Fourier-Mukai transforms and canonical divisors

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1 Introduction

Let X be a smooth projective variety over C. The main subject of this article is investigating the derived category of coherent sheaves on X. We define D(X) as

$$D(X) := D^b(\operatorname{Coh}(X)).$$

Recently D(X) has attained much interest in many mathematical aspects, and is expected to represent several symmetries. We have the following examples:

• Homological mirror symmetry

If X is a Calabi-Yau 3-fold, it is expected there exists another Calabi-Yau 3-fold \check{X} , such that X and \check{X} are related by some symmetry. \check{X} is called a mirror manifold of X. It is expected we can define Fukaya category $Fuk(\check{X})$, which depends not complex structures but symplectic structures, such that we have an equivalence

$$D(X) \to DFuk(X).$$

Moduli spaces of stable sheaves

D(X) is useful in investigating moduli spaces of stable sheaves. It is wellknown that some moduli spaces have equivalent derived categories with that of the original varieties. The famous example of Mukai [8] shows if A is an abelian variety and \hat{A} is its dual, the Poincare line bundle gives an equivalence

$$D(\hat{A}) \to D(A).$$

• Birational geometry

Let $\phi: X \dashrightarrow X^+$ be a 3-dimensional flop. In [2], Bridgeland showed there exists an equivalence

$$D(X) \to D(X^+).$$

His method is considering X^+ as a moduli space of perverse sheaves $Per(X) \subset D(X)$. It is remarkable that his method gives a conceptual proof of the existence of flops.

In this article, we are concerned with the problem "To what extent is X determined by D(X)?" We define FM(X) as the set of smooth projective varieties, whose derived categories are equivalent to D(X), up to isomorphism. The members of FM(X) are called Fourier-Mukai partners of X. Take an object $\mathcal{P} \in D(X \times Y)$. Then we can define a functor

$$\Phi^{\mathcal{P}}_{X \to Y} := \mathbf{R}g_{*}(f^{*}(*) \overset{\mathbf{L}}{\otimes} \mathcal{P}) \colon D(X) \to D(Y).$$

Here $f: X \times Y \to X$ and $g: X \times Y \to Y$ are projections. The following theorem is fundamental.

Theorem 1.1 (Orlov [11]) Let $Y \in FM(X)$ and $\Phi: D(X) \to D(Y)$ gives an equivalence. Then there exists an object $\mathcal{P} \in D(X \times Y)$ such that Φ is isomorphic to the functor $\Phi_{X \to Y}^{\mathcal{P}}$. Moreover \mathcal{P} is uniquely determined up to isomorphism.

 \mathcal{P} is called a kernel of Φ . The problem is classifying the Fourier-Mukai partners of X. The followings are known results.

• dim X = 1

In this case, it is easy to show $FM(X) = \{X\}$.

• dim X = 2

In this case, $FM(X) = \{X\}$ except X is a K3 surface or an Abelian surface, or an elliptic surface. When X is one of such varieties, FM(X) are given by some moduli spaces of stable sheaves. These results are shown by Bridgeland-Maciocia [4] and Kawamata [7].

• X is a general type or $\pm K_X$ is ample

When X is a general type, Kawamata [7] showed $Y \in FM(X)$ if and only if X and Y are K-equivalent. When $\pm K_X$ is ample, then $FM(X) = \{X\}$. This is a result of Bondal-Orlov [1].

In these results, we can see the common methods in treating this problem, summarized as follows:

- If K_X has much information, then we can reconstruct (general) closed points $\{\mathcal{O}_x\}_{x\in X}$
- If K_X has no information, then we can use Torelli theorem

The main purpose of this article is to generalize these methods, and the main idea is the following:

"If there exists $E \in |mK_X|$, then use E to reduce the problem to lower dimensional case."

2 Correspondence of canonical divisors

In this section we compare the canonical divisors of X and Y, when $Y \in FM(X)$, and state the main theorem. Firstly we define the Serre functor.

