

Intestinal rotation and physiological umbilical herniation during the embryonic period

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26 **Abstract**

27 Drastic changes occur during the formation of the intestinal loop (IL), including
28 elongation, physiological umbilical herniation (PUH), and midgut rotation.
29 Fifty-four sets of magnetic resonance images of embryos between Carnegie
30 stage (CS) 14 and CS 23 were used to reconstruct embryonic digestive tract in
31 three dimensions in the Amira program. Elongation, PUH, and rotation were
32 quantified in relation to the proximal part of the superior mesenteric artery (SMA),
33 designated as the origin. Up to CS 16, IL rotation was initially observed as a
34 slight deviation of the duodenum and colorectum from the median plane. The
35 PUH was noticeable after CS 17. At CS 18, the IL showed a hairpin-like structure,
36 with the SMA running parallel to the straight part and the cecum located to the
37 left. After CS 19, the IL began to form a complex structure as a result of the rapid
38 growth of the small intestinal portion. By CS 20, the IL starting point had moved
39 from the right cranial region to an area caudal to the origin, though elongation of
40 the duodenum was not conspicuous—this was a change of almost 180° in
41 position. The end of the IL remained in roughly the same place, to the left of and
42 caudal to the origin. Notably, the IL rotated around the origin only during earlier
43 stages and gradually moved away, running transversely after CS 19. The
44 movements of the IL may be explained as the result of differential growth,
45 suggesting that IL rotation is passive.

46

47 **Key Words**

48 human embryo, intestinal development, midgut rotation, magnetic resonance
49 imaging, three-dimensional reconstruction

50 **Abbreviation**

51 superior mesenteric artery (SMA), intestinal loop (IL), OE; omphalo-enteric duct,
52 magnetic resonance (MR), Carnegie stage (CS), Three-dimensional (3D),
53 Starting point of IL (S), Ending point of IL (E), Crown-Ramp length (CRL)
54 point near the pyloric antrum (P), starting of IL (S), ending of IL, (E), cecum (C),
55 tip of the IL (T), point crossing the border between abdominal coelom and
56 extraembryonic coelom in the umbilical cord on the colon (B), omphalo-enteric
57 duct (OE), angle between median plane and segment SE was calculated ($\angle Ro$)

58 **Introduction**

59 The intestine elongates considerably during the embryonic period, and this
60 involves two important phenomena, physiological umbilical herniation (PUH)
61 (Meckel, 1817) and rotation (Mall, 1898). Those phenomena occur
62 simultaneously and affect each other, making the process complicated to
63 describe. Briefly, in PUH, the elongated intestine temporarily enters the
64 extraembryonic coelom in the umbilical cord, rotating through 90° in a
65 counterclockwise direction. This is followed by reduction, in which the intestine
66 returns to the abdominal coelom, rotating a further 90° counterclockwise. In the
67 final phase, fixation, the intestine assumes its final position as the mesentery
68 rotates through 90°, again counterclockwise. The timing of PUH (Frazer and
69 Robbins, 1915; O’Rahilly and Muller, 2001; Cyr et al., 1986) and the speed and
70 timing of reduction (Mall, 1898; Cyr et al., 1986, Snyder and Chaffin, 1952, 1954)
71 were subjects of debate until Kim et al. (2003), using histological analysis, found
72 that initiation of the intestinal loop (IL) occurs at Carnegie stage (CS) 14, with
73 PUH beginning around CS 16 and reaching its maximum at CS 23. The IL
74 returns to the abdominal coelom when the crown-rump length (CRL) of the fetus
75 is around 40 mm. However, the timing and degree of rotation are still being
76 argued (Frazer and Robbins, 1915; Dott, 1922). In fact, Kluth et al. (1995, 2003,
77 2011) claim that there is no conclusive evidence for the rotation of the gut,
78 because a malrotated gut has never been observed in normal embryos. On the
79 basis of a scanning electron microscope study of IL formation in a rat model,
80 they emphasize the importance of the formation of the duodenal loop and state
81 that malformations are exclusively the result of localized growth failures of this

loop. They also deny that the cecum moves to the right lower part of the abdomen during fixation. Soffers et al. (2015) have developed hierarchical models based on 3D reconstruction of the midgut, superior mesenteric artery (SMA), and mesentery as a whole from histological sections; their models also differ from the classical en bloc rotation model.

Analysis and understanding of embryological intestinal development is undoubtedly important, as failure of the process can give rise to malformations such as nonrotation, malrotation, and subhepatic cecum. There is a need for quantitative, stage-by-stage descriptions of the process, based on three-dimensional (3D) images. The present study was designed to document and measure the movements of the intestine during elongation, PUH, and rotation in three dimensions; this was done using magnetic resonance (MR) microscopy to obtain images of embryos between CS 14 and CS 23, corresponding to the period from the initiation of the IL to maximum PUH.

Materials and Methods

Human embryo specimens

Approximately 44,000 human embryos, comprising the Kyoto Collection of Human Embryos, are stored at the Congenital Anomaly Research Center of Kyoto University (Nishimura et al., 1968; Shiota et al., 2007; Yamada et al., 2006). Most of these were obtained after termination of a pregnancy during the first trimester for socioeconomic reasons under the Maternity Protection Law of Japan. In the laboratory, aborted embryos were measured, examined, and

staged by two of the authors (C. U. and S. Y.) using the criteria provided by O’Rahilly and Müller (1987). Approximately 1,200 well-preserved embryos, found to be normal on gross examination and between CS 14 and CS 23, were selected for MR microscopy using methods previously described elsewhere (Shiota et al., 2007; Yamada et al., 2006; Matsuda et al., 2003, 2007). Of the 1,200 resulting MR image sets, the authors selected 54 displaying an intact umbilicus and body area for analysis of dimensional changes (3–7 for each CS).

Image analysis

The structure of the digestive tract, including the stomach, duodenum, IL, and colorectum, was reconstructed for each image set using the Amira software suite (version 5.4.5; Visage Imaging, Berlin, Germany) (Fig. 1a). The AmiraSkel software module was used to determine the centerline length of the digestive tract.

Anatomical landmarks and position of the intestine

The 3D coordinates were initially assigned by using Amira to examine the positions of particular voxels in 3D images. Two points on the proximal straight part of the SMA were designated Z1 and Z2 and used as external anatomical landmarks, and the line connecting the points was defined as the z-axis of the coordinate system (Fig. 1a,b). Point P, located on the digestive tract near the pyloric antrum above the neural tube and vertebral column, was used to determine the median plane and y-axis (Fig. 1b) (Kaigai et al., 2014). Various other points on the tract were used as internal anatomical landmarks, including

the start of the IL (S), the end of the IL (E), the cecum (C), the tip of the IL (T), and point B, located where the border between the abdominal coelom and extraembryonic coelom (specifically the umbilical cord) crossed the straight part of the colonic portion of the IL. S and E were defined as the most obvious dorsal inflection points on the 3D image and may correspond to the points at which the length of the mesentery drastically changed as described by Soffers et al. (2015).

The lengths of the duodenum (segment PS), small intestinal part of the IL (segment SC), and large intestinal part of the IL (segment CE) were measured. The distance from E to the rectum was not measured because it was difficult to precisely locate the colorectum within the pelvic cavity using our methods. The heights of T, B, and C were measured to estimate the extent of PUH (Fig. 1c). Point T corresponded to the entry of the omphaloenteric duct, which could occasionally be visualized. The z-coordinate of each landmark was recorded as its height. CRL and maximal abdominal transverse length were used as references for embryonic axial growth and width, respectively. To estimate the position and rotation of the IL at points S and E, the angle between the median plane and segment SE was calculated (angle of rotation, $\angle Ro$). The angles formed with the median plane by points S and E were measured as $\angle s$ and $\angle e$, respectively, and the length of segment SE was also measured (Fig.1d).

