

Trunk rotation during shoulder exercises

1 **Title: The effect of trunk rotation during shoulder exercises on the activity of the**
2 **scapular muscle and scapular kinematics**

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4 **Running title: Trunk rotation during shoulder exercises**

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20 The authors, their immediate families, and any research foundations with which they are
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25

26 **Abstract**

27 **Background:** In patients with shoulder pathology, kinetic chain exercises including hip or
28 trunk movement are recommended. However, the actual muscle activation and scapular
29 kinematics of these exercises are not known. The purpose of this study was to examine the
30 effect of trunk rotation on shoulder exercises that are devised to improve scapular function.

31 **Methods:** Thirteen healthy young men participated in this study. Scaption, external rotation
32 in the 1st and 2nd position, and prone scapular retraction at 45°, 90°, and 145° of shoulder
33 abduction were performed with and without trunk rotation. Electromyography was used to
34 assess the scapular muscle activity of the upper trapezius (UT), middle trapezius (MT), lower
35 trapezius (LT) and serratus anterior (SA), and electromagnetic motion capture was used to
36 assess scapular motion. The muscle activity ratio, which is the activity of the UT to the MT,
37 LT, and SA were calculated. These data were compared between two conditions (with and
38 without trunk rotation) for each exercise.

39 **Results:** Adding trunk rotation to scaption, the 1st and the 2nd external rotation significantly
40 increased scapular external rotation and/or posterior tilt, and all three exercises increased LT
41 activation. Additionally, trunk rotation with scapular retraction at 90° and 145° of shoulder
42 abduction significantly decreased the UT/LT ratio.

43 **Conclusions:** Our findings suggest that shoulder exercises with trunk rotation in this study
44 may be effective in patients who have difficulty in enhancing LT activity and suppressing
45 excessive activation of the UT, and/or in cases where a decreased scapular external rotation
46 and/or posterior tilt is observed.

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48 **Level of evidence:** Basic Science, Kinesiology Study

49

50 **Key words:** shoulder exercise; kinetic chain; trunk rotation; rehabilitation; scapular

51 kinematics; muscle activation ratio

52

53 **Introduction**

54 Appropriate movement of the scapula is crucial for preventing shoulder injuries caused
55 by accumulated minimal stress on the soft tissues surrounding the glenohumeral joint.^{3, 5-8, 13,}
56 ^{20-23, 25, 26, 34} Inadequate scapular movements and positions are known to be a common cause
57 of shoulder dysfunction or pain, and recovery of scapular control plays a key role in
58 shoulder rehabilitation.^{3, 13, 21, 25, 26, 34} A previous review examining the scapular kinematics
59 during shoulder elevation indicated that many studies found decreased upward rotation,
60 posterior tilt, and increased internal rotation of the scapula during shoulder elevation.²⁶
61 Therefore, exercises in which the scapula moves into upward rotation, external rotation (ER),
62 or posterior tilt are very important.^{24, 30, 32}

63 Proper scapular motion during arm elevation is achieved by force couples provided by
64 the upper trapezius (UT), middle trapezius (MT), lower trapezius (LT), and serratus anterior
65 (SA).^{5-7, 14, 16, 22, 23, 25, 36} UT and SA act in scapular upward rotation, UT in scapular elevation,
66 and SA in scapular protraction.¹⁶ The MT and LT resist the SA during scapular protraction,
67 and the LT resists the UT during scapular elevation; as a result, the MT and LT maintain the
68 position of scapula and build an axis of scapular upward rotation.¹⁶ In addition, LT activity
69 increases at $\geq 90^\circ$ of arm elevation and is important for scapular posterior tilt.

70 A failure in cooperative activation of scapular muscles, including hyperactivity of the
71 upper trapezius (UT) in combination with poor activity of the middle trapezius (MT), lower
72 trapezius (LT), and serratus anterior (SA), leads to inadequate scapular motion and shoulder
73 pathologies.^{5-7, 25, 36} Therefore, the relative activity of the UT with respect to the MT, LT, and

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74 SA; i.e., the muscle activation ratios of the UT/LT, UT/MT, and UT/SA; are of particular
75 importance.^{5, 25, 36}

76 Previous studies investigating the role of the scapula in shoulder pathology have
77 focused on scapular muscle activation during shoulder rehabilitation exercises; many have
78 evaluated activation using electromyography (EMG).^{5, 22, 23, 32} However, scapular kinematics
79 during such exercises are not well known. Oyama et al.³² investigated scapular kinematics
80 and muscle activity during six scapular retraction exercises. They reported that scapular
81 retraction with the shoulder ER at 90° abduction, and with shoulder ER at 45° abduction
82 increased in scapular ER, upward rotation, and posterior tilt.³² By knowing the scapular
83 kinematics during exercises from these biomechanical studies, clinicians can obtain valuable
84 information needed for selecting proper exercises for patients with shoulder pathologies.^{32, 35}