Definition 2.1 We define S_X as

$$S_X := \otimes \omega_X[\dim X] \colon D(X) \to D(X).$$

 S_X satisfies the following categorical property

$$\operatorname{Hom}(E,F) \cong \operatorname{Hom}(F,S_X(E)),$$

and characterized by this property. Therefore if $\Phi: D(X) \to D(Y)$ gives an equivalence, then we have an isomorphism of functors

$$\Phi \circ S_X \cong S_Y \circ \Phi.$$

Since the kernel of the left hand side is given by $\mathcal{P} \otimes f^* \omega_X[\dim X]$ and right hand side is given by $\mathcal{P} \otimes g^* \omega_Y[\dim Y]$, we have an isomorphism by Orlov's theorem

$$\mathcal{P} \otimes f^* \omega_X[\dim X] \xrightarrow{\cong} \mathcal{P} \otimes g^* \omega_Y[\dim Y].$$

So it follows that dim $X = \dim Y$ and for all $m \in \mathbb{Z}$ we have an isomorphism

$$\rho_m \colon \mathcal{P} \otimes \mathcal{O}(mf^*K_X) \xrightarrow{\cong} \mathcal{P} \otimes \mathcal{O}(mg^*K_Y).$$

On the other hand, since S_X is a categorical invariant, we have the isomorphism of natural transforms

$$\tau_m \colon \operatorname{Nat}(\operatorname{id}_X, S_X^m[-dm]) \xrightarrow{\cong} \operatorname{Nat}(\operatorname{id}_Y, S_Y^m[-dm]).$$

Here $d = \dim X$ and Nat means natural transforms. Note that $\operatorname{Nat}(\operatorname{id}_X, S_X^m[-dm])$ contains $H^0(X, mK_X)$ as a linear subspace and it is easy to show that the above isomorphism preserve these subspaces. So we have an isomorphism

$$H^0(X, mK_X) \xrightarrow{\cong} H^0(Y, mK_Y).$$

Take $\sigma \in H^0(X, mK_X)$ and $\operatorname{div}(\sigma) = E \in |mK_X|$. Let $\sigma^{\dagger} \in H^0(Y, mK_Y)$ corresponds to σ and $\operatorname{div}(\sigma^{\dagger}) = E^{\dagger} \in |mK_Y|$. We want to compare E and E^{\dagger} . It is easy to show that Φ preserves these supports. For $Z \hookrightarrow X$ closed subscheme, we define $D_Z(X)$ as follows:

$$D_Z(X) := \{ a \in D(X) \mid \text{Supp } a := \cup \text{Supp } H^i(a) \subset Z \}.$$

We have the following lemma.

Lemma 2.2 Φ takes $D_E(X)$ to $D_{E^{\dagger}}(Y)$.

(Proof)Take $a \in Coh(X) \cap D_E(X)$. Then

$$\sigma^N(a)\colon a \to a \otimes \mathcal{O}(NmK_X)$$

are zero-maps for sufficiently large N. Then

$$(\sigma^{\dagger})^{N}(\Phi(a)) \colon \Phi(a) \to \Phi(a) \otimes \mathcal{O}(NmK_{Y})$$

are also zero-maps. This implies $\operatorname{Supp} \Phi(a) \subset E^{\dagger}$, and since $D_E(X)$ is generated by $\operatorname{Coh}(X) \cap D_E(X)$, the lemma follows. \Box

Unfortunately D(E) is far from $D_E(X)$ and we want to compare D(E) and $D(E^{\dagger})$. If D(E) and $D(E^{\dagger})$ are equivalent, then the relation between E and E^{\dagger} gives some information of the relation between X and Y. For the sake of applications, it is convenient to formulate the theorem on the complete intersections of these divisors. Take $E_i \in |m_i K_X|$ for $i = 1, 2 \cdots, n$. Here n is an arbitrary natural number. Take a connected component $C \subset \bigcap_{i=1}^n E_i$. Then by the same argument of the lemma, there exists an unique connected component $C^{\dagger} \subset \bigcap_{i=1}^n E_i^{\dagger}$ such that Φ takes $D_C(X)$ to $D_{C^{\dagger}}(Y)$. We assume the following conditions:

• C and C^{\dagger} are complete intersections.

•
$$Tor_i^{\mathcal{O}_{X\times Y}}(H^k(\mathcal{P}), \mathcal{O}_{C\times C^{\dagger}}) = Tor_i^{\mathcal{O}_{X\times Y}}(H^k(\mathcal{E}), \mathcal{O}_{C\times C^{\dagger}}) = 0$$
 for all k and $i > 0$.