The ethics committee of the Kyoto University Graduate School and Faculty of Medicine approved this study (E986).

Results

Morphological changes of the intestinal tract during the embryonic period

The 3D anatomy of the intestinal tract was reconstructed using Amira (Fig. 2). The IL's development of a complex coiled, spiral structure during development was successfully visualized. Elevation of the intestinal tract was already observable at CS 14 (Fig. 2a), though points S and E were not clearly defined. From CS 16 onward, points S and E and the cecum were clearly recognizable. At CS 16, point T was located near the border between the abdominal coelom and extraembryonic coelom. The border area was broad and tilted caudad, making it difficult to determine whether the tip of the intestine had entered the umbilical cord or not. Both the duodenum and colorectum ran parallel to the median plane, with the duodenum shifted slightly to the right and the colorectum shifted slightly to the left. The IL connected both parts obliquely like a bridge. Viewed ventrally, the tract formed a crank shape (Fig. 2a).

At CS 17, PUH of the intestine, including the cecum, was evident (data not shown). By CS 18, the intestine had elongated and formed a straight tube like a hairpin (Fig. 2b). The SMA ran straight, parallel and proximate to both the efferent and afferent parts of the IL. The efferent part was on the right of the SMA, and the afferent part was on the left. The cecum and vermiform appendix could be distinguished to the left of the SMA in the umbilicus.

From CS 19 onward, the small intestinal portion of the IL began to show marked elongation and coiling, whereas the large intestinal portion remained almost straight until CS 23 (Fig. 2c). At these later stages, point E was located near the left gonadal ridge and metanephros, which were caudal to the origin

(data not shown).

Dimensional changes of the intestinal tract from CS 14 to CS 23

Elongation of the intestinal tract

The lengths of each previously mentioned segment of the intestinal tract were measured. CRL, the indicator of embryonic axial growth, increased nearly 3.6 times greater at CS 23 than at CS 16 (Fig. 3). The intestinal tract elongated rapidly over this period, primarily owing to the growth of segment SC (the small intestinal part of the IL). As a result, looping, coiling, and spiral formation were observed, first in the umbilicus and later inside the abdominal coelom. The lengths of the duodenum and the large intestinal part of the IL increased gradually over time. Compared with CS 16, the small intestinal part of the IL was 20 times longer by CS 23, whereas the large intestinal part was 8 times longer and the duodenum only 3.5 times longer.

Physiological umbilical herniation of the intestinal tract

The heights of the point of PUH and the border of the abdominal coelom increased gradually, at a rate similar to the increase in CRL (Fig. 4). The height ratios between T and B and between C and B were almost constant between CS 17 and CS 23 (T to B, 1.79–2.13; C to B, 1.10–1.38). The cecum could consistently be located at the proximal part of the umbilical cord, implying that the main part of the colorectum remained inside the abdominal coelom.

Movements of the intestinal tract

Points S and E were plotted on the coordinates with the proximal part of the SMA as the origin (Fig. 5a). As CS stage advanced, Point S moved caudally from the right cranial region of the SMA (in the second quadrant) to a point just right of the SMA, finally settling caudad of origin, almost in the median plane. Point E started out left of and caudal to the SMA (in the fourth quadrant) and gradually moved caudad. The distance between points S and E remained almost constant between CS16 and CS23 (715–1330 μm on the xy plane). The maximum abdominal transverse length increased gradually from 2.43 mm at CS 16 to 6.24 mm at CS 23.

Viewed ventrally, the IL was slightly tilted toward the median plane (Fig. 2a) at angle of 16.7° at CS 14 and 34.5° at CS 15. Though points S and C were clearly identifiable after CS 16, the angle between segment SE and the median plane ($\angle\text{Ro}$), which was 43.6° at CS 16, reached near perpendicularity (92.3°) at CS 19 (Fig. 6). Notably, segment SE was rotated around the SMA only during earlier stages (up to CS 17); after CS 18, it moved caudad from the origin (Fig. 5a).

The movements of points S and E relative to the SMA were measured by their angles to the median plane. From CS 16 to CS 17, $\angle\text{s}$ increased from 59.9° to 106.8° ; by CS 23, it was 184.1° . In contrast, $\angle\text{e}$ remained almost constant from CS16 to CS23 (changing from 25.5° and 44.6°).

The cecum was consistently located to the left of the SMA, generally at a distance of 500 to 1000 μ m, though position varied as development proceeded (Fig. 5b).

Discussion

In the present study, we used 3D reconstruction to observe the dimensional changes of the intestinal tract between CS 14 and CS 23, corresponding to the period from the formation of the IL to PUH. Our observations were consistent with previous reports of the timeline of development (Kim et al., 2003), with the IL already observable at CS 14. PUH started at CS 16 and was noticeable by CS 17, with the extent of PUH increasing until CS 23.

Regarding rotation, there were several notable findings. The intestinal tract initially ran in the median plane, which was connected with the mesentery (Snyder and Chaffin, 1954). The transverse distance between the duodenum and colorectum changed slightly but significantly from CS 14 to CS 16, which formed a crank shape in ventral view with points S and E as vertices (Figs. 2a, 7a). Though the mechanism by which this laterality might happen is not yet known, it may be related to the developing liver and left umbilical vein (Frazer and Robbins, 1915) or to the helical body axis and descent of the upper abdominal structure (Soffers et al., 2015). The discrepancies in the literature regarding the timing of rotation initiation may result from this small but important phenomenon being overlooked or not regarded as part of rotation. Kim et al.

(2003) and Mall (1898) indicate that rotation begins at CS 15, just before the IL enters the umbilical cord. Other authors place the initiation of PUH and that of rotation at same time, around CS 16 or 17 (Frazer and Robbins, 1915).

We observed the origin (the proximal part of the SMA) to be located along segment SE at earlier stages. However, this segment gradually moved caudad, finally becoming horizontal to the body axis around CS 19, which was later by 4 stages than previously reported (Kim et al., 2003). The event seemed to be a result of the gradual movement of points S and E. Previous studies have reported the colorectum to be important for rotation (Frazer and Robbins, 1915; Dott, 1923), stating that as it becomes considerably elongated and rotates counterclockwise, it pushes the rest of the intestinal tract with it. However, movement of point E was not conspicuous in the present study; indeed, the position of point E relative to the origin was very stable, and what movement there was caudad. We observed the elongation of segment CE to be relatively slow, with the colorectum not playing a prominent role in the observed events.

On the other hand, the position of S to the origin, expressed by $\angle s$, changed dramatically during development, rotating nearly 180° during PUH, and the rate of elongation of the duodenum was similar to that of the increase in CRL. The movement of point S was caudad, almost along the medial plane. These findings suggest the movement of the start of the IL may be appropriately explained as the result of differential growth rather than rotation around the SMA.

The distance between S and E was almost constant. Maximal abdominal

transverse length increased by only 2.6 times from CS 16 to CS 23, so the final ratio of distance between S and E to abdominal transverse length was rather small. Point S was located almost in the median plane at CS 20, though the time at which it reached this position was earlier than previously described (Mall, 1898; Frazer and Robbins, 1915; Snyder and Chaffin, 1954; Dott, 1923; Kluth et al., 1995, 2003; Metzger et al., 2011).

