1 2	1	Permeability of granite including macro-fracture naturally filled with fine-grained
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26 Abstract

Information on the permeability of rock is essential for various geoengineering projects, such as geological disposal of radioactive wastes, hydrocarbon extraction, and natural hazard risk mitigation. It is especially important to investigate how fractures and pores influence the physical and transport properties of rock. Infiltration of groundwater through the damage zone fills fractures in granite with fine-grained minerals. However, the permeability of rock possessing a fracture naturally filled with fine-grained mineral grains has yet to be investigated. In this study, the permeabilities of granite samples, including a macro-fracture filled with clay and a mineral vein, are investigated. The permeability of granite with a fine-grained mineral vein agrees well with that of the intact sample, whereas the permeability of granite possessing a macro-fracture filled with clay is lower than that of the macro-fractured sample. The decrease in the permeability is due to the filling of fine-grained minerals and clay in the macro-fracture. It is concluded that the permeability of granite increases due to the existence of the fractures, but decreases upon filling them with fine-grained minerals.

43 Keywords: Permeability, Granite, Fracture, Clay, Mineral vein

1. Introduction

It is essential to investigate the permeability of rock and the influence of the fractures on various engineering projects and natural hazard risk mitigation. For geological disposal of radioactive wastes, the intensity of radioactivity of radionuclides should be reduced by both engineered barriers (e.g., a bentonite buffer) and natural barriers (e.g., a rock mass.) If a radioactive waste repository is located in an area where the hydraulic gradient and the permeability are high, the retardation of migration of radionuclides by these barriers may not be sufficient. The existence of fractures can be undesirable for geological disposal of radioactive wastes, because the higher density and connectivity of fractures increase the permeability (Gueguen and Dienes, 1989). In addition, fractures in rocks play an important role in the fluid flow and distribution of the pore pressure. Consequently, fractures greatly influence volcanic eruptions. On the other hand, fractures are essential to boost the flow of hydrocarbons (natural gas and oil) in a rock mass for oil and natural gas extraction. Fractures and pores are ubiquitous on all scales in crustal rocks. In the upper crust, fractures and pores remarkably influence the physical and transport properties of rocks. The existence of fractures and pores makes rock more compliant (Brace, 1965; Walsh, 1965; Hazzard et al., 2000; Abe, 2016; Griffiths et al., 2017), weaker (Nara and

63 Kaneko, 2006; Fujii et al., 2007; Nasseri et al., 2005, 2007; Nara, 2015), and more

64 permeable if they are connected together in a percolating network (Gueguen and

Dienes, 1989; Gueguen et al., 1997; Sausse et al., 2001; Benson et al., 2006a; Chaki et
al., 2008; Nasseri et al., 2009; Nara et al., 2011a).

It is well known that the aperture of the fracture significantly affects the permeability
of rock (Norton and Knapp, 1977; Witherspoon et al., 1980; Walsh, 1981; David, 1993;

Unger and Mase, 1993; Amadei and Illangasekare, 1994; Oron and Berkowitz, 1998;
Suzuki et al., 1998). Nara et al. (2011a) reported that the introduction of open macrofractures and micro-fractures increase the permeability of basalt when the initial
permeability is low. The permeability decreases as the confining pressure increases due
to the closure of fractures in basalt (Vinciguerra et al., 2005; Fortin et al., 2011; Nara et
al., 2011a) and granite (Pratt et al., 1977; Kranz et al., 1979; Darot et al., 1992; Morrow
and Lockner, 1997; Benson et al., 2006b). Wang et al. (2016) reported that the
permeability of fractured rock decreases if the macro-fracture is filled with fine-grained
particles. In addition, they showed that the permeability depends on the grain size of the
particles filling the macro-fracture; the permeability decreases as the grain size
decreases.
The fractures in rock are often filled naturally with fine-grained mineral grains (i.e.,

clay). According to Ishibashi et al. (2016), fractures in granite are filled with clay due to the infiltration of groundwater through the damage zone. Clay may alter the permeability, decreasing the fracture aperture. However, the permeability of rock containing a macro-fracture naturally filled with fine-grained mineral grains has yet to be investigated.

In this study, we examine the permeability of crystalline rock including a macrofracture, a mineral vein, and a macro-fracture filled with fine-grained mineral grains such as clay. Specifically, we investigate whether the permeability of the macrofractured rock can be recovered by sealing and healing the macro-fracture upon filling with fine-grained minerals.