Here \mathcal{E} is a kernel of Φ^{-1} . These conditions are satisfied, for example, $|m_i K_X|$ are free and E_i are generic members. Main theorem is the following:

Theorem 2.3 Under the above conditions, there exists an equivalence $\Phi_C \colon D(C) \to D(C^{\dagger})$ such that the following diagram is commutative:

$$D(X) \xrightarrow{\mathbf{Li}_{C}^{*}} D(C) \xrightarrow{i_{C^{*}}} D(X)$$

$$\Phi \downarrow \qquad \Phi_{C} \downarrow \qquad \Phi \downarrow$$

$$D(Y) \xrightarrow{\mathbf{Li}_{C^{\dagger}}^{*}} D(C^{\dagger}) \xrightarrow{i_{C^{\dagger}}^{*}} D(Y)$$

Here i_C , $i_{C^{\dagger}}$ are inclusions.

3 Outline of the proof of the main theorem

Take $\sigma \in H^0(X, K_X)$, $E = \operatorname{div}(\sigma)$ as in the previous section. Let $\mathcal{P} \in D(X \times Y)$ be a kernel of $\Phi \colon D(X) \to D(Y)$.

Step 1 The following diagram commutes:

$$\begin{array}{ccc} \mathcal{P} \otimes \mathcal{O}(-mf^*K_X) & \xrightarrow{id\otimes\sigma} & \mathcal{P} \\ & & & \\ \rho_{-m} & & & \\ \mathcal{P} \otimes \mathcal{O}(-mg^*K_Y) & \xrightarrow{id\otimes\sigma^\dagger} & \mathcal{P}. \end{array}$$

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(Idea of the proof) By definition induced diagram of natural transforms is commutative, i.e. $id \circ \sigma = \sigma^{\dagger} \circ id \circ \tau_{-m}$. We can describe \mathcal{P} in terms of Φ by using the proof of the Orlov's theorem. Combine these results. \Box

By taking cones, Step 1 implies there exists an isomorphism

$$\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{E \times Y} \cong \mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{X \times E^{\dagger}}.$$

Take σ_i , E_i , and C, C^{\dagger} as in the previous section. Then we have an isomorphism

$$\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C \times Y} \cong \mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{X \times C^{\dagger}} \quad \cdots (\star).$$

Here we used the assumption C and C^{\dagger} are complete intersections. Now we have

Step 2 There exists some object $\mathcal{P}_C \in D(C \times C^{\dagger})$ such that

$$\mathcal{P} \overset{L}{\otimes} \mathcal{O}_{C \times Y} \cong \mathcal{P} \overset{L}{\otimes} \mathcal{O}_{X \times C^{\dagger}} \cong i_{C \times C^{\dagger}} \mathcal{P}_{C}.$$

(Idea of the proof) By applying $\overset{\mathbf{L}}{\otimes} \mathcal{O}_{C\times Y}$ to (\star) , we can see $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C\times Y}$ is a direct summand of $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C\times C^{\dagger}}$, which is a push-forward from $C \times C^{\dagger}$. Now we use the assumption of the higher $\mathcal{T}or$ to show $\mathcal{P} \overset{\mathbf{L}}{\otimes} \mathcal{O}_{C\times Y}$ is actually push-foward from $C \times C^{\dagger}$. \Box

Step 3 Let $\Phi_C := \Phi_{C \to C^{\dagger}}^{\mathcal{P}_C} : D(C) \to D(C^{\dagger})$. Then Φ_C gives a desired equivalence.