85 Recently, kinetic chain exercises including the hip and trunk extension or diagonal
86 movement pattern in scapular retraction exercises are drawing attention because such
87 exercises activate the scapular muscles, in particular the LT.^{22, 23, 27} Nagai et al. examined the
88 effect of trunk rotation added to shoulder flexion exercise in the sitting position, and reported
89 that scapular kinematics and muscle activity were changed with trunk rotation.³¹

90 They reported that the ipsilaterally rotated trunk position during humeral elevation
91 increased scapular ER and upward rotation, while a contralaterally rotated trunk position
92 caused higher UT and SA activity and lower LT activity. In view of their research, shoulder
93 exercises with ipsilateral trunk rotation may induce desirable scapular motion and muscle
94 activation. However, to the best of our knowledge, no study has examined scapular

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95 movement along with the muscle activity and muscle activation ratio during various shoulder
96 exercises with trunk rotation.

97 The aim of this study was to compare the scapular kinematics and muscle activity
98 during various shoulder exercises with and without trunk rotation.

99

100 **Materials and Methods**

101 This is a cross sectional basic science kinesiology study comparing scapular
102 kinematics and muscle activity during various shoulder exercises with and without trunk
103 rotation.

104

105 **Participants**

106 Thirteen healthy young men (mean age, 21.5 ± 1.5 years; mean height, 172.5 ± 8.2
107 cm; and mean weight, 65.2 ± 7.4 kg) with no history of shoulder pathology or any complaint
108 participated in this study. All subjects were right-handed, and the dominant shoulder was
109 tested. The study protocol well was explained, and all subjects were fully consented with the
110 study.

111

112 **Instrumentation**

113 Three-dimensional kinematic data was obtained from the thorax, humerus, and
114 scapular using an electromagnetic motion capture system (Liberty, Pohlemus, Colchester,
115 Vermont, USA) operating at a sampling rate of 120 Hz. Its System Electronics Unit generates
116 and senses the electromagnetic fields and computes the location and orientation of each
117 sensor. A global coordinate system was established from a transmitter fixed on a board.
118 Electromagnetic sensors were attached on the skin overlying the sternum, acromion,
119 midpoint of the humerus (via a molded thermoplastic cuff), and the styloid process of ulna

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120 of the dominant arm. Next, in order to establish the anatomically based local coordinate
121 systems (LCSs), the bony landmarks of the subjects were palpated and established using the
122 Liberty sensor stylus with an embedded electromagnetic sensor while they stood with their
123 arms hanging at their side. Each LCS was defined according to the recommendations of the
124 International Society of Biomechanics (ISB)³⁷. The C7 spinous process, sternal notch,
125 xiphoid process, and T8 spinous process were used to define the LCS of the thorax; the
126 acromial angle, trigonum scapulae, and inferior angle were used to define the LCS of the
127 scapula; the midpoint of the thermoplastic cuff on the humerus and the medial/lateral
128 epicondyles were used to define the LCS of the humerus; and the medial/lateral epicondyles
129 and ulnar styloid were used to define the LCS of the forearm. Previous studies have shown
130 that 3-dimensional scapular kinematics can be assessed using this method with high accuracy
131 in humeral elevation angle less than 120°.^{18,28}

132 EMG activities were collected with a sampling rate of 1,500 Hz by using the Telemetry
133 DTS Telemetry system (Noraxon Inc., Scottsdale, AZ, USA). EMG activities and
134 kinematic data obtained from the electromagnetic sensor were synchronized using a manual
135 trigger. Four muscles (UT, MT, LT, and SA) that play key roles in scapular control were
136 chosen for analysis. After the electrode sites were shaved and cleaned with scrubbing gel and
137 alcohol, electrodes with 2-cm center-to-center inter-electrode distance were applied to the
138 skin overlying each muscle of the dominant arm according to the SENIAM
139 recommendations¹⁴ and a previous study.² We chose these four muscles because these
140 muscles are involved in scapular control.^{5, 7, 22, 23, 32, 33}

141 The UT electrode was placed at 50% on the line from the acromion to the spine on

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142 vertebra C7; the MT electrode was placed at 50% between the medial border of the scapula
143 and the spine at the level of T3; the LT electrode was placed at 2/3 on the line from the
144 trigonum spinea to the 8th thoracic vertebra; and the SA electrode was placed over the 7th
145 rib on the anterior axillary line.

146 .

