

## FROBENIUS MAPS AND ALGEBRAIC VARIETIES

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ABSTRACT. Many characterization of abelian varieties in positive characteristic is given by several authors. In this article, we give a characterization of abelian varieties by the language of  $F_*\mathcal{O}_X$  and its Poincare bundles.

Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Let  $X$  be a smooth proper variety over  $k$ . When does  $X$  satisfy the following property (\*)?

- (\*)  $F_*\mathcal{O}_X \simeq \bigoplus_j M_j$  where  $F : X \rightarrow X$  is the absolute Frobenius morphism and each  $M_j$  is a line bundle.

For example, an arbitrary smooth proper toric variety satisfies this property (\*) (cf. [Achinger][Thomsen]). Thus there are many varieties which satisfy (\*). But every toric variety has negative Kodaira dimension. On the other hand, In [ST] we show that ordinary abelian varieties satisfy (\*) and this property gives the characterization of the ordinary abelian varieties.

**Theorem 0.1.** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Let  $X$  be a smooth projective variety over  $k$ . Assume the following conditions.*

- *For infinitely many  $e \in \mathbb{Z}_{>0}$ ,  $F_*^e\mathcal{O}_X \simeq \bigoplus_j M_j$  where each  $M_j$  is an invertible sheaf.*
- *$\kappa(X) \geq 0$  where  $\kappa(X)$  is the Kodaira dimension of  $X$ .*

*Then  $X$  is an ordinary abelian variety.*

By the Theorem above, it is natural to ask the same criterion holds only for  $F_*\mathcal{O}_X$ . But in [ES], we gave the counter example for that problem.

**Theorem 0.2.** *Let  $X$  be a non-abelian smooth projective surface. Then  $X$  satisfies the following conditions if and only if  $X$  is an  $F$ -split Igusa surface:*

- $F_*\mathcal{O}_X$  is isomorphic to direct sum of line bundles.
- $K_X$  is pseudo-effective.

We also showed that if we consider  $e > 1$ , then the same characterization holds.

**Theorem 0.3.** *Let  $X$  be a smooth projective variety over  $k$  of characteristic  $p > 2$  (resp.  $p > 0$ ).  $X$  is an ordinary abelian variety if and only if the following conditions hold:*

- $F_*\mathcal{O}_X$  (resp.  $F_*^2\mathcal{O}_X$ ) is isomorphic to a direct sum of line bundles.
- $K_X$  is pseudo-effective.

Another natural question is how can we characterize non ordinary abelian varieties by the language of  $F_*\mathcal{O}_X$ . In this article we give the characterization by using Poincare bundle on albanese varieties. For details of Poincare bundles and albanese maps, see [FGAex, Section 9].

**Theorem 0.4.** *Let  $X$  be a smooth projective variety over  $k$  of characteristic  $p$ . There is a canonical map  $\Phi : \tilde{\mathcal{P}}_X \rightarrow F_*\mathcal{O}_X$  with the following properties:*

- $\tilde{\mathcal{P}}_X \simeq p_{1*}\mathcal{P}_{X \times \ker V}$  where  $\mathcal{P}$  is the Poincare bundle on  $X \times \text{Pic}^0 X$  and  $V$  is the Verschiebung map on  $\text{Pic}^0 X$ .
- $\Phi$  is isomorphic if and only if  $X$  is an abelian variety.
- $\Phi$  is generically isomorphic if and only if  $X$  has maximal albanese dimension.

We overview the proof of Theorem 0.4. At first, we construct the map  $\Phi : \tilde{\mathcal{P}}_X \rightarrow F_*\mathcal{O}_X$ .