2. Rock sample

The rock sample is Toki granite, which was obtained in the Mizunami Underground Research Laboratory. This material has widely been used in previous research (e.g., Schubnel et al., 2003; Lanaro et al., 2009; Nara et al., 2011b, c; Sanada et al., 2013; Yamamoto et al., 2013; Yamasaki et al., 2013; Hashiba and Fukui, 2016). Specifically, we used the rock core samples obtained from a 200-m depth in the Mizunami Underground Research Laboratory in Mizunami-City, Japan (Iwatsuki et al., 2005; Nara et al., 2011b; Yuguchi et al., 2012; Koike et al., 2015). The cores often include natural macro-fractures. Such macro-fractures are commonly filled with clay and fine-grained minerals, which are solidified and become a mineral vein. Figure 1 shows photos of the rock core samples of Toki granite. Figures 1a, b, and c show the core samples containing macro-fractures, a mineral vein, and clay fillings naturally included in rock, respectively.

In this study, we prepared four types of samples: an intact specimen (TG-i), a macro-fractured specimen without filling (TG-f), a specimen including a mineral vein (TG-v), and a specimen with a macro-fracture naturally filled by clay (TG-c). From these samples, we prepared cylindrical specimens with 50-mm diameters and 25-mm lengths for the permeability tests (Figure 2). Figure 3 shows photomicrographs of TG-i (Fig. 3a), TG-v (Fig. 3b), and TG-c (Fig. 3c) taken from thin sections (0.03-mm thick) under crossed nicols. The mineral grains in the vein in TG-v in Fig. 3b are mainly solidified strongly fine-grained feldspars (plagioclase and potash feldspar) and mica. The clay included in TG-c (Fig. 3c) consists mainly of illite and a small amount of carbonate minerals.

3. Methodology

117 3.1 Experimental Method

Before the permeability tests, TG-i and TG-f were saturated with distilled water.

120 Since TG-c can be fractured along the clay layer due to the weakening of illite by water

121 (Francisca et al., 2005; Nara et al., 2011d, 2012), this specimen was saturated with a 1-

122 M sodium chloride solution. Previous research demonstrated that crack propagation in

123 rock containing clay is depressed in 1-M sodium chloride solution (Nara et al., 2014).

124 Similarly, TG-v was saturated in a 1-M sodium chloride solution.

To evaluate the permeability, we used the transient pulse method for TG-i, TG-v, and TG-c because this method is useful for samples with a low permeability (Brace et al., 1968; Hsieh et al., 1981; Zhang et al., 2000a). A hydraulic head pulse (the pore pressure pulse) was applied on the upstream side of the specimen. Then the difference between the upstream and downstream pore pressure decreased with elapsed time. The permeability was determined from this temporal decrease of pore pressure difference in the specimen.

Brace et al. (1968) introduced the following equation to evaluate the permeability bythe transient pulse method:

(1)

$$\frac{\Delta h(t)}{H} = \frac{h_u(t) - h_d(t)}{H} = \exp\left\{-\frac{KAt}{l}\left(\frac{1}{S_u} + \frac{1}{S_d}\right)\right\}$$

where *t* is the time, $\Delta h(t)$ is the upstream and downstream difference of the hydraulic head, $h_u(t)$ is the hydraulic head at the upstream, $h_d(t)$ is the hydraulic head at the downstream, *H* is the difference of the hydraulic head at t = 0, *K* is the permeability, *A* is the cross-sectional area of the specimen, *l* is the length of the specimen, *S_u* is the

compressional storage of the upstream reservoir, and S_d is the compressional storage of the upstream reservoir. Following the methodology of Zhang et al. (2000b), both S_u and S_d were set to 8.0×10^{-10} m².

Because the permeability could be high for TG-f due to the existence of a macrofracture, the permeability measurement of TG-f was conducted by the constant flow method (formerly named as flow pump method) (Olsen, 1966; Morin and Olsen, 1987; Esaki et al., 1996). The permeability of a material is determined by Darcy's law using the pressure difference induced by the imposed steady state constant flow rate. For the constant flow method, the permeability is evaluated using the following equation (Olsen, 1966):

$$K = \frac{Ql}{A(hu - hd)} \tag{2}$$

where Q is the flow rate.

3.2 Experimental Apparatus

Figure 4 illustrates the permeability measurement system used in this study. This system can be used to conduct various methods of permeability test. Here we used the constant flow method and the transient pulse method. The permeability measurement system mainly consists of the pressure vessel, the confining fluid controller, the pore fluid controller, the data logger, and the temperature controllers.

This system was placed in a temperature-controlled room. It was possible to reduce the temperature change during the measurement rigorously. All the measurements were acquired at a constant temperature of 22 ± 0.1 °C.

