¹ Scalings of the tidally-induced bottom boundary layer in a

² shallow sea under a surface heating

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Abstract We have investigated properties of the tidally-induced bottom boundary 7 layer (TBBL) in a shallow sea under a surface heating, by scale argument and 8 DNS (Direct Numerical Simulation) experiment. Applying the existing scalings of 9 the boundary layer, it is found that the height of TBBL H_{tbbl} and the efficiency 10 of tidal mixing ϵ are scaled to $(u_*^4 H/|\sigma + f|B_s)^{1/3}$ and H_{hom}/H_{tbbl} , respectively, 11 where u_* is the friction velocity, σ the tidal frequency, f the inertial frequency 12 (the Coriolis parameter), B_s the surface buoyancy flux, H the water depth, and 13 $H_{hom} = u_*/|\sigma + f|$ the height of TBBL in a homogeneous ocean. Results of DNS 14 experiment agree with these scalings for fairly wide ranges of u_* (or tidal amplitude 15 U_{tide} , H, B_s, and $|\sigma/f|$. In exceptional cases with slower Earth's rotations, weaker 16 tidal flows, and shallower water depths, turbulence occurs intermittently and the 17 scaling underestimates H_{tbbl} and ϵ . The efficiency of tidal mixing ϵ varies from less 18 than 1% to 7% for the experimental range. This variation can partly explain the 19 reason why the critical value of Simpson-Hunter parameter which is an index of 20 the position of tidal mixing front is different from place to place around the world. 21 **Keywords** Tidally-induced bottom boundary layer · Turbulence · Tidal mixing 22

²³ front · Scaling argument · DNS

24 1 Introduction

Tides and tidal current are predominant phenomena in shallow coastal seas to control physical and biochemical environments (e.g. Simpson and Sharples, 2012). Their roles includes not only the horizontal transport such as water exchange between coastal open seas through a narrow channel (e.g. Awaji et al., 1980), but also the vertical mixing which essentially controls the primary production.

While a summer heating stratifies the water column against tidal (wind) mixing 30 in some regions, stronger tidal mixing keeps its vertical homogeneity against the 31 heating in other regions. The so-called tidal mixing front formed in between is 32 considered to play a crucial role in enhancing the primary production. Since it 33 was first reported in the Irish Sea by Simpson and Hunter (1974), similar features 34 have been detected in coastal regions throughout the world (e.g. Garrett et al., 35 1978; Pingree et al., 1978; Lie, 1989; Yanagi and Tamaru, 1990; Glorioso and 36 Flather, 1995; Kobayashi et al., 2006). 37

Simpson and Hunter (1974) proposed an index of the location where the tidal front is formed, based on the energetic balance that the potential energy loss due to a surface heating is just canceled by the turbulent kinetic energy due to tidal flow at the front. That is, the front is formed along isolines of the following parameter,

$$\frac{H}{U^3} = \frac{8C_p k\epsilon\rho}{3\pi\alpha g Q},\tag{1}$$

where *H* is the water depth and *U* the amplitude of tidal current (depth-mean tidal velocity), *Q* the surface heat flux, C_p the specific heat of seawater, α the thermal expansion rate, *g* the acceleration due to gravity, *k* a constant in the quadratic friction law, and ϵ the efficiency of the energy conversion from the turbulent kinetic energy to the potential one (efficiency of tidal mixing).

⁴⁷ Provided that parameters on the right-hand side of Equation (1) are constant ⁴⁸ at least regionally, the logarithm of H/U^3 which is called Simpson-Hunter (strati-⁴⁹ fication) parameter (SH parameter) is a useful index for the position of tidal front. ⁵⁰ However, its value at the front varies by location around the world over the range ⁵¹ of 1.0~2.5 (e.g. Simpson and Hunter, 1974; Garrett et al., 1978; Pingree et al., ⁵² 1978; Lie, 1989; Yanagi and Tamaru, 1990; Glorioso and Flather, 1995; Kobayashi et al., 2006). This implies that SH parameter may not be a universal index for the
location of tidal mixing front.

To consider this problem, turbulent properties of the tidally-induced bottom 55 boundary layer (TBBL) is a key factor because whether a tidal front is formed 56 or not depends on whether the TBBL reaches the sea surface or not. Executing 57 a DNS (Direct Numerical Simulation) experiment, Sakamoto and Akitomo (2006, 58 2008, 2009) found that the efficiency of tidal mixing ϵ is not constant but varies 59 depending on the tidal amplitude, frequency, and Earth's rotation as well as the 60 height of TBBL itself although their experiment was done in a deep sea with an 61 initially-stratified condition. On the observational side, recent advances in obser-62 vation technologies have allowed us to measure fine structures of turbulent field in 63 coastal seas (e.g. Tsutsumi and Matsuno, 2012). Nevertheless, we still know little 64 about properties of TBBL because fine-scale observations as well as model studies 65 of turbulent tidal flow are not enough. 66

In this study, therefore, we investigate how properties of TBBL such as its height and the efficiency of mixing are determined in a shallow sea under a surfaceheating condition with scale argument and DNS model experiment. We first seek for appropriate scalings of the TBBL applying the existing scaling argument in Section 2. After that, DNS experiment is carried out to validate them in Section 3, and we summarize and discuss findings of the present study in Section 4.

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73 2 Scalings of the TBBL

74 2.1 Height of the TBBL

As for the height of the turbulent boundary layer in oceans as well as the atmosphere, scaling argument has been often used under neutral and stable conditions (e.g. Zilitinkevich et al., 2007; Yoshikawa, 2015). According to Zilitinkevich et al. (2007), for example, the height of the planetary boundary layer h_E in the atmosphere is determined through the linear interpolation among the squared reciprocals of three fundamental scales, h_R , h_{CN} , and h_{NS} ,

$$\frac{1}{h_E^2} = \frac{1}{h_R^2} + \frac{1}{h_{CN}^2} + \frac{1}{h_{NS}^2}.$$
 (2)

 h_R is the height of the turbulent boundary layer under a neutral condition, h_{CN} that under a stable one with the background stratification N^2 , and h_{NS} that under a stable one with the buoyancy flux $B_s(=-\alpha Qg/\rho C_p)$, defined as follows.

$$h_R = C_R \frac{u_*}{|f|}, \qquad h_{CN} = C_{CN} \frac{u_*}{|fN|^{1/2}}, \quad \text{and} \quad h_{NS} = C_{NS} \frac{u_*^2}{|fB_s|^{1/2}}, \quad (3)$$

where u_* is the friction velocity, f the Coriolis parameter, and C_R , C_{CN} and C_{NS} are empirical constants. In the actual situation, h_E is determined by the smallest one (or smaller ones) of these height depending on background conditions. Analyzing the global dataset, Yoshikawa (2015) found that the surface mixed layer thickness in the ocean is scaled by the first and third terms during a spring heating season.