**Lemma 0.5.** *Let  $X$  be a smooth projective variety over  $k$  of characteristic  $p$  and  $\alpha_X : X \rightarrow \text{Alb}(X)$  be the albanese map of  $X$ . Then we have*

$$\alpha_X^* \tilde{\mathcal{P}}_{\text{Alb}(X)} \simeq \tilde{\mathcal{P}}_X$$

*Proof.*  $\alpha_X : X \rightarrow \text{Alb}(X)$  induces the following map;

$$\alpha_X^* : \text{Pic}^0 \text{Pic}^0 \text{Pic}_{red}^0(X) \simeq \text{Pic}^0(X)$$

Here we can see that the kernel of the Verschiebung maps corresponds each other. Hence, we have

$$\alpha_X^* \text{Ker} V_{\text{Pic}^0(X)} \simeq \text{Ker} V_{\text{Pic}^0 \text{Pic}^0 \text{Pic}_{red}^0(X)}$$

. By considering the universal bundles on them, we get the assersion.  $\square$

**Lemma 0.6.** *Let  $X$  be a smooth projective variety over  $k$  of characteristic  $p$ . There is a canonical map  $\Phi : \tilde{\mathcal{P}}_X \rightarrow F_*\mathcal{O}_X$ .*

*Proof.* We use the following theorem.

**Theorem 0.7** (Oda). *Let  $f : X \rightarrow Y$  be an isogeny of abelian varieties over  $k$ . Set  $\hat{f} : \hat{Y} \rightarrow \hat{X}$  to be the dual of  $f$ . Let  $L \in \text{Pic}^0(X)$ . Then,  $f_*L \simeq \text{pr}_{1*}(\mathcal{P}_Y|_{Y \times \hat{f}^{-1}([L])})$*

$$f_*L \simeq \text{pr}_{1*}(\mathcal{P}_Y|_{Y \times \hat{f}^{-1}([L])})$$

where  $\mathcal{P}_Y$  is the normalized Poincare line bundle of  $(Y, 0)$ .

By applying this theorem to  $f = F$  and  $L = \mathcal{O}_X$ , we have  $\Phi : \tilde{\mathcal{P}}_X \rightarrow F_*\mathcal{O}_X$  for any abelian varieties  $X$ . (Note that Verschiebung map is defined by the dual of relative Frobenius map.)

In general, we have the base change map  $\alpha^*F_*\mathcal{O}_{\text{Alb}(X)} \rightarrow F_*\mathcal{O}_X$ . Then by the discussion above and lem 0.6,

$$\alpha^*F_*\mathcal{O}_{\text{Alb}(X)} \simeq \alpha^*\tilde{\mathcal{P}}_{\text{Alb}(X)} \simeq \tilde{\mathcal{P}}_X$$

Hence, by composing them, we get the assersion.  $\square$

**Claim 0.8.** *If  $\Phi_X$  is isomorphism, then  $X$  has maximal albanese dimension, namely  $\dim X = \dim \alpha(X)$*

*Proof.* By Stein factrizaton theorem, we have the following decomposition;

$$\alpha : X \xrightarrow{f} Y \xrightarrow{g} Z \xrightarrow{h} A = \text{Alb}(X)$$

where  $g, h$  is finite, and  $g$  is purely inseparable,  $h$  is separable,  $Y, Z$  is normal.

We first see the map

$$\Phi_Y : \tilde{\mathcal{P}}_Y \rightarrow F_*\mathcal{O}_Y$$

. Pulling back by  $f$ , we have

$$\tilde{\Phi}_Y : f^*\tilde{\mathcal{P}}_Y \xrightarrow{f^*\Phi_Y} f^*F_*\mathcal{O}_Y \rightarrow F_*\mathcal{O}_X$$

where the second map is base change map.

We also have

$$f^*\tilde{\mathcal{P}}_Y \simeq f^*g^*\tilde{\mathcal{P}}_{\text{Alb}(X)} \simeq \alpha^*\tilde{\mathcal{P}}_{\text{Alb}(X)} \simeq \tilde{\mathcal{P}}_X.$$

Hence, we see that  $\tilde{\Phi}_Y = \Phi_X$ . Hence, by calculating the rank of  $F_*\mathcal{O}$ , we have  $\dim X \leq \dim Y$ . This implies  $\dim X = \dim Y = \dim \alpha(X)$ .  $\square$

**Claim 0.9.** *If  $\Phi_X$  is isomorphism, then  $\Phi_Y$  is also isomorphism.*

*Proof.* Since  $X$  has maximal albanese dimension,  $f$  is a birational contraction. Let  $U, V$  be the isomophic locus of  $f$  in  $X, Y$  respectively. Then  $U, V$  have codimension two in their ambient space. Here we have

$$F_*\mathcal{O}_Y|U \simeq F_*\mathcal{O}_X|V \simeq \mathcal{P}_X|V \simeq \mathcal{P}_Y|U$$