The values of the pressure difference between the upstream and the downstream pore

pressures is obtained from the differential pressure transduces. The accuracy was 0.35 kPa. The pore pressure was around 1 MPa for all measurements. Pressure to the rock specimens was applied using a syringe pump. For the transient pulse method, the applied pore pressure pulse was around 40 kPa (4% of the pore pressure). For the constant flow method, the flow rates were 0.5 ml/s, 0.25 ml/s, 0.15 ml/s, 0.10 ml/s, and 0.05 ml/s under effective confining pressures at 1-3 MPa, 3-4 MPa, 4-5 MPa, 5-7 MPa, and 7-9 MPa, respectively. These values were selected to create an equal upstream and downstream pore pressure difference for all measurements, because it is important to control the pore pressure difference between upstream and downstream to ensure the constant flow rate in the specimens. The confining pressure was applied using the double plunger pump. The maximum

175 The contining pressure was applied using the double plunger pump. The maximum 174 pressure of the pressure vessel was 10 MPa. Measurements were acquired under 175 confining pressures between 2 to 10 MPa. Consequently, the effective pressures ranged 176 from 1 to 9 MPa.

4. Results

It was necessary to apply a small pressure pulse on the upstream side of the specimen during the transient pulse measurements to induce laminar flow in the specimen (Brace et al., 1968). Figure 5 shows the temporal changes of the upstream and downstream hydraulic pressure difference for TG-i (Fig. 5a), TG-v (Fig. 5b), and TG-c (Fig. 5c) at a 2-MPa confining pressure. In all cases, the hydraulic pressure difference decreased exponentially. We evaluated the permeability by applying Eq. (1) to the data shown in Fig. 5. For TG-f, we used the constant flow method. Figure 6 shows an example of the temporal change of the upstream and downstream hydraulic pressure difference in TG-f under a confining pressure of 2 MPa, in which the flow rate was 0.5 ml/s. The average value between 150 to 250 s (18.5 kPa) was used to evaluate the permeability. Figure 7 and Table 1 show the relationship between the permeability and the effective pressure. The permeability of TG-f was much higher than that of other specimens, and decreased remarkably as the effective pressure (confining pressure) increased. The permeability of TG-f decreased by more than one order of magnitude when the effective pressure increased from 1 MPa to 9 MPa. The permeability of TG-v agreed well with that of TG-i at all effective pressures. In addition, the values of the permeability of TG-i and TG-v were independent of the effective pressure. The permeability of TG-c was

much lower than that of TG-f, but slightly higher than those of TG-i and TG-v. Moreover, the permeability of TG-c decreased as the effective pressure increased. The

permeability of TG-c decreased by around one order of magnitude when the effective

pressure increased from 1 MPa to 9 MPa.

5. Discussion

203 5.1 Permeability of intact and macro-fractured granite

The permeability of macro-fractured granite (TG-f) is much higher than that of intact granite (TG-i) (Fig. 7 and Table 1). In the pressure range of this study, it is 3–4 orders of magnitude higher, demonstrating that the introduction of a macro-fracture in granite increases the permeability significantly.

The difference of the permeability between TG-f and TG-i decreases as the effective pressure increases. This may be due to the remarkable decrease in the permeability of TG-f with increasing pressure. Nara et al. (2011) suggested that the closure of a macrofracture under hydrostatic pressure decreases the permeability of macro-fractured lowporosity rock (Seljadalur basalt) even when the applied pressure is low. The permeability decrease for TG-f is attributed to the closure of the macro-fracture under hydrostatic pressure.

In contrast, the permeability of TG-i remains almost constant over the entire pressure range. Nara et al. (2011) reported that the closure of micro-fractures can decrease the permeability of intact low-porosity rock (Seljadalur basalt) if a high hydrostatic pressure is applied on the rock. In particular, Nara et al. (2011) indicated that the closure of the micro-fracture predominantly affects the decrease in the permeability of Seljadalur basalt when the hydrostatic pressure exceeds 20 MPa, which is higher than the pressure in this study. Thus, the change in permeability for the intact granite is negligible in this study due to the low applied pressure.

5.2 Permeability of granite including a mineral vein and macro-fracture with clays

Because the permeability of TG-v agrees well with that of the intact specimen (TG-i), the original macro-fracture in granite is almost completely filled with fine-grained minerals. In addition, the change of the permeability for TG-v is small, which is the same tendency with TG-i. It is considered that the applied pressure in this study has a negligible influence on the closure of fractures in TG-v as well as TG-i.

The macro-fracture in TG-c is partly filled with clay because the permeability of TGc is higher than that of the intact specimen (TG-i). The permeability of TG-c decreases as the effective pressure increases (Fig. 7). The closure of the macro-fracture under hydrostatic pressure induces the decrease in the permeability of TG-c.

