

# Weak positivity theorem and Frobenius stable canonical rings of geometric generic fibers

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## Notation

- Let  $k$  be an algebraically closed field.
- Let  $f: X \rightarrow Y$  be a fibration (separable surjective morphism satisfying  $f_*\mathcal{O}_X \cong \mathcal{O}_Y$ ) between smooth projective varieties over  $k$ .
- Let  $\bar{\eta}$  be the geometric generic point of  $Y$ .
- Let  $\omega_{X/\bar{Y}} := \omega_X \otimes f^*\omega_{\bar{Y}}^{-1}$  be the relative canonical bundle.
- Let  $\omega_{X/Y} := \omega_X \otimes f^*\omega_Y^{-1}$  be the relative canonical bundle.

## The positivity of $f_*\omega_{X/Y}^m$

### Questions

- Let  $m$  be a positive integer.
- Is  $f_*\omega_{X/Y}^m$  a nef vector bundle?
- Is  $f_*\omega_{X/Y}^m$  a weakly positive sheaf?

### Definition (weak positivity)

A coherent sheaf  $\mathcal{F}$  on  $Y$  is said to be *weakly positive* if  $\forall$  ample divisor  $H, \forall a \in \mathbb{Z}_{>0}, \exists b \in \mathbb{Z}_{>0}$  s.t.  $(\text{Sym}^{ab}\mathcal{F})^{**}(bH)$  is generically globally generated. Here  $(-)^{**} := \mathcal{H}om(\mathcal{H}om(-, \mathcal{O}_Y), -)$ .

Remarks:

- Every nef vector bundle is weakly positive.
- Every weakly positive vector bundle on projective curve is nef.
- Known results (char. $k = 0$ )
  - $f_*\omega_{X/Y}^m$  is nef vector bundle if
    - $f$  is smooth [P. Griffiths ( $m = 1$ ), O. Fujino ( $m \geq 2$ )].
    - $\dim Y = 1$  [T. Fujita ( $m = 1$ ), Y. Kawamata ( $m \geq 2$ )].
    - $m = 1$  and some conditions [Y. Kawamata].
  - $Z$  has a good minimal model (up to a birational modification of  $f$ ) [O. Fujino].
- $f_*\omega_{X/Y}^m$  is always weakly positive [E. Viehweg].

Remarks: In positive characteristic,  $\exists$  counter-examples.

- $\exists$  fibration  $g_1: S_1 \rightarrow C_1$  from a smooth projective surface to a smooth projective curve s.t.  $g_{1,*}\omega_{S_1/C_1}$  is NOT nef [L. Moret-Bailly].
- $\exists$  fibration  $g_2: S_2 \rightarrow C_2$  from a smooth projective surface to a smooth projective curve s.t.  $\forall m \in \mathbb{Z}_{>0}, g_{2,*}\omega_{S_2/C_2}^m$  is NOT nef [M. Raynaud, Q. Xie].

## The positivity of $f_*\omega_{X/Y}^m$ in char. $k > 0$

From now on, we assume that the characteristic of  $k$  is  $p > 0$ .  
Known results (char. $k > 0$ )

- $f_*\omega_{X/Y}^m$  is nef vector bundle if
  - $\dim X = 2, \dim Y = 1, Z$  is a nodal curve, and  $m \geq 2$  [J. Kollár].
  - $\dim Y = 1, Z$  is normal  $F$ -pure,  $\omega_{X/Y}$  is  $f$ -ample, and  $m \gg 0$  [Z. Patakfalvi].
- $f_*\omega_{X/Y}^m$  is weakly positive if  $S^0(Z, \omega_Z) = H^0(Z, \omega_Z)$  [J. Jang ( $\dim X = 2$ ), Z. Patakfalvi (general case)].

## Definition ( $F$ -purity, $S^0$ , and $R_S$ )

Let  $V$  be a Gorenstein variety over  $k$ .

- $\text{Tr}^{(1)}: F_*\omega_V \cong F_*\mathcal{H}om(\mathcal{O}_V, \omega_V) \cong \mathcal{H}om(F_*\mathcal{O}_V, \omega_V) \rightarrow \omega_V$ .
- $V$  is said to be  $F$ -pure if the map  $\text{Tr}^{(1)}$  is surjective.
- $\text{Tr}^{(e)}: F^e\omega_V \xrightarrow{F^{e-1}\text{Tr}^{(1)}} F^{e-1}\omega_V \xrightarrow{F^{e-2}\text{Tr}^{(1)}} \dots \xrightarrow{\text{Tr}^{(1)}} \omega_V$ .