Since all the sheaf above are reflexive and all the varieties above are normal, and  $U, V$  are codimension two subset, we get  $\Phi_Y : \widetilde{\mathcal{P}}_Y \simeq F_*\mathcal{O}_Y$ .  $\square$

**Claim 0.10.** *There is a decomposition*

$$Y \rightarrow Z_1 \rightarrow \dots \rightarrow Z_i \rightarrow Z_{i+1} \dots \rightarrow Z$$

*such that  $h_i : Z_i \rightarrow Z_{i+1}$  is a purely inseparable extension of height 1 of normal varieties. Futhermore, if  $\Phi_Y$  is an isomorphism, then  $\Phi_{Z_i}$  is also an isomorphism.*

*Proof.* The first assersion follows by taking field extensions of height 1 and normalizations in the fields. We see the second assersion. Since  $Z_i$  is normal,  $F_*\mathcal{O}_{Z_i}$  is reflexive. Hence we may assume  $Z_{i+1}$  is a spectrum of a DVR. Since torsion free module over DVR is faithfully flat,  $h_i^*$  is a faithfully flat functor. Hence by the induction starting from  $Y$ , we have the second assersion.  $\square$

By standard calculation of Grothendiek duality and Kunz's theorem, we have the following proposition.

**Proposition 0.11.** *Let  $X$  be normal projective variety over  $k$ . Assume that  $\Phi_X$  is an isomorphism. Then  $X$  is smooth and  $-(p-1)K_X$  is an effective divisor.*

We prove the main theorem for purelu inseparable extension of height 1.

**Proposition 0.12.** *Let  $\alpha : Z \rightarrow A$  be the albanese map of normal variety  $Z$ . Assume that  $\alpha$  is purely inseparable of height 1 and  $\Phi_Z$  is an isomorphism. Then  $\alpha$  is an isomorphism.*

*Proof.* By [Eke], any purely inseparable of height 1 morphism can be described by a quotient of 1-foliation. Namely, there is a 1-foliation  $\mathcal{F} \subset \mathcal{T}_A$  such that  $Z \simeq A/\mathcal{F}$  and  $\omega_Z^p \simeq \alpha^*\omega_A \otimes (\det\mathcal{F})^{(1-p)}$  Since  $A$  is an abelian variety,  $\mathcal{T}_A$  is trivial. This implies  $\det\mathcal{F} \subset \oplus\mathcal{O}_A$ . Hence  $\det\mathcal{F}$  is negative line bundle, put  $\det\mathcal{F} \simeq \mathcal{O}(-D)$  for an effective divisor  $D$ . Then we have

$$\omega_Z^p \simeq \mathcal{O}_Z((p-1)D).$$

Prop 0.11 and this implies  $\kappa(Z) = 0$ . Hence by [HP], we get the assertion.  $\square$

By claim 0.10 and Prop 0.12,  $h$  is an isomorphism. Next, we show  $g$  is an isomorphism.

**Claim 0.13.**  *$g$  is an isomorphism.*

*Proof.* Put

$$K_Y = g^*K_A + R$$

where  $R$  is the ramification divisor of separable morphism  $g$ . Since  $K_A = 0$  and by Prop 0.11, we have  $R = 0$ .

Hence  $\alpha : Y \rightarrow A$  is etale in codimension one. Then, by the Zariski–Nagata purity,  $\alpha$  is etale. By [Mumford, Section 18, Theorem],  $Y$  is also an abelian variety and we are done.  $\square$

**Claim 0.14.**  *$f$  is an isomorphism*

*Proof.* We can write

$$K_X = f^*K_A + E$$

where  $E$  is an  $f$ -exceptional divisor. Since  $A$  is terminal (cf. [KM, Section 2.3]),  $E$  is effective. By Prop 0.12, we have  $K_X \equiv 0$ . Since  $K_X \equiv 0$ , we see that  $E$  is  $f$ -nef. By the negativity lemma (cf. [KM, Lemma 3.39]), we see  $E = 0$ . Thus, the codimension of  $\text{Ex}(f)$  in  $X$  is at least two. Since  $A$  is smooth,  $f$  is an isomorphism.  $\square$

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