

1 What was known before:

2 The inner segment ellipsoid band is correlated with visual function. EYS is an important and common cause of  
3 retinitis pigmentosa.

4

5

6 What this study adds:

7 The inner segment ellipsoid band of retinitis pigmentosa patients with EYS mutations shortened during the 5  
8 years of observation annually. The length of the inner segment ellipsoid band is a sensitive prognostic factor  
9 for the rate of ISe shortening in RP patients with EYS mutations.

10

11 Inner Segment Ellipsoid Band Length is a Prognostic factor in Retinitis  
12 Pigmentosa Associated with *EYS* mutations: 5-year Observation of Retinal  
13 Structure

14

15 Manabu Miyata, M.D., Ph.D., Ken Ogino, M.D., Ph.D, Norimoto Gotoh, M.D., Ph.D, Satoshi  
16 Morooka, M.D., Ph.D., Tomoko Hasegawa, M.D., Masayuki Hata, M.D., Nagahisa  
17 Yoshimura, M.D., Ph.D.

18

19 Department of Ophthalmology and Visual Sciences, Kyoto University Graduate School of  
20 Medicine, Kyoto, Japan

21

22 Corresponding author:

23 Manabu Miyata, M.D., Ph.D.

24 Department of Ophthalmology and Visual Sciences, Kyoto University Graduate School of  
25 Medicine, Shogoin Kawahara-cho 54, Sakyo-ku, Kyoto, 606-8507, Japan

26 TEL: +81-75-751-3248

27 FAX: +81-75-752-0933

28 Email: miyatam@kuhp.kyoto-u.ac.jp

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31 Prognostic factor of retinitis pigmentosa

32

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35

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47

48 **Abstract**

49 **PURPOSE:**

50 To evaluate whether the length of the inner segment ellipsoid band (ISe) can be used as a  
51 prognostic factor for disease course in retinitis pigmentosa (RP) patients with *EYS* mutations  
52 by observation over a period of 5 years.

53 **METHODS:**

54 Twelve RP patients with *EYS* mutations were studied. The horizontal and vertical ISe length  
55 of the right eye was manually measured at five time-points annually, using spectral domain  
56 optical coherence tomography. A regression line through the five points from baseline to the  
57 final measurement was drawn and the ratio of the length (%) at each point to the baseline  
58 length was calculated; the slope was defined as the rate of ISe shortening (%/year). The  
59 correlation between rate of ISe shortening and age, visual acuity, and mean deviation (MD)  
60 value were evaluated. The intraclass correlation coefficient (ICC) for the measurements was  
61 calculated.

62 **RESULTS:**

63 The mean rate of ISe shortening was  $-4.65 \pm 2.89\%$  per year, and the decline was  
64 statistically significant. The rate of shortening was significantly negatively correlated with the  
65 baseline length ( $P = 0.046$ ,  $r = 0.58$ ), but not with the baseline age, visual acuity, and MD  
66 value. The ICC (2, 1) was 0.999.

67 **CONCLUSION:**

68 ISe of all RP patients with *EYS* mutations shortened during the 5 years of annual  
69 observation. The measurement of the length of ISe is simple and convenient method with  
70 high repeatability and the length is a sensitive prognostic factor for the rate of ISe shortening  
71 in RP patients with *EYS* mutations.

72 **Introduction**

73 Retinitis pigmentosa (RP), a set of retinal diseases featuring degeneration of rod and cone  
74 photoreceptors, varies with regard to the onset of symptoms, inheritance mode, fundus  
75 appearance, and prognosis,<sup>1</sup> possibly because of differences in causative gene mutations.<sup>2,</sup>  
76 <sup>3</sup> Sixty RP causative gene mutations were found.<sup>4</sup>

77 *EYS* is an important and common cause of RP in the Japanese, Spanish, British,  
78 Chinese, Israelis, and Palestinians.<sup>5-9</sup> Furthermore, a report has described that  
79 *EYS*-associated RP patients share a relatively uniform phenotype with near-normal central  
80 visual function up to their 20s.<sup>10</sup> We have previously reported that severity of RP patients  
81 with *EYS* mutations was relatively moderate among RP patients with various mutations.<sup>11</sup>  
82 Thus, we encounter RP patients with *EYS* mutations at relatively high frequency in daily  
83 clinical consultation except detection for causative gene mutations, and RP patients with  
84 *EYS* mutations have representative feature in RP. It is significant to investigate RP patients  
85 with *EYS* mutations.

