# Sato Hyperfunctions and Reproducing Kernels (佐藤超関数と再生核形式)

By

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#### Abstract

We survey the theory of the microlocal energy method for hyperfunctions and microfunctions developed in [5, 6, 7]; in particular, we introduce the important notions, positivity and quasi-positivity for hermitian microkernels. The quasi-positivity is based on the good properties of Bergman's reproducing kernels for some conic domains in  $T^*\mathbb{C}^n$ . Further we introduce some recent result on the Sobolev type 2-forms of order 0 for microfunctions with real analytic parameters obtained by Kaito Yamasaki [11].

#### § 1. What is an energy method in the theory of hyperfunctions?

For a Sato hyperfunction f(x), we cannot define  $|f(x)|^p$  or  $L^p$ -norm  $||f||_p$  in general. For p=2, however, we can consider a microfunction  $f(x)\overline{f(u)}$  as the substitute of  $|f(x)|^2$  as introduced in [5, 6]. Further, for a hyperfunction f(t,x) with real analytic parameters  $t \in T$ , we can consider a hyperfunction

$$E(x,u) := \int_{\overline{T}} f(t,x) \overline{f(t,u)} dt \left( = \int_{\mathbb{R}^m} \operatorname{ext}_{\overline{T}} (f(t,x) \overline{f(t,u)}) dt \right)$$

as the  $L^2$ -energy form of f ( the precise definition of real analytic parameters  $t \in T$  and the meaning of the integration over  $\overline{T}$  will be given in the later section). At the same time, in energy arguments we use some inequality (an order relation)

$$k_1(x,u) \ll k_2(x,u)$$
 at  $(\overset{\circ}{x},\overset{\circ}{x};i\overset{\circ}{\eta},-i\overset{\circ}{\eta})$ 

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instead of the equality. In order to illustrate our energy method, we consider the following example: Let  $\Omega \subset \mathbb{R}^n_x$  be a bounded domain with real analytic boundary  $N = \partial \Omega$ , and f(t,x) be a hyperfunction in  $\{t \in \mathbb{R}; a < t < b\} \times \Omega$  satisfying the boundary value problem:

(1.1) 
$$\begin{cases} (\partial_t - \Delta_x) f(t, x) = 0 & \text{in } (a, b) \times \Omega, \\ f(t, x) = 0 & \text{on } (a, b) \times \partial \Omega. \end{cases}$$

We remark here that, since  $(a, b) \times \partial \Omega$  is non-characteristic to  $\partial_t - \Delta_x$ , the boundary value  $f|_{(a,b)\times\partial\Omega}$  is well-defined for any hyperfunction solution f. Then, our conclusion is that  $f \in \mathscr{A}((a,b)\times\overline{\Omega})$  (real analyticity of f up to the boundary). To prove this, we consider the energy form:

(1.2) 
$$E(t,s) := \int_{\overline{\Omega}} f(t,x) \ \overline{f(s,x)} \ dx.$$

Indeed, this is a well-defined hyperfunction in (t,s) because we have not only an estimate

$$SS(f) \subset \{(t, x; i\tau, i\xi); x \in \Omega, \xi = 0\},\$$

but also the estimates up to the boundary:

$$SS(\operatorname{ext}_{\overline{\Omega}}(f(t,x))) \subset \{(t,x;i\tau,i\xi); x \in \overline{\Omega}, \xi = 0\} \cup \{(t,x;i\tau,i\lambda d\varphi(x)); \varphi(x) = 0\},$$

$$SS(\operatorname{ext}_{\overline{\Omega}}(f(t,x)\overline{f(s,x)})) \subset \{(t,s,x;i\tau_1,i\tau_2,i\xi); x \in \overline{\Omega}, \xi = 0\} \cup \{(t,s,x;i\tau_1,i\tau_2,i\lambda d\varphi(x)); \varphi(x) = 0\}.$$

Here,  $\varphi(x) \in C^{\omega}(\overline{\Omega})$  satisfies  $\Omega = \{\varphi(x) > 0\}, d\varphi(x) \neq 0$ , and the boundary value theory of hyperfunction solutions permits the extension  $\operatorname{ext}_{\overline{\Omega}}(f(t,x)\overline{f(s,x)})$  (roughly,  $= \chi_{\overline{\Omega}}(x)f(t,x)\overline{f(s,x)}$ ) of  $f(t,x)\overline{f(s,x)}$  satisfying the above estimates. Therefore (1.2) is a hyperfunction on  $(a,b)^2$ . Then, we have

$$\begin{split} \partial_t E(t,s) &= \int_{\mathbb{R}^n} \operatorname{ext}_{\overline{\Omega}}(\partial_t f(t,x) \cdot \overline{f(s,x)}) \, dx = \int_{\mathbb{R}^n} \operatorname{ext}_{\overline{\Omega}}(\Delta_x f(t,x) \cdot \overline{f(s,x)}) \, dx \\ &= -\int_{\mathbb{R}^n} \operatorname{ext}_{\overline{\Omega}}(\nabla_x f(t,x) \cdot \overline{\nabla_x f(s,x)}) \, dx = \partial_s E(t,s). \end{split}$$

Hence,  $(\partial_t - \partial_s)E(t,s) = 0$ . Since  $\partial_t - \partial_s$  is an elliptic operator on

$$\Delta^{a}(\sqrt{-1}T^{*}\mathbb{R}) := \{(t, s; i\tau_{1}, i\tau_{2}); t = s, \tau_{1} = -\tau_{2}\},\$$

we get an estimate:

$$SS(E(t,s)) \cap \Delta^a(\sqrt{-1}T^*\mathbb{R}) \subset \{\tau_1 = \tau_2 = 0\}.$$

Then, some theorem on the integration of positive hermitian microkernels concludes the real analyticity of the integrand f(t,x) up to the boundary.