The permeability of TG-c is much lower than that of TG-f. This is attributed to clay filling the macro-fracture. The decrease in the aperture occurs in the fracture in TG-c, decreasing the permeability.

According to Nara et al. (2011a), if three macro-fractures with almost same size are included in a low-porosity rock (Seljadalur basalt), the permeability is around one order of magnitude higher than that of the same rock with one macro-fracture. The position of the macro-fracture naturally filled with clay in TG-c is not the central part of the specimen (Fig. 2d). If the area of the macro-fracture is located in the central part of the specimen and the size of the macro-fracture doubles, the permeability may also increase. Because the size difference in this case is smaller than that of Nara et al. (2011), the increase of the permeability should be less than one order even if the macro-fracture is located the central part in TG-c. Therefore, the location of the macro-fracture in TG-c has a negligible influence on the permeability.

252 5.3 Evaluation of hydraulic aperture

In general, the permeability is a measure of the ability of a porous material to allow fluids to pass through it. The permeability also indicates this ability for a material with low-porosity. In contrast, a measure of the ability to transmit fluids by the hydraulic aperture is appropriate for a fracture. In the case of the parallel-plate flow in direction-x, flow rate Q is related to the aperture via the following equation (e.g., Bear, 1988):

$$Q = -\frac{a^3 D \rho g}{12\mu} \frac{dh}{dx}$$
(3)

where *D* is the diameter of the specimen, μ is the viscosity of the fluid, ρ is the density of the fluid, *g* is the gravitational acceleration, and dh/dx is the gradient of the hydraulic head.

263 The relationship between the flow rate and the permeability is expressed as (e.g.,264 Bear, 1988):

 $Q = -KA\frac{dh}{dx} \tag{4}$

where *A* is the cross-sectional area of the specimen and is equal to $\pi D^2/4$. Then the following equation is obtained:

 $\frac{a^3 \rho g D}{12\mu} = KA \tag{5}$

269 Consequently,

$$a = \sqrt[3]{\frac{3\pi\mu DK}{\rho g}} \tag{6}$$

271 Using this equation, the hydraulic aperture *a* can be evaluated.

 The evaluated hydraulic apertures for all specimens are shown at elevated effective confining pressures (Fig. 8). TG-f has the largest hydraulic aperture. The hydraulic aperture decreases with increasing the pressure for TG-f and TG-c, whereas TG-i and TG-v have a negligible dependence on the hydraulic aperture. The hydraulic aperture of TG-c is around one order of magnitude greater than the intact specimen. It is considered that almost 90% of the aperture of the macro-fracture in TG-c is filled with fine-grained clay.

Generally the existence of fractures and their network are undesirable for the geological disposal of radioactive wastes. According to Heap et al (2014), stylolites in the Jurassic limestone at Bule (France) are not barriers to fluid flow. However, in the case of granite, the permeability decreases as the aperture decreases for fractures naturally closed by clay, suggesting that the existence of fractures in granitic rocks is not problematic when considering the long-term use of an underground granite rock mass.

286 6. Conclusions

The permeability of Toki granite, including a macro-fracture filled with clay or a mineral vein, is investigated and compared with the permeability of intact and macro-fractured granite samples. The permeability of the macro-fractured granite is much higher than that of intact sample, and decreases as the effective confining pressure increases. The permeability of the sample with a fine-grained mineral vein agrees well with that of the intact sample, whereas the permeability of granite with a macro-fracture filled with clay is less than that of the macro-fractured granite. The permeability of fractured granite decreases by filling with clay. The evaluation of

the hydraulic aperture suggests that the permeability decreases upon filling the macrofracture with fine-grained minerals and clay. Additionally, the presence of fractures increases the permeability of granite, but filling the features with fine-grained minerals decreases the permeability, which can be helpful for the geological disposal of radioactive wastes.

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463	Table
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Table 1	Summary of the hydraulic conductivity for Toki granite at elevated pressures		
		Effective confining pressure	Hydraulia conductivity