86 Although a change in the retinal structure of RP patients with the same genetic  
87 mutations over the medium-term (2 years) has been reported,<sup>12, 13</sup> no study, to our  
88 knowledge, has investigated the changes over the longer term (5 years). Because the  
89 change of retinal structure is gradual, it is necessary to assess the change using a long-term  
90 follow-up data. Moreover, it is important to understand the change when explaining the  
91 disease course to patients in clinical practice.

92 It has been reported that evaluation of changes in the inner segment ellipsoid band  
93 (ISe) is useful for the assessment of retinal health, which is correlated with visual  
94 function.<sup>14-17</sup> A previous 2-year study found that ISe decreases year-by-year in RP  
95 patients.<sup>18</sup>

96 From these perspectives, we conducted this study to evaluate whether the change  
97 of length of ISe over a long-term period in RP patients with *EYS* mutations can be used as a  
98 prognostic factor in predicting the disease course.

99

100 **Subjects and Methods**

101 This study was approved by the ethics committee of Kyoto University Graduate School of  
102 Medicine (Kyoto, Japan). All study protocols adhered to the tenets of the Declaration of  
103 Helsinki. The nature of the study and the possible risks and benefits of participation were  
104 explained to all study candidates. All subjects choosing to participate provided written  
105 informed consent.

106

107 *Subjects*

108 We performed gene analyses for 329 Japanese RP patients who visited the Department of  
109 Ophthalmology and Visual Sciences, Kyoto University Graduate School of Medicine, Kyoto,

110 Japan between January 2011 and December 2012 and agreed to provide peripheral blood  
111 samples.<sup>5</sup> All patients underwent comprehensive ophthalmological examinations, including  
112 measurement of the best-corrected visual acuity (BCVA) using a decimal visual acuity chart  
113 (Landolt chart), indirect ophthalmoscopy, slit-lamp biomicroscopy, SD-OCT (Spectralis  
114 HRA+OCT, Heidelberg Engineering, Heidelberg, Germany); mean deviation (MD) value at  
115 baseline calculated using a Humphrey field analyzer (HFA; 10-2 SITA Standard Program;  
116 Carl Zeiss Meditec, Jena, Germany), and 30-Hz flicker electroretinography (ERG) were also  
117 performed. ERG results were recorded according to the International Society for Clinical  
118 Electrophysiology of Vision standard protocol recommended in 2008 using LS-C (Mayo Co.,  
119 Nagoya, Japan) and Neuropack MEB-2204 systems (Nihon Kohden, Tokyo, Japan). All  
120 BCVA data were converted to the logarithm of the minimal angle of resolution (logMAR) for  
121 statistical analyses. Retinal specialists diagnosed RP using comprehensive  
122 ophthalmological examinations. In all the patients, *EYS* mutations were detected by  
123 next-generation sequencing. Inclusion criteria were: available SD-OCT images obtained  
124 over a period of 5 years, at five time points or more, on different days. Patients for whom ISe  
125 could not be detected on OCT images including dotted lines and those who had undergone  
126 intraocular surgery during the study period were excluded. Two investigators (MM and TH)  
127 determined whether the images were assessable. Those who had undergone intraocular  
128 surgery during the study period were also excluded.

