# 1 Title page

- 2 Title:
- 3 Isometric muscle activation of the serratus anterior and trapezius muscles varies by arm
- 4 position, a pilot study with healthy volunteers for implications for rehabilitation.
- 5
- 6 Running Title:
- 7 Isometric activation of the periscapular muscles
- 8
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### 38 Abstract

Background: This study aimed to determine the most appropriate angle and moving 39 direction of the arm for improving coordination of the periscapular muscles, including 40 41 the serratus anterior (SA) and the upper (UT), middle (MT), and lower trapezius (LT). Methods: Muscle activation amplitudes were evaluated in the SA, UT, MT, and LT in 11 42 healthy subjects using surface electromyography. The subjects were asked to maintain 43 the arm position at five elevated positions with maximal effort against applied manual 44 forces, which were directed from upper to lower (Test 1), lower to upper (Test 2), 45 posterior to anterior in the frontal plane and lateral to medial in the sagittal plane (Test 46 3), and anterior to posterior in the frontal plane and medial to lateral in the sagittal plane 47 (Test 4). The relative activity of the UT with respect to the SA, MT, and LT was 48 49 calculated, resulting in the UT/SA, UT/MT, and UT/LT ratios. Results: Test 4 in all positions but 150° of elevation in the frontal plane showed high 50 activity of the SA with a low UT/SA ratio. High MT activity with a low UT/MT ratio 51 was observed during Test 3 at the 90° elevated position, whereas high LT activity 52 without UT hyperactivation was not found. 53 54 Discussion: To strengthen the periscapular muscles in the balanced condition, horizontal

adduction is recommended for the SA. Horizontal abduction at the  $90^{\circ}$  elevated position

56	should be	effective	for the MT	Since no	technique in	n this stud	v was effective	for the LT.
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- 57 further studies are needed.
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- 59 Level of evidence: Basic science, kinesiology
- 60 Key Words: Electromyography; shoulder; exercise; muscle activation; muscle balance;

61 periscapular muscles

## 64 Introduction

The scapula plays an important role in achieving effective stability and motion of the normal shoulder. For glenohumeral joint stability, the scapula works as a base for the humerus in the glenohumeral joint as well as a moving component of the scapulothoracic joint. Efficient shoulder motion requires coordination of both the scapula and the humerus, collectively referred to as scapulohumeral rhythm <sup>17</sup>.

Altered static scapular position and loss of dynamic control of the scapular motion, termed "scapular dyskinesis," are associated with a variety of shoulder disorders <sup>2,19</sup>. Alterations in periscapular muscle activation in particular have drawn attention as a major cause of scapular dyskinesis: Specifically, excessive activity of the upper trapezius muscle (UT) combined with reduced activity of other portions of the trapezius muscle and the serratus anterior muscle (SA) contributes to loss of posterior tilt and upward scapular rotation of the scapula <sup>3,5-7,21,23</sup>.

Rehabilitation protocols focusing on correcting those abnormal muscle activities have been designed. Some exercises have been proposed to strengthen the SA, middle trapezius muscle (MT), and lower trapezius muscle (LT) <sup>4,8,20</sup>. Others have intended to restore scapular muscle balance by eliciting the greatest amount of SA and LT activity while minimizing UT activation <sup>1,10,14,16,22,25</sup>. To evaluate scapular muscle balance,

82	UT/SA, UT/MT, and UT/LT ratios were recently introduced: Those muscle activities are
83	measured by electromyography (EMG), and lower ratios demonstrate higher activities
84	of the SA, MT, and LT compared with that of the UT <sup>4,22</sup> . According to Cools et al. and
85	Ludewig et al., side-lying forward flexion (subject performing forward flexion to 135°),
86	prone horizontal abduction with external rotation (subject performing horizontal
87	abduction from $90^{\circ}$ forward flexion with an additional external rotation of the shoulder
88	at the end of the movement), and push-up plus (subject performing a standard push-up
89	with full shoulder protraction after achieving full-elbow extension) were recommended
90	to promote the MT, LT, and SA $^{4,22}$ .