Kluth et al. (1995, 2003) and Metzger et al. (2011) recently made detailed observations of midgut morphogenesis, including PUH and the process of return, in rats between embryonic days (EDs) 13 and 17. Six of their findings were consistent with ours. First, at ED 14 (corresponding to CS 18), the cecum was located to the left of the SMA as the result of the elongation of the small intestine in the umbilicus. Second, when the intestine entered the umbilical cord at ED 15 (corresponding to CS 20), the tip of the duodenojejunal area was already located beneath the root of the mesentery. Third, at ED 15, the position of the cecum varied between embryos. Fourth, at ED 15, the colorectum was mainly located in the abdominal coelom. Fifth, the active contribution of the colorectum to the development of the IL was small throughout the observation period. Sixth, the elongation rate differed by region and was not uniform. One notable difference was that the elongation of the duodenum was considerable in their study but relatively inconspicuous in ours.

Kluth et al. (1995, 2003) and Metzger et al. (2011) pointed out the formation of the duodenal loop, with rapid longitudinal elongation in the early phase and location beneath the root of the mesentery, as a distinct embryological process. Snyder and Chaffin (1954) consider the position of the

293 duodenum important because abnormal rotation of the IL tends to be
294 accompanied by an abnormally positioned duodenum; however, Frazer and
295 Robbins (1915) regard it as not important. For our part, we agree with Kluth et al.
296 and Snyder and Chaffin that the early-stage positioning of the duodenojejunal
297 loop beneath the root of the mesentery is a crucial factor which is related to the
298 position of point S, though it may result not from the active elongation of the
299 duodenum but rather from differential growth.

300 S and E were defined as the most obvious dorsal inflection points on the
301 3D image in the present study. In Soffer et al.'s study (2015), using a lateral view
302 of a 3D reconstruction, two inflections concomitant with the change in length of
303 the mesentery were clearly observed at points corresponding to S and E in our
304 study. The point of inflection on the anal side was consistent with the boundary
305 of blood supply between the SMA and inferior mesenteric artery, whereas the
306 point on the oral side was located within the blood supply of the SMA. Thus,
307 Soffers et al. regard the duodenum and proximal jejunum, including the inflection
308 points, as part of the midgut loop, namely the first of four secondary loops of the
309 midgut loop. The first secondary loop (proximal duodeno-jejunal loop) differed
310 from other three loops in that the mesentery was thin at the oral side of the
311 inflection, the elongation speed was not so rapid, and no tertiary loop was
312 formed in that region; further, it was rotated 180 degrees relative to the SMA.
313 These observations were almost consistent with ours, though the region was
314 observed as part of segment PS (duodenum) in our study.

315 The morphology of the IL was rather simple until CS 18. O'Rahilly and
316 Müller (1987) described the IL as entering the umbilical cord without coiling, a

finding similar to that of our present study. However, after CS 19, the small intestinal portion (segment SC) elongated and formed a highly complex coiled, spiral structure. To describe these movements precisely requires definitions of the observation points and reference landmarks. Many previous studies have not used such definitions, resulting in discrepancies among their descriptions of rotation (Mall, 1898; Frazer and Robbins, 1915; O’Rahilly and Muller, 2001; Cyr et al., 1986; Snyder and Chaffin, 1954; Kim et al., 2003; Dott, 1923; Kluth et al., 1995, 2003; Metzger et al., 2011). The present study defined the observation plane and origin by the proximal part of SMA, leading to clear and reliable observations of the movement of points S and E. On the other hand, the observed position of the cecum in the umbilical cord was still variable. One obvious reason for this was that our observation plane was not defined with reference to the cecum. To analyze the movement of the cecum, it would be best to select an observation plane including the cecum itself; however, anatomical reference points outside the intestinal tract, such as the SMA, umbilical vein and arteries, mesentery, and omphaloenteric duct, will be necessary.

The morphogenesis of looping of the embryonic gut and other tubular organs such as the heart have recently been analyzed from a biophysical point of view using biomechanical and computational models. Savin et al. (2011) showed that the morphogenesis of intestinal looping is driven by the homogeneous and isotropic forces that arise from differences in growth rates between the intestine and the anchoring dorsal mesenteries. Hirashima (2014) showed that axial tubular buckling triggered by cell proliferation drives the

morphogenesis of murine epididymal tubules through mechanical interactions between the developing epithelial tubule and its surrounding tissues. Bayraktar and Maenner (2014) indicate that cardiac looping may be driven by compressive loads resulting from unequal growth of the heart and pericardial cavity. Soffers et al. (2015) presented models explaining midgut looping, suggesting that the primary, secondary, and tertiary loops arise in hierarchical fashion; the primary and secondary loops may be regulated genetically, whereas the tertiary loops are variable, with their morphogenesis likely dependent on biophysical factors.

Our results indicate that rotation is a passive event both at earlier stages (CS 14–16) and at later stages (after CS17) (Fig. 7). The movement of segment SE may be explained as differential growth rather than rotation around the origin (SMA). The early-stage positioning of the duodenojejunal loop beneath the root of the mesentery is a crucial factor, though it may also result not from the active elongation of the duodenum. The morphogenesis of the intestinal tract continues after PUH, including events such as the return of the IL and cecum to the abdominal coelom and the fixation of each region in its appropriate final position. To fully determine the nature of IL rotation and its significance to the morphogenesis of the intestinal tract, future studies should observe IL development up to the end of fixation.

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369

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Figure legends

Figure 1. The reference axis and anatomical landmarks used in the present study. (a) Illustration showing the reference axis and anatomical landmarks on the intestinal loop. The red broken line represents the border between the abdominal coelom and extraembryonic coelom in the umbilical cord, and the blue broken line connects the two reference points on the superior mesenteric artery (SMA), Z1 and Z2, which were used to define the z-axis and origin. P is the point located above the neural tube and vertebral column near the pyloric antrum, which was used to determine the median plane and y-axis. St is the stomach. (b) Reconstructed intestinal tract with anatomical references and 3D orthogonal coordinate system. (c) Measure for height of the PUH. (d) Orthogonal coordinate system. The SMA is the origin. P, pyloric antrum; S, start of intestinal loop; E, end of intestinal loop; C, cecum; T, tip of the intestinal loop; B, area on the colon crossing the border between the abdominal coelom and extraembryonic coelom; $\angle Ro$, angle between median plane and segment SE; $\angle s$, angle between S and median plane; $\angle e$, angle between E and median plane

Figure 2. Representative 3D reconstructions of intestinal tract between CS 14 and CS 23. (a) Ventral views (CS 14, 15). (b) Representative images at CS 16: mid-sagittal section of MR image (i), lateral view with silhouette of embryo (ii), and ventral (iii) and lateral (iv) views of a reconstruction of the intestinal tract alone. (c) Representative images at CS 18: mid-sagittal section of MR image (i);

lateral (ii) and ventral (iii) views with silhouette of embryo. The afferent part of the intestinal loop runs on the right of the SMA, with the efferent part and cecum on the left. The SMA runs parallel to the straight parts of the intestinal loop. (d) Lateral view of embryos between CS 19 and CS 23.