(*Proof*) Firstly we show the commutativity of the diagram. This easily follows from the isomorphism of Step 2. Secondly we show that Φ_C gives an equivalence. Let $\Psi_{C^{\dagger}}: D(C^{\dagger}) \to D(C)$ be a functor defined from $\Psi := \Phi^{-1}$ as in the same way. Then the commutativity of the diagram implies

$$\Psi_{C^{\dagger}} \circ \Phi_{C}(\mathcal{O}_{x}) = \mathcal{O}_{x}, \quad \Psi_{C^{\dagger}} \circ \Phi_{C}(\mathcal{O}_{C}) = \mathcal{O}_{C}.$$

These imply $\Psi_{C^{\dagger}} \circ \Phi_{C} = id$, since the kernel of $\Psi_{C^{\dagger}} \circ \Phi_{C}$ is a diagonal. Similarly $\Phi_{C} \circ \Psi_{C^{\dagger}} = id$, and the proof is completed. \Box

4 Applications

We can apply the Main theorem to the classification of FM(X). Note that the minimal 3-fold X has an algebraic fiber space structure

$$\pi \colon X \to Z := \operatorname{Proj} \oplus_{m > 0} H^0(X, mK_X).$$

 π is called Iitaka fibration. Let $X_{\bar{\eta}}$ be geometric generic fiber of π . In this section, we assume the following:

- X is a smooth minimal 3-fold of $\kappa(X) = 1$.
- $X_{\bar{\eta}}$ is a K3 surface or an Abelian surface.
- All the fibers of π is irreducible and reduced.

Before we state the theorem, we give a definition

Definition 4.1 Let $H \in \operatorname{Pic}(X)$ be a polarization. We denote $M^H(X/Z)$ by relative moduli space of stable sheaves with respect to H. An irreducible component $M \subset M^H(X/Z)$ is fine if $M \to Z$ is projective and there exists an universal family on $X \times_Z M$.

The main theorem of this section is the following:

Theorem 4.2 In the above situation, $Y \in FM(X)$ if and only if there exists some polarization H on X, and an irreducible component $M \subset M^H(X/Z)$ which is fine and relative dimension =2, such that Y and M are connected by finite number of flops.

(Out line of the proof) Let $\Phi: D(X) \to D(Y)$ be as in the previous sections. The isomorphism $H^0(X, mK_X) \cong H^0(Y, mK_Y)$ preserves graded ring structures, so we have

 $Z := \operatorname{Proj} \oplus_{m \ge 0} H^0(X, mK_X) \cong \operatorname{Proj} \oplus_{m \ge 0} H^0(Y, mK_Y).$

Let $\pi_X \colon X \to Z$, $\pi_Y \colon Y \to Z$ be Iitaka fibrations. Then the main theorem implies, for general points $p \in Z$, X_p , Y_p fibers at p, there exists an equivalence $\Phi_p \colon D(X_p) \to D(Y_p)$ such that the diagram

$$D(X) \xrightarrow{\operatorname{Li}^{*}_{X_{p}}} D(X_{p}) \xrightarrow{i_{X_{p}^{*}}} D(X)$$

$$\Phi \downarrow \qquad \Phi_{p} \downarrow \qquad \Phi \downarrow$$

$$D(Y) \xrightarrow{\operatorname{Li}^{*}_{Y_{p}}} D(Y_{p}) \xrightarrow{i_{Y_{p}^{*}}} D(Y).$$

commutes. For the sake of simplicity, we assume X_p is a K3 surface. Now we use the general facts of derived categories and singular cohomologies. For a functor $\Phi_{X \to Y}^{\mathcal{P}}: D(X) \to D(Y)$, which is not necessary equivalent, we can define a linear map

$$\phi_{X \to Y}^{\mathcal{P}} \colon H^*(X, \mathbb{Q}) := \bigoplus_{k \ge 0} H^k(X, \mathbb{Q}) \to H^*(Y, \mathbb{Q}).$$

 $\phi_{X \to Y}^{\mathcal{P}}$ is defined by the algebraic cycle $f^* \sqrt{\operatorname{td}_X} \operatorname{ch}(\mathcal{P}) g^* \sqrt{\operatorname{td}_Y} \in H^*(X \times Y, \mathbb{Q})$, and the following diagram commutes:

Moreover the correspondence $\Phi_{X\to Y}^{\mathcal{P}} \to \phi_{X\to Y}^{\mathcal{P}}$ is functorial. Applying these facts to our situations, we have the commutative diagram

$$\begin{array}{cccc} H^*(X,\mathbb{Q}) & \xrightarrow{i^*_{X_p}} & H^*(X_p,\mathbb{Q}) & \xrightarrow{i_{X_p^*}} & H^*(X,\mathbb{Q}) \\ \phi & & & \phi_p & & \phi \\ & & & \phi_p & & \phi \\ H^*(Y,\mathbb{Q}) & \xrightarrow{i^*_{Y_p}} & H^*(Y_p,\mathbb{Q}) & \xrightarrow{i_{Y_p^*}} & H^*(Y,\mathbb{Q}). \end{array}$$

As in [9], ϕ_p is defined over \mathbb{Z} and preserves inner products. Here inner product on $H^*(X_p,\mathbb{Z}) = H^0 \oplus H^2 \oplus H^4$ is given by $(r,l,s) \cdot (r',l',s') = ll' - rs' - r's$. Now take $(0,0,1) \in H^*(Y_p,\mathbb{Z})$ and let $(r_p,l_p,s_p) := \phi_p^{-1}(0,0,1)$. Then by composing suitable equivalence if necessary, we may assume $r_p \geq 2$, l_p is ample. Moreover we can show there exists a polarization H on X such that $H|_{X_p} = dl_p$ for some d > 0. Let $M \subset M^H(X/Z)$ be an irreducible component which contains stable sheaves on X_p whose Mukai vector $:= \sqrt{\operatorname{td} X_p} \operatorname{ch}(*)$ equals to (r_p, l_p, s_p) . Then as in [9], M is non-empty, and we can check the condition of the existence of the universal sheaves in [9]. Moreover, since all the fibers of π_X are irreducible and reduced, M is projective by the argument of [6, Remark 4.6.8]. Therefore we can conclude M is fine. By [5], M is smooth and the universal sheaf gives an equivalence $D(M) \to D(X)$. By composing this equivalence with Φ , we can reduce the problem to the following:

"If $(r_p, l_p, s_p) = (0, 0, 1)$, then X and Y are connected by finite number of flops."

Since X and Y are minimal 3-fold, it is enough to show X and Y are birational. Note that $(0,0,1)^{\perp}/(0,0,1) \cong H^2(X_p,\mathbb{Z})$, so there exists a Zariski open set $Z^0 \subset Z$ such that we have a Hodge isometry

$$\tilde{\phi} \colon R^2 \pi_{X*} \mathbb{Z}_X|_{Z^0} \to R^2 \pi_{Y*} \mathbb{Z}_Y|_{Z^0}.$$

Now using the results of the families of K3 surfaces in [10], we can show that, by shrinking Z^0 if necessary, there exists a Hodge isometry $\tilde{\phi}': R^2 \pi_{Y*} \mathbb{Z}_Y|_{Z^0} \to R^2 \pi_{Y*} \mathbb{Z}_Y|_{Z^0}$ such that the composition $\tilde{\phi}' \circ \tilde{\phi}$ is an effective Hodge isometry. By Torelli theorem of K3 surfaces, there exists an isomorphism $f_p: Y_p \to X_p$ such that $(\tilde{\phi}' \circ \tilde{\phi})_p = f_p^*$. Then $\{f_p\}_{p \in Z^0}$ gives a section of $\operatorname{Isom}_{Z^0}(Y, X) \to Z^0$. \Box

5 Appendix

In the case of $\kappa(X) = 2$, we have the following result.

Theorem 5.1 Let X be a smooth projective 3-fold of $\kappa(X) = 2$. Then $Y \in FM(X)$ if and only if one of the following holds:

(i)X and Y are connected by finite number of flops.

(ii) There exists a following diagram:



where $\pi: X^+ \to S$ is an elliptic fibration with $\omega_M \equiv_{\pi} 0, H \in \operatorname{Pic}(M)$ is a polariza-

tion, $d \in \mathbb{Z}$, and $J^H(d) \subset M^H(M/S)$ is an irreducible component which is fine and contains line bundles of degree d on smooth fibers of π .

In the case of $\kappa(X) = 1$, If we eliminate the assumption "all the fibers of the Iitaka fibration are irreducible and reduced" in the previous section, we have the following result.

Theorem 5.2 Assume X is a minimal 3-fold of $\kappa(X) = 1$, and generic fiber of its Iitaka fibration is a K3 surface. Then $Y \in FM(X)$ if and only if of the following holds.