147 **Procedures**

148 Each subject performed a series of six exercises with or without trunk rotation in a
149 random order to avoid systematic influences of fatigue and learning effects. The exercises
150 are presented in Figs. 1 and 2. We examined functional shoulder exercises performed in the
151 standing position with and without hip and trunk rotation, and scapular retraction exercises
152 were performed in the prone position, which is a common MT/LT exercise, with and without
153 trunk rotation. Each exercise was chosen for the following reasons. Scaption was chosen
154 because it is a basic arm elevation exercise. We chose the 1st ER because LT was activated
155 without excessive UT activity.^{5, 31} Further, the 2nd ER was chosen because this exercise
156 involves an action similar to the late-cocking phase of the throwing motion.¹² Retraction
157 exercises at 45, 90, and 145 were chosen because these exercises increased scapular ER,
158 upward rotation, posterior tilt, and LT activation.³⁰

159 With the dominant arm, subjects performed exercises initiating at the start position to
160 the end position, i.e., up to the end range of motion. All exercises were performed in three
161 phases—a concentric phase for 2 seconds, isometric phase for 1 second, and eccentric phase
162 for 2 seconds—with time controlled by a metronome. For exercises with trunk and hip

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163 rotation, subjects were instructed to ipsilaterally and maximally rotate their trunk and hip for
164 exercises performed in the upright position (i.e., scaption, the 1st ER, and the 2nd ER)
165 simultaneously with upper limb motion. Subjects were instructed to rotate their trunk without
166 moving their pelvis for exercises performed in the prone position (i.e., retraction at 45°
167 [retraction 45], retraction at 90° [retraction 90], and retraction at 145° [retraction 145])
168 simultaneously with upper limb motion. For exercises performed in the prone position, only
169 the trunk was rotated without including hip rotation in order to perform a stable movement.
170 All subjects completed five trials of each exercise.

171

172 **Data reduction**

173 Rotations of the distal coordinate system (humerus and scapula) were described with
174 respect to the proximal coordinate system (thorax) using Euler angles in accordance with
175 ISB's recommendations (Fig. 3).³⁷ The scapular angles (upward/downward rotation,
176 external/internal rotation, and posterior/anterior tilting) and humeral elevation angles in
177 scaption and external rotation during the 1st ER and 2nd ER were measured using custom
178 Matlab code (Mathworks, Natick, MA, USA). Kinematic data were smoothed using a
179 Butterworth low-pass digital filter (fourth order) at an estimated cutoff frequency of 4 Hz.

180 The original raw EMG signal was band-pass filtered at 20–500 Hz. The root-mean-
181 squares (RMS) of the raw data were determined, and 3-s maximal voluntary contractions
182 (MVC) were calculated for each muscle. The MVC EMG activity was recorded for the UT,
183 MT, LT, and SA while the subject performed MVC against manual resistance, as previously

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184 described for manual muscle testing.¹⁹ EMG data from the MVC were used to normalize the
185 EMG amplitude (% MVC) during the testing protocol. The average RMS EMG amplitude of
186 the each muscle was normalized to each of the MVCs. For the analytical EMG data, the EMG
187 and kinematic data were synchronized using Matlab. The middle three of five trials were
188 used for analysis. The three data sets were averaged. Then the mean EMG data during the
189 concentric phase of each exercise and the amount of change in the scapular angle from start
190 to end position for each task were analyzed.

191 Since the aim of this study was to investigate the muscle balance among the scapular
192 muscles during these exercises, the relative activity of the UT with respect to the MT, LT,
193 and SA was determined. The muscle activity ratios were calculated by dividing normalized
194 EMG values of the UT by normalized EMG values of the LT, MT and SA, and was expressed
195 as the ratios of UT/LT, UT/MT, and UT/SA.⁵ Values <1 reflected that the MT, LT, or SA
196 muscles were more activated compared to the UT.

197

198 **Statistics**

199 SPSS for Windows, version 14.0 software (SPSS, Chicago, IL, USA) was used for
200 the data analysis. We compared the kinematic and EMG data collected during each exercise
201 and the calculated muscle activity ratio between the two conditions (with and without trunk
202 rotation) by using the Wilcoxon signed-rank test. The level of statistical significance was set
203 at $p < 0.05$. Results are presented as mean \pm standard deviation.

204

205 **Results**

206 **Scaption**

207 The results of the kinematic and EMG data and the muscle activity ratio for scaption
208 are shown in Table 1. With trunk rotation, the angle of scapular ER and posterior tilt
209 significantly increased, the EMG activity of the MT and LT significantly increased, and the
210 UT/MT and UT/LT ratios significantly decreased.

211

212 **1st ER**

213 The results of the kinematic and EMG data and the muscle activity ratio for the 1st
214 ER are shown in Table 2. With trunk rotation, the angle of scapular posterior tilt significantly
215 increased, the EMG activity of the LT and SA significantly increased, and the UT/LT and
216 UT/SA ratios significantly decreased.

217

218 **2nd ER**

219 The results of the kinematic and EMG data and the muscle activity ratio for the 2nd
220 ER are shown in Table 3. With trunk rotation, the angle of scapular ER significantly increased,
221 the EMG activity of the UT, MT, and LT significantly increased, the UT/MT ratio
222 significantly decreased, and the UT/SA ratio significantly increased.

223

224 **Retraction 45**

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225 The results of the kinematic and EMG data and the muscle activity ratio for retraction
226 45 are shown in Table 4. With trunk rotation, the scapular kinematics, EMG activity of any
227 muscle, and the muscle activity ratio were not changed.