The plan of this article is the following: In Section 2, we give a brief introduction to positivity of analytic hermitian kernels. In Section 3 we show the importance of some Bergman reproducing kernels in our theory with respect to the definition of quasi-positivity. Section 4 is devoted to introduce the definition of positivity in microlocal analysis. In Section 5 we give the definition of quasi-positivity of hermitian microkernels by using positive hermitian pseudodifferential operators of infinite order. In Section 6 we introduce K. Yamasaki's result on Sobolev type 2-forms of order 0 for microfunctions with real analytic parameters  $t \in T$ .

Hereafter we consider hyperfunctions f(x) or f(t,x), where  $t=(t_1,\ldots,t_m)$  are real analytic parameters. So the variables t,x change the roles from Section 2.

#### § 2. Positive analytic hermitian kernels

**Definition 2.1.** Let X be a set. A  $\mathbb{C}$ -valued function K(x,y) on  $X \times X$  is said to be a hermitian kernel on X if

$$K(y,x) = \overline{K(x,y)} \quad (\forall x, y \in X).$$

Further a hermitian kernel K(x,y) on X is said to be  $K \gg 0$  (positive)  $\iff$  For  $\forall N \in \mathbb{N}, \forall x_1, \ldots, \forall x_N \in X, \ \forall \xi_1, \ldots, \forall \xi_N \in \mathbb{C}$ , we have

$$\sum_{j,k=1}^{N} K(x_j, x_k) \xi_j \overline{\xi_k} \ge 0.$$

Then, we define an order relation for hermitian kernels  $K_1, K_2$  on X by

$$K_1 \gg K_2 \Longleftrightarrow K_1 - K_2 \gg 0.$$

It is easy to see that

$$K_1 \gg 0, K_2 \gg 0 \Longrightarrow K_1 + K_2 \gg 0, \quad K_1 \cdot K_2 \gg 0,$$

where  $K_1 \cdot K_2$  is a product as functions on  $X \times X$ .

**Definition 2.2.** Let X be a domain of  $\mathbb{C}^n$ . Then, a hermitian kernel K(z, w) on X is said to be an analytic hermitian kernel on X if K(z, w) is holomorphic in variables  $(z, \overline{w})$  on  $X \times X^*$ , where

$$X^* := \{ z \in \mathbb{C}^n; \overline{z} \in X \}.$$

**Example 2.3.** Put  $X = \{z \in \mathbb{C}; \operatorname{Im} z > 0\}$ , and  $\alpha > -1$ . Then we have a positive analytic hermitian kernel on X:

$$\left\{(z-\overline{w})/i\right\}^{-1-\alpha} = \frac{1}{\Gamma(\alpha+1)} \int_0^\infty e^{itz} \cdot \overline{e^{itw}} t^{\alpha} dt \gg 0.$$

The following theorem is the most important for our theory, which is due to several authors; the first statement is due to Krěin [8], Bremermann [2], Sommer-Mehring [10], Meschkowski [9], Donoghue [3, 4], and the second statement is due to Krěin [8], Donoghue [4], Meschkowski [9].

**Theorem 2.4.** Let X, X' be domains of  $\mathbb{C}^n$  such that  $\emptyset \neq X' \subset X$ . Let  $K_1, K_2$  be analytic hermitian kernels on X. Then we have the following:

- i)  $K_1 \ll K_2$  on  $X' \times X' \Longrightarrow K_1 \ll K_2$  on  $X \times X$ .
- ii) Let  $K_3$  be a hermitian kernel (not necessarily analytic) on X' satisfying  $K_1 \ll K_3 \ll K_2$  on  $X' \times X'$ . Then there exists a unique analytic hermitian kernel  $\widetilde{K_3}$  on X satisfying

$$K_1 \ll \widetilde{K_3} \ll K_2 \text{ on } X \times X, \quad \widetilde{K_3}|_{X' \times X'} = K_3.$$

Let T be a domain of  $\mathbb{R}^m$ , and X, X' be domains of  $\mathbb{C}^n$  such that  $\emptyset \neq X' \subset X$ .

**Theorem 2.5.** (Corollary 1.14 in [6]). Let K(z, w; t) be a  $C^{\omega}$ -function (or  $C^{\infty}$ -function) on  $X' \times X' \times T$  satisfying the following i), ii):

- i) For  $\forall t \in T$ , K(z, w; t) is a positive analytic hermitian kernel on X'.
- ii) For any compact subset  $L \subset X'$ , K(z, w; t) is integrable on  $L \times L \times T$ .

If

$$E(z,w) := \int_T K(z,w;t) dt$$

extends to  $X \times X^*$  analytically with respect to  $(z, \overline{w})$ , then K(z, w; t) extends uniquely to  $X \times X \times T$  as a positive analytic hermitian kernel on X with  $C^{\omega}$  (or  $C^{\infty}$  resp.) parameters  $t \in T$ .