Similar scaling argument must be valid for the TBBL. Executing numerical experiments with a DNS model, Sakamoto and Akitomo (2008, 2009) comprehensively investigated turbulent properties of the TBBL including its height. According to them, the tidally-averaged height of the TBBL under a neutral condition 94 H_{hom} is given by

$$H_{hom} = C_H \frac{u_*}{|\sigma + f|},\tag{4}$$

where u_* is the tidally-averaged friction velocity, σ the tidal frequency and C_H the empirical constant (~0.7). They advanced their research to the TBBL in an initially-stratified deep ocean to suggest that the efficiency of tidal mixing ϵ may change depending on the ratio of H_{hom} to the height of TBBL.

The difference of the TBBL under a heating from other boundary layers, such 99 as wind-driven surface mixed layers in oceans and planetary boundary layers in 100 the atmosphere, is that the buoyancy forcing acts on the top boundary of the 101 water column while the energy source of turbulence is located near the bottom 102 boundary on the opposite side. In this situation, all the imposed buoyancy energy 103 is not necessarily offset by the turbulent mixing. That is, when the TBBL does 104 not reach the sea surface, only a part of the imposed buoyancy energy balances 105 the turbulent mixing in the TBBL and the remaining is used to stratify the layer 106 above the TBBL. This is a great contrast to other boundary layers where sources 107 of buoyancy and turbulence are usually located on the same side of the fluid layer 108 and directly compensate each other in an equilibrium state. 109

To seek for scalings appropriate to the TBBL in such a situation, we consider a simple model as follows (Fig. 1). Tidal current with an amplitude of U_{tide} , or its friction velocity u_* , flows over the insulated flat bottom under a constant surface heating (buoyancy flux B_s). After turbulent stirring is in equilibrium with the buoyancy input to the TBBL on the tidal average, we can consider that the vertical profile of buoyancy is unchanged whereas the total buoyancy increases with time. In this equilibrium, the tidally-averaged height of the TBBL H_{tbbl} is ¹¹⁷ also kept constant and the vertical buoyancy flux linearly decreases from B_s at ¹¹⁸ the sea surface to zero at the bottom. Then, we can define the effective buoyancy ¹¹⁹ flux B_s^* at the top of the TBBL by

$$B_s^* = B_s H_{tbbl} / H, \tag{5}$$

where *H* is a constant water depth. Substituting this expression into Equation (3) with N = 0 and replacing h_R with H_{hom} , the following relation is obtained,

$$H_{tbbl} = u_* / \sqrt{C_H^{-2} (\sigma + f)^2 + C_{NS}^{-2} |\sigma + f| B_s H_{tbbl} / H u_*^2}.$$
 (6)

Solving this equation in terms of H_{tbbl} , we can get the scaling of the TBBL height. 122 Instead of doing so, we simplify this equation by estimating magnitudes of the 123 two terms in the square root of the denominator on the right-hand side. Consid-124 ering a shallow sea at mid latitudes, the factor $|\sigma + f|$ is the order of 10^{-4} s⁻¹ 125 for semidiurnal tides, and the factor $B_s H_{tbbl}/Hu_*^2$ is the order of 10 $^{-2}$ s⁻¹ with 126 $B_s \sim 10^{-8} \text{ m}^2 \text{s}^{-3} (Q \sim 20 \text{Wm}^{-2}), u_* \sim 10^{-3} \text{ ms}^{-1}$, and $H_{tbbl}/H \sim 1$. Using these 127 scales with the empirical constants C_H and C_{NS} of nearly unity (e.g. Sakamoto 128 and Akitomo, 2008; Zilitinkevich et al., 2007), the second term in the square root 129 must be two orders of magnitude larger than the first one. Thus, neglecting the 130 first term and solving Equation (6) in terms of H_{tbbl} , we obtain as the scaling of 131 $H_{tbbl},$ 132

$$H_{tbbl} = C_{tbbl} \left(\frac{u_*^4 H}{|\sigma + f| B_s} \right)^{1/3},\tag{7}$$

133 where $C_{tbbl} = C_{NS}^{2/3}$.

It should be noted that this scaling includes the water depth H in the numerator of the cubic root. This is because the effective buoyancy flux B_s^* decreases with H (see Equation (5)), and such dependency never appears in the scaling for other

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boundary layers. The buoyancy flux imposed at the sea surface becomes difficult to reach a deeper layer as H increases, and then turbulent mixing can reach the level farther from the bottom. It is also worth noting that Equation (6) includes the scaling for the homogeneous ocean (H_{hom}) , when $B_s = 0$, or, in the limit of $H \to \infty$.

Another scaling is possible using the buoyancy frequency N in the stratified layer formed above the TBBL. That is,

$$H_{tbbl} = u_* / \sqrt{C_H^{-2} (\sigma + f)^2 + C_{CN}^{-2} | (\sigma + f) N |}.$$
(8)

144 This expression is similarly approximated to,

$$H_{tbbl} \approx \frac{C_{CN} u_*}{|(\sigma + f)N|^{1/2}}.$$
(9)

Although N is not an external parameter but determined as the result of interaction of buoyancy flux and tidal stirring, this scaling has the advantage that N can be easily estimated from hydrographic observations. We will evaluate this scaling as well as Equation (7) by DNS experiment in Section 3.

¹⁴⁹ 2.2 Efficiency of the energy conversion

Based on the scaling of the TBBL height (Equation (7)) with scalings of the production rate of turbulent kinetic energy (P^{i} -term) and the conversion rate from the turbulent kinetic energy to the potential energy (B^{i} -term), we can obtain a scaling of the efficiency of tidal mixing $\epsilon (\equiv B^{i}$ -term/ P^{i} -term) in the TBBL. Note that P^{i} - and B^{i} -terms here are vertically-integrated positive amounts (tagged by superscript (*i*)), which are different from those in Section 3. Using basic scales such as H, u_* , B_s , and H_{tbbl} with constants C_P and C_B , the Pⁱ- and Bⁱ-terms are

157 formally scaled by,

$$\mathbf{P}^{i} - \operatorname{term} = C_{P}u_{*}^{3}$$
 and $\mathbf{B}^{i} - \operatorname{term} = C_{B}B_{s}^{*}H_{tbbl} = C_{B}\frac{B_{s}H_{tbbl}^{2}}{H},$ (10)

and the efficiency ϵ is given by the ratio of these terms as,

$$\epsilon = C_{\epsilon}^{BP} \frac{B_s H_{tbbl}^2}{u_*^3 H},\tag{11}$$

where $C_{\epsilon}^{BP} = C_B/C_P$. With the aid of Equation (7), the B^{*i*}-term and ϵ are rewritten with external parameters as,

$$B^{i} - term = C'_{B} \left(\frac{B_{s} u_{*}^{8}}{H|\sigma + f|^{2}} \right)^{1/3} \qquad \text{and} \qquad \epsilon = C'_{\epsilon} \left(\frac{B_{s}}{u_{*}H|\sigma + f|^{2}} \right)^{1/3}.$$
(12)

where $C'_B = C_B C_{tbbl}^2$ and $C'_{\epsilon} = C_B C_{tbbl}^2 / C_P$. This equation shows that the efficiency ϵ is not constant but increases with B_s and decreases with u_* , H, and $|\sigma + f|$.