129

### 130 *Inner Segment Ellipsoid Band Analysis*

131 The length of ISe on horizontal and vertical OCT B-scan crossing the fovea of the right eye  
132 was measured over 5 years using SD-OCT images of 30 × 30° scans (approximately 9 × 9  
133 mm), at five time points. The length of a healthy eye is approximately 9000 μm, because ISe  
134 is not cut within range of the SD-OCT images. Representative measurements were used for  
135 analysis, by averaging horizontal and vertical measurements. Measurements were  
136 performed obtained in a random order using the built-in measurement scale provided in the  
137 SD-OCT software (Figure 1). Information pertaining to the date of measurement was  
138 masked. If the OCT images were obtained at more than five time points, we selected five  
139 images obtained at almost equal time intervals. We plotted this data, with the baseline date  
140 up to the final measurement date on the x-axis and the percentage the length of ISe relative  
141 to that at baseline (%) on the y-axis. A regression line through the five data points from  
142 baseline to the final measurement date was then drawn; the slope was defined as the rate of  
143 ISe shortening (%/day). To improve comprehensibility, we converted the rate of ISe  
144 shortening (%/day) to the rate of ISe shortening (%/year) by multiplying by 365. To assess  
145 the repeatability of measurements, another investigator measured the length of ISe of five  
146 patients selected at random in the same manner.

147

148 *Statistical Analysis*

149 Data are presented as the mean  $\pm$  standard deviation where applicable. All statistical  
150 analyses were performed using SPSS version 21 (IBM, New York, NJ, USA). Student's  
151 *t*-tests were used to compare different data sets. Correlations were analyzed using  
152 Pearson's correlation coefficients. Linear regression analysis was performed to calculate the  
153 rate of ISe shortening. The intraclass correlation coefficient (ICC) value for the length of ISe  
154 measurements recorded by the two investigators (MM and TH) was calculated to determine  
155 the reliability of measurements. A *P*-value of  $< 0.05$  was considered statistically significant.

156

157 **Results**

158 In total, 19 patients met the inclusion criteria for the study. Among these, ISe could not be  
159 detected in seven. Eventually, 12 patients were included in our analysis. In one patient, MD  
160 value at baseline was not available. Table 1 shows the patient characteristics. The  
161 observation period was  $5.93 \pm 0.74$  years. The ICC (2, 1) value for the length of ISe  
162 measurements was 0.999.

163 Figure 2 shows the relationship between the length of ISe at each time point, relative  
164 to that at baseline, and the follow-up duration. The rate of ISe shortening was  $-4.65 \pm 2.89\%$   
165 per year. There was a significant difference between the derived rate of ISe shortening value  
166 and 0 ( $P < 0.001$ ), indicating a significant decrease in the length of ISe. When the cases  
167 were analyzed individually, there were significant differences between the rate of ISe  
168 shortening and 0 in all patients. When we separated the horizontal and vertical  
169 measurements to analyze, the rate of horizontal and vertical ISe shortening was  $-5.17 \pm$   
170  $3.10$  and  $-4.26 \pm 2.98\%$  per year, respectively. There was no differences in the rate between  
171 them ( $P = 0.70$ ).

172 The rate of ISe shortening and the length of ISe at baseline were significantly  
173 correlated ( $P = 0.046$ ,  $r = 0.58$ , Figure 3), i.e., the rate of ISe shortening value was high in  
174 patients with a small length of ISe value at baseline. However, there was no significant  
175 correlation between the rate of ISe shortening and age, log MAR visual acuity, and MD value  
176 at baseline ( $P = 0.84$ ,  $0.30$ , and  $0.25$ , respectively, Figure 3).

177 The decrease in the MD value varied; the mean decrease was  $3.09 \pm 3.62$  dB in the  
178 same 5-year period. Among 12 patients, six decreased by more than 2 dB and six  
179 decreased by less than 2 dB. The rate of ISe shortening was  $-5.17 \pm 4.97$  and  $-3.52 \pm$   
180  $1.17\%$  per year, respectively. There were no significant differences of the rate between in the  
181 two groups ( $P = 0.56$ ).

182

183 **Discussion**

184 This study evaluated whether the length of ISe, which is correlated with visual  
185 function, changes over a long-term period in RP patients with *EYS* mutations for the first

186 time. The length of ISe of the most RP patients with *EYS* mutations shortened during the 5  
187 years of annual observations and the rate of the length of ISe shortening was significantly  
188 higher in patients with a short length of ISe at baseline.