#### § 3. Quasi-positivity and Bergman reproducing kernels

**Definition 3.1.** For a domain  $X \subset \mathbb{C}^n$ , we set

$$A^2(X):=\{f(z)\in\mathscr{O}(X);\int_X|f(z)|^2dxdy<\infty\},$$

where z = x + iy. It is well-known that  $A^2(X)$  is a Hilbert space (Bergman space). Let  $\{\varphi_j(z)\}_{j=1}^{\infty}$  be any completely orthonormal system for  $A^2(X)$ . Then,

$$K_X(z,w) := \sum_{j=1}^{\infty} \varphi_j(z) \overline{\varphi_j(w)}$$

is said to be a Bergman kernel of X. Indeed, it is well-known that this series converges locally uniformly on  $X \times X$ , and that  $K_X$  does not depend on the choice of  $\{\varphi_j(z)\}_{j=1}^{\infty}$ . It is clear that  $K_X$  is a positive analytic hermitian kernel on X.

The importance of Bergman kernels is based on the following proposition ([6]). For the reader's convenience, we will give a proof.

**Proposition 3.2.** Let K(z, w) be an analytic hermitian kernel on X such that

$$||K||_{X\times X} := \sqrt{\iint_{X\times X} |K(z,w)|^2 dx dy du dv} < \infty.$$

Then we have an inequality on  $X \times X$ :  $-\|K\|_{X \times X} \cdot K_X \ll K \ll \|K\|_{X \times X} \cdot K_X$ .

*Proof.* Define a linear operator  $T:A^2(X)\ni f\mapsto \int_X K(z,w)f(w)dudv\in A^2(X)$ , where w=u+iv. Then this is an integral operator of Hilbert-Schmidt type. Therefore there exist a completely orthonormal system  $\{\varphi_j\}_{j=1}^\infty$  of  $A^2(X)$ , and real numbers  $\lambda_j\in\mathbb{R}$   $(j=1,2,\ldots)$  such that

$$K(z, w) = \sum_{j=1}^{\infty} \lambda_j \varphi_j(z) \overline{\varphi_j(w)}.$$

Hence we have

$$\sum_{j=1}^{\infty} \lambda_j^2 = \iint_{X \times X} |K(z, w)|^2 dx dy du dv < \infty.$$

In particular,  $|\lambda_j| \leq ||K(z, w)||_{L^2(X \times X)}$  ( $\forall j$ ). Therefore,

$$-\|K\|_{L^2(X\times X)}K_X\ll K\ll \|K\|_{L^2(X\times X)}K_X.$$

Let X,Y be domains of  $\mathbb{C}^n$  such that  $Y\subset X$ , and T be a domain of  $\mathbb{R}^m$ . Let p(t,z) and f(t,z) be holomorphic functions defined in some neighborhoods of  $T\times X$ , and  $T\times Y$  ( $\subset \mathbb{C}^{m+n}$ ), respectively. Assume that for some constant M>0 we have

$$\operatorname{Re} p(t,z) > 0$$
, and  $\|\log \left(p(t,z) + \overline{p(t,w)}\right)\|_{X \times X} \le M \ (\forall t \in T)$ .

Then the analytic hermitian kernel on Y

$$E(z,w) := \int_T (p(t,z) + \overline{p(t,w)}) f(t,z) \overline{f(t,w)} \, dt$$

is not positive, but the energy argument in Theorem 2.5 is applicable to this E(z, w). This is because we can write  $p(t, z) + \overline{p(t, w)} = e^{\log \left(p(t, z) + \overline{p(t, w)}\right)}$ , and so we have

$$e^{M\cdot K_X(z,w)}(p(t,z)+\overline{p(t,w)})\gg 0\;(\forall t\in T).$$

Hence, if E(z, w) extends to an analytic hermitian kernel on X, then f(t, z) extends to a holomorphic function defined in a neighborhood of  $T \times X$ . Since  $e^{M \cdot K_X(z,w)}$  is positive and invertible, we can generalize this argument by introducing a weaker order relation, quasi-positivity " $\gg_q 0$ ", than hermitian positivity:

$$K_1 \gg_q K_2 \iff \exists M > 0 \text{ such that } e^{M \cdot K_X} (K_1 - K_2) \gg 0.$$

It is easy to see that " $\gg_q$  0" is an order relation for analytic hermitian kernels on X. However, in the most applications to partial differential equations, we must consider 2-forms of the following type:

$$(3.1) E(z,w) := \int_T (P(t,z,\partial_z) + \overline{P(t,w,\partial_w)}) f(t,z) \overline{f(t,w)} \, dt,$$

where  $P(t, z, \partial_z)$  is an elliptic differential operator with parameters t. Therefore we must consider Bergman kernels in the symbol spaces of analytic pseudo-differential operators. A symbol  $P(z, \xi)$  of an analytic pseudo-differential operator  $P(z, \partial_z)$  at  $(z, \xi) \in T^*\mathbb{C}^n$  is a holomorphic function defined in some unbounded domain

$$\Omega_{\delta}(\overset{\circ}{z};\overset{\circ}{\xi}) := \{(z;\xi) \in T^*\mathbb{C}^n; |z - \overset{\circ}{z}| < \delta, |\xi/|\xi| - \overset{\circ}{\xi}/|\overset{\circ}{\xi}|| < \delta, |\xi| > \delta^{-1}\}$$

satisfying the following condition for some positive numbers  $\delta, C$ , and some  $\mu \in \mathbb{R}$ :

$$|P(z,\xi)| \le C|\xi|^{\mu} \quad \text{in } \Omega_{\delta}(\overset{\circ}{z};\overset{\circ}{\xi}).$$