These exercises should be performed in an elevated arm position, which may cause 91 subacromial impingement. In addition, the subject should hold dumbbells or support 92 one's own weight while performing these exercises, which may cause overload-related 93 pain in patients with shoulder problems. To identify safe exercises for such patients, 94 details about the ideal shoulder position and direction to move the arm should be 95 obtained. The purpose of the present study was to determine the most appropriate 96 position and moving direction of the arm for strengthening and coordinating the SA, MT, 97 98 and LT while considering muscle balance ratios. We hypothesized that there were specific arm positions and isometric contractions that highly activate the SA, MT, and 99

100 LT with low activity of the UT.

#### 102 Materials and methods

103 Subjects

Eleven men (mean age,  $23.9 \pm 4.3$  years; mean height,  $171.9 \pm 5.8$  cm; mean weight, 64.6 ± 6.4 kg) were studied. None of the subjects had a history of shoulder injury requiring activity restriction or demonstrated scapular dyskinesis on clinical examination. All subjects were well informed and fully consented to participate in the study. This study was approved by the Ethical Review Board of Kyoto University Graduate School of Medicine.

110

### 111 EMG recording

Muscle activities of the SA, UT, MT, and LT were recorded using surface EMG. The 112 113 skin at the electrode placement points was shaved and washed with an alcohol swab to reduce skin impedance (<10 k $\Omega$ ). Blue sensor bipolar surface electrodes (Ambu A/S, 114 115 Ballerup, Denmark) were placed at a 2-cm inter-electrode distance on the SA, UT, MT, and LT as described by Cools, Ekstrom, and Kibler<sup>4,13,20</sup>. A reference electrode was 116 placed on the lateral end of the clavicle. The dominant arm was tested in each subject, 117 118 and all subjects were right-handed. Each set of bipolar recording electrodes was connected to a multi-channel bioamplifier EMG system (BA-1008; Nihonsanteku Co., 119

120	Ltd., Osaka, Japan). The sampling rate was 2048 Hz. All of the raw myoelectric signals
121	were pre-amplified (overall gain, 1000; common rate rejection ratio, 80 dB;
122	signal-to-noise ratio < 4 $\mu$ V; peak-to-peak baseline noise filtered to produce a
123	bandwidth of 5-3000 Hz). The BA-1008 was interfaced with the computer via an
124	eight-channel, 16-bit MaP211 A/D card (Nihonsanteku Co., Ltd.). All of the raw EMG
125	signals were converted from analog to digital via the MaP211 A/D card at 2048 Hz. All
126	data were recorded, stored, and analyzed on a personal computer using the EMG
127	multi-analysis program MaP1038 software (Nihonsanteku Co., Ltd.).

#### 129 Testing procedure

During the testing, the subjects were asked to sit looking straight ahead with their 130 131 pelvis and trunk fastened to the chair. The examiners held each subject's arm at five elevated positions of 30°, 60°, 90°, 120°, and 150° in the frontal and sagittal planes (Fig. 132 133 1). The subjects were asked to maintain the arm position for 3 seconds with maximal effort against the applied manual forces, which were directed from the upper to lower 134 (Test 1), lower to upper (Test 2), posterior to anterior in the frontal plane and lateral to 135 136 medial in the sagittal plane (Test 3), and anterior to posterior in the frontal plane and medial to lateral in the sagittal plane (Test 4) positions. Arm positions and applied force 137

directions were randomized to avoid systematic influences of fatigue and learning
effects. Subjects were allowed to rest for 3 minutes between tests.

140

141 Data reduction

All of the raw EMG signals were filtered to a bandwidth of 20-500 Hz. The root 142 mean square of the raw data was determined. To normalize the obtained electrical signal 143 values of the muscle activities during testing protocol, the value at maximal voluntary 144 isometric contraction (MVIC) of each muscle in the recommended posture <sup>15</sup> was 145 recorded. MVIC was sustained for 3 seconds. Each MVIC task was repeated two times 146 and their mean was used as a reference. For normalization, the percentage MVIC (% 147 MVIC) was calculated for the SA, UT, MT, and LT. 148 149 To choose the appropriate exertion method as training exercises, we selected pairs of the arm position and test in the SA, MT, and LT that showed EMG activity >60% MVIC. 150 151 One previous study showed that the >60% MVIC activation level is very high activity sufficient to strengthen muscles <sup>11</sup>. 152 153 154 Categorization of muscle activity according to muscle balance ratio

155 To demonstrate exercises with a highly activated SA, MT, or LT and low UT activity,

we calculated the UT/SA, UT/MT, and UT/LT muscle balance ratios using the

157	normalized EMG values <sup>4,22</sup> and classified those extracted pairs into four categories
158	based on the muscle balance ratios: Category 1, <60%; Category 2, 60–80%; Category 3,
159	80–100%; and Category 4, >100%. According to a previous study, Categories 1, 2, and
160	3 corresponded to excellent, good, and moderate <sup>4</sup> .
161	
162	Statistical Analysis
163	To compare the efficiency of each position and test, two-way analysis of variance was
164	calculated. The level of statistical significance was set to .05. A Tukey post hoc analysis
165	with a .05 $\alpha$ level was added to positions or tests that showed statistical significance. All
166	of the statistical analyses were performed using SPSS version 17.0 (SPSS Science,
167	Chicago, IL, USA).
1.60	

#### 170 Results

171 Muscle activation

172 The % MVIC of the SA, UT, MT, and LT of the four shoulder tests at every arm

173 position are shown in Table I (frontal plane) and Table II (sagittal plane).