228

229 **Retraction 90**

230 The results of the kinematic and EMG data and the muscle activity ratio for retraction
231 90 are shown in Table 5. With trunk rotation, the angle of scapular upward rotation
232 significantly decreased, but the scapular posterior tilting and ER were not changed.
233 Additionally, with trunk rotation, the EMG activity of the UT significantly decreased, and
234 the UT/MT, UT/LT, and UT/SA ratios significantly decreased.

235

236 **Retraction 145**

237 The results of the kinematic and EMG data and the muscle activity ratio for retraction
238 145 are shown in Table 6. With trunk rotation, the angle of scapular upward rotation
239 significantly decreased, but the scapular posterior tilting and ER were not changed.
240 Additionally, with trunk rotation, the EMG activity of the UT and SA significantly decreased,
241 the UT/MT and UT/LT ratios significantly decreased, but the UT/SA ratio significantly
242 increased.

243

244 **Discussion**

245 This study examined the effects of hip and trunk rotation on the scapular kinematics
246 and the muscle activity during a series of six exercises. To the best of our knowledge, no
247 study has examined scapular movement along with muscle activity and the muscle activation
248 ratio during various shoulder exercises with trunk rotation.

249 In prior studies examining scapular muscle activity during shoulder exercises
250 including hip and trunk movement, knee push up plus with contralateral leg extended and
251 scapular retraction in a lunge position with contralateral leg forward increase LT activation.²³
252 ²⁷ It is also known that scapular retraction exercises with hip and trunk ipsilateral rotation
253 increase LT activity.²² In the current study, three exercises performed in the upright position
254 (scaption, the 1st ER, and the 2nd ER) with maximum ipsilateral hip and trunk rotation
255 increased LT activation and scapular ER and/or posterior tilt. Exercises performed in the
256 prone position (retraction 45, 90, and 145) with maximum ipsilateral trunk rotation did not
257 change LT activity but decreased UT activity and the UT/LT ratio. Each exercise is discussed
258 below.

259

260 **Elevation of the arm - Scaption**

261 Scaption is a motion that frequently causes pain in the shoulder. To prevent
262 impingement and stress to the subacromial tissues, proper scapular motion; i.e., sufficient
263 scapular upward rotation, ER, and posterior tilt; is essential during arm elevation.^{13, 24} One
264 factor preventing proper scapular motion is excess activation of the UT, accompanied by

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265 decreased activation of the LT, MT, and SA.^{5-7, 25, 36}

266 In scaption with trunk rotation, the angle of scapular ER and posterior tilt were
267 significantly increased, and the EMG activities of the MT and LT were significantly increased.
268 In elevation of the arm, thoracic ipsilateral rotation and scapular ER have a positive
269 correlation.⁹ Besides, ipsilaterally rotated trunk position during humeral elevation promoted
270 scapular ER.³¹ In our study, ipsilateral trunk rotation increased scapular ER, which is
271 consistent with these previous studies.^{9, 31} Kibler et al.²² have proposed that scapular
272 retraction exercise with ipsilateral trunk rotation highly activates the LT. It is possible that
273 ipsilateral trunk rotation increased the LT activation, which caused scapular ER and posterior
274 tilt.

275 Clinically, muscle activity below 20% is considered low, activity between 20–40% is
276 moderate, activity between 40–60% is high, and activity greater than 60% is very high.¹¹ In
277 scaption with trunk rotation, the LT activity reached $25.7 \pm 14.4\%$, which is considered
278 moderate. Moreover, the UT/MT and UT/LT ratios decreased, because trunk rotation
279 increased the MT and LT but did not change the UT activation. These results suggest that
280 scaption with trunk rotation is more effective than normal scaption for stimulating the LT
281 without excessive activation of the UT. Furthermore, considering the specific adaptation to
282 the imposed demands principle, it is important to induce the desirable motion of the scapula
283 and muscle in a practical motion such as scaption by adding trunk rotation. Therefore,
284 patients with shoulder pathology with decreased scapular ER, posterior tilt, and decreased
285 LT activation during elevation of the arm may benefit from this type of exercise.

286

287 **Shoulder external rotation - 1st ER and 2nd ER**

288 The 1st ER is typically performed for strengthening the infraspinatus^{1, 8} however, in
289 this study, we focused on the scapular kinematics and the scapular muscle activity. Cools et
290 al.⁵ recommended the 1st ER for strengthening the scapular muscles due to their low UT/LT
291 and UT/MT ratios. In the 1st ER with trunk rotation, the angle of scapular ER was not
292 changed, but the EMG activity of the LT significantly increased and the UT/LT ratio was
293 significantly decreased. Although LT activity is low during the 1st ER with trunk rotation
294 (12.5% ± 8.7%), the extremely low UT/LT ratio of this exercise may be beneficial for
295 retraining neuromuscular control of scapular muscles, especially in the initial stage of
296 rehabilitation. Therefore, the 1st ER with trunk rotation may enhance LT activity especially
297 as an initial therapeutic exercise in patients with excessive UT activation.