Since  $\mu > 0$  in general,  $P(z,\xi)$  is not in  $L^2(\Omega; dv(z,\xi))$ , where  $dv(z,\xi)$  is the Lebesgue measure on  $\mathbb{C}^n_z \times \mathbb{C}^n_\xi \simeq \mathbb{R}^{4n}$ . So we must consider Bergman kernels with some weight, for example,  $(|\xi|+1)^{-\beta}$   $(\beta>0)$ . Though it is difficult to calculate the Bergman kernel for  $\Omega_\delta(\mathring{z};\mathring{\xi})$  with such a weight, we can find some Bergman kernel satisfying the equivalent conditions. For that purpose, we have the following procedure:

Step1. Take a real *n*-dimensional vector subspace L of  $\mathbb{C}^n$  such that  $\overset{\circ}{\xi} \in L \subset L + \sqrt{-1}L = \mathbb{C}^n$ .

Step2. Choose  $\mathbb{R}$ -linearly independent n elements  $\xi^1, \ldots, \xi^n$  of  $L \cap \{\xi \in \mathbb{C}^n; |\xi/|\xi| - \mathring{\xi}/|\mathring{\xi}|| < \delta\}$  such that

$$\stackrel{\circ}{\xi} \in \{s_1 \xi^1 + \dots + s_n \xi^n; s_1, \dots, s_n > 0\}.$$

Step3. Take  $\theta^1, \ldots, \theta^n \in \mathbb{C}^n$  such that  $\langle \xi^k, \theta^\ell \rangle := \sum_{j=1}^n \xi_j^k \theta_j^\ell = \delta_{k\ell}$ .

Step 4. Choose a large integer N > 0 such that for a large  $\lambda > 0$  we have

$$\lambda \overset{\circ}{\xi} \in U_N := \bigcap_{j=1}^n \{ \xi \in \mathbb{C}^n; |\arg(\langle \xi, \theta^j \rangle - N)| < \pi/(2N) \}$$
$$\subset \{ \xi \in \mathbb{C}^n; |\xi/|\xi| - \overset{\circ}{\xi}/|\overset{\circ}{\xi}|| < \delta, |\xi| > \delta^{-1} \}.$$

Hereafter, we use  $z^*$  instead of  $\overline{z}$ . Put  $\rho(\xi) := |1 + \langle \xi, \overset{\circ}{\xi^*} \rangle|^{-2n-\sigma}$  ( $\sigma < 1$ ), and

$$\Omega := \{ z \in \mathbb{C}^n; |z - \overset{\circ}{z}| < r \} \times U_N.$$

Then, the Bergman kernel of  $\Omega$  with respect to  $\rho(\xi)dzdz^*d\xi d\xi^*$  is given by

$$K_{\Omega}^{\rho}(z,\xi,w,\eta) = C_{1} \left( 1 - \frac{\langle z - \mathring{z}, w^{*} - \mathring{z}^{*} \rangle}{r^{2}} \right)^{-n-1} \times \prod_{j=1}^{n} \left[ \frac{(\xi'_{j}\eta'_{j}^{*})^{N-1} \{ (1 + \langle \xi, \mathring{\xi}^{*} \rangle)(1 + \langle \eta^{*}, \mathring{\xi} \rangle) \}^{1+\sigma/(2n)}}{(\xi'_{j}^{N} + \eta'_{j}^{*N})^{2}} \right],$$

where  $\xi'_j = \langle \xi, \theta^j \rangle - N$ ,  $\eta'_j = \langle \eta, \theta^j \rangle - N$  (see Theorem 3.11 in [6]). The most important property of this kernel is the following growth order estimate which is a key for our definition of quasi-positivity for micro hermitian kernels:

$$\begin{split} &|\partial_{(z,w^*)}K_{\Omega}^{\rho}| \leq C_2 \min\{|\xi|^{\sigma}, |\eta|^{\sigma}\} \ (0 \leq \sigma < 1), \\ &|\partial_{(z,w^*)}K_{\Omega}^{\rho}| \leq C_2 (|\xi| + |\eta|)^{\sigma} \quad (\sigma < 0), \\ &|\partial_{(\xi,\eta^*)}K_{\Omega}^{\rho}| \leq C_3 (|\xi| + |\eta|)^{\sigma - 1} \quad (\sigma < 1). \end{split}$$

Indeed, such a growth order property is indispensable to prove the exponential calculus of pseudo-differential operators with symbol  $\exp\left(M\cdot K_{\Omega}^{\rho}(z,\xi,w^{*},\eta^{*})\right)$  (cf. Aoki [1]).

### § 4. Positivity for hermitian microkernels

We consider hermitian kernels K(x, u) as microfunctions on the anti-diagonal set:

$$\Delta^{a}(\sqrt{-1}T^{*}\mathbb{R}^{n}_{x}) = \{(x, u; i\xi, i\eta) \in \sqrt{-1}T^{*}(\mathbb{R}^{n}_{x} \times \mathbb{R}^{n}_{y}); x = u, \xi + \eta = 0\}.$$

**Definition 4.1.** Let k(x, u) be a germ at  $p = (x, x; i\xi, -i\xi)$   $(\xi \neq 0)$  of microfunctions in  $(x, u) \in \mathbb{R}^n_x \times \mathbb{R}^n_u$ . Then, k(x, u) is said to be a hermitian microkernel at p if

$$k(x, u) = \overline{k(u, x)}$$
.