189 The length of ISe is a sensitive prognostic factor for the rate of ISe shortening in RP  
190 patients with *EYS* mutations. However, age, log MAR visual acuity, and MD value were not  
191 statistically significant prognostic factors in the present study, although a previous study has  
192 reported that the extent of visual fields constriction seemed to correlate better with age than  
193 with visual acuity.<sup>10</sup> These findings help to explain the disease course to patients in clinical  
194 practice; i.e., disease progression of RP patients with *EYS* mutations with relatively longer  
195 ISe should be slow, while that of the patients with relatively shorter ISe should be rapid.  
196 However, we cannot predict the degree of disease progression from age, visual acuity, and  
197 visual field. To expand the application of this approach, further studies in degenerative retinal  
198 diseases with other mutations are needed.

199 In this study, the rate of ISe shortening varied among patients with the same type of  
200 gene mutation, indicating that RP with the same gene mutation may not follow the same  
201 course in all patients. This finding is consistent with that in a previous study where patients  
202 with *PRPH2* mutations exhibited different phenotypes.<sup>19</sup> The previous studies showed a  
203 wide range of phenotypic expression from the same mutation: central areolar choroidal  
204 dystrophy, autosomal dominant RP, adult vitelliform macular dystrophy, and cone-rod  
205 dystrophy.

206 Curcio et al showed that cone density decreased steeply with increasing eccentricity,  
207 while rod density increased with increasing eccentricity, and cone and rod density became  
208 the same at 0.5 mm from the fovea according to their published figure.<sup>20</sup> Thus, an ISe  
209 shorter than 1000  $\mu\text{m}$  was mainly constituted of cone cells. The results of the present study  
210 suggested that cone cells were more easily disordered than rod cells. There is a need for  
211 longitudinal study of the decrease in photoreceptors in RP patients using an adaptive optics  
212 scanning laser ophthalmoscope.

213 In the present study, we selected the right eye in all cases to prevent selection bias.  
214 However, the symmetricity of progression of RP is also of interest. The ISe of left eye at  
215 baseline was  $3180 \pm 2348 \mu\text{m}$ , which was not significantly different from that of the right eye  
216 ( $3137 \pm 2348 \mu\text{m}$ ,  $P = 0.67$ ). The ISe of the left eye at final measurement was  $2558 \pm 2325$   
217  $\mu\text{m}$ , which was also not significantly different from that of the right eye ( $2456 \pm 2292 \mu\text{m}$ ,  $P =$   
218  $0.20$ ). Thus, progression of RP in patients with *EYS* mutations was symmetric in the present  
219 study.

220 It is necessary to follow RP patients for at least 4 years to determine the true extent  
221 of changes, because RP is a disease with a long course. Indeed, because RP shows a  
222 definite but slow decline in visual function and degeneration of retinal structure, large clinical  
223 trials have set study periods for longer than 4 years, although their outcomes were different

224 from those of this study.<sup>21-23</sup>

225 We converted the length of ISe to rate (%) in order to exclude the effect of the length  
226 of ISe, because this study included patients with various stages of the disease. For instance,  
227 although a change in the length of ISe from 8000 $\mu$ m to 7000 $\mu$ m indicates the same extent of  
228 progression as a reduction from 1500 $\mu$ m to 500 $\mu$ m, the implications are quite different. In  
229 fact, ISe at baseline was ranged from 481 to 7589  $\mu$ m.

230 This study had some limitations. First, measurement of the length of ISe was manual.  
231 Because ISe may not have a clear-cut edge, the measurement of ISe length can incur errors.  
232 We attempted to minimize the level of error as much as possible by two means. One was to  
233 make use of the slope of the regression line derived from OCT images obtained at five time  
234 points over 5 years; analysis of only two data points, i.e., baseline and approximately 5 years,  
235 would generate misleading results. The other was that the measurements of the length of  
236 ISe showed high repeatability; the ICC (2, 1) value was 0.999, indicating that almost the  
237 same length of ISe could be measured by any examiner. The measurement of the length of  
238 ISe is thus a relatively simple and facile, but accurate, method. Second, there were few  
239 young patients in this study. Although there was no significant correlation between the rate of  
240 ISe shortening and age at baseline, the rate of ISe shortening could not be determined in  
241 seven of the 19 patients (37%) in the *EYS*-RP group because of absence of assessable ISe,  
242 whereas it could be detected when they were younger. If the results of these patients were  
243 included in their youth, the results of this study may be different. Suto et al reported that ISe  
244 was absent in 40% RP patients with *EYS* mutations.<sup>10</sup> The results of the present study (37%)  
245 were consistent with those of that previous report. Third, few patients had an intermediate  
246 length ISe at baseline. Among 12 RP patients, nine had ISe less than 4000  $\mu$ m, two had ISe  
247 more than 6000  $\mu$ m, and one had ISe ranged 4000–6000  $\mu$ m. Further research of RP  
248 patients with middle ISe should be performed.