The SA was activated at >60% MVIC during Tests 1 and 4 in both planes of all of the 174 elevated positions but 150° of Test 4 in the frontal plane. The UT showed high EMG 175 activity during Test 1 of all of the arm positions in both planes but 30° of Test 1 in the 176 sagittal plane and Test 3 at the 120° and 150° elevated positions in the frontal plane. The 177 MT showed high EMG activity during Test 1 at the 30°, 60°, and 90° elevated positions 178 in the frontal plane and at the 90°, 120°, and 150° elevated positions in the sagittal plane. 179 The MT was also activated at >60% MVIC during Test 3 in both planes of all of the 180 elevated positions but at the 120° and 150° positions in the sagittal plane. The LT 181 showed high EMG activity during Test 1 at the 120° elevated position in the frontal 182 plane and Test 1 at the 120° and 150° elevated positions in the sagittal plane. The LT 183 was also activated at >60% MVIC during Test 3 at the 120° and 150° elevated positions 184 in the frontal plane. 185

186

187 Categories of muscle balance ratio

188	The muscle balance ratios of UT/SA, UT/MT, and UT/LT of the four shoulder tests at
189	every arm position are shown in Table III (frontal plane) and Table IV (sagittal plane).
190	Serratus anterior (UT/SA)
191	On the frontal plane: Category 1 consisted of all situations of Tests 2 and 4; and
192	Category 3 consisted of Test 1 at the 60°, 90°, and 120° elevated positions.
193	On the sagittal plane: Category 1 consisted of Test 2 at the $60^{\circ}$ , $90^{\circ}$ , $120^{\circ}$ , and $150^{\circ}$
194	elevated positions and Test 4 at the 30°, 60°, 90°, and 120° positions; Category 2
195	consisted of Test 1 at 30° and Test 4 at 150°; and Category 3 consisted of Test 1 at $60^{\circ}$
196	and 90° and Test 2 at 30°.
197	The results of other situations were all included in Category 4.
198	Middle trapezius (UT/MT)
199	On the frontal plane: Category 1 consisted of all Test 2 positions; Category 2
200	consisted of Test 3 at the $30^{\circ}$ , $60^{\circ}$ , and $90^{\circ}$ elevated positions; and Category 3 consisted
201	of Test 4 at 30° and 150°.
202	On the sagittal plane: Category 1 consisted of Test 2 at the 30°, 60°, 90°, and 120°
203	elevated positions and Test 3 at the 90°, 120°, and 150° positions; Category 2 consisted
204	of Test 3 at 30° and 60°; and Category 3 consisted of Test 2 at 150°.
205	The results of other situations were all included in Category 4.

206	Lower	trapezius	(UT/LT)	l
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207	On the frontal	plane: Category 1	l consisted of all	Test 2 and 7	Test 4 at the 30 <sup>c</sup>	$^{\circ}$ and $150^{\circ}$

- 208 elevated positions; and Category 2 consisted of Test 4 at 60°.
- 209 On the sagittal plane: Category 1 consisted of Test 2 at the  $60^{\circ}$ ,  $90^{\circ}$ , and  $120^{\circ}$
- 210 elevated positions and Test 3 at 150°; Category 2 consisted of Test 2 at 150°; and
- 211 Category 3 consisted of Test 2 at 30° and Test 3 at 90°.
- The results of other situations were all included in Category 4.

- 214 Favorably categorized situations with higher muscle activation
- The situations categorized 1-3 with muscle activation >60% MVIC were as follows.