Further,  $k(x, u) \gg 0$  at p (positive as a hermitian microkernel at p) if there exist a small r > 0, some open convex cones  $\Gamma_1, \ldots, \Gamma_N$  in  $\mathbb{R}^n$ , and some positive analytic hermitian kernel  $K_j(z, w)$  on

$$D_j := \{ z \in \mathbb{C}^n; |z - \overset{\circ}{x}| < r, \text{ Im } z \in \Gamma_j \}$$

for j = 1, ..., N such that

$$k(x, u) = \sum_{j=1}^{N} \left[ K_j(x + i0\Gamma_j, \overline{u - i0\Gamma_j}) \right]$$
 at  $\stackrel{\circ}{p}$ .

Here, we used the notation  $K_j(z, \overline{w})$  because  $K_j(z, \overline{w})$  is holomorphic in (z, w) on  $D_j \times D_j^*$ . Further, for two hermitian microkernels  $k_1(x, u), k_2(x, u)$  we define an order relation:

$$k_1(x,u) \gg k_2(x,u)$$
 at  $\stackrel{\circ}{p} \iff k_1(x,u) - k_2(x,u) \gg 0$  at  $\stackrel{\circ}{p}$ .

**Theorem 4.2.** The relation  $k_1 \gg k_2$  at  $\hat{p}$  satisfies the axioms of order relations; in particular, " $k \gg 0$  at  $\hat{p}$  and  $-k \gg 0$  at  $\hat{p}$ " implies "k = 0 at  $\hat{p}$ ".

Example 4.3.

$$\delta(x-u) \gg 0$$
 on  $\Delta^a(\sqrt{-1}T^*\mathbb{R}^n_x)$ .

**Definition 4.4.** Let  $T \subset \mathbb{R}^m$  be a bounded domain, and  $\varphi(t) \in C^{\omega}(\overline{T})$  be a real-valued function satisfying

$$T = \{\varphi(t) > 0\}, \quad \text{and } \varphi(t) = 0, \ \nabla \varphi(t) \neq 0 \text{ on } \partial T.$$

Let  $(\overset{\circ}{t},\overset{\circ}{x})$  be a point of  $\overline{T} \times \mathbb{R}^n$ . For a small r > 0, a hyperfunction f(t,x) defined on  $\{(t,x) \in T \times \mathbb{R}^n; |t-\overset{\circ}{t}| < r, |x-\overset{\circ}{x}| < r\}$  is said to have real analytic parameters  $t \in T$  at  $(\overset{\circ}{t},\overset{\circ}{x})$  if the following i) and ii) are satisfied (also see the remark below):

- i) When  $t \in \partial T$ , f is mild on  $\partial T \times \mathbb{R}^n$  from  $T \times \mathbb{R}^n$ . When  $t \in T$ ,  $\{|t t| < r\} \subset T$ .
- ii) The extension  $\operatorname{ext}_{\overline{T}}(f)$  of f to  $\{|t \overset{\circ}{t}| < r, |x \overset{\circ}{x}| < r\}$  with support in  $\overline{T}$  satisfies

$$SS(\operatorname{ext}_{\overline{T}}(f)) \cap \{(t, x; i\tau, i\xi); \xi = 0, |t - \mathring{t}| < r, |x - \mathring{x}| < r\}$$

$$\subset \sqrt{-1} T^*_{\partial T \times \mathbb{R}^n}(\mathbb{R}^{m+n}) := \{(t, x; i\lambda \nabla \varphi(t), 0); t \in \partial T, \lambda \in \mathbb{R}\}.$$

Remark. The above definition for f(t,x) is equivalent to the following condition: There exist some r'>0, some open convex cones  $\Gamma_1,\ldots,\Gamma_N$  in  $\mathbb{R}^n$ , and some holomorphic function  $F_j(\tilde{t},z)$  defined in

$$\left\{ (\tilde{t}, z) \in \mathbb{C}^{m+n}; \operatorname{dis}(\tilde{t}, T) < r', |z - \hat{x}| < r', \operatorname{Im} z \in \Gamma_j, \\ (-\varphi(\operatorname{Re} \tilde{t}))_+ + |\operatorname{Im} \tilde{t}| < r' |\operatorname{Im} z| \right\}$$

 $(j=1,\ldots,N)$  such that

$$f(t,x) = \sum_{j=1}^{N} F_j(t, x + i0\Gamma_j)$$
 in  $\{t \in T, |x - \hat{x}| < r'\}$ .

Here,  $(s)_+ = s$   $(s \ge 0)_+ = 0$   $(s < 0)_+$ . Further, let  $Y(s)_+$  be the Heaviside function. Then,

$$\operatorname{ext}_{\overline{T}}(f(t,x)) = \sum_{j=1}^{N} F_j(t,z) Y(\varphi(t))|_{\operatorname{Im} z \to 0 \cdot \Gamma_j}.$$

### § 5. Positive hermitian pseudodifferential operators and quasi-positivity

We denote by z, w the complexifications of x, u, and by  $\xi, \eta$  the symbols for  $\partial_z, \partial_w$ . Further, we use the notation  $z^*, \xi^*$  for the complex conjugate of  $z, \xi$ ; for example,  $\overset{\circ}{z}^*$ . Therefore, the coordinates of  $T^*(\mathbb{C}^n_z \times C^n_w)$  are given as  $(z, w; \xi, \eta)$ , and the hermitian pseudo-differential operators are defined on the hermitian diagonal set of  $T^*(\mathbb{C}^n_z \times C^n_w)$ :

$$\varDelta^h(T^*\mathbb{C}^n):=\{(z,w;\xi,\eta)\in T^*(\mathbb{C}^n_z\times C^n_w); w=z^*,\eta=\xi^*\}.$$