Another expression of ϵ is possible with H_{hom} and H_{tbbl} as

$$\epsilon = C_{\epsilon} \frac{H_{hom}}{H_{tbbl}},\tag{13}$$

where $C_{\epsilon} = C_{tbbl}^3 C_B / C_H C_P$. This scaling indicates a very simple relation that the efficiency ϵ is proportional to the ratio of the TBBL heights between a homogeneous and surface-heated oceans. It may be a merit in evaluating ϵ from hydrographic observations. Sakamoto and Akitomo (2009) suggested the similar dependency on the ratio H_{hom}/H_{tbbl} by DNS experiment although their experiment was executed in a deep sea with an initially-stratified condition.

When the TBBL reaches the sea surface (*i.e.*, $H_{tbbl} = H$), Equation (13) says that the efficiency ϵ is proportional to H_{hom}/H . It is this expression that must appear on the right-hand side of Equation (1) which defines SH parameter. Accordingly, the critical value of SH parameter may change depending on the variable efficiency ϵ . It is interesting that the efficiency increases with u_* when the TBBL reaches the sea surface whereas it decreases with u_* when it does not (Equation (12)). In next section, we will examine validity of the scalings obtained here, performing DNS experiment under a constant heating for wide ranges of parameters.

¹⁷⁹ 3 Validation with DNS experiment

180 3.1 Model configuration

To validate the scalings obtained above, we execute three-dimensional experiment with DNS (direct numerical simulation) model which is the same as in Sakamoto and Akitomo (2008, 2009). The model basin is rectangular as shown in Figure 2, and the coordinate system (x, y, z) is set for the z-axis upward. The governing equations are the momentum equation in the rotating frame, the continuity equation, and the advective-diffusive equation of buoyancy for a Boussinesq fluid under the rigid-lid approximation. That is,

$$\frac{\partial \boldsymbol{u}}{\partial t} + \boldsymbol{u} \cdot \nabla \boldsymbol{u} + f \boldsymbol{k} \times \boldsymbol{u} = -\frac{1}{\rho_0} \nabla p + b \boldsymbol{k} + \nu \Delta \boldsymbol{u}$$
(14)

$$\nabla \cdot \boldsymbol{u} = 0 \tag{15}$$

$$\frac{\partial b}{\partial t} + \boldsymbol{u} \cdot \nabla b = \kappa \Delta b \tag{16}$$

where $\boldsymbol{u} = (u, v, w)$ is the velocity vector, p the pressure, f the Coriolis parameter, ν viscosity $(10^{-4} \text{m}^2 \text{s}^{-1})$, and κ diffusivity $(10^{-4} \text{m}^2 \text{s}^{-1})$. \boldsymbol{k} is the unit vector directing upward, and ∇ and Δ are the three-dimensional gradient and Laplacian operators, respectively. Buoyancy b is defined by $-\rho g/\rho_0$ where ρ_0 is reference water density $(1.027 \times 10^3 \text{ kgm}^{-3})$, ρ the deviation from it, and g the acceleration due to gravity (9.8 ms⁻²). Although it has been reported that the horizontal component of Earth's rotation causes temporal change of turbulent properties of the TBBL within a tidal cycle (e.g. Wakata, 2013), we do not take it into account here because we focus on the tidally-averaged statistics of turbulence which are said to be not affected by the horizontal component of Earth's rotation (Sakamoto and Akitomo, 2008).

The model domain is periodically connected in the horizontal direction (x=0, L_x and y=0, L_y), and no-slip and free-slip conditions are imposed at the bottom (z=0) and the rigid sea surface (z = H), respectively. That is,

$$\begin{cases} u = v = w = 0 \quad \text{at} \quad z = 0, \\ \nu \frac{\partial u}{\partial z} = \nu \frac{\partial v}{\partial z} = w = 0 \quad \text{at} \quad z = H \end{cases}$$

For buoyancy, no-flux condition is imposed at the bottom, and constant flux B_s at the sea surface, given by,

$$\begin{cases} \kappa \frac{\partial b}{\partial z} = 0 & \text{at} \quad z = 0, \\ \kappa \frac{\partial b}{\partial z} = B_s & \text{at} \quad z = H \end{cases}$$

As is the same in Sakamoto and Akitomo (2008, 2009), the background tidal current $u_{tide} = (u_{tide}, v_{tide}, 0)$ is imposed as the model forcing instead of sea surface elevation. Assuming the temporally oscillating pressure gradient in the x-direction, we analytically determine u_{tide} in order that it should turn to the major axis at every half tidal cycle (see Appendix and Sakamoto and Akitomo (2006, 2008) for the detailed derivation). u_{tide} is characterized by the amplitude U_{tide} , the frequency σ , and the vertical scale of the viscous bottom boundary layer $_{211}$ H_{tide} which is given by

$$H_{tide} = \sqrt{\frac{2\nu}{|\sigma + f|}},\tag{17}$$

for the anti-clockwise tidal ellipse in the present experiment (positive σ and negative f).

The horizontal lengths of the model domain, L_x and L_y , are 128 in terms of H_{tide} while the depth H is 10 and 20. The horizontal grid sizes, Δx and Δy , are 1.0 in terms of H_{tide} (128 grids) and the vertical one, Δz , changes from 0.02 near the bottom to 0.17 at the surface (128 grids). Time integration has been continued till the tidally-averaged statistics are unchanged under a constant heating (typically 30 tidal cycles) after several-cycle integration without heating. The last three cycles are used for analysis.

With constant σ of 1.45×10^{-4} s⁻¹ (the period of 12 h), 42 cases are carried out changing 4 parameters, U_{tide} (0.0850~0.256 ms⁻¹), H (11.7~33.2 m), B_s (0.117~2.33×10⁻⁸ m²s⁻³; equivalently, 5~50 Wm⁻²), and f (-0.364~-2.91×10⁻⁴ s⁻¹), shown in Table 1. Note that U_{tide} always represents the major-axis length of tidal ellipse.