216 Serratus anterior

- 217 On the frontal plane: Test 4 at the  $30^\circ$ ,  $60^\circ$ ,  $90^\circ$ , and  $120^\circ$  elevated positions were
- classified into Category 1; and Test 1 at the  $60^\circ$ ,  $90^\circ$ , and  $120^\circ$  elevated positions were
- 219 classified into Category 3.
- 220 On the sagittal plane: Test 4 at the  $30^\circ$ ,  $60^\circ$ ,  $90^\circ$ , and  $120^\circ$  elevated positions were in
- 221 Category 1; Test 1 at  $30^{\circ}$  and Test 4 at  $150^{\circ}$  were in Category 2; and Test 1 at the  $60^{\circ}$
- and  $90^{\circ}$  elevated positions were classified into Category 3.
- 223 Middle trapezius

224	On the frontal plane: No test situation was classified into Category 1; and Test 3 at
225	the $30^{\circ}$ , $60^{\circ}$ , and $90^{\circ}$ elevated positions were classified into Category 2.
226	On the sagittal plane: Test 3 at 90° of elevation was classified into Category 1; and
227	Test 3 at the $30^{\circ}$ and $60^{\circ}$ elevated positions were classified into Category 2.
228	Lower trapezius
229	No test situation with LT activation >60% MVIC was classified into Categories 1–3.
230	
231	Statistical comparison
232	Serratus anterior
233	There were significant main effects for the tests in both planes. In the frontal plane,
234	the SA was activated to a greater degree during Test 1 than during the other tests (p
235	< .001). In addition, the SA was activated to a greater degree during Test 4 than during
236	Tests 2 and 3 ( $p = .009$ and $p = .016$ , respectively). In the sagittal plane, the SA was
237	activated to a greater degree during Test 1 than during Tests 2 and 3 (p $<$ .001) and
238	during Test 4 than during Tests 2 and 3 ( $p < .001$ ).
239	Upper trapezius
240	A significant interaction between arm positions and the tests was found in the frontal
241	and sagittal planes ( $p < .001$ ). In both planes, the UT activities were greater during Test

1 than during Tests 2, 3, and 4 (p < .001).

243 Middle trapezius

- A significant interaction between arm positions and the tests was found in the frontal and sagittal planes (p < .001). In the frontal plane, the MT activities were greater during
- Test 3 than during the other tests (p < .001). In the sagittal plane, the MT was activated
- to a greater degree during Test 1 than during Tests 2 and 4 (p < .001) as well as during
- Test 3 than during Tests 2 and 4 (p < .001).
- 249 *Lower trapezius*

A significant interaction between arm positions and the tests was found in the frontal and sagittal planes (p < .001, p = .009, respectively). In the frontal plane, the LT was more activated to a greater degree during Test 1 than during Tests 2 and 4 (p < .001) as well as during Test 3 than during Tests 2 and 4 (p < .001). In the sagittal plane, the LT was activated to a greater degree during Test 1 than during other tests (p < .001) as well as during Test 3 than during Tests 2 and 4 (p < .001).

256

### 258 Discussion

### 259 Muscle activation amplitude

Very high EMG activities (>60% MVIC) of the SA, UT, MT, and LT were 260 simultaneously generated during Test 1 at the 120° and 150° elevated positions in the 261 sagittal plane. In the normal shoulder elevation, the SA, UT, MT, and LT stabilize the 262 scapula to the chest wall and are considered its primary upward rotators <sup>12,26</sup>. In the 263 present study, we did not evaluate scapular movement. However, the scapula would be 264 kept at a constant position with the arm during Test 1 at the 120° and 150° elevated 265 positions against the maximum force applied from the upper side on the arm in the 266 sagittal plane. The activations of the periscapular muscles by isometric contractions as 267 shown in this study are thought to differ from those evoked during isokinetic arm 268 elevation <sup>21,23,24</sup>, but all of the periscapular muscles would be elicited to hold the 269 appropriate scapular position. To simply strengthen all of the periscapular muscles, 270 exercises like Test 1 at the 120° and 150° elevated positions would be effective. In daily 271 272 clinical practice, however, patients with shoulder problems tend to be unable to raise their arms more than 120° due to subacromial impingement. 273

274 Prone horizontal abduction at the 90° and 135° elevated positions in the frontal plane 275 and the wide-grip rowing at shoulder level were previously reported to enhance

trapezius muscle activation <sup>14, 16, 25, 29</sup>. These exercises have similar motion patterns as