**Definition 5.1.** Let  $\overset{\circ}{p} = (\overset{\circ}{z}, \overset{\circ}{z}^*; \overset{\circ}{\xi}, \overset{\circ}{\xi}^*)$  be a point of  $\Delta^h(T^*\mathbb{C}^n)$  ( $|\overset{\circ}{\xi}| = 1$ ). Then,

$$P = \sum_{j,k=0}^{\infty} P_{jk}(z, w, \xi, \eta)$$

is said to be a formal symbol at  $\stackrel{\circ}{p}$  of product hermitian pseudo-differential operators if there exist some positive numbers  $r,\ d,\ A\ (0 < A < 1)$  such that conditions i)~iii) hold for any j,k:

i)  $P_{jk}$  is holomorphic on  $V_j \times V_k^*$ , where

$$V_j := \{ (z; \xi) \in \mathbb{C}^n; |z - \mathring{z}| < r, |(\xi/|\xi|) - \mathring{\xi}| < r, |(j+1)d| < |\xi| \}.$$

ii) For any  $\varepsilon > 0$ , there exists a  $C_{\varepsilon} > 0$  (independent of j, k) such that

$$|P_{jk}(z, w, \xi, \eta)| \le C_{\varepsilon} A^{j+k} e^{\varepsilon(|\xi| + |\eta|)}$$
 on  $V_j \times V_k^*$ .

iii)  $P_{jk}(z, w, \xi, \eta) = \overline{P_{kj}(w^*, z^*, \eta^*, \xi^*)}$  on  $V_j \times V_k^*$ .

Concerning 0-equivalence class, we have the following definition:  $\sum_{j,k} P_{jk} \sim 0$  if

$$\left| \sum_{j=0}^{s} \sum_{k=0}^{t} P_{jk}(z, w, \xi, \eta) \right| \le C'_{\epsilon} \exp\left(-\alpha \min\{s, t\} + \epsilon(|\xi| + |\eta|)\right)$$

holds on  $V_s \times V_t^*$  for some  $\alpha > 0$ , every  $s, t \ge 0$ , and any  $\epsilon > 0$  with some  $C'_{\epsilon} > 0$ .

Further,  $P = \sum_{j,k=0}^{\infty} P_{jk}(z, w, \xi, \eta) \gg 0$  at  $\hat{p} \iff$ 

For  $\forall S (\geq 1), \forall J (\geq 0), \forall (z_{s,j}, \xi_{s,j}; \lambda_{s,j}) \in V_j \times \mathbb{C} \ (s = 1, \ldots, S, \ j = 0, 1, \ldots, J)$ , we have

$$\sum_{s,t=1}^{S} \sum_{j,k=0}^{J} P_{jk}(z_{s,j}, z_{t,k}^*, \xi_{s,j}, \xi_{t,k}^*) \lambda_{s,j} \lambda_{t,k}^* \ge 0.$$

**Proposition 5.2.** Any formal symbol  $\sum_{j,k} P_{jk}$  of product hermitian pseudo-

differential operators is equivalent to some simple symbol  $P' = P'_{00}$  ( $P'_{jk} = 0$  for  $\forall (j,k) \neq (0,0)$ ) of product hermitian pseudo-differential operator. In particular,  $\sum_{j,k} P_{jk}$  is iden-

tified with a usual pseudo-differential operator  $P(w, z, \partial_z, \partial_w)$  at  $\overset{\circ}{p} = (\overset{\circ}{z}, \overset{\circ}{z}^*; \overset{\circ}{\xi}, \overset{\circ}{\xi}^*) \in \Delta^h(T^*\mathbb{C}^n)$ . Further, the operator product  $P \circ Q$  of  $P = \sum_{j,k} P_{jk}$  and  $Q = \sum_{j,k} Q_{jk}$  is defined by

$$(P \circ Q)_{jk} := \sum_{\substack{j=|\alpha|+\ell+\ell'\\k=|\beta|+m+m'}} \frac{1}{\alpha! \ \beta!} \ \partial_{\xi}^{\alpha} \partial_{\eta}^{\beta} P_{\ell m} \cdot \partial_{z}^{\alpha} \partial_{w}^{\beta} Q_{\ell' m'}.$$

In particular, the product of two formal symbols of product hermitian pseudo-differential operators is a formal symbol of product hermitian pseudo-differential operators. Further, if  $P = \sum_{j,k=0}^{\infty} P_{jk}(z, w, \xi, \eta) \gg 0$  at p and  $Q = \sum_{j,k=0}^{\infty} Q_{jk}(z, w, \xi, \eta) \gg 0$  at p, then  $P \circ Q \gg 0$  at p.

