

# HERMITIAN OPERATORS ON BANACH ALGEBRAS OF VECTOR-VALUED LIPSCHITZ MAPS

(JOINT WORK WITH OSAMU HATORI)

SHIHO OI  
NIIGATA PREFECTURAL NAGAOKA HIGH SCHOOL

ABSTRACT. Let  $H$  be a complex Hilbert space and  $[\cdot, \cdot]$  an inner-product on  $H$ . A bounded linear operator  $T$  on  $H$  is a Hermitian operator if  $[Tx, x] \in \mathbb{R}$  for each  $x \in H$ . In 1961, the Hermitian operator on a normed vector space was defined by means of the semi-inner product defined by Lumer [6]. Hermitian operators and their applications have been studied by many authors; a few of them are [1, 2, 5, 6, 7]. We exhibit forms of Hermitian operators on certain semisimple commutative Banach algebras.

## 1. INTRODUCTION

The notion of a Hermitian operator on a Banach space dates back to the seminal papers by Vidav [8] and Lumer [6]. Lumer considered a definition in terms of a semi-inner product.

**Definition 1.** Let  $V$  be a complex Banach space with the norm  $\|\cdot\|_V$ . A semi-inner product  $[\cdot, \cdot]$  on  $V$  is a function from  $V \times V$  into  $\mathbb{C}$  with the following properties;

- (1)  $[u + v, w] = [u, w] + [v, w]$ ,  
 $[\lambda u, v] = \lambda[u, v]$  for  $u, v, w \in V, \lambda \in \mathbb{C}$ .
- (2)  $[v, v] \geq 0$  for all  $v \in V$  and  $[v, v] \neq 0$  if  $v \neq 0$ .
- (3)  $|[u, v]|^2 \leq [u, u][v, v]$  for  $u, v \in V$ .

In addition, if  $[v, v] = \|v\|_v^2$  for every  $v$  in  $V$ , then  $[\cdot, \cdot]$  is said to be a semi-inner product compatible with the norm of  $V$ .

In this note we abbreviate a semi-inner product compatible with the norm as a semi-inner product.

**Definition 2.** Let  $[\cdot, \cdot]$  be a semi-inner product on a complex Banach space  $V$ . Then a bounded linear operator  $T$  on  $V$  is said to be a Hermitian operator if  $[Tv, v] \in \mathbb{R}$  for all  $v \in V$ .

It is well-known that any Banach space has a semi-inner product, which needs not to be unique. We note that the above definition of a Hermitian operator is independent of the semi-inner product chosen.

## 2. KNOWN RESULTS FOR HERMITIAN OPERATORS AND THE MAIN THEOREM

**2.1. Known results.** Let  $B$  be a unital Banach algebra. For each  $a \in B$ ,  $M_a$  denotes the multiplication operator on  $B$ , which is defined by  $M_a = a \cdot I$  with the identity operator  $I$  on  $B$ . We introduce a Hermitian element.

**Definition 3.** Let  $B$  be a unital Banach algebra. The numerical range of  $a \in B$  is

$$V(a) := \{f(a); \|f\| = f(\mathbf{1}) = 1, f \in B^*\}.$$

Then  $a \in B$  is said to be a Hermitian element if and only if  $V(a) \subset \mathbb{R}$ .

First proposition in this section summarizes some of the properties of Hermitian operators and Hermitian elements. In many situations this equivalent statements plays a pivotal role. The following is due to Theorem 5.2.6 in [3].

**Proposition 2.1.** *Let  $T$  be a bounded linear operator on a Banach space  $V$ . Then the following are equivalent.*

- (1)  $T$  is a Hermitian operator
- (2)  $\|\exp(itT)\|_v = 1$  for any  $t \in \mathbb{R}$
- (3)  $\exp(itT)$  is an isometry for any  $t \in \mathbb{R}$
- (4)  $T$  is a Hermitian element in  $\mathfrak{B}(V)$ , which stands for the space of all bounded linear operators on  $V$  equipped with the operator norm.