St, stomach; C, cecum, Va, vermiform appendix; Oe, omphaloenteric duct; red broken line, border between abdominal coelom and extraembryonic coelom in umbilical cord; white line, z-axis. T = height of the PUH (mm); scale bar = 1 mm

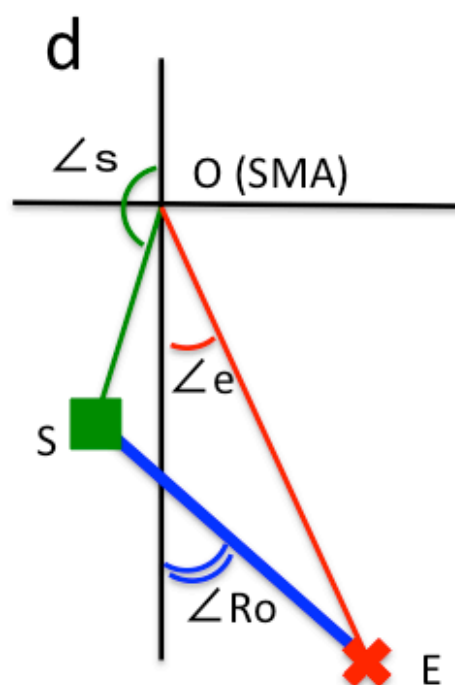
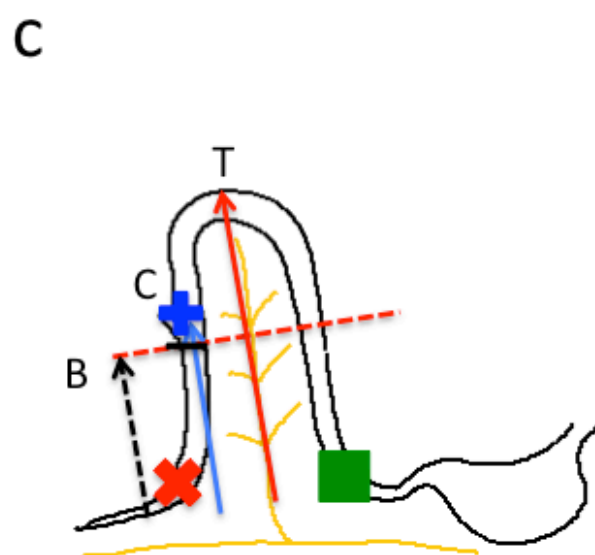
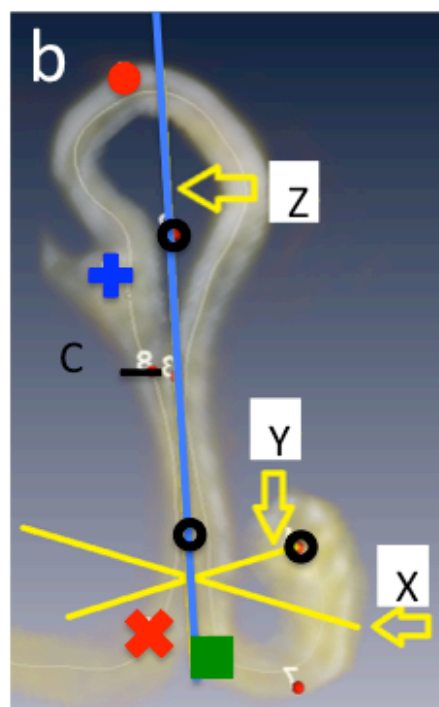
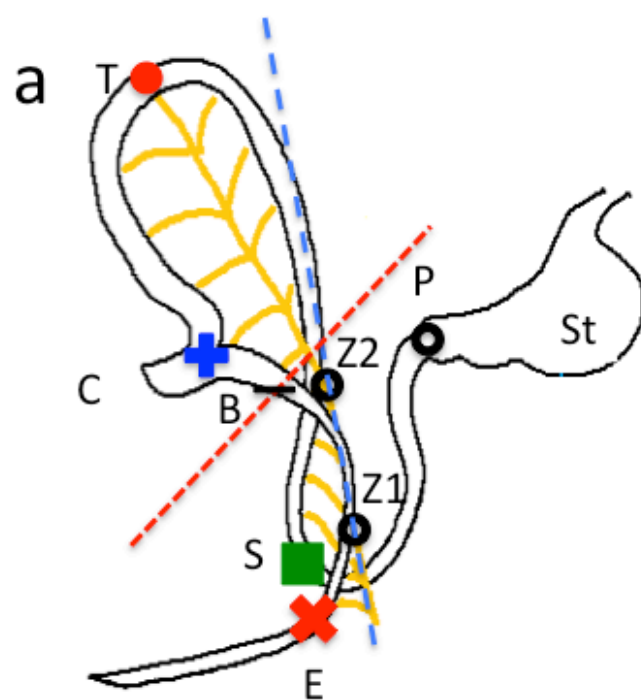
Figure 3. Elongation of intestinal tract between CS 14 and CS 23. The crown-rump length (CRL) is indicated in line graphs for comparison. Data for regional growth are provided only for CS 16 onward, because points C and S were not evident at CS 14.

Figure 4. Changes in height of the intestinal tract between CS 14 and CS 23, indicating the degree of PUH. The crown-rump length (CRL) and height of the border between the abdominal coelom and the extraembryonic coelom in the umbilical cord are indicated as broken lines for comparison.

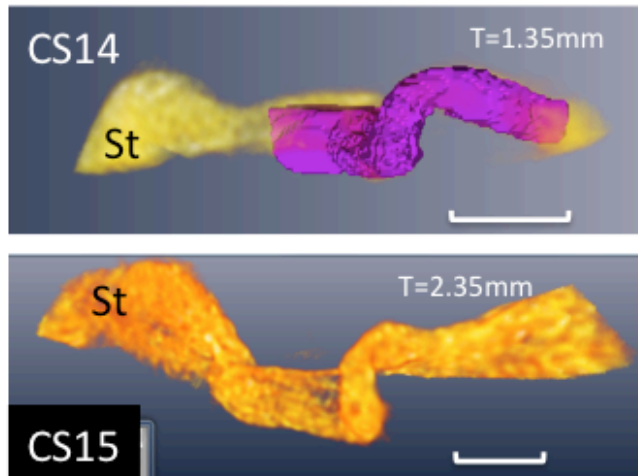
Figure 5. Movement of the anatomical landmarks: points S and E (a) and point C (b). The line following the straight portion of the SMA was defined as the z-axis. The points were projected to the xy plane near the proximal part of the SMA, corresponding to the dorsal wall of the abdominal coelom.

Figure 6. Relationships of points S and E with the origin (SMA). The angles from each anatomical landmark to the median plane were plotted during development. $\angle Ro$, angle between median plane and segment SE; $\angle s$, angle between S and median plane; $\angle e$, angle between E and median plane.

