

## Inductive construction of log flips in terms of division algorithms, part I

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**1. Introduction** Let  $f : X \rightarrow Y$  be a projective morphism from a threefold  $X$  with only terminal singularities to a normal threefold  $Y$  and  $Q \in Y$  such that  $C = f^{-1}(Q)$  is a curve and  $-K_X$  is  $f$ -ample.

1. For arbitrary small open set  $U \ni Q$ , call  $f^{-1}(U) \rightarrow U \ni Q$  an *extremal neighborhood* (or, *extremal nbd*, for short). It is said to be *flipping* (resp. *divisorial*) if the exceptional set is a curve (resp. a divisor). An extremal nbd is said to be *irreducible* if  $C$  is irreducible.

2. **Fact:** a general member  $D$  of  $| -K_X |$  has only Du Val singularities. The 6 types of irreducible extremal nbd are  $k1A, k2A, cD/3, IIA, IC, kAD$  according to the singularities of  $D$  ([1], (2.2)). The first two (or, the last four) are said to be *semistable* (resp. *exceptional*).

3. (V.V.Shokurov, [3]) Reduction from the existence of the limiting or special log flips with indices greater than two or with the "types" to the existence of the index two exceptional special log flips in order to prove the existence of 3-fold log flips:

i) ([3], (6.1)) A small projective birational contraction  $f$  of a connected curve is *limiting* for a log canonical divisor  $K + S + B$  if the following conditions hold:

- a)  $K + S$  is strictly log terminal;
- b)  $S$  is an irreducible surface that intersects the connected curve and is nonpositive relative to  $f$ ;
- c) every irreducible component of the fractional part  $\{B\}$  is negative relative to  $f$ ;
- d) the log divisor  $K + S + B$  is negative to  $f$ ;
- e) in a neighborhood of the contracted curve,  $K + S + B'$  is not log canonical for any  $B' > B$  with the same support as  $B$ .

Moreover,  $f$  is called *special* if in addition  $f$  is extremal, and

- f)  $B$  is integral, that is,  $\{B\} = 0$ ;
  - g)  $K + S + B$  is strictly log terminal.
- ii) ([3], (1.10); weak form) A small proper morphism  $f : X \rightarrow Z$  of an algebraic 3-fold  $X$  that is finite over the generic point of  $Z$ , has a strictly log terminal model for  $K + B$ , even if  $X$  is not  $\mathbb{Q}$ -factorial and  $K + S + B$  not log canonical.

iii) **Reduction** ([3], (6.5)). The above ii) are implied by the existence of special flips, and even by the existence of special flips of the types (1), (2) as below.

iv) **Types of special flips** ([3], (6.6)):

- (1)  $B = 0$  and  $S$  is an irreducible surface negative relative to  $f$ .
- (2)  $S + B = S_1 + S_2$  is the sum of two irreducible surfaces  $S_1$  and  $S_2$  negative relative to  $f$ .
- v) ([3], (6.7)) Flips of type (2) exist.

**Note:** Reduction iii)  $\iff$  the existence of two irreducible divisors in the non-log case ([1], (3.12)).

**2. Division algorithm** (partly) ([1], (2.8), (2.9))

**Example** ([1], (2.1)): Let  $f : X \rightarrow Y \ni Q$  be an extremal nbd of type  $k2A$  with two terminal singular points  $P_1, P_2$  of indices  $m_1, m_2 > 1$  and axial multiplicities  $\alpha_1, \alpha_2 \geq 1$ , respectively.

**Theorem 1** ([1], (2.2)): Let  $U_i$  be the  $\mathbb{Z}_{m_i}$ -quotient of a "hypersurface" of  $\mathbb{C}^4$ ,  $U_i := (\xi_i, \eta_i, \zeta_i, u; \xi_i \eta_i = g_i(\xi_i^{m_i}, u)) / \mathbb{Z}_{m_i}(1, -1, \alpha_i, 0)$ , where  $\alpha_i$  is an integer  $\in [1, m_i]$  prime to  $m_i$ , and  $g_i(T, u) \in \mathbb{C}[[u]][[T]]$  is a monic polynomial in  $T$  of degree  $(= \rho_i)$ , such that  $g_i(\xi_i^{m_i}, u)$  is square-free. Let  $P_i := 0$  and  $C_i := \xi_i - \text{axis} / \mathbb{Z}_{m_i}$ ,

$\implies U_i$  is defined to be a formal scheme along  $C_i \simeq \mathbb{C}^1$  with only terminal singularities, and the associated some patching conditions hold.

**Note:** A criterion for a divisorial contraction in division algorithm  $\iff$  *permissible pencils of exceptional rational curves*, alternatively. (M. Miyanishi and S. Tsunoda, [2], or Y. Kawamata).

**3. Reduction by division algorithm to the exceptional cases from semistable cases**

**Proposition 1.** As the notations and conditions as Theorem 1. Let  $(X, S+B)$  the log pair satisfying (exceptional) special flip conditions,  $S$  the sub-boundary consists with irreducible and reduced prime divisors,  $B$  the subboundary consists with prime divisors with multiplicities  $< 1$ , and  $B = B_1 + B_2$  (type  $t = 2$ ).

Moreover, the intersection of  $B_1, B_2$  contains an extremal curve  $C$ . And  $C$  has two terminal singular points  $P_1, P_2$  of indices  $m_1, m_2 > 1$  and  $n_1, n_2 > 1$ , and axial multiplicities  $\alpha_1, \alpha_2 \geq 1, \beta_1, \beta_2 \geq 1$  along  $(B_1)|_C, (B_2)|_C$ , respectively, as cited section 2,

Then  $D \in | -K_X |$  be a Du Val member, with similar datum as Theorem 1.

Moreover, consider the " $\mathbb{Z}_{m_i} \times \mathbb{Z}_{n_i}$ "-quotient of "hypersurface" of  $\mathbb{C}^4$  as follows:

$U_i := \frac{(\xi_i, \eta_i, \zeta_i, u; \xi_i \eta_i = g_i(\xi_i^{m_i}, u) h_i(\zeta_i^{n_i}, u))}{\mathbb{Z}_{m_i}(1, -1, \alpha_i, 0) \times \mathbb{Z}_{n_i}(1, -1, \beta_i, 0)}$ , then the similar patching conditions as Theorem 1 are hold. (to be continued.)

## References

- [1] S. Mori, *On semistable extremal neighborhoods*, Adv. Stud. Pure Math. v.35(2002), 157-184.
- [2] M. Miyanishi and S. Tsunoda, *Logarithmic del Pezzo surfaces of rank one with non-contractible boundaries*, Jap. J. of Math., **10** (1984), 271-319.
- [3] V.V. Shokurov, *3-fold log flips*, Math. USSR Izv., **26** (1993), 95-202.