The Reynolds number Re and the temporal Rossby number Ro_t which are defined by

$$\operatorname{Re} = \frac{U_{tide}H_{tide}}{\nu} \quad \text{and} \quad \operatorname{Ro}_{t} = \left|\frac{\sigma}{f}\right|, \tag{18}$$

range from 1410 to 4000, and from 0.5 to 4.0, respectively. Due to limited computational resources, the range of Re is rather small compared to the real oceans (Re = $10^5 \sim 10^6$). Nevertheless, we believe that fundamental properties of the turbulent TBBL can be reproduced because basic properties of turbulent boundary layers such as mean currents and stresses become approximately independent of Re when its value exceeds 10^3 (Coleman, 1999). The ellipticity of tidal current, which is defined here by the ratio between the major and minor axes, changes with Rot. It is 2 when Rot = 2 and 0.5, and 4 when Rot = 4.

236 3.2 Results

After time integration of 30 tidal cycles under a constant heating, the turbulent kinetic energy and potential energy (buoyancy anomaly) fields get into a steadilyoscillating state (not shown). Figure 3 shows the vertical (x-z) section of buoyancy anomaly $b_V(x, y, z, t)$ after 30 cycles in case 12, which is defined by

$$b_V = b - \frac{1}{V} \int_V b \ dV$$

where V is volume of the model domain (= $L_x L_y H$). Note that b_V represents only the deviation from the volumetric mean which linearly increases with time. Hereafter we use b_V as buoyancy. Till this time, turbulent motion vigorously stirs the lower half to form the TBBL while strong stratification is established in the upper half. Undulations of isopycnals with a dominant horizontal scale of 10~20 m indicate that internal waves are excited by turbulence in the TBBL to propagate upwards.

To compare with the scalings obtained in Section 2, the statistic properties of the model TBBL are defined as follows. For a variable X(x, y, z, t), its temporal mean $\overline{X}^t(x, y, z)$ is defined by the last 3-cycle average of X,

$$\overline{X}^{t} = \frac{1}{3T_{tide}} \int_{t_0}^{t_0 + 3T_{tide}} X \, dt,$$
(19)

where T_{tide} is the tidal period $(2\pi/\sigma)$ and t_0 an arbitrary time after the steady oscillation is established (typically 27 cycles). The horizontal mean $\overline{X}^{x,y}(z,t)$ and the deviation from it X'(x, y, z, t) are defined by,

$$\overline{X}^{x,y} = \frac{1}{L_x L_y} \int_0^{L_y} \int_0^{L_x} X \, dx dy \quad \text{and} \quad X' = X - \overline{X}^{x,y}.$$
(20)

Further, the horizontal and temporal mean $\overline{X}^{x,y,t}(z)$ is calculated by,

$$\overline{X}^{x,y,t} = \frac{1}{3T_{tide}L_xL_y} \int_{t_0}^{t_0+3T_{tide}} \int_0^{L_y} \int_0^{L_x} X \, dxdydt.$$
(21)

Figures 4a and 4b show the vertical profiles of the production rate of the turbulent kinetic energy, P-term, and the conversion rate of the turbulent kinetic energy to the potential one, B-term (solid line), in case 12, respectively, which are defined by,

$$P - term = \rho_0 \overline{\left(\frac{\partial u_{ave}}{\partial z} \overline{u'w'}^{x,y} + \frac{\partial v_{ave}}{\partial z} \overline{v'w'}^{x,y}\right)^t},$$
(22)

$$B - term = -\rho_0 \overline{b'_V w'}^{x,y,t}, \qquad (23)$$

where $(u_{ave}, v_{ave}) = (u_{tide} + \overline{u}^{x,y}, v_{tide} + \overline{v}^{x,y})$. The P-term is dominant in the 259 thin layer near the bottom the thickness of which is characterized by the laminar 260 (viscous) bottom boundary layer thickness H_{tide} (~1.7 m in this case). This reflects 261 that the turbulence is mainly produced in the viscous bottom boundary layer 262 associated with the strong vertical shear of the background tidal current. On the 263 other hand, the B-term increases upward from the bottom to have the maximum 264 at $z \sim 9$ m, and decreases toward the top of the domain after that. The weak local 265 peak appearing near the top $(z \sim 15 \text{ m})$ is probably due to breaking of internal 266 waves excited by turbulence in the TBBL. 267

²⁶⁸ Corresponding to these profiles of the P- and B-terms, buoyancy anomaly ²⁶⁹ $\overline{b_V}^{x,y,t}(z)$ is nearly constant below the height where the B-term has the maximum ²⁷⁰ and increases upward till the top of the domain (Fig. 4c). Therefore, it is reasonable ²⁷¹ to define the height of TBBL H_{tbbl} by that where the B-term has the maximum ²⁷² (indicated by cross in Figure 4), as in Sakamoto and Akitomo (2009). Figure 4b ²⁷³ also shows the total buoyancy flux multiplied by ρ_0 , B_f (dashed line),

$$B_f = -\rho_0 \overline{b'_V w'}^{x,y,t} + \rho_0 \kappa \frac{\overline{\partial b_V}}{\partial z}^{x,y,t}.$$
 (24)

It decreases almost linearly with depth, validating our assumption for the present
scaling (Equation (5)).

Figure 5a shows H_{tbbl} for all cases against the scaling given by Equation (7) 276 with $C_{tbbl} = 0.383$, where the friction velocity u_* is estimated from the last 3-cycle 277 average of the bottom stress (Table 1). (The friction velocity u_* is not exactly pro-278 portional to U_{tide} , but it decreases with B_s by up to 25% (Table 1; see subsection 279 3.3), showing that some attention is needed to use U_{tide} as a velocity scale.) As 280 seen in this figure, a good agreement between the experiment and scaling (corre-281 lation coefficient of 0.98) proves that the scaling by Equation (7) is valid for the 282 height of the TBBL although it slightly underestimates H_{tbbl} in some cases with 283 $Ro_t = 4$ and $H = 10H_{tide} = 11.7$ m (solid red symbols in Fig. 5a). 284

The scaling based on the buoyancy frequency (Equation (9)) also exhibits a fairly good agreement (correlation coefficient of 0.92; Fig. 5b), where the buoyancy frequency N^2 is estimated from the profile of $\overline{b_V}^{x,y,t}(z)$ as,

$$N^{2} = \frac{\overline{b_{V}}^{x,y,t}(H) - \overline{b_{V}}^{x,y,t}(H_{tbbl})}{H - H_{tbbl}}.$$
(25)

²⁸⁸ Whereas the agreement means validity of Equation (9) on the whole, close exam-²⁸⁹ ination shows that the model results lie on the two different lines depending on ²⁹⁰ whether the water depth *H* is $10H_{tide}$ (solid symbols) or $20H_{tide}$ (open symbols) ²⁹¹, implying the systematic change of the buoyancy frequency with *H*. Indeed, be-²⁹² cause the buoyancy flux balance of $\kappa N^2 = B_s z/H$ is expected to be established in the stratified layer, the buoyancy frequency $\overline{N^2}^z$ averaged over the stratified layer, which is evaluated by,

$$\overline{N^2}^z = \frac{1}{H - H_{tbbl}} \int_{H_{tbbl}}^H \frac{B_s z}{\kappa H} dz = \frac{B_s}{2\kappa} \left(1 + \frac{H_{tbbl}}{H} \right), \tag{26}$$

decreases with the water depth H. It is consistent with the model result in Fig. 5b that the scaling (9) predicts larger H_{tbbl} as H increases.