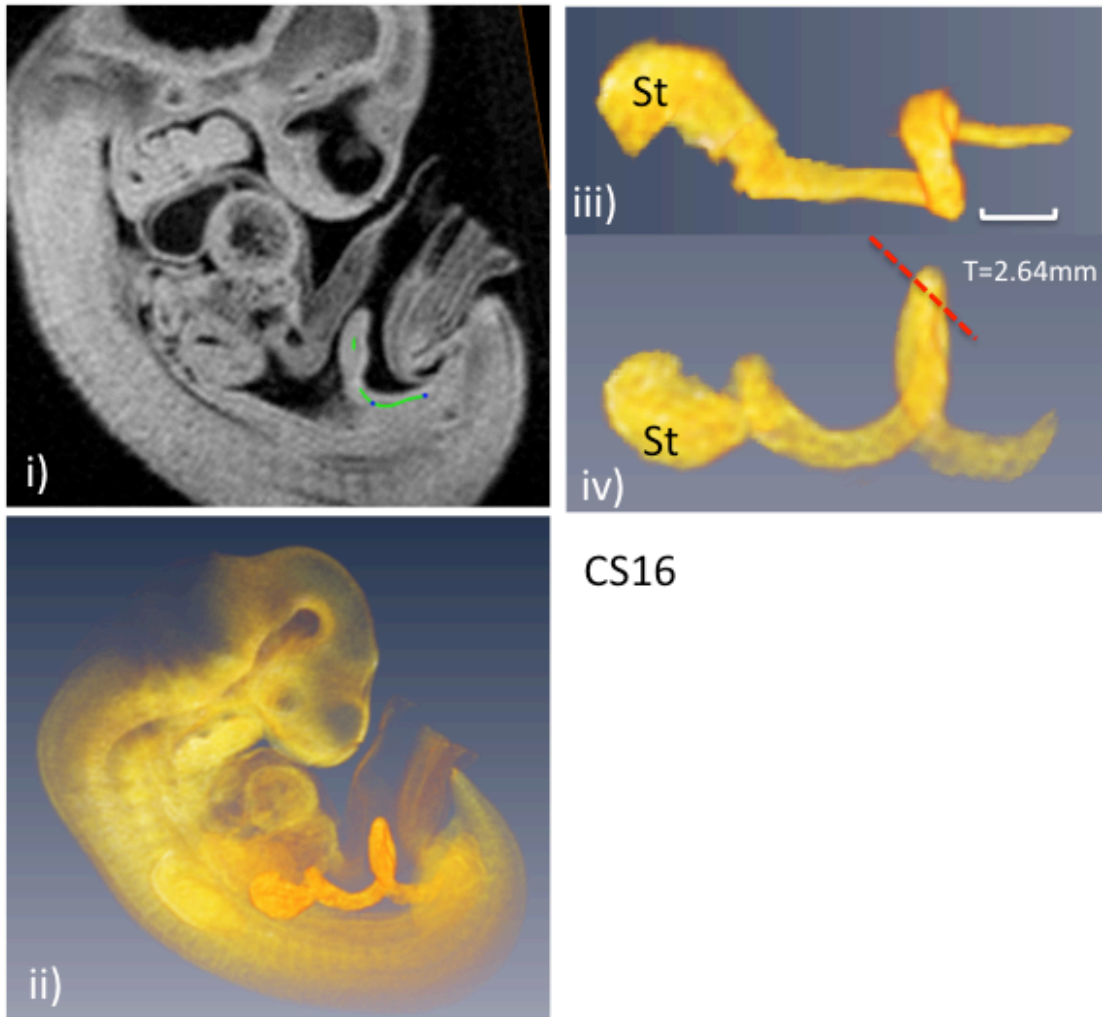
Figure 7. Illustrations showing the movement (viewed ventrally) of the start (S) and end (E) of the intestinal loop and their positions in relation to the proximal part of the SMA, designated as the origin (O). (a) Before rotation. (b) Expected movement according to the classical en bloc model of 90-degree counterclockwise rotation around SMA as origin. (c) Movement observed between CS 14 and CS 16. (d) Movement observed from CS 14 to CS 23 (end of PUH stage).