298 The 2nd ER is an action similar to the late-cocking phase of the throwing motion,¹² so
299 this exercise is important for overhead-throwing athletes. Previous studies have proposed the
300 concept of internal impingement of the posterior cuff, which is a pathologic contact in the
301 2nd ER position between the greater tuberosity and the posterosuperior glenoid rim, often
302 observed in overhead athletes with shoulder pain.^{10, 17} Mihata et al.²⁹ reported that increased
303 scapular internal rotation significantly increased glenohumeral contact pressure and the area
304 of impingement during a simulated throwing motion. In the 2nd ER with trunk rotation, the
305 angle of scapular ER significantly increased, and the EMG activity of the UT, MT, and LT
306 significantly increased. Therefore, the 2nd ER with trunk rotation may be beneficial to

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307 overhead-throwing athletes who have shoulder pain due to decreased trapezius muscle
308 activation and scapular ER at the late-cocking phase.

309

310 **Scapular retraction - retraction 45, retraction 90, and retraction 145**

311 Scapular retraction exercises in various positions have been widely used to strengthen
312 the scapular retractor muscles, particularly the MT and LT.^{3, 32} With regards to scapular
313 kinematics, Oyama et al.³² showed that the general pattern of scapular kinematics observed
314 during most retraction exercises were scapular ER, upward rotation, and posterior tilt. In the
315 present study, no difference in the scapular kinematics was observed in retraction exercises
316 with and without trunk rotation. We assume this is because significant scapular ER already
317 occurs during retraction exercises without trunk rotation, with no additional scapular
318 movement occurring with trunk rotation.

319 In retraction 90 and retraction 145 with trunk rotation, the EMG activity of the UT
320 significantly decreased, and the UT/MT and UT/LT ratios significantly decreased. However,
321 in retraction 45 with trunk rotation, no change in the EMG activity was observed. We
322 speculate that because the UT activity was low in retraction 45 without trunk rotation (11.1
323 \pm 10.6%), further reduction of the UT did not occur in retraction 45 with trunk rotation.

324 Trunk rotation further decreases the UT/MT and UT/LT ratios, and these findings
325 suggest that adding trunk rotation to retraction 90 may be more beneficial for trapezius
326 muscle balance rehabilitation. Retraction 90 with trunk rotation in this study was performed
327 in a position similar to the 2nd ER and emphasizes more scapular ER. Considering this,

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328 retraction 90 with trunk rotation may be useful especially in overhead-throwing athletes with
329 shoulder pain due to decreased scapular ER at the late-cocking phase.

330 Retraction 145 is used for manual muscle testing of the LT¹⁹ but the UT is highly
331 activated simultaneously with the LT.^{5,32} However, retraction 145 with trunk rotation showed
332 decreased UT activation and a decreased UT/LT ratio. In addition, the LT activation in
333 retraction 145 with trunk rotation increased to $60.2 \pm 29.9\%$, which is very high activity.
334 Therefore, retraction 145 with trunk rotation may be adequate for strengthening the LT
335 without excessive UT activation for patients whose primary problem is LT weakness.

336 **Limitations**

337 Some limitations of this study needs to be considered. First, kinematic data of the
338 scapula are reliable in humeral elevation angles less than 120° ,¹⁸ but scaption and retraction
339 145 are exercises at humeral elevation greater than 120° . Thus, scapular angle values for
340 these exercises should be interpreted cautiously. Nevertheless, our purpose of this study was
341 to compare the data with and without trunk rotation in the same exercise, so the error, which
342 could occur due to high shoulder elevation angle, may be discounted.

343 Second, since we determined the muscle activation during movements, conduction
344 velocity may affect the amplitude and frequency characteristics of the EMG signal. The EMG
345 data may be influenced by the change of the skin condition and the artifact caused from the
346 movements.⁴ We should also consider crosstalk, which reflects the activity of the adjacent
347 muscles.⁴

348 Third, we evaluated four scapular muscles using surface EMG, but other deeper

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349 muscles such as the levator scapulae, rhomboids, and the pectoralis minor were not evaluated
350 in this study.⁵ The limited number of muscles tested in this study did not allow for accurate
351 analysis of the relationship between the scapular muscle activation and the scapular
352 kinematics.

353 Fourth, hip and trunk rotation angle were not evaluated in this study. We directed the
354 subjects to rotate their trunk or trunk and hip maximally, but there might be an appropriate
355 amount or threshold of hip and trunk rotation angle required to optimize scapular function.
356 In addition, though adding trunk and hip rotation to shoulder exercises increased muscle
357 activation or scapular movement, it is not possible to differentiate the effects of hip and trunk
358 movement on the change in scapular movement and scapular muscle activation from our
359 study. In order to know this, further study is needed.

360 Lastly, when prescribing these exercises in rehabilitation programs for patients with
361 shoulder pathology, clinicians should consider whether our results apply, because patients
362 may produce different results. Likewise, when adding external loads in these exercises, it
363 may or may not show similar results to this research. Future investigations should perform
364 evaluations with shoulder patients or with external loads.