Figure 6 compares the energy conversion rates, P^{i} - and B^{i} -terms, and the efficiency of tidal mixing ϵ between the model result and corresponding scaling (Equations (10), (11), and (13)). The P^{i} - and B^{i} -terms in the model are evaluated by the integration of Equations (22) and (23) over the TBBL, namely,

$$\mathbf{P}^{i} - \operatorname{term} = \rho_{0} \int_{0}^{H_{tbbl}} \overline{\left(\frac{\partial u_{ave}}{\partial z} \overline{u'w'}^{x,y} + \frac{\partial v_{ave}}{\partial z} \overline{v'w'}^{x,y}\right)}^{t} dz, \qquad (27)$$

$$\mathbf{B}^{i} - \operatorname{term} = -\rho_0 \int_0^{H_{tbbl}} \overline{b'_V w'}^{x,y,t} dz.$$
(28)

As seen in Figs. 6a and 6b, the model P^i -term agrees with its scaling $C_P u_*^3$ with $C_P = 11.6$ and the model B^i -term does with its scaling $C_B B_s H_{tbbl}^2 / H$ with $C_B =$ 0.468.

The efficiency of tidal mixing ϵ calculated by Bⁱ-term/Pⁱ-term in the model 304 experiment is plotted against the scaling (11) with $C_{\epsilon}^{BP} = C_B/C_P = 0.0403$ in 305 Fig. 6c. Though slightly underestimating the model results for larger Ro_t (2 and 306 4) and lower Re (less than 2000) with $H = 10H_{tide}$ (solid black and red symbols), 307 the scaling (11) shows a fairly good agreement with the model result on the whole. 308 On the other hand, Figure 6d compares the model ϵ with the scaling (13) us-309 ing C_{ϵ} (3.24×10⁻³) evaluated by the best fitted values of C_H (0.7), C_P (11.6), C_B 310 (0.468), and C_{tbbl} (0.383) for the scalings (4), (10), and (7). The model result is 311 more scattered against the scaling (13) than the scaling (11). More specifically, 312

whereas the scaling well agrees with the model result when $H = 20H_{tide}$, it significantly underestimates the model result when $H = 10H_{tide}$, particularly for Rot=4 (solid red symbols). This underestimation appears to be related with the fact that the scaling of H_{tbbl} (7) is smaller than the model result (Fig. 5a). Indeed, the scaling (11) using the model H_{tbbl} gives the better agreement with the model result. Further discussion about discrepancies between the model result and scaling will be given in next subsection.

320 3.3 Discussion

Examining Fig. 6 carefully, we can find that the P^{i} -term is small when the scalings 321 of ϵ (11) and (13) underestimate the model result (red and black solid symbols). 322 It indicates that the smaller P^{i} -term is a possible cause for the underestimation 323 of ϵ , and then we investigate the reason why the Pⁱ-term is smaller in these cases. 324 Figure 7 compares the time evolutions of vertical velocity variance $(\overline{w^2}^{x,y})$ and 325 buoyancy anomaly $\overline{b_V}^{x,y}$ between case 12 with (Rot, B_s)=(2, 1.40×10⁻⁸ m²s⁻³) 326 and case 21 with (4, $2.33 \times 10^{-8} \text{ m}^2 \text{s}^{-3}$). Note that Re= 3000 and $H = 10H_{tide}$ in 327 both cases. While the model ϵ (1.7%) is comparable to the scalings (1.6% by (11) 328 and 2.0% by (13)) in case 12, it is larger in case 21 (4.9%) than the scalings (3.9%) 329 by (11) and 1.4% by (13)). 330

In case 12 (Fig. 7a), turbulent motion begins to develop before the background tidal current directs to the major-axis at every half tidal cycle such as 30.0, 30.5, 31.0, and so on, and it continues till the current direction turns to the minor-axis. This long-lived turbulence satisfies the prerequisite to apply the scale argument to the model result on the tidal average.

In case 21 ($Ro_t = 4$; Fig. 7b), to the contrary, onset of vigorous turbulence is 336 delayed to the time when the background current turns from the major axis to 337 the minor one such as 21.2, 21.7, 22.2, and so on. Turbulence temporarily becomes 338 much more intense than in case 12, but decays in a short time. Such intermittent 339 and short-lived turbulence which makes the P^{i} -term smaller inherently avoids the 340 scaling based on the tidal average. The Pⁱ-term in case 21 ($4.95 \times 10^{-4} \text{Wm}^{-2}$) is 341 less than one third of that in case 12 $(17.6 \times 10^{-4} \text{Wm}^{-2})$ while the Bⁱ-term is 342 almost the same $(2.44 \times 10^{-5} \text{ Wm}^{-2} \text{ and } 2.95 \times 10^{-5} \text{Wm}^{-2} \text{ in cases } 21 \text{ and } 12$, 343 respectively). It follows that decreased P^{i} -term may be a major factor to increase 344 the efficiency ϵ in case 21. Similar tendency is found in cases with the short-lived 345 turbulence. 346

There are some possible reasons why turbulent motion is short-lived, or the 347 \mathbf{P}^{i} -term is smaller, in case 21 and others. Higher ellipticity of the background tidal 348 current, *i.e.* the ratio of the major and minor axes which is equivalent to Rot in 349 the present study, can reduce turbulent intensity when the current directs to the 350 minor axis. The shallower water depth H as well as higher surface heat flux can be 351 another factor. As H decreases, the effective buoyancy flux B_s^* increases (Equation 352 (5)), and stratification is enhanced in the upper layer (e.g. Equation (26)). These 353 factors effectively suppress turbulent motion, or the P^{i} -term, in the TBBL. 354

On the other hand, it should be noted that enhanced stratification also act to increase buoyancy anomaly b'_V . Therefore, the B^{*i*}-term is less reduced or unchanged in cases with the short-lived turbulence although vertical velocity w' is suppressed. Although the model P^{*i*}-term is small on the tidal average in case 21, the intermittent turbulent motion itself is much stronger than the long-lived turbulent motion in case 12 (Fig. 7). Indeed, isolines of $\overline{b_V}^{x,y}$ abruptly rises by more than 1 m synchronously with the intermittent turbulence (Fig. 7b) whereas its rise is at most a few tenths of a meter in case 12. This may be a reason why the model H_{tbbl} is larger than that the scaling (7) predicts, particularly for Ro_t=4 and $H = 10H_{tide}$ (solid red symbols in Fig. 5a). It follows that the scaling of ϵ (13) underestimates the model result.