	Effective confining pressure	Hydraulic conductivity
	[MPa]	[m/s]
Intact specimen	1.07	$3.75{\times}10^{\text{-12}}\pm1.79{\times}10^{\text{-14}}$
(TG-i)	1.98	$4.66{\times}10^{\text{-12}}\pm6.89{\times}10^{\text{-14}}$
	3.05	$3.98 \times 10^{-12} \pm 6.90 \times 10^{-14}$
	4.04	$2.97{\times}10^{\text{-12}}\pm1.64{\times}10^{\text{-14}}$
	5.04	$2.78 \times 10^{-12} \pm 2.38 \times 10^{-14}$
	5.96	$2.62{\times}10^{{-}12}\pm2.72{\times}10^{{-}14}$
	7.00	$2.27{\times}10^{{\text{-}}12}\pm4.72{\times}10^{{\text{-}}14}$
	8.02	$3.34 \times 10^{-12} \pm 3.27 \times 10^{-14}$
	9.01	$4.62 \times 10^{-12} \pm 3.39 \times 10^{-14}$
Macro-fractured specimen	0.95	$5.56 \times 10^{-8} \pm 2.54 \times 10^{-9}$
without filling	2.97	$1.71 \times 10^{-8} \pm 5.28 \times 10^{-10}$
(TG-f)	3.78	$1.03{\times}10^{\text{-8}}\pm4.25{\times}10^{\text{-10}}$
	4.94	$7.09{\times}10^{\text{-9}} \pm 2.35{\times}10^{\text{-10}}$
	6.87	$2.87{\times}10^{\text{-9}} \pm 9.90{\times}10^{\text{-10}}$
	8.94	$3.62{\times}10^{-9}\pm9.20{\times}10^{-10}$
Specimen with a mineral vein	1.22	$8.63 \times 10^{-12} \pm 1.56 \times 10^{-13}$
(TG-v)	1.97	$6.42{\times}10^{{-}12}\pm7.34{\times}10^{{-}14}$
	2.83	$5.65{\times}10^{\text{-12}}\pm1.06{\times}10^{\text{-13}}$
	3.84	$6.70 \times 10^{-12} \pm 3.65 \times 10^{-14}$
	4.84	$5.90 {\times} 10^{\text{-12}} \pm 9.86 {\times} 10^{\text{-14}}$
	6.69	$4.76{\times}10^{\text{-12}}\pm6.52{\times}10^{\text{-14}}$
	8.89	$4.33 {\times} 10^{\text{-12}} \pm 4.48 {\times} 10^{\text{-14}}$
Specimen with a macro-fracture	0.97	$7.59{\times}10^{{-}11}\pm2.13{\times}10^{{-}12}$
filled with clay	2.02	$4.43{\times}10^{{-}11}\pm1.01{\times}10^{{-}12}$
(TG-c)	3.03	$3.21 \times 10^{-11} \pm 4.96 \times 10^{-13}$
	4.08	$1.98 \times 10^{-11} \pm 3.70 \times 10^{-13}$
	5.03	$1.67 \times 10^{-11} \pm 1.64 \times 10^{-13}$
	7.01	$1.25 \times 10^{-11} \pm 5.16 \times 10^{-14}$
	9.03	$1.06 \times 10^{-11} \pm 4.94 \times 10^{-14}$

Photos of Toki granite. (a): Rock core sample (65-mm diameter) with a macro-Fig. 1 7 fracture, (b): Rock core sample (50-mm diameter) with a mineral vein, (c): Rock 9 core sample (65-mm diameter) with clay filling. 12 Fig. 2 Photos of (a) the intact specimen (TG-i), (b) macro-fractured specimen (TG-f), (c) specimen with a vein of fine-grained minerals (TG-v), and (d) specimen with a macro-fracture filled with clay (TG-c). Diameter and length of the specimens are 50 and 25 mm, respectively. Fig. 3 Photomicrographs of (a) the intact specimen (TG-i), (b) specimen with a vein of fine-grained minerals (TG-v), and (c) specimen with a macro-fracture filled with clay (TG-c). Length and height of the photomicrographs are 1.95 and 1.25 mm, respectively. Schematic of the permeability measurement system. Fig. 4 Fig. 5 Temporal changes of the upstream and downstream hydraulic pressure difference for (a) the intact specimen (TG-i), (b) specimen with a vein of fine-grained minerals (TG-v), and (c) specimen with a macro-fracture filled with clay (TG-c) at a confining pressure of 2 MPa obtained by the transient pulse method. The accuracy of the upstream and downstream hydraulic pressure difference was 0.35 kPa. Fig. 6 Temporal change of the upstream and downstream hydraulic pressure difference in the macro-fractured specimen (TG-f) at a confining pressure of 2 MPa obtained by the constant flow method. The accuracy of the upstream and downstream hydraulic pressure difference was 0.35 kPa. Fig. 7 Relationships between permeability and effective confining pressure for an intact sample, a macro-fractured sample, a sample containing a mineral vein, and a

Figure caption

1 2	492	sample containing a macro-fracture filled with clays of Toki granite.
3 4 5	493	Fig. 8 Relationships between hydraulic aperture of equivalent fracture and effective
5 6 7	494	confining pressure for Toki granite.
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