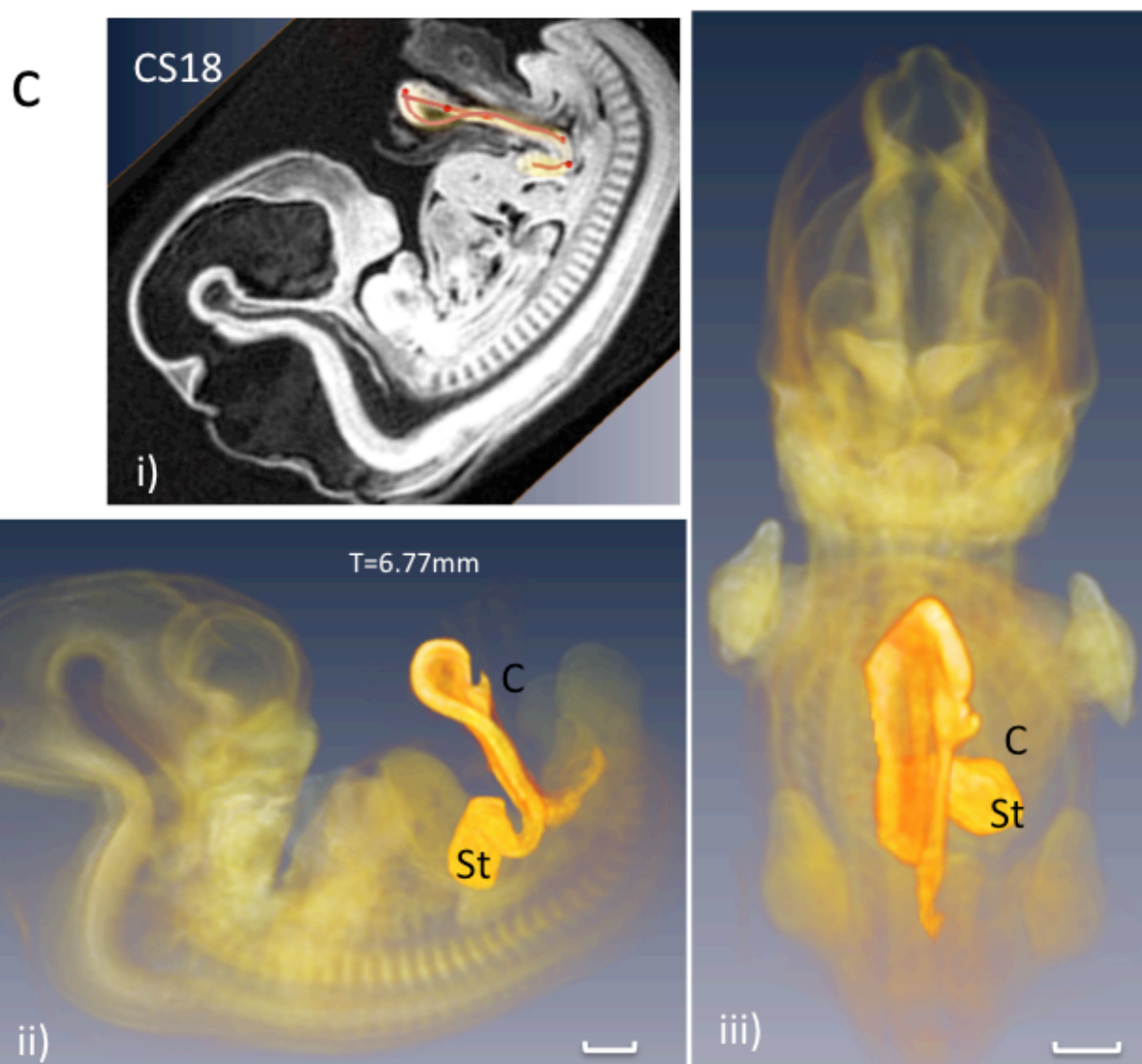


a

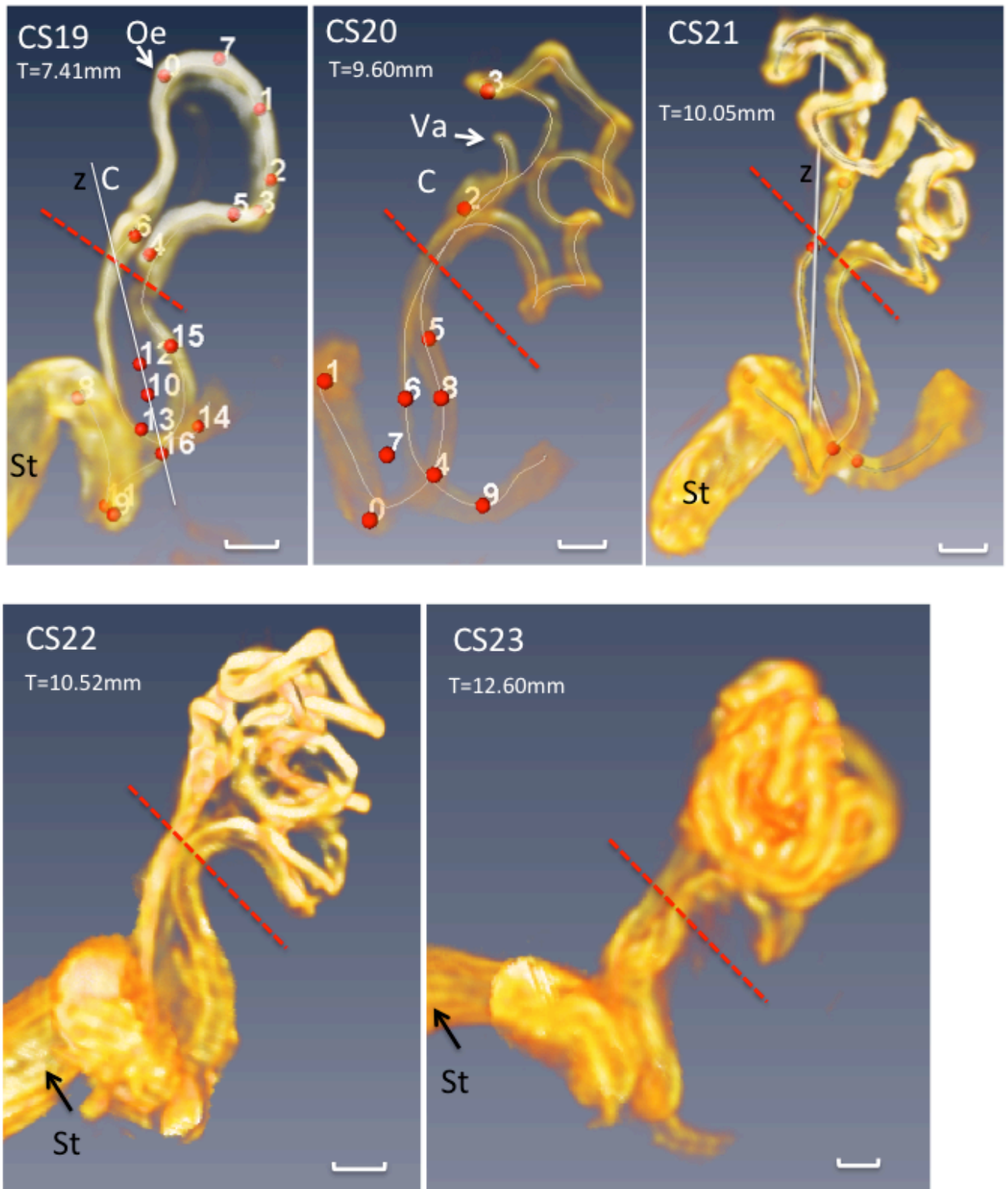


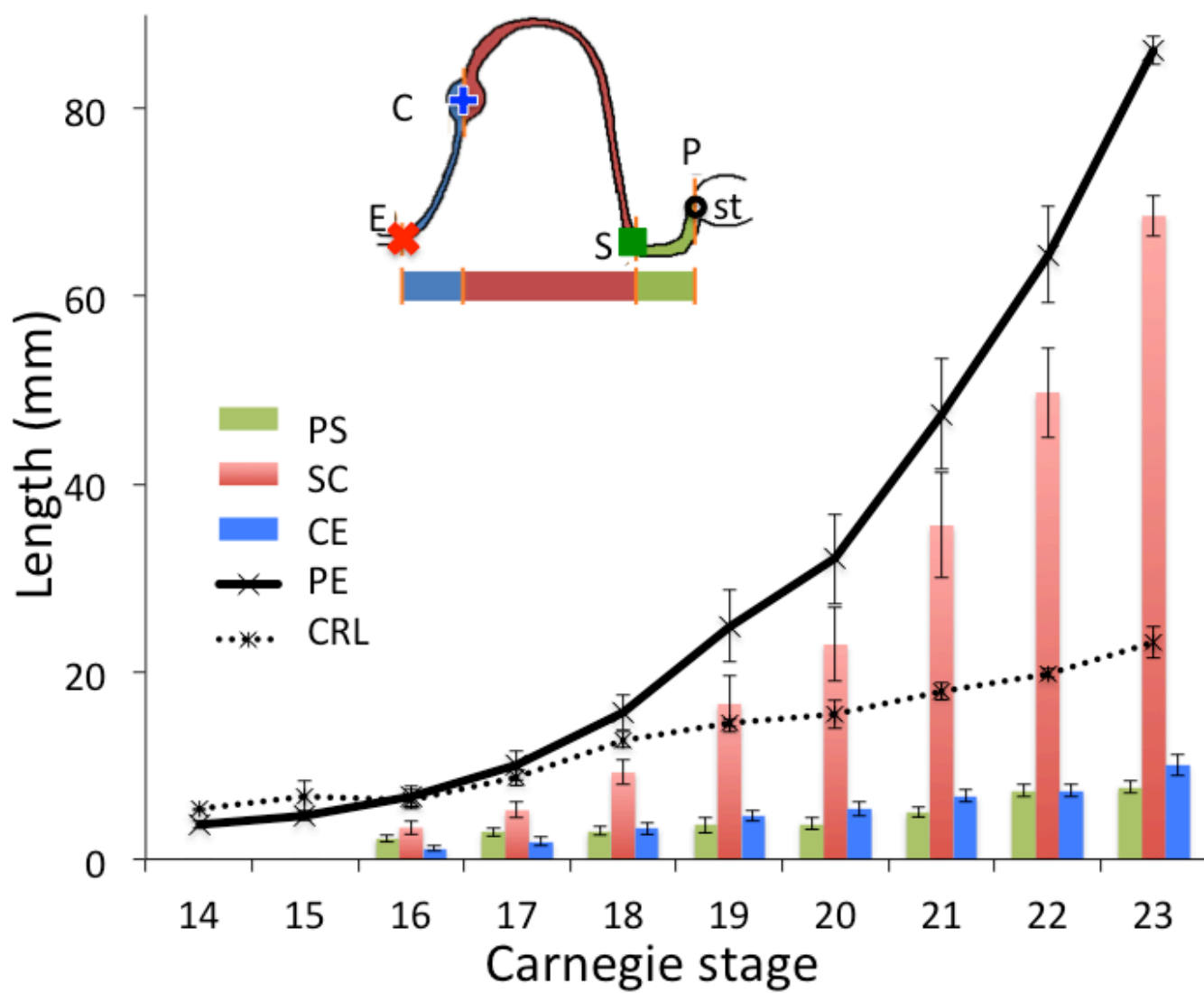
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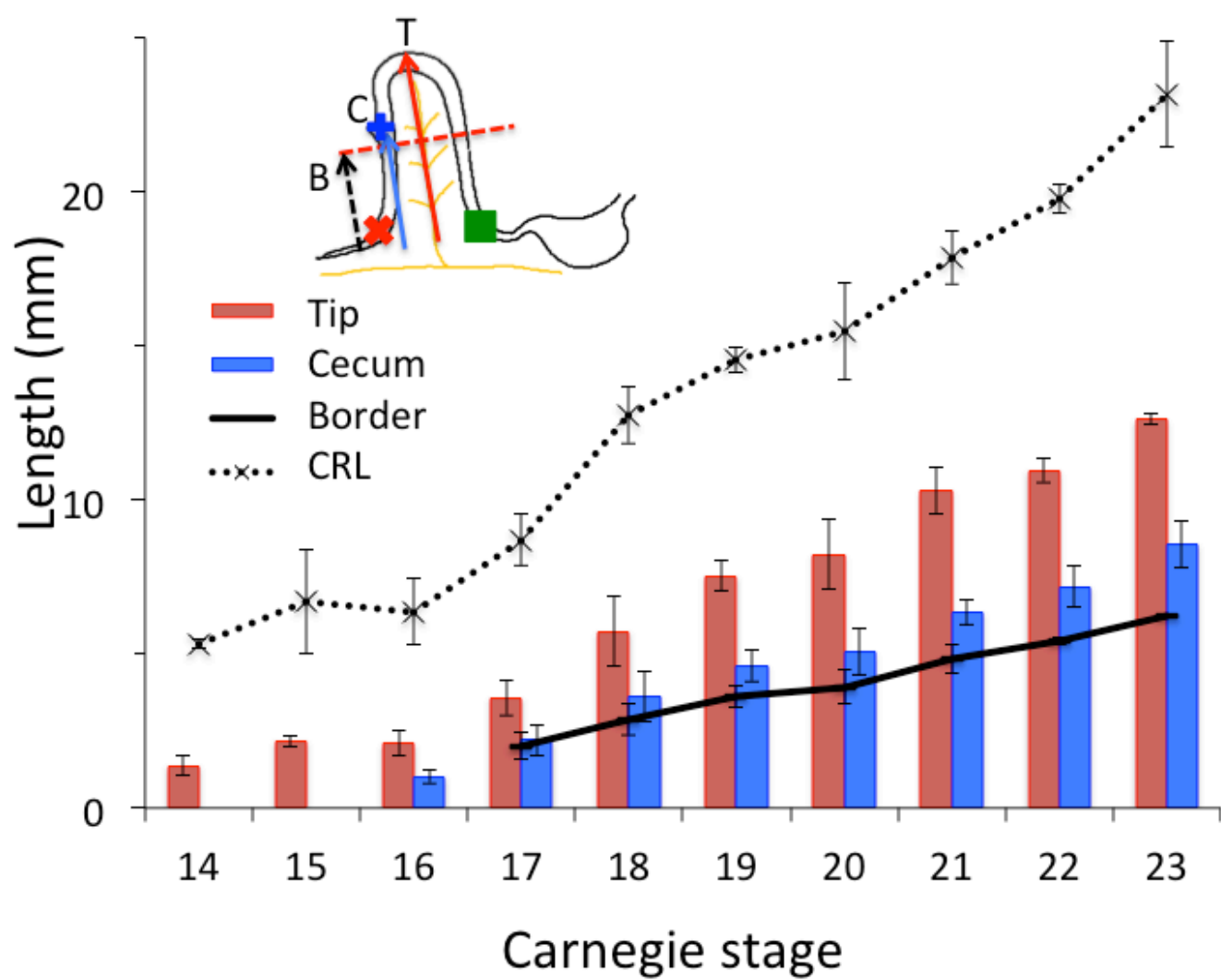