Another difference is that the short-lived turbulence begins to develop when 366 the background tidal current turns from the major-axis to the minor whereas 367 the long-lived one begins with the increasing background current velocity. Close 368 examination reveals that the short-lived turbulence is excited by inflection point 369 instability which develops in the decelerating phase as in the Stokes flow which 370 is an oscillating flow without Earth rotation (e.g. Sakamoto and Akitomo, 2006). 371 Lower Re, higher B_s , and shallower H tend to make turbulence weaker, and finally 372 an inflection point appears in the vertical profile of the horizontal current when the 373 tidal phase proceeds from a flood (ebb) tide to a slack. This is a possible mechanism 374 of short-lived turbulence. Though, to our knowledge, this kind of turbulence has 375 not yet been observed in actual seas, it may be possible when $Ro_t > 1$, *i.e.* Earth 376 rotation is less effective. 377

Related to the intensity of turbulence in the TBBL, it is meaningful to point out 378 the fact that u_* decreases with B_s , H, and Rot even if U_{tide} (or Re) is unchanged 379 (Table 1). For example, u_* decreases from $5.83 \times 10^{-3} \text{ ms}^{-1}$ to $4.48 \times 10^{-3} \text{ ms}^{-1}$ (a 380 decrease of 25%) when B_s increases from $0.467 \times 10^{-8} \text{ m}^2 \text{s}^{-3}$ to $1.87 \times 10^{-8} \text{ m}^2 \text{s}^{-3}$ 381 $(10 \text{ Wm}^{-2} \text{ to } 40 \text{ Wm}^{-2})$ in cases 34~37. This change is due to the short-lived 382 turbulence occurring more frequently in case 34 than in case 37 (not shown). On 383 the contrary, the decrease in u_* is only 5% in cases 11~14 where no short-lived 384 turbulence occurs. Nevertheless, the scaling law presented here is still valid when 385

 U_{tide} is used instead of u_* although experimental results are somewhat scattered against corresponding scalings on Figs. 5 and 6 (not shown).

388 4 Summary

We have investigated what determines the height of the TBBL H_{tbbl} in a shallow sea under a constant heating by scale argument and DNS experiment. Different from other boundary layers, it is peculiar to the TBBL that inputs of the buoyancy and turbulent kinetic energy are located on the opposite ends of the water layer. Because of this, the buoyancy flux at the top of the TBBL, *i.e.* the effective buoyancy flux, is reduced by a factor of H_{tbbl}/H from that at the sea surface, where His the water depth (Fig. 1).

Taking it into account, we have obtained the scaling of H_{tbbl} under a constant heating B_s for the first time. That is,

$$H_{tbbl} = u_* / \sqrt{C_H^{-2} (\sigma + f)^2 + C_{NS}^{-2} |\sigma + f| B_s H_{tbbl} / H u_*^2}$$

This scaling is reduced to that for a homogeneous sea $H_{hom} = C_H u_*/|\sigma + f|$ (Sakamoto and Akitomo, 2008) when B_s is set to zero, or the water depth is sufficiently large relative to H_{hom} . In a shallow coastal sea where the water depth is usually much smaller than H_{hom} , H_{tbbl} can be approximated to

$$H_{tbbl} \approx C_{tbbl} \left(\frac{u_*^4 H}{|\sigma + f| B_s} \right)^{1/3},$$

where $C_{tbbl} (\equiv C_{NS}^{2/3})$ is the empirical constant. H_{tbbl} increases with H because increasing H reduces the effective buoyancy flux by putting the top of the TBBL away from the heating source at the sea surface. Using the scaling of H_{tbbl} , we ⁴⁰⁵ can obtain a simple expression for the efficiency of the energy conversion from the ⁴⁰⁶ tidal turbulent kinetic energy to the potential energy of the water column, ϵ , as

$$\epsilon = C_{\epsilon} \frac{H_{hom}}{H_{tbbl}}.$$

DNS experiment exhibits a good agreement with these scalings of the TBBL 407 with $C_{tbbl} = 0.383$, and the efficiency with $C_{\epsilon} = 3.24 \times 10^{-3}$ although there are 408 some exceptional cases with slow Earth's rotations ($Ro_t=2$ and 4), weak tidal 409 flows (Re ≤ 2000), and shallow water depth ($H = 10H_{tide}$). In the exceptional 410 cases, the scaling argument fails because intermittent and short-lived turbulent 411 motion avoids assumption of tidal average. Therefore, the proposed scalings can 412 be good measures of turbulent properties of the TBBL under a surface heating 413 as long as the tidal average is physically meaningful. We expect that advanced 414 observation technologies will reveal fine-scale turbulent properties to validate the 415 present results. 416

When the TBBL reaches the sea surface, the efficiency ϵ is given by $C_{\epsilon}H_{hom}/H$. 417 This means that the critical value of SH parameter $\log(H/U^3)$ which is an index 418 of the position of tidal mixing front may increase with u_* (U_{tide}) and decrease 419 with $|\sigma + f|$ and H. The variation range of ϵ from less than 1 to 7% in the present 420 experiment implies that the critical value of SH parameter may differ by nearly one, 421 which is comparable to the observed difference around the world (e.g. Simpson and 422 Sharples, 2012). A comprehensive review of observational data should be needed 423 about variability of the efficiency of tidal mixing. 424

A diurnal variation of surface heating and the horizontal component of Earth's rotation (Wakata, 2013) may modify the present results by introducing temporal variations of turbulence within a tidal period. They will be important subjects in
a future study as well as the short-lived turbulence found in the present study.