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277	Test 3 performed at the 90°, 120°, and 150° elevated positions in the frontal plane. Test
278	3 at positions >90° in the frontal plane, particularly $120^{\circ}$ and $150^{\circ}$ , demonstrated higher
279	EMG activities in all of the trapezius muscles. Test 3 in this current study proved the
280	efficacy of well-known exercises for the trapezius muscle. In contrast, it was interesting
281	that high EMG activity of the SA was generated during Test 4 in both planes and was
282	46.3–123.3% MVIC.
283	A number of exercises for the SA have been advocated to date, such as the forward
284	punch, military press, push-up plus (push-up with full shoulder protraction),
285	glenohumeral internal and external rotation at 90° abduction, and shoulder flexion,
286	abduction, and scaption >120° $^{10, 14, 16, 25, 27}$ , but none except the dynamic hug exercise
287	showed the efficacy of horizontal adduction. The dynamic hug exercise begins with the
288	elbows in approximately $45^{\circ}$ of flexion and the shoulder abducted $60^{\circ}$ and internally
289	rotated 45°. The humerus is then horizontally adducted until full shoulder protraction is
290	reached <sup>10</sup> . Test 4 was isometric contraction that direction was toward horizontal
291	adduction and this direction was similar to dynamic hug exercise. The high EMG
292	activity of the SA during Test 4 showed the importance of the SA during shoulder
293	protraction and elevation as well as horizontal adduction.

295 Muscle activity categorization according to muscle balance ratio

296 Serratus anterior

297	Cools et al. <sup>4</sup> reported that none of the commonly prescribed exercises for the
298	trapezius muscle training showed a low UT/SA ratio. Kibler et al. 20 recommended
299	inferior glide (IG) and low row (LR) exercises for the SA training without UT
300	hyperactivity. However, since the greatest EMG activity of the SA was only 23.4%
301	MVIC during IG and 28.2% MVIC during LR, these activation levels were too low to
302	improve SA strength. Ludewig et al.22 reported that the push-up plus exercise
303	demonstrated high activation as well as low UT/SA ratios, but the exercise load would
304	be excessive for patients with shoulder pain. Test 4 of this study demonstrated larger SA
305	activation >60% MVIC without UT hyperactivation, even in the low arm positions such
306	as 30° or 60°. In daily clinical situations, Test 4 in the lower position should be easily
307	performed by patients with shoulder problems.

308 Middle trapezius

309 Only Test 3 at the 90° elevated position in the sagittal plane was classified into 310 Category 1. Our result indicated that this exercise method would be the most effective 311 for strengthening the MT without hyperactivating the UT. However, in daily practice,

312	there is concern that subacromial impingement might occur at the $90^\circ$ arm position.
313	Although these tests were classified into Category 2, we recommend Test 3 at the $30^{\circ}$ or
314	$60^{\circ}$ elevated positions in the sagittal plane in the early phase of rehabilitation.
315	In the frontal plane, data of Test 3 at the 30°, 60°, and 90° elevated positions were
316	also classified into Category 2 and can be recommended. Test 3 at highly elevated
317	positions such as 120° or 150° elicited MT activities >60% MVIC; these results were
318	consistent with those of previous studies <sup>14, 25</sup> . However, they also activated the UT and
319	were not classified into categories.
320	Lower trapezius
321	The LT was highly activated during Tests 1 and 3 in both planes, but all of the tests
322	were classified into Category 4 because of high UT/LT ratios. These results indicated
323	the difficulty of selectively strengthening the LT. Cools et al. <sup>4</sup> reported that the LT
324	showed relatively high EMG activity with a low UT/LT ratio while the subjects in the
325	prone position performed horizontal abduction holding a 1–3 kg dumbbell with external
326	rotation from the $90^{\circ}$ elevated position in the frontal plane. This exercise was similar to

higher and UT/LT ratio was lower than ours. The difference between Cools's and our

329 method was body position (prone or sitting position) with or without external rotation.

330	In our Test 3, the UT might be more activated to maintain the elevated position against
331	gravity in sitting position. The role of the UT is to draw the clavicle and scapula
332	backward <sup>18</sup> . Therefore, this UT activity might support horizontal abduction contraction
333	and reduce LT activity. In addition, the external rotation in Cools's method might elicit
334	scapular posterior tilting and effectively activate LT. Considering LT activation, some
335	recent studies focused on multi-joint exercises with hip and trunk extension or diagonal
336	movement in scapular retraction <sup>9, 20</sup> . Further studies of these kinetic chain exercises are
337	needed for LT activation with a low UT/LT ratio.
338	In some situations classified into favorable categories, the SA, MT, or LT was not
339	sufficiently activated to be strengthened but the UT activation was very low. For
340	example, Test 2 at $30^{\circ}$ of humeral elevation in the frontal plane demonstrated no more
341	than 14.4% of the UT/SA ratio (Category 1), but the SA was activated in only 43.6%
342	MVIC. In such situations, the SA, MT, or LT is not strengthened but may be facilitated
343	safely, and they could be reasonable choices in the early phase of rehabilitation.
244	

345 Statistical examination

Although shoulder elevation is a primary objective for