**Proposition 2.2.** *Let  $B$  be a unital Banach algebra. If  $a \in B$  is a Hermitian element, then the multiplication operator  $M_a$  is a Hermitian operator on  $B$ .*

*Proof.* Let  $a \in B$  be a Hermitian element. It is well-known that an element  $a \in B$  is Hermitian if and only if  $\|\exp(ita)\|_B = 1$  for any  $t \in \mathbb{R}$ . Thus, we deduce that

$$\|\exp(ita \cdot I)\| = 1$$

for all  $t \in \mathbb{R}$ . Applying Proposition 2.1, we conclude that  $M_a$  is a Hermitian operator on  $B$ .  $\square$

We are interested in a problem that under which circumstances the converse statement of Proposition 2.2 holds; when is a Hermitian operator on a unital Banach algebra a multiplication operator? Our purpose of this note is to give a partial answer to the problem. Now we recall two observations about Hermitian operators.

**Theorem 4.** [2, Theorem 4] *Let  $X$  be a compact Hausdorff space and  $E$  a complex Banach space. Suppose that  $C(X, E)$  is the Banach space of all continuous functions on  $X$  with values in  $E$  with the supremum norm. A bounded linear operator  $T$  on  $C(X, E)$  is a Hermitian operator if and only if for each  $x \in X$  there is a Hermitian operator  $A(x)$  on  $E$  such that for any  $F \in C(X, E)$  we have*

$$TF(x) = A(x)F(x) \quad x \in X.$$

**Theorem 5.** [1, Theorem 3.1] *Let  $X$  be a compact metric space and  $\text{Lip}(X)$  a complex Banach algebra of complex-valued Lipschitz functions with the norm  $L(\cdot) + \|\cdot\|_\infty$ . A bounded linear operator  $T$  on  $\text{Lip}(X)$  is a Hermitian operator if and only if  $T = \lambda \cdot I$  with  $\lambda \in \mathbb{R}$ .*

**2.2. The main theorem.** The following is the main theorem in this note.

**Theorem 6.** *Let  $B$  be a unital semisimple commutative Banach algebra. Suppose that every surjective unital isometry on  $B$  is multiplicative. If a bounded complex-linear operator  $T$  is a Hermitian operator, then*

$$T = M_{T(\mathbf{1})}$$

## 3. A PROOF OF THE MAIN THEOREM

**Proposition 3.1.** *Let  $B$  be a unital Banach algebra. Suppose that  $T$  is a Hermitian operator on  $B$ . Then  $T(\mathbf{1})$  is a Hermitian element in  $B$ .*

*Proof.* This proof is based on Lemma 3.2 in [1]. For any  $f \in B^*$  with  $\|f\| = f(\mathbf{1}) = 1$ , we define  $\Phi_f : \mathfrak{B}(B) \rightarrow \mathbb{C}$  by

$$\Phi_f(S) = f(S(\mathbf{1})) \quad (S \in \mathfrak{B}(B)).$$

We infer that  $\Phi_f$  is a bounded linear functional on  $\mathfrak{B}(B)$  and satisfies  $\|\Phi_f\| = \Phi_f(I) = 1$ . Since  $T$  is a Hermitian element, this implies

$$f(T(\mathbf{1})) = \Phi_f(T) \in \mathbb{R}$$

for any  $f \in B^*$  with  $\|f\| = f(\mathbf{1}) = 1$ . Thus, we obtain that  $T(\mathbf{1})$  is a Hermitian element of  $B$ .  $\square$

**Proposition 3.2.** *Let  $T$  be a bounded complex linear operator on a unital semisimple commutative Banach algebra  $B$ . Then the following are equivalent.*

- (1)  $T = M_{T(\mathbf{1})}$
- (2)  $\exp(it(T - M_{T(\mathbf{1})}))$  is multiplicative for every  $t \in \mathbb{R}$

*Proof.* Suppose that  $T = M_{T(\mathbf{1})}$ . Clearly we have

$$\exp(it(T - M_{T(\mathbf{1})})) = I$$

for every  $t \in \mathbb{R}$ .