Properties of the TBBL including SH parameter (position of tidal front) may 429 be affected by various topographic and/or geometric features of individual coastal 430 regions (e.g. Takeoka et al., 1997; Sun and Isobe, 2008). A general circulation model 431 may be a promising tool to investigate such a problem. Indeed, recent advances 432 of modeling technology coupled with increasing computer resources have made it 433 possible to effectively calculate the global circulation including tides (Sakamoto 434 et al., 2013a, b), and it will follow in the near future that fine-resolution models 435 can reproduce the coastal processes in more detail than now. Nevertheless, it is 436 inevitable to parameterize the turbulent mixing processes even in such a model. 437 We hope that fundamental turbulent properties of the tidally-induced bottom 438 boundary layer obtained here will be helpful for improving reproducibility and 439 accuracy of parameterization of turbulence. 440

441 Appendix

The analytical solution of the tidal current $(u_{tide}(z,t), v_{tide}(z,t))$ as the forcing is derived after Fang and Ichiye (1983) and Davies (1985). When an oscillatory pressure gradient $-1/\rho_0 \cdot \partial p/\partial x$ is imposed, the interior (inviscid) tidal current $(u_{int}(t), v_{int}(t))$ with negative f is obtained as,

$$(u_{int}(t), v_{int}(t)) = (-U_{tide} \cos \sigma t, -V_{tide} \sin \sigma t)$$
(29)

where U_{tide} and σ are the amplitude and frequency of the forcing, respectively, and $V_{tide} = U_{tide}(f/\sigma)$ is the amplitude of $v_{int}(t)$. Using complex number (*i*: the imaginary unit), this expression is converted to a sum of clockwise and anti449 clockwise components:

$$u_{int}(t) + iv_{int}(t) = R^+ e^{i\sigma t} + R^- e^{-i\sigma t},$$
(30)

where $R^{\pm} = -(U_{tide} \pm V_{tide})/2$ (double-sign corresponds). Now, imposing equation (30) as the boundary condition at $z \to \infty$ while no-slip condition at the bottom, we obtain the analytical solution of $u_{tide}(z,t) + iv_{tide}(z,t)$ for the viscous fluid with constant ν ,

 $u_{tide}(z,t) + iv_{tide}(z,t)$

$$= \begin{cases} R^+ e^{i\sigma t} \left(1 - \exp\left(-\frac{(1+i)z}{\sqrt{2\nu/(f+\sigma)}}\right)\right) + R^- e^{-i\sigma t} \left(1 - \exp\left(-\frac{(1+i)z}{\sqrt{2\nu/(f-\sigma)}}\right)\right) \\ & \text{when } f + \sigma > 0 \text{ and } f - \sigma > 0, \end{cases} \\ R^+ e^{i\sigma t} \left(1 - \exp\left(-\frac{(1+i)z}{\sqrt{2\nu/(f+\sigma)}}\right)\right) + R^- e^{-i\sigma t} \left(1 - \exp\left(-\frac{(1-i)z}{\sqrt{2\nu/(f-\sigma)}}\right)\right) \\ & \text{when } f + \sigma > 0 \text{ and } f - \sigma < 0, \end{cases} \\ R^+ e^{i\sigma t} \left(1 - \exp\left(-\frac{(1-i)z}{\sqrt{2\nu/(f+\sigma)}}\right)\right) + R^- e^{-i\sigma t} \left(1 - \exp\left(-\frac{(1+i)z}{\sqrt{2\nu/(f-\sigma)}}\right)\right) \\ & \text{when } f + \sigma < 0 \text{ and } f - \sigma > 0, \end{cases} \\ R^+ e^{i\sigma t} \left(1 - \exp\left(-\frac{(1-i)z}{\sqrt{2\nu/(f+\sigma)}}\right)\right) + R^- e^{-i\sigma t} \left(1 - \exp\left(-\frac{(1-i)z}{\sqrt{2\nu/(f-\sigma)}}\right)\right) \\ & \text{when } f + \sigma < 0 \text{ and } f - \sigma > 0, \end{cases} \\ \end{cases}$$

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(31)

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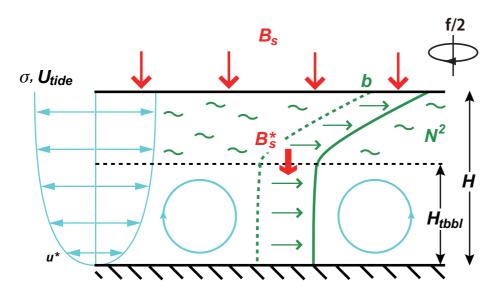


Fig. 1 Schematic view of tidally-induced bottom boundary layer under a surface heating. See text for detail.

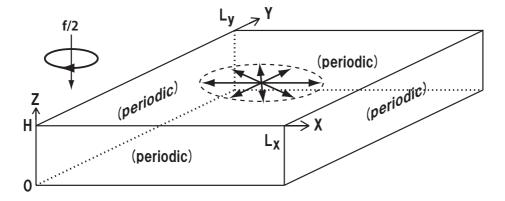


Fig. 2 Model domain and coordinate system with tidal flow as the driving force.

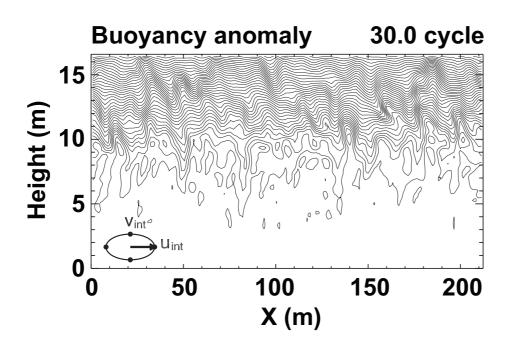


Fig. 3 Vertical section of buoyancy anomaly b'_V at y = 106 m on 30 tidal cycles. Contour interval is 2.0×10^{-5} m s⁻². The ellipse of interior tidal flow is shown as the forcing with the vector pointing the flow direction.

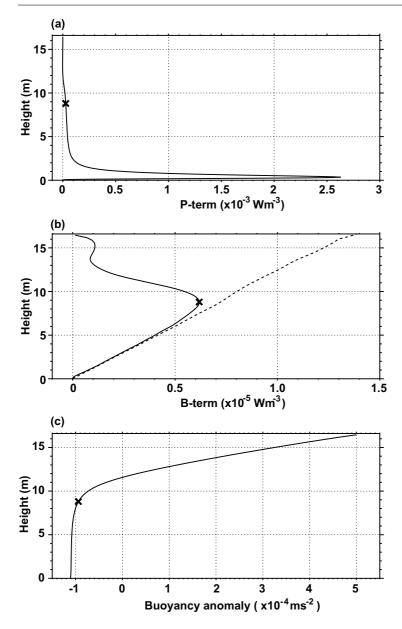


Fig. 4 Solid lines indicate vertical profiles of (a) P - term, (b) B - term, and (c) $\overline{b_V}^{x,y,t}$. Cross (×) indicates the height where B - term reaches the maximum, or the height of TBBL, H_{tbbl} . Dashed line in (b) shows the horizontal and temporal average of the total buoyancy flux, B_f .

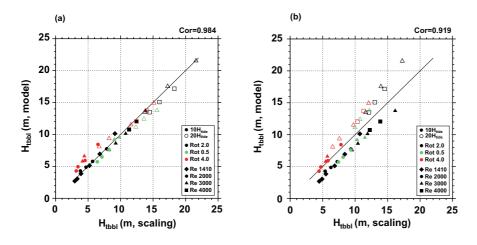


Fig. 5 (a) H_{tbbl} evaluated from model result against the scaling given by Equation (7) with $C_{tbbl} = 0.383$, (b) same as in (a) but for the scaling given by Equation (9) with $C_{CN} = 1.56$. Solid and open symbols represent cases with $H = 10H_{tide}$ and $20H_{tide}$, respectively; black, green, and red ones do cases with $Ro_t=2$, 0.5, and 4, respectively; diamond, circle, triangle, and square do cases with Re=1410, 2000, 3000, and 4000, respectively.