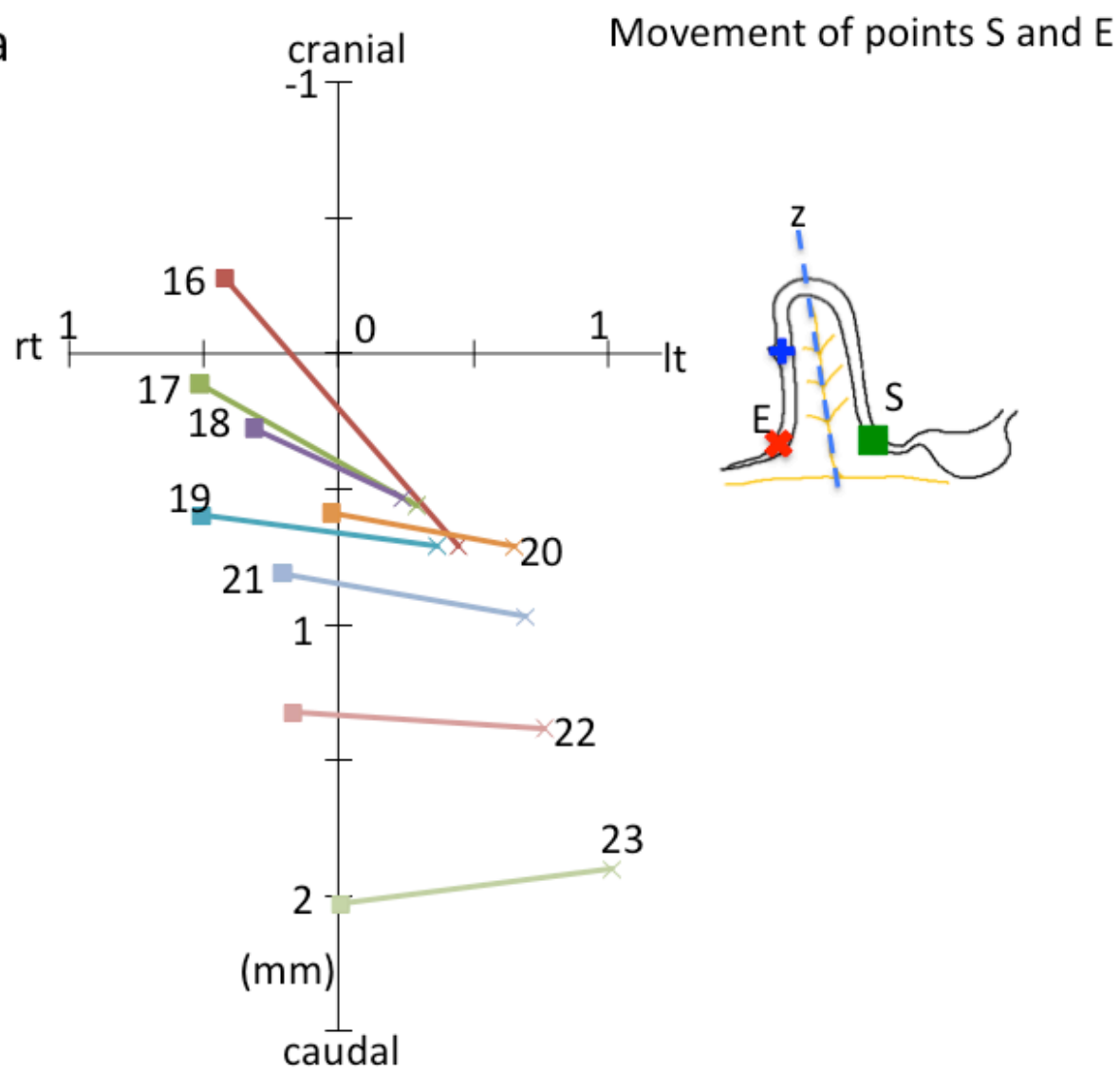
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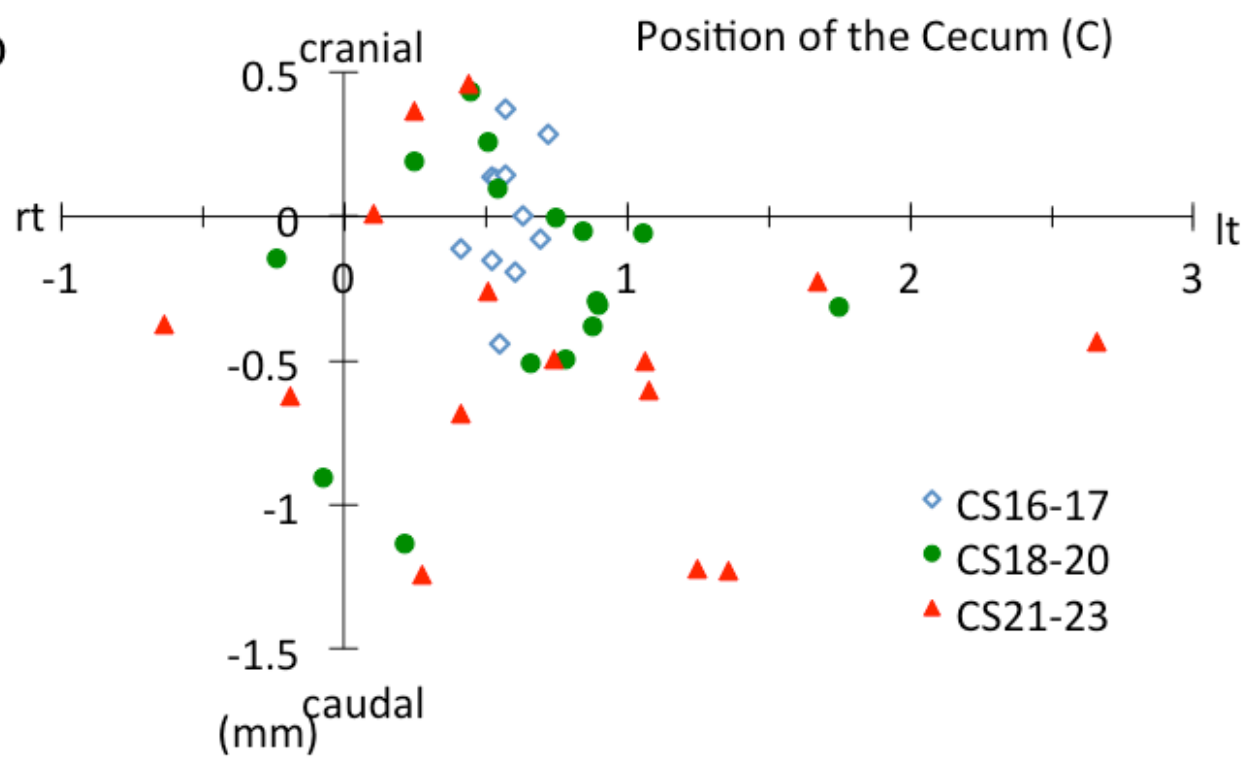