365

366 **Conclusion**

367 We investigated the effect of ipsilateral trunk rotation during shoulder exercises on
368 the scapula. Scaption, the 1st ER, and the 2nd ER with trunk rotation significantly increased
369 scapular ER or posterior tilt and LT activation. Retraction 90 and retraction 145 with trunk
370 rotation significantly decreased UT activation and decreased the UT/MT and UT/LT ratios.

371 Our findings suggest that the shoulder exercises with trunk rotation used in this study
372 may be effective in patients who have decreased activity of the LT and excessive activation
373 of the UT or in cases where a decreased scapular external rotation or posterior tilt is observed.

374

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492 **Table 1**

493 Scapular angle, muscle activation, and the muscle activity ratio during scaption.

494 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
Scapular angle ($^{\circ}$)	External rotation	1.9 \pm 13.4	15.5 \pm 11.9**
	Upward rotation	38.4 \pm 10.7	35.4 \pm 10.3
	Posterior tilt	17.2 \pm 9.7	20.5 \pm 7.2*
Muscle activation (% maximal voluntary contractions)	UT	16.1 \pm 7.7	17.1 \pm 7.5
	MT	6.4 \pm 5.0	8.8 \pm 5.1*
	LT	15.2 \pm 8.3	25.7 \pm 14.4**
	SA	25.4 \pm 9.7	25.1 \pm 13.7
	UT/MT	3.6 \pm 2.7	2.4 \pm 1.5*
Muscle activity ratio	UT/LT	1.3 \pm 0.7	0.8 \pm 0.5*
	UT/SA	0.7 \pm 0.4	0.9 \pm 0.6

495

496 **Table 2**

497 Scapular angle, muscle activation, and the muscle activity ratio during the 1st external
 498 rotation.

499 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
	External rotation	21.4 \pm 8.0	25.6 \pm 9.1
Scapular angle (°)	Upward rotation	-2.1 \pm 2.8	0 \pm 3.2
	Posterior tilt	-3.5 \pm 2.9	-0.9 \pm 2.1**
	UT	2.3 \pm 1.9	2.2 \pm 2.0
Muscle activation (% maximal voluntary contraction)	MT	5.7 \pm 3.4	7.8 \pm 7.3
	LT	8.2 \pm 4.9	12.5 \pm 8.7**
	SA	0.9 \pm 0.4	1.8 \pm 0.7**
	UT/MT	0.5 \pm 0.3	0.3 \pm 0.2
Muscle activity ratio	UT/LT	0.3 \pm 0.3	0.2 \pm 0.2*
	UT/SA	3.1 \pm 3.3	1.4 \pm 1.1**

501 **Table 3**

502 Scapular angle, muscle activation, and the muscle activity ratio during the 2nd external
 503 rotation.

504 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
	External rotation	15.2 \pm 5.2	20.4 \pm 7.3**
Scapular angle (°)	Upward rotation	2.8 \pm 4.6	3.6 \pm 4.4
	Posterior tilt	15.0 \pm 4.8	15.5 \pm 6.2
	UT	8.1 \pm 3.6	11.4 \pm 5.1**
Muscle activation (% maximal voluntary contraction)	MT	8.1 \pm 3.8	14.8 \pm 7.2**
	LT	19.6 \pm 12.0	29.3 \pm 18.9**
	SA	23.5 \pm 15.4	16.2 \pm 9.9**
	UT/MT	1.1 \pm 0.6	1.0 \pm 0.7*
Muscle activity ratio	UT/LT	0.5 \pm 0.3	0.6 \pm 0.4
	UT/SA	0.5 \pm 0.3	1.5 \pm 2.9**

506 **Table 4**

507 Scapular angle, muscle activation, and the muscle activity ratio during retraction 45.

508 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	22.5 \pm 9.0	25.4 \pm 8.8
	Upward rotation	-4.0 \pm 8.4	-5.6 \pm 7.9
	Posterior tilt	0 \pm 5.9	-1.2 \pm 6.1
Muscle activation (% maximal voluntary contraction)	UT	11.1 \pm 10.6	8.3 \pm 6.1
	MT	24.9 \pm 13.1	28.9 \pm 15.9
	LT	35.9 \pm 18.6	33.4 \pm 17.2
	SA	3.4 \pm 6.6	2.0 \pm 0.8
Muscle activity ratio	UT/MT	0.5 \pm 0.4	0.4 \pm 0.2
	UT/LT	0.4 \pm 0.3	0.3 \pm 0.2
	UT/SA	10.2 \pm 12.1	4.9 \pm 4.2*

