$ 's make sense if we replace  $X^{(15)}$  by a suitable ramified cover  $Y = X^{(15l)}$ , where  $l$  is a sufficiently divisible positive integer. Thus we consider the vector bundle  $\mathcal{H}' = \mathcal{L}_1 \oplus \pi_{(5)}^{Y*} \Omega_{X^{(5)}}^1 \oplus \mathcal{L}_2$  on  $Y$ , where  $\pi_{(5)}^Y : Y \rightarrow X^{(5)}$  is the projection.

The Chern classes of the vector bundle  $\mathcal{H}'$  are:

$$\begin{aligned} c_1(\mathcal{H}') &= (t_1 + t_2 + 1) \pi_{(5)}^{Y*} K_{X^{(5)}}, \\ c_2(\mathcal{H}') &= \left( t_1 t_2 + t_1 + t_2 + \frac{1}{3} \right) \left( \pi_{(5)}^{Y*} K_{X^{(5)}} \right)^2. \end{aligned}$$

Thus the condition

$$3c_1^2(\mathcal{H}') = 8c_2(\mathcal{H}')$$

is given by the quadratic equation

$$3t_1^2 - 2t_1 t_2 + 3t_2^2 - 2t_1 - 2t_2 + \frac{1}{3} = 0,$$

a solution of which is  $(t_1, t_2) = (\frac{1}{3}, 0)$ . Hence there are infinitely many rational solutions of the quadratic equation, and the stability condition is a non-empty open condition on those solutions (the rational point  $(1/3, 0)$  lies on the boundary of the region given by the stability condition (1) and in the interior of the one given by (2)). For instance,

$$(t_1, t_2) = \left( \frac{25}{3 \cdot 19}, \frac{2}{32 \cdot 19} \right)$$

is a solution with

$$(c_1(\mathcal{H}'), c_2(\mathcal{H}')) = \left( \frac{8 \cdot 31}{9 \cdot 19} \pi_{(5)}^{Y*} K_{X^{(5)}}, \frac{3 \cdot 8 \cdot 31^2}{9^2 \cdot 19^2} (\pi_{(5)}^{Y*} K_{X^{(5)}})^2 \right).$$

We thus conclude that there are 4-bundles  $\mathcal{H}'$  such that the normalized bundles  $\mathcal{G} = \mathcal{H}'(-\frac{1}{4}c_1(\mathcal{H}'))$  are stable Higgs 4-bundles with trivial Chern classes. By a theorem of Simpson [9],  $\mathcal{G}$  is a flat vector bundle induced by an irreducible representation  $\pi_1(Y) \rightarrow \mathrm{SL}(4)$ .

On  $Y = X^{(15l)}$ , the (integral) divisor  $(\frac{4}{9} - t_1) \pi_{(5)}^{Y*} K_{X^{(5)}}$  is linearly equivalent to a sum of the fibres  $f_i^{Y*} p_{i\alpha}$ , where  $f_i^Y : Y \rightarrow C^{(15l)}$  is the projection and  $p_{i\alpha} \in C^{(15l)}$  (indeed,  $-2K_X \sim \sum_{i=0}^4 f_i^* \mathcal{O}_{\mathbb{P}^1}(1)$  on the Del Pezzo surface  $X$ , and the divisor in

question is a rational multiple of the pullback of  $-2K_X$ ). If we replace  $p_{i\alpha}$  by another point  $q_{i\alpha} \sim p_{i\alpha} + \tau_{i\alpha}$ ,  $\tau_{i\alpha} \in \text{Pic}^0(C^{(15l)})$ , we get an effective invertible sheaf

$$\mathcal{O}_Y\left(\sum_{i,\alpha} f_i^Y * q_{i\alpha}\right) \simeq \mathcal{O}_Y\left(\left(\frac{4}{9} - t_1\right)\pi_{(5)}^Y * K_{X^{(5)}} + \sum_{i,\alpha} f_i^Y * \tau_{i\alpha}\right) = \mathcal{L} \otimes \mathcal{L}_1^{-1}\left(\sum_{i,\alpha} f_i^Y * \tau_{i\alpha}\right).$$

This isomorphism induces an injection

$$\mathcal{L}_1(-\tau) = \mathcal{L}_1\left(-\sum_{i,\alpha} f_i^Y * \tau_{i\alpha}\right) \hookrightarrow \mathcal{L} \subset \pi_{(5)}^Y * \text{Sym}^2 \Omega_{X^{(5)}}^1,$$

where  $\tau = \sum f_i^Y * \tau_{i\alpha}$  nontrivially moves in  $\text{Pic}^0(Y)$ . Putting

$$\mathcal{H}'_\tau = \mathcal{L}_1(-\tau) \oplus \pi_{(5)}^{(15)*} \Omega_{X^{(5)}}^1 \oplus \mathcal{L}_2, \quad \mathcal{G}_\tau = \mathcal{H}'_\tau\left(-\frac{1}{4}c_1(\mathcal{H}'_\tau)\right),$$

we obtain a deforming family of stable Higgs bundles  $\mathcal{G}_\tau$  with  $c_1 = 0 \in \text{Pic}(Y)$  and  $c_2 = 0 \in H^4(Y, \mathbb{Z})$ . By Simpson's theorem [9], it gives rise to a deformation of irreducible representations  $\pi_1(Y) \rightarrow \text{SL}(4)$  parametrized by a product of several copies of  $C^{(15l)}$ .

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