(i) There exists a polarization H on X and an irreducible component $M \subset M^H(X/Z)$, which is fine and relative dimension two, such that Y and M are connected by finite number of flops.

(ii) There exists a polarization H on Y and an irreducible component $M \subset M^H(Y/Z)$, which is fine and relative dimension two, such that X and M are connected by finite number of flops.

I hope that $Y \in FM(X)$ if and only if (i) holds, but unfortunately I couldn't prove. The problem is whether we can take moduli space which is projective.

By the same method, we can study FM(X) when $X_{\bar{\eta}}$ is an Enriques surface or a bielliptic surface. Let X be a good minimal model (i.e. K_X is semi-ample) and $\pi_X \colon X \to Z$ be its Iitaka fibration. Let $m := \min\{i \mid \omega_{X_{\bar{\eta}}}^{\otimes i} \cong \mathcal{O}_{X_{\bar{\eta}}}\}$. Then there exists a Zariski open subset $Z^0 \subset Z$ such that $\omega_{X_0}^{\otimes m} \cong \mathcal{O}_{X_0}$, where $X^0 = \pi_X^{-1}(Z^0)$. Let

$$p_X \colon \widetilde{X}^0 := \operatorname{Spec}_{\mathcal{O}_{X^0}} \left(\bigoplus_{i=0}^{m-1} \omega_{X^0}^{\otimes (-i)} \right) \to X^0$$

be its canonical cover. Let $Y \in FM(X)$ and $\pi_Y \colon Y \to Z$ be its Iitaka fibration. Then, $\min\{i \mid \omega_{Y_{\eta}}^{\otimes i} \cong \mathcal{O}_{Y_{\eta}}\}$ is also m because general fiber of π_Y is also a Fourier-Mukai partner of general fiber of π_X . Let us take a canonical cover $\pi_Y \colon \widetilde{Y}^0 \to Y^0$. Then the equivalence $\Phi \colon D(X) \to D(Y)$ gives an equivalence $\Phi^0 \colon D(X^0) \to D(Y^0)$. Let $G = \operatorname{Gal}(\widetilde{X}^0/X^0) \cong \operatorname{Gal}(\widetilde{Y}^0/Y^0) \cong \mathbb{Z}/m\mathbb{Z}$. Let $p_{X,p} := p_X|_{\widetilde{X}_p}, p_{Y,p} := p_Y|_{\widetilde{Y}_p}$.

Definition 5.3 A functor $\widetilde{\Phi}^0: D(\widetilde{X}^0) \to D(\widetilde{Y}^0)$ is G-equivariant if there exists some group isomorphism $\sigma: G \to G$ such that the following diagram commutes for all $g \in G$:

By combining the method of [3] and our method, we can easily show the following theorem.

Theorem 5.4 (By shrinking Z^0 if necessary,) There exists a G-equivariant equivalence $\widetilde{\Phi}^0 \colon D(\widetilde{X}^0) \to D(\widetilde{Y}^0)$ such that the following diagram is commutative:

Moreover there exists a G-equivariant equivalence $\widetilde{\Phi}_p: D(\widetilde{X}_p) \to D(\widetilde{Y}_p)$ such that the following diagrams commute:

Assume the following:

- X is a smooth minimal 3-fold of $\kappa(X) = 1$.
- $X_{\bar{\eta}}$ is an Enriques surface or a bielliptic surface.
- If $X_{\bar{\eta}}$ is a bielliptic surface, all the fibers of π_X are irreducible and reduced.

Under these conditions, we can study FM(X) by using the above theorem. In fact we have the following theorem:

Theorem 5.5 Under the above conditions $Y \in FM(X)$ if and only if there exists a polarization H on X and an irreducible component $M \subset M^H(X/Z)$ which satisfies

- M is fine and $M \rightarrow Z$ is relative dimension two.
- For all $x \in M$, corresponding stable sheaf E_x satisfies $E_x \otimes \omega_X \cong E_x$.

such that Y and M are connected by finite number of flops.

Problem 5.6 By the classification of FM(X) in the surface case, $FM(X_p) = \{X_p\}$ in this case. Are there any member in FM(X) which is not birational to X?

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