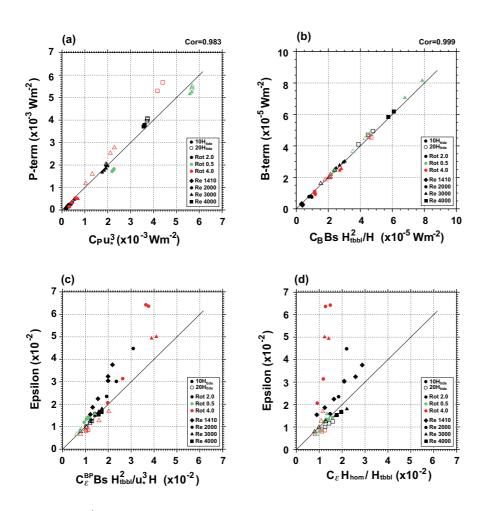


Fig. 6 (a) P^i -term evaluated from model experiment against the scaling given by Equation (10) with $C_P = 11.6$, (b) B^i -term evaluated from model experiment against the scaling given by Equation (10) with $C_B = 0.468$, (c) ϵ evaluated from model experiment against the scaling given by Equation (11) with $C_{\epsilon}^{BP} = C_B/C_P = 0.0403$, and (d) same as in (c) but for the scaling given by Equation (13) with $C_{\epsilon} = C_{tbbl}^3 C_B/C_H C_P = 3.24 \times 10^{-3}$. Symbols are same as in Fig. 5.

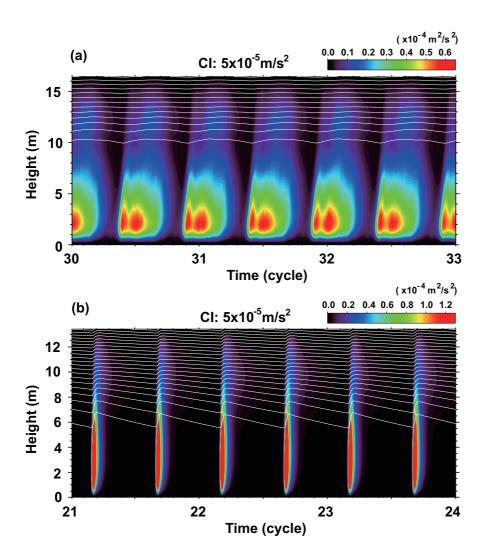


Fig. 7 Time evolution of variance $\overline{w^2}^{x,y}$ (colors) and buoyancy anomaly $\overline{b_V}^{x,y}$ (white contours). (a) Case 12, and (b) case 21. Contour intervals are shown in each panel. Note that color contour interval in (b) is doubled that in (a).

Table 1	Experimental	cases
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Case	U_{tide}	H_{tide}	H	B_s	f	Re	Ro_{t}	u_*
	(ms^{-1})	(m)	$(\times H_{tide})$	$(\times 10^{-8} {\rm m}^2 {\rm s}^{-3})$	$(\times 10^{-4} s^{-1})$	$(U_{tide}H_{tide}/\nu)$	(σ/f)	$(\times 10^{-2} \text{ms}^{-1})$
1	0.0850	1.66	10	1.87	-0.727	1410	2	0.248
2	0.0850	1.66	10	1.40	-0.727	1410	2	0.252
3	0.0850	1.66	10	0.933	-0.727	1410	2	0.257
4	0.0850	1.66	10	0.467	-0.727	1410	2	0.268
5	0.0850	1.66	10	0.233	-0.727	1410	2	0.275
6	0.0850	1.66	10	0.117	-0.727	1410	2	0.289
7	0.121	1.66	10	1.87	-0.727	2000	2	0.300
8	0.121	1.66	10	1.40	-0.727	2000	2	0.326
9	0.121	1.66	10	0.933	-0.727	2000	2	0.340
10	0.121	1.66	10	0.467	-0.727	2000	2	0.365
11	0.181	1.66	10	1.87	-0.727	3000	2	0.534
12	0.181	1.66	10	1.40	-0.727	3000	2	0.543
13	0.181	1.66	10	0.933	-0.727	3000	2	0.550
14	0.181	1.66	10	0.467	-0.727	3000	2	0.557
15	0.241	1.66	10	1.87	-0.727	4000	2	0.677
16	0.241	1.66	10	1.40	-0.727	4000	2	0.679
17	0.148	1.35	10	1.87	-0.364	2000	4	0.304
18	0.148	1.35	10	1.40	-0.364	2000	4	0.301
19	0.148	1.35	10	0.933	-0.364	2000	4	0.334
20	0.148	1.35	10	0.467	-0.364	2000	4	0.369
21	0.222	1.35	10	2.33	-0.364	3000	4	0.392
22	0.222	1.35	10	1.87	-0.364	3000	4	0.390
23	0.171	1.17	10	1.87	-2.91	2000	0.5	0.575
24	0.171	1.17	10	1.40	-2.91	2000	0.5	0.579
25	0.171	1.17	10	0.933	-2.91	2000	0.5	0.582
26	0.256	1.17	10	2.33	-2.91	3000	0.5	0.785
27	0.256	1.17	10	1.87	-2.91	3000	0.5	0.790
28	0.181	1.66	20	0.933	-0.727	3000	2	0.554
29	0.181	1.66	20	0.467	-0.727	3000	2	0.553
30	0.181	1.66	20	0.233	-0.727	3000	2	0.551
31	0.241	1.66	20	1.87	-0.727	4000	2	0.685
32	0.241	1.66	20	1.40	-0.727	4000	2	0.687
33	0.241	1.66	20	0.933	-0.727	4000	2	0.687
34	0.222	1.35	20	1.87	-0.364	3000	4	0.448
35	0.222	1.35	20	1.40	-0.364	3000	4	0.486
36	0.222	1.35	20	0.933	-0.364	3000	4	0.568
37	0.222	1.35	20	0.467	-0.364	3000	4	0.583
38	0.295	1.35	20	1.87	-0.364	4000	4	0.713
39	0.295	1.35	20	1.40	-0.364	4000	4	0.725
40	0.256	1.17	20	1.87	-2.91	3000	0.5	0.789
41	0.256	1.17	20	1.40	-2.91	3000	0.5	0.790
42	0.256	1.17	20	0.933	-2.91	3000	0.5	0.790