510 **Table 5**

511 Scapular angle, muscle activation, and the muscle activity ratio during retraction 90.

512 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
Scapular angle (°)	External rotation	23.2 \pm 8.5	24.9 \pm 9.8
	Upward rotation	-2.7 \pm 7.3	-8.9 \pm 12.5*
	Posterior tilt	6.5 \pm 8.5	6.7 \pm 10.7
Muscle activation (% maximal voluntary contraction)	UT	24.2 \pm 12.2	18.2 \pm 11.5**
	MT	38.4 \pm 17.9	35.3 \pm 14.2
	LT	53.5 \pm 25.0	52.7 \pm 27.0
	SA	4.6 \pm 7.5	4.8 \pm 6.0
Muscle activity ratio	UT/MT	0.9 \pm 0.9	0.6 \pm 0.4*
	UT/LT	0.5 \pm 0.3	0.4 \pm 0.2*
	UT/SA	18.7 \pm 17.1	9.3 \pm 9.5**

513

514 **Table 6**

515 Scapular angle, muscle activation, and the muscle activity ratio during retraction 145.

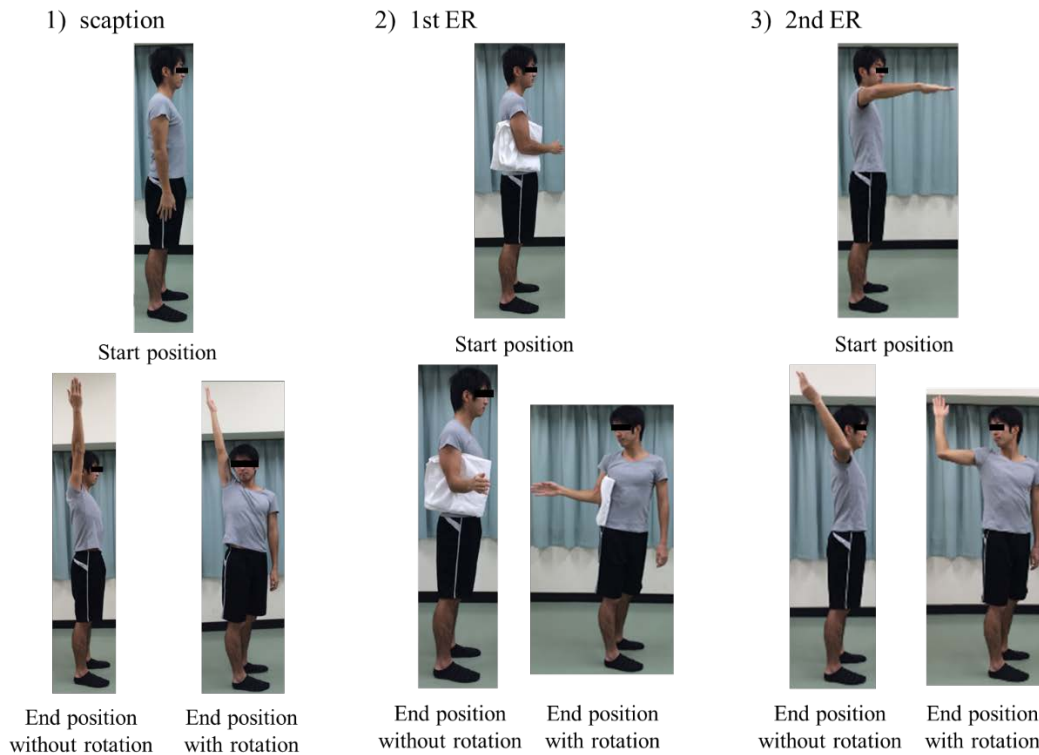
516 mean \pm standard deviation; *, $p < 0.05$; **, $p < 0.01$

		Without rotation	With rotation
	External rotation	32.4 \pm 11.5	30.8 \pm 8.7
Scapular angle ($^{\circ}$)	Upward rotation	6.1 \pm 7.0	-2.6 \pm 12.0*
	Posterior tilt	23.5 \pm 10.3	15.3 \pm 10.0
	UT	30.6 \pm 15.9	20.2 \pm 7.2*
Muscle activation (% maximal voluntary contraction)	MT	25.2 \pm 10.6	27.7 \pm 17.3
	LT	56.7 \pm 28.4	60.2 \pm 29.9
	SA	16.9 \pm 9.3	8.3 \pm 7.1**
	UT/MT	1.5 \pm 1.3	1.0 \pm 0.6*
	UT/LT	0.7 \pm 0.6	0.4 \pm 0.2**
Muscle activity ratio	UT/SA	2.5 \pm 1.7	4.2 \pm 3.0*

517

518

Trunk rotation during shoulder exercises



519

520 **Fig. 1.** Exercises performed in the upright position

521 1) Scaption: Each subject stood with the shoulder in neutral position while performing
522 maximum elevation of the arms in the plane of the scapula (30° anterior of the frontal
523 plane).

524 2) 1st external rotation (ER): Each subject stood with the shoulder at 45° internal rotation
525 and the elbow at 90° flexion while performing maximum external rotation of the shoulder
526 (a towel was positioned between the trunk and elbow to avoid compensatory movements).

527 3) 2nd ER: Each subject stood with the shoulder at 90° abduction and the elbow at 90°
528 flexion while performing maximum external rotation of the shoulder.