249 In conclusion, ISe of all RP patients with *EYS* mutations shortened during the 5  
250 years of observation annually. The measurement of the length of ISe is simple and  
251 convenient method with high repeatability and this length is a sensitive prognostic factor for  
252 the rate of ISe shortening in RP patients with *EYS* mutations.



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322 TITLES AND LEGENDS TO FIGURES

323

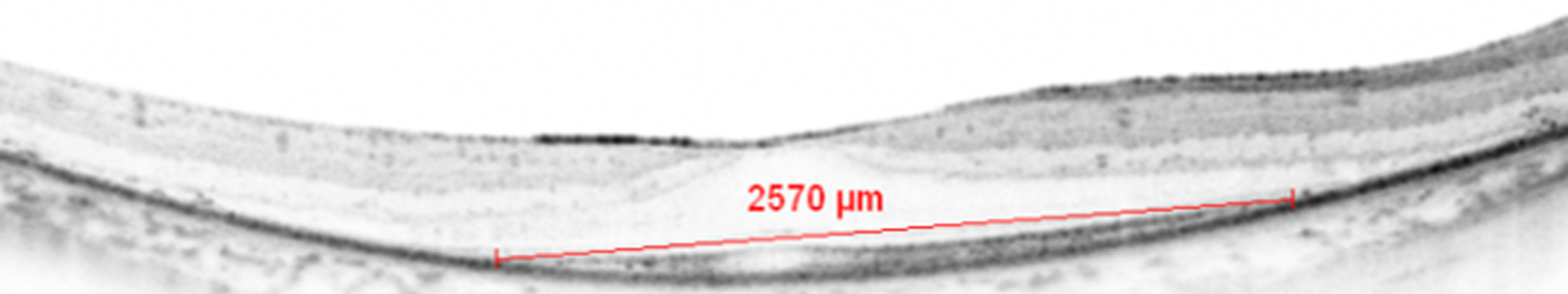
324 Figure 1. Spectral domain optical coherence tomography images

325 The length of the inner segment ellipsoid band crossing the fovea was measured in a  
326 random order using the measurement scale provided in the spectral-domain optical  
327 coherence software. Information pertaining to the date of measurement and type of gene  
328 was masked.