Assume that  $V$  is projective.

- For every line bundle  $\mathcal{L}$  on  $V, S^0(V, \mathcal{L})$  is defined as

$$\bigcap_{e>0} \text{Im} \left( H^0(V, F^e(\omega_V^{(1-e)} \otimes \mathcal{L}^{\otimes e})) \xrightarrow{H^0(V, \text{Tr}^{(e)} \otimes \omega_V^{-1 \otimes e})} H^0(V, \mathcal{L}) \right).$$

- Frobenius stable canonical ring*  $R_S(V, \omega_V)$  is defined as

$$R_S(V, \omega_V) := \bigoplus_{m \geq 0} S^0(V, \omega_V^m) \subseteq \bigoplus_{m \geq 0} H^0(V, \omega_V^m) =: R(V, \omega_V).$$

It is easy to check that  $R_S(V, \omega_V)$  is an ideal of  $R(V, \omega_V)$ .

Examples:

- Let  $C$  be a curve. If  $C$  is a nodal curve, then  $C$  is  $F$ -pure. If  $C$  has a cusp, then  $C$  is NOT  $F$ -pure.
- Let  $V$  be a Gorenstein projective variety s.t.  $\omega_V$  is ample. Then  $\dim_k R(V, \omega_V)/R_S(V, \omega_V) < \infty \Leftrightarrow V$  is  $F$ -pure.
- Let  $C$  be a  $F$ -pure Gorenstein projective curve of arithmetic genus  $\geq 2$ . Then  $\forall m \geq 2, S^0(C, \omega_C^m) = H^0(C, \omega_C^m)$ .

## Theorem

In the situation of Notation, assume that

- (i)  $R(Z, \omega_Z)$  is finitely generated  $k(\bar{\eta})$ -algebra, and
  - (ii)  $\exists m_0 \in \mathbb{Z}_{>0}, \forall m \geq m_0, S^0(Z, \omega_Z^m) = H^0(Z, \omega_Z^m)$ .
- Then  $f_*\omega_{X/Y}^m$  is weakly positive for  $\forall m \geq m_0$ .

## Corollary

In the situation of Notation,  $f_*\omega_{X/Y}^m$  is weakly positive if

- $Z$  is  $F$ -pure curve of arithmetic genus  $\geq 2$  and  $m \geq 2$ .
- $Z$  is  $F$ -pure,  $\omega_Z$  is ample, and  $m \gg 0$ .
- $Z$  is smooth surface of general type,  $p > 5$ , and  $m \gg 0$ .

Remark: Geometric generic fiber of the fibration  $g_2: S_2 \rightarrow C_2$  (see the bottom of the first column) is NOT  $F$ -pure (it has a cusp).

## An application

### Iitaka's conjecture

In the situation of Notation, the inequality

$$\kappa(X) \geq \kappa(Y) + \kappa(Z, \omega_Z)$$

holds. Here  $\kappa(Z, \omega_Z)$  is the Iitaka-Kodaira dimension of the dualizing sheaf  $\omega_Z$  of  $Z$ .

In characteristic zero, it is known that this conjecture is true in many cases. In positive characteristic, this conjecture is true if

- $\dim Z = 1$  [Y. Chen, L. Zhang].
- $\dim X = 3, k = \mathbb{F}_p$ , and  $p > 5$  [C. Birkar, Y. Chen, L. Zhang].
- $Y$  is of general type and  $S^0(Z, \omega_Z) \neq 0$  [Z. Patakfalvi].

## Theorem

In the situation of Notation, assume that

- (i)  $R(Z, \omega_Z)$  is finitely generated  $k(\bar{\eta})$ -algebra,
  - (ii)  $\dim_{k(\bar{\eta})} R(Z, \omega_Z)/R_S(Z, \omega_Z) < \infty$ , and
  - (iii)  $Y$  is of general type or  $Y$  is an elliptic curve.
- Then

$$\kappa(X) \geq \kappa(Y) + \kappa(Z, \omega_Z).$$

## Corollary

Assume that  $\dim Y = 1, p > 5$ , and  $Z$  is a smooth surface of general type. Then

$$\kappa(X) \geq \kappa(Y) + \kappa(Z).$$