**Example 5.3.** Let  $P(z,\xi)$  be a symbol at  $(\mathring{z}; \mathring{\xi}) \in T^*\mathbb{C}^n$  of analytic pseudo-differential operator. Put

$$P^*(w,\eta) := \overline{P(w^*,\eta^*)}$$

Then,

$$P(z,\xi) + P^*(w,\eta), \quad P(z,\xi)P^*(w,\eta)$$

are examples of symbols at  $p = (\mathring{z}, \mathring{z}^*; \mathring{\xi}, \mathring{\xi}^*) \in \Delta^h(T^*\mathbb{C}^n)$  of product hermitian pseudo-differential operators. Further,  $P(z, \xi)P^*(w, \eta) \gg 0$  at p. Another non-trivial example of positive product hermitian pseudo-differential operators is

$$Q(z, w, \partial_z, \partial_w) := (P(z, \partial_z) + P^*(w, \partial_w))^{-1} \gg 0,$$

where  $P(z,\xi)$  is a simple symbol of analytic pseudo-differential operators satisfying the following estimate for some m>0 and some C>0:

$$C^{-1}|\xi|^m \le \text{Re}\,P(z,\xi) \le |P(z,\xi)| \le C|\xi|^m \quad (|\xi| \to \infty)$$

in a conic neighborhood of  $(\overset{\circ}{z};\overset{\circ}{\xi}) \in T^*\mathbb{C}^n$ .

As we explained in Section 3, in order to treat an operator  $P(t, x, \partial_x) + P^*(t, u, \partial_u)$  we must introduce a special type of positive product hermitian pseudo-differential operators; for example,  $\exp\left(M \cdot K_{\Omega}^{\rho}(z, \xi, w^*, \eta^*)\right)$  in Section 3.

**Definition 5.4.** Let  $\overset{\circ}{p} = (\overset{\circ}{t}, \overset{\circ}{z}, \overset{\circ}{\xi}, \overset{\circ}{\xi}^*)$  be a point  $\mathbb{R}^m \times \Delta^h(T^*\mathbb{C}^n)$   $(|\overset{\circ}{\xi}| = 1)$ . A simple symbol  $P(t, z, w, \xi, \eta)$  is said to be a symbol at  $\overset{\circ}{p}$  with real analytic parameters t of restricted hermitian pseudo-differential operators with growth order  $\sigma$  if P is holomorphic in

$$\begin{split} V(r) := & \{ (t, z, w, \xi, \eta) \in \mathbb{C}^{m+4n}; |t - \overset{\circ}{t}| < r, |z - \overset{\circ}{z}| < r, |w - \overset{\circ}{z}^*| < r, \\ & |(\xi/|\xi|) - \overset{\circ}{\xi}| < r, |(\eta/|\eta|) - \overset{\circ}{\xi}^*| < r, |\xi| > r^{-1}, |\eta| > r^{-1} \} \end{split}$$

such that  $P(t, z, w, \xi, \eta) = \overline{P(t^*, w^*, z^*, \eta^*, \xi^*)}$  and

(5.1) 
$$\begin{cases} |\nabla_{(z,w)}P| \le C \cdot \min\{|\xi|^{\sigma}, |\eta|^{\sigma}\}, \\ |\nabla_{(\xi,\eta)}P| \le C \cdot (|\xi| + |\eta|)^{\sigma-1}. \end{cases}$$

Here,  $0 < \sigma < 1/2$ , and C, r are some positive constants. Further, a symbol

$$\exp(P(t, z, w, \xi, \eta))$$

with a restricted hermitian symbol P is said to be a simple symbol at  $\stackrel{\circ}{p}$  with real analytic parameters t of exponential restricted hermitian pseudo-differential operators with growth order  $\sigma$ . Indeed, it is easy from (5.1) to obtain an estimate

$$|P(t,z,w,\xi,\eta)| \le M(|\xi|^{\sigma} + |\eta|^{\sigma})$$
 on  $V(r)$ 

with some M > 0. Hence,  $\exp(P)$  is a symbol of product hermitian pseudo-differential operators.

Example 5.5.

$$P:=A(t,z,w)\cdotrac{(\xi_1\eta_1)^{1+(\sigma/2)}}{\xi_1^2+\eta_1^2} \ \ ext{at} \ \ (\overset{\circ}{t},\overset{\circ}{z},\overset{\circ}{z}^*;dz_1+dw_1),$$

is a symbol of positive restricted hermitian pseudo-differential operators with growth order  $\sigma$ , where A(t,z,w) is a holomorphic function in a neighborhood of  $(\overset{\circ}{t},\overset{\circ}{z},\overset{\circ}{z}^*)$  such that for any real fixed t,  $A(t,z,w^*)$  is a positive analytic hermitian kernel in z,w.

**Definition 5.6.** Let  $\stackrel{\circ}{p} = (\stackrel{\circ}{x}, \stackrel{\circ}{x}; i\stackrel{\circ}{\xi}, -i\stackrel{\circ}{\xi})$  be a point of  $\Delta^a(\sqrt{-1}T^*\mathbb{R}^n)$   $(|\stackrel{\circ}{\xi}| = 1)$ . Then a hermitian microkernel k(x,u) is said to be quasi-positive,  $k(x,u) \gg_q 0$  at  $\stackrel{\circ}{p}$ , if there exists a symbol  $P(z, w, \xi, \eta)$  at  $\stackrel{\circ}{p}$  of positive restricted hermitian pseudo-differential operators with growth order  $\sigma < 1/2$  such that  $\exp(P(z, w, \xi, \eta)) : k(x, u) \gg 0$  at  $\stackrel{\circ}{p}$ . Namely,

$$k(x,u)\gg_q 0\iff \exists P \text{ (restricted, positive) s. t.}: \exp(P(z,w,\xi,\eta)): k(x,u)\gg 0.$$

Here, : Q : means the pseudo-differential operator defined by the symbol Q. It is known that the quasi-positivity satisfies the axioms of order relations for hermitian microkernels (Theorem 2.7 in [7]).