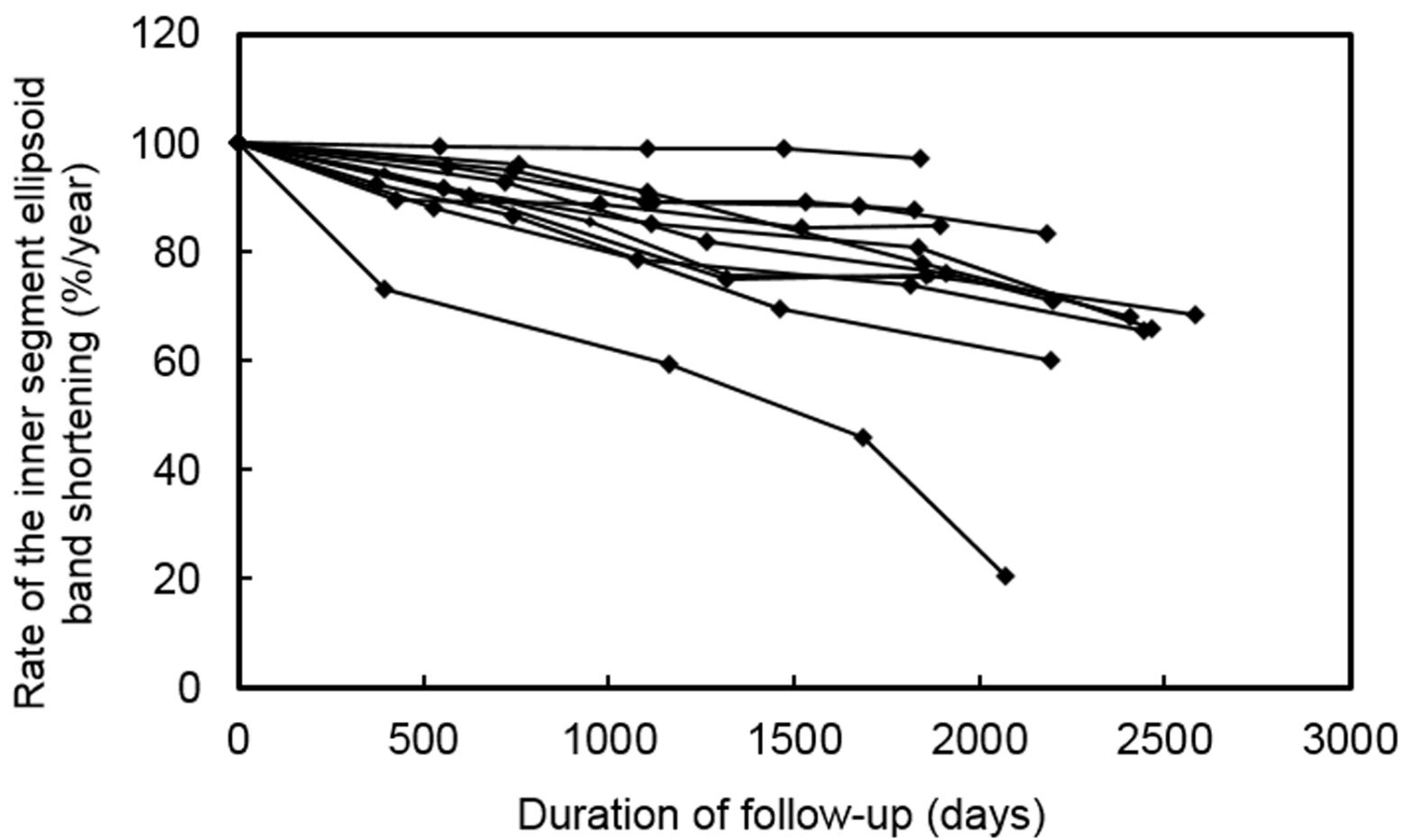
329

330 Figure 2. Relationship between the percentage length of the inner segment ellipsoid band at  
331 the observation point relative to that at baseline and the follow-up duration.

332 The mean  $\pm$  standard deviation rate of the inner segment ellipsoid band shortening is  $-4.65$   
333  $\pm 2.89\%$  per year.



2570  $\mu\text{m}$



**Table 1. Baseline characteristics of retinitis pigmentosa patients with *EYS* mutations included in this study**

<b>Characteristics</b>	<b>Values</b>
Number of patients	12
Patient age (years)	
Mean $\pm$ SD	45.6 $\pm$ 8.6
Range	34 to 63
Patient sex, no. (%)	
Male	3 (25)
Female	9 (75)
Visual acuity in the right eye, logMAR	
Mean $\pm$ SD	0.040 $\pm$ 0.143
Range	-0.176 to 0.222
Axial length in the right eye (mm)	
Mean $\pm$ SD	24.51 $\pm$ 0.99
Range	22.7 to 25.9
Mean deviation value as per Humphrey 10-2 visual field analysis (dB) (n=11)	
Mean $\pm$ SD	-15.12 $\pm$ 7.16
Range	-25.36 to -1.11
The length of the inner segment ellipsoid band measurement ( $\mu$ m)	
Mean $\pm$ SD	3137 $\pm$ 2350
Range	481 to 7589
Pseudophakia, no. (%)	1 (8)

SD: standard deviation, logMAR: logarithm of minimal angle resolution