In order to prove the converse, suppose that  $\exp(it(T - M_{T(\mathbf{1})}))$  is multiplicative for every  $t \in \mathbb{R}$ . We define  $H = T - M_{T(\mathbf{1})}$  and  $U_t = \exp(itH)$  for every  $t \in \mathbb{R}$ . Differentiating  $U_t$  at  $t = 0$ , we get

$$U'_t|_{t=0}(ab) = iH(ab),$$

for any  $a, b \in B$ . As  $U_t$  is multiplicative, for any  $a, b \in B$ , we get

$$U'_t|_{t=0}(ab) = iaH(b) + iH(a)b.$$

It follows that  $H$  is a bounded derivation. By a theorem of Singer and Wermer, we observe that  $H = 0$ .  $\square$

We now proceed with the details for the proof of our main theorem.

**A proof of the main theorem.** Let  $T$  be a Hermitian operator on  $B$ . Applying Proposition 3.1,  $T(\mathbf{1})$  is a Hermitian element of  $B$ . According to Proposition 2.2, we see that  $M_{T(\mathbf{1})}$  and  $T - M_{T(\mathbf{1})}$  are Hermitian operators on  $B$ . Therefore,  $\exp it(T - M_{T(\mathbf{1})})$  is a unital surjective isometry for any  $t \in \mathbb{R}$ . By the assumption, every surjective unital isometry on  $B$  is multiplicative, thus  $\exp it(T - M_{T(\mathbf{1})})$  is multiplicative. Hence Proposition 3.2 provides that  $T = M_{T(\mathbf{1})}$ .  $\square$

## 4. APPLICATIONS OF THE MAIN THEOREM

Let us begin with a definition of a vector-valued Lipschitz algebra. Let  $X$  be a compact metric space and  $A$  a uniform algebra on a compact Hausdorff space  $Y$ . A map  $F$  from  $X$  into  $A$  is said to be Lipschitz if it satisfies the following inequality

$$L(F) := \sup_{x \neq y \in X} \frac{\|f(x) - f(y)\|_\infty}{d(x, y)} < \infty.$$

The set of all Lipschitz maps is denoted by

$$\text{Lip}(X, A) := \{F : X \rightarrow A; L(F) < \infty\}$$

and this is a unital semisimple commutative Banach algebra with the norm of  $\|\cdot\|_L = \|\cdot\|_\infty + L(\cdot)$ .

We exhibit a theorem of Jarosz in [4]. Let  $E$  be a linear subspace of  $C(X)$  which separates the points in  $X$  and contains constants. We denote by  $\text{Ch}(E)$  the Choquet boundary for  $E$ . We call  $E$  a regular subspace of  $C(X)$  if for any  $\epsilon > 0$ ,  $x_0 \in \text{Ch}(E)$ , and open neighborhood  $U$  of  $x_0$ , there exists an  $f \in E$  with  $\|f\|_\infty \leq 1 + \epsilon$ ,  $f(x_0) = 1$ ,  $|f(x)| < \epsilon$  for  $x \in X \setminus U$ .

**Theorem 7.** [4] *Let  $X$  and  $Y$  be compact Hausdorff spaces. Let  $A, B$  be complex linear subspaces of  $C(X)$  and  $C(Y)$  respectively. We assume that  $A$  and  $B$  satisfy the following;*

- (1)  $A$  and  $B$  contain constant functions.
- (2)  $A$  and  $B$  have  $\|\cdot\|_A$  and  $\|\cdot\|_B$ - $p$ -norm,  $q$ -norm.
- (3)  $A$  and  $B$  are regular subspaces.