529 During trunk rotation, all subjects were instructed to maximally rotate their trunk and hip.

Trunk rotation during shoulder exercises

1) retraction 45



Start position



End position without rotation



End position with rotation

2) retraction 90



Start position



End position without rotation



End position with rotation

3) retraction 145



Start position



End position without rotation



End position with rotation

530

531 **Fig. 2.** Exercises performed in the prone position

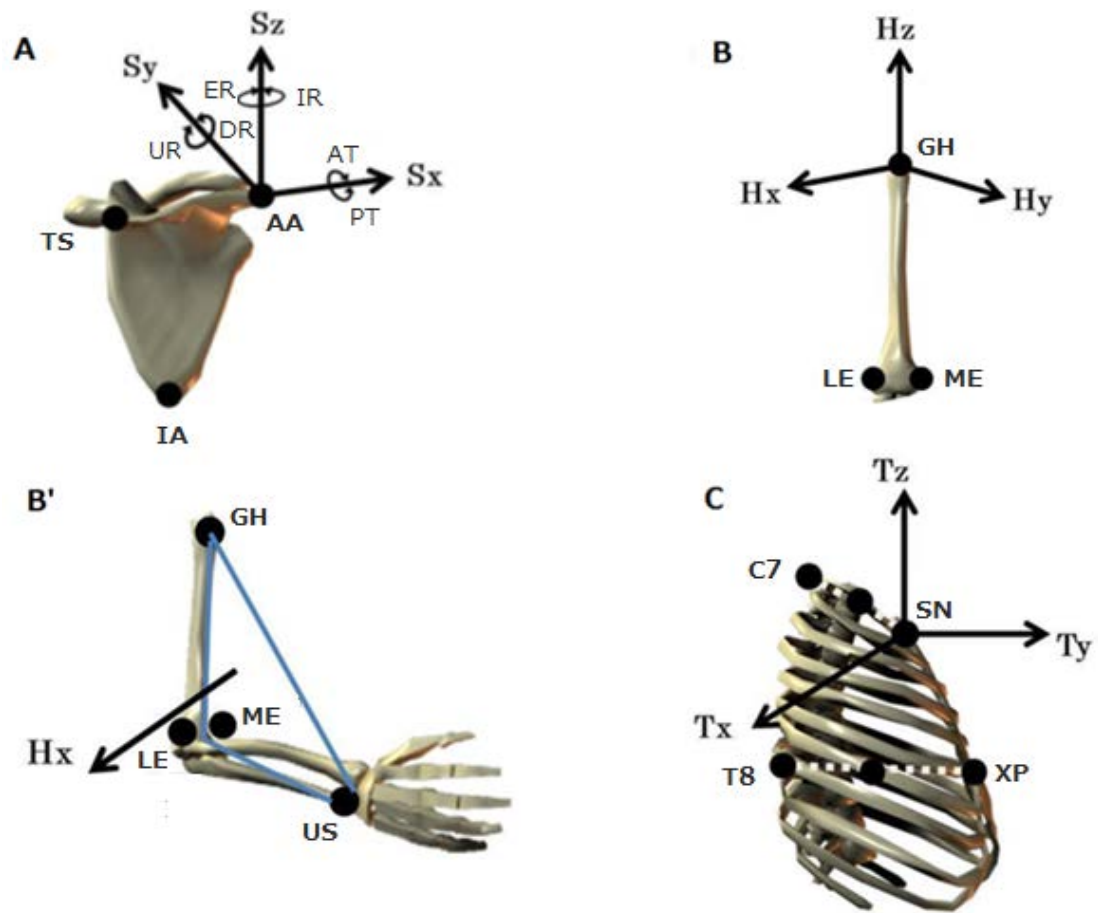
532 4) Retraction 45°: Each subject in the prone position with the shoulder at 45° abduction and
533 90° external rotation with the elbow at 90° flexion performed maximum scapular retraction.

534 5) Retraction 90°: Each subject in the prone position with the shoulder at 90° abduction and
535 90° external rotation with the elbow at 90° flexion performed maximum scapular retraction.

536 6) Retraction 145°: Each subject in the prone position with the shoulder at 145° abduction
537 and his thumb pointing toward the ceiling performed maximum scapular retraction.

538 During trunk rotation, all subjects were instructed to maximally rotate their trunk without
539 moving their pelvis.

Trunk rotation during shoulder exercises



540

541 **Fig. 3.** Anatomic landmarks used for digitization and coordination of axes for each

542 segment.

543 A) Scapular: AA, acromial angle; TS, trigonum scapulae; IA, inferior angle; UR, upward

544 rotation; DR, downward rotation; ER, external rotation; IR, internal rotation; PT, posterior

545 tilt; AT, anterior tilt. B) Humerus: ME, medial epicondyle; LE, lateral epicondyle. B')

546 Humerus: US, ulnar styloid. C) Thorax: C7, C7 spinous process; T8, T8 spinous process;

547 SN, sternal notch; XP, xiphoid process.

548

Trunk rotation during shoulder exercises

549

550