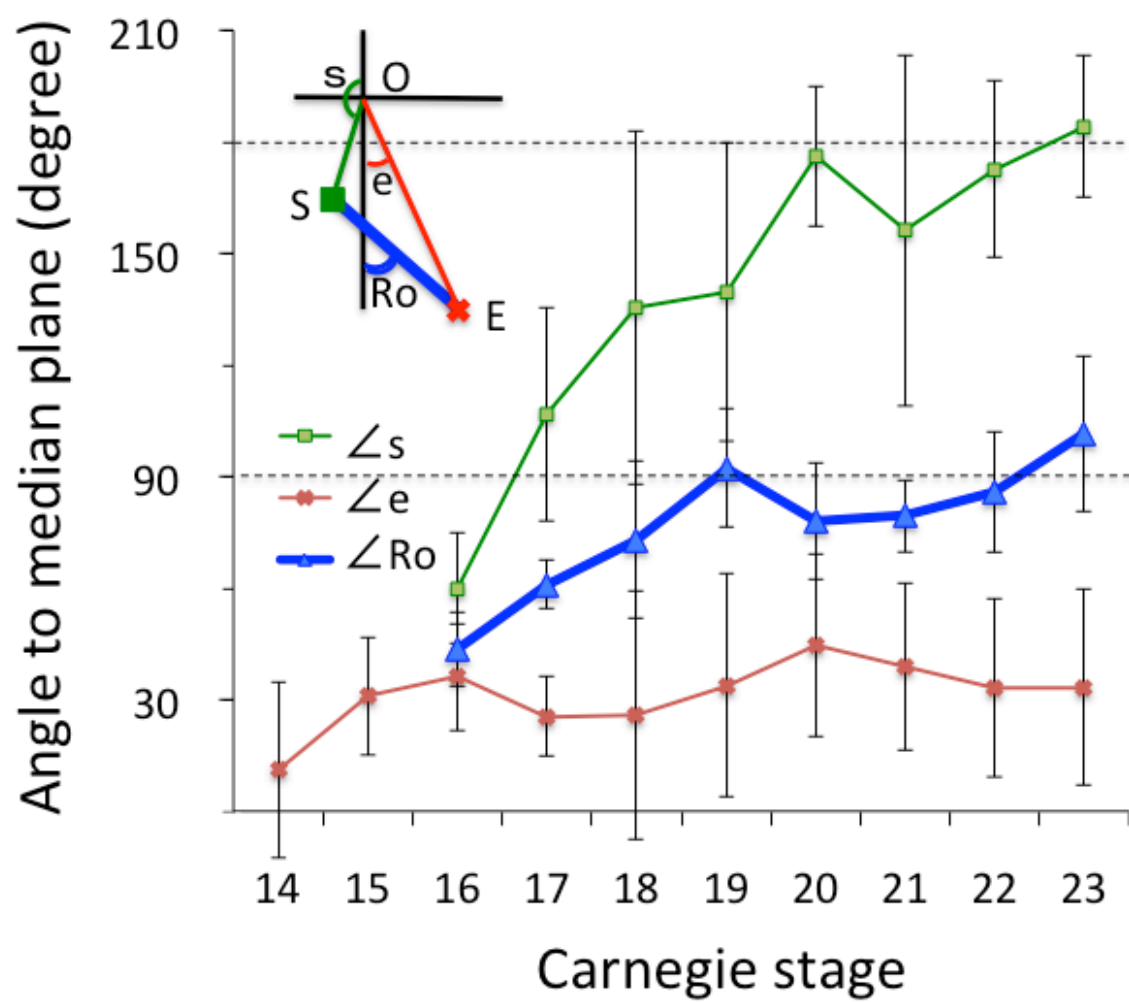


a



b

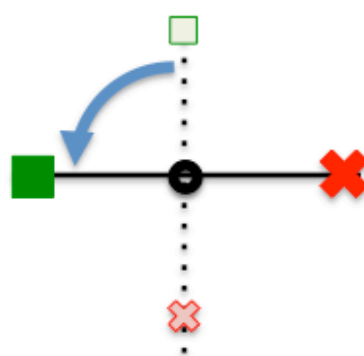




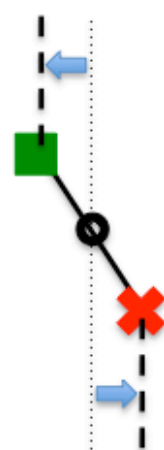
a



b



c



d