*If a bounded linear operator  $T$  from  $(A, \|\cdot\|_A)$  onto  $(B, \|\cdot\|_B)$  with  $T(\mathbf{1}) = \mathbf{1}$  is a surjective linear isometry, then  $T$  is an isometry from  $(A, \|\cdot\|_\infty)$  onto  $(B, \|\cdot\|_\infty)$*

Applying Theorem 7 by considering Lipschitz algebra  $\text{Lip}(X, A)$  to be a subspace of  $C(X \times Y)$ , we get the following corollary.

**Corollary 8.** *If  $U$  is a linear isometry from  $\text{Lip}(X, A)$  onto  $\text{Lip}(Y, B)$  with  $U(\mathbf{1}) = \mathbf{1}$  then  $U$  is also an isometry with the supremum norm.*

Now, we give a characterization of Hermitian operators on vector-valued Lipschitz algebras.

**Theorem 9.** *Let  $X$  be a compact metric space and  $A$  be a uniform algebra. A bounded linear operator  $T : \text{Lip}(X, A) \rightarrow \text{Lip}(X, A)$  is a Hermitian operator if and only if there exists a real-valued function  $a \in A$  with  $T(\mathbf{1}) = \mathbf{1} \otimes a$  such that*

$$T = M_{T(\mathbf{1})}.$$

*Proof.* Actually real-valued function  $a \in A$  is a Hermitian element of  $A$ . Therefore, for a real-valued function  $a \in A$ , we see that  $T(\mathbf{1}) = \mathbf{1} \otimes a$  is a Hermitian element of  $\text{Lip}(X, A)$ . Applying Proposition 2.2, we get  $T = M_{T(\mathbf{1})}$  is a Hermitian operator.

Now we consider the converse. Using Corollary 8, every surjective unital isometry on  $\text{Lip}(X, A)$  with the norm of  $\|\cdot\|_L$  is an isometry with the supremum norm. Moreover, Nagasawa's theorem shows that it is also multiplicative. Thus, Theorem 6 follows every Hermitian operator on  $\text{Lip}(X, A)$  is a multiplication operator.  $\square$

*Remark 10.* As corollaries of Theorem 6, we also have Theorem 4 in [2] and Theorem 3.1 in [1].

## REFERENCES

- [1] F. Botelho and J. Jamison, A. Jiménez-Vargas, and M. Villegas-Vallecillos, *Hermitian operators on Banach algebras of Lipschitz functions*, Proc. Amer. Math. Soc. **142** (2014), 3469–3481.
- [2] R. J. Fleming and J. E. Jamison, *Hermitian Operators on  $C(X, E)$  and the Banach-Stone Theorem*, Math. Z. **170** (1980), 77–84.
- [3] R. J. Fleming and J. E. Jamison, *Isometries on Banach spaces*, Chapman & Hall/CRC Monographs and Surveys in Pure and Applied Mathematics, 129. Chapman & Hall/CRC, Boca Raton, FL, 2003. x+197

- [4] K. Jarosz, *Isometries in semisimple, commutative Banach algebras*, Proc. Amer. Math. Soc. **94** (1985), 65–71.
- [5] D. Koehler and P. Rosenthal, *On isometries of normed linear spaces*, Studia Math. **34** (1970), 213–216.
- [6] G. Lumer, *Semi-inner-product spaces*, Trans. Amer. Math. Soc. **100** (1961), 29–43.
- [7] G. Lumer, *On the isometries of reflexive Orlicz spaces*, Ann. Inst. Fourier(Grenoble) **13** (1963), 99–109.
- [8] I. Vidav, *Eine metrische Kennzeichnung der selbstadjungierten Operatoren*, Math. Z. **66** (1956), 121–128

*E-mail address:* [shiho.oi.pmf20@gmail.com](mailto:shiho.oi.pmf20@gmail.com)