#### § 6. K. Yamasaki's Sobolev type 2-form of order 0

Let  $T \subset \mathbb{R}^m$  be a bounded domain with real analytic boundary  $\partial T$ . Let f(t,x) be a hyperfunction on  $T \times \{|x - \mathring{x}| < r\}$ , which have real analytic parameters  $t \in T$  at any point of  $\overline{T} \times \{\mathring{x}\}$ . For  $\mu > 0$ , a hermitian microkernel

$$\int_{\mathbb{R}^m} ((-\Delta_x)^{\mu} + (-\Delta_u)^{\mu}) \operatorname{ext}_{\overline{T}}(f(t,x)\overline{f(t,u)}) dt,$$

in (x, u) is almost identified with Sobolev type 2-form of order  $\mu$  with respect to x, u. However, concerning t, it is only an  $L^2$ -form. Though we can treat a more general 2-form like (3.1) by using quasi-positivity, we cannot treat the following type 2-form:

(6.1) 
$$E(x,u) := \int_T (P(t,x,\partial_t,\partial_x) + \overline{P(s,u,\partial_s,\partial_u)}) f(t,x) \overline{f(s,u)}|_{t=s} dt,$$

where  $P(t, x, \partial_t, \partial_x)$  is a pseudo-differential operator including  $\partial_t$ . Even in such a case, if P is of finite order with respect to  $\partial_t$ , we treat E by using microlocal energy methods for vector-valued functions developed in [7]. Indeed, in that case, we consider all the derivatives  $\partial_t^{\alpha} f(t, x)$  as independent hyperfunctions. However, such a method cannot be applied to the case:

$$P = 1 + \sum_{\ell=1}^{\infty} \partial_t^{\ell} \partial_x^{-\ell}$$

at  $(0,0;0,i) \in \sqrt{-1}T^*(\mathbb{R}_t \times \mathbb{R}_x)$  because P is not of finite order concerning  $\partial_t$ . To overcome this difficulty, K. Yamasaki [11] introduced a Sobolev-type 2-form of order 0.

**Definition 6.1.** Let s, u be the copies of t, x, respectively. For positive numbers  $C_1, C_2$ , and constants  $p, q \geq 0$ , we define a micro-differential operator  $\Lambda$  (a fundamental

microdifferential operator) in the variables  $(t, x, s, u) \in \mathbb{R}^{m+n+m+n}$  of order 0 (consider in  $\{\xi_n \neq 0, \eta_n \neq 0\}$ ):

$$\Lambda(\partial_t, \partial_{x_n}, \partial_s, \partial_{u_n}) := \sum_{j \geq 0, I \geq 0} \left( \frac{\Gamma((p+1)j + q|I| + 1)}{\Gamma(pj + q|I| + 1)} \right)^2 C_1^{2j} C_2^{2|I|} \partial_t^I \partial_{x_n}^{-j - |I|} \partial_s^I \partial_{u_n}^{-j - |I|},$$

where 
$$j \in \mathbb{N}_+, I = (i_1, \dots, i_m) \in \mathbb{N}_+^m \ (\mathbb{N}_+ = \{0, 1, 2, \dots\}).$$

**Definition 6.2.** Let f(t,x) and g(t,x) be hyperfunctions on  $T \times \{|x - \mathring{x}| < r\}$ , which have real analytic parameters  $t \in T$  at any point of  $\overline{T} \times \{\mathring{x}\}$ . Then, we can introduce an inner product form of f, g as follows:

$$E[f,g](x,u) := \int_{\mathbb{R}^m} \operatorname{ext}_{\overline{T}} \left[ \Lambda(\partial_t, \partial_{x_n}, \partial_s, \partial_{u_n}) \left( f(t,x) \overline{g(s,u)} \right) \Big|_{t=s} \right] dt.$$

Hence, our order 0 Sobolev type 2-form over  $\overline{T}$  of f(t,x) is defined as

Let  $A(t, x, \partial_t, \partial_x)$  be any 0-th order analytic pseudo-differential operator. Then, our aim is to get the following inequality:

(6.2) 
$$E[Af, g](x, u) + E[g, Af](x, u) \ll_q C_A (E[f, f](x, u) + E[g, g](x, u))$$

with a positive constant  $C_A$  depending only on A. Kaito Yamasaki's main result [11] is the following:

**Theorem 6.3.** Put  $C_1 = C_2 C_3$ , and

(6.3) 
$$\begin{cases} p \ge 0, & q \ge 1, \quad p - q \ge -1, \\ C_2 > \frac{2^{m+2}}{\lambda}, & C_3 > \frac{2^{m+3}}{\lambda}, \quad C_2 C_3 > \max\{\frac{8}{\lambda}, \frac{16(m+n)}{\lambda^2}\}. \end{cases}$$

Then, for

$$C_A = 2^{2m+1}N(A;\lambda)$$

we have an inequality (6.2), where  $N(A; \lambda)$  is the formal norm of A due to Boutet de Monvel. Namely,  $N(A; \lambda)$  is a formal power series of  $\lambda$ , and so  $\lambda > 0$  should be taken small enough such that  $N(A; \lambda)$  is convergent. Further, the condition for p, q is the necessary and sufficient to get (6.2).

*Remark.* In the definition of E[f,g], we need some stronger assumption on the singular spectrum of f,g for a given  $C_2$  than the assumption ii) in Definition 4.4. Such a condition is fulfilled if  $C_2$  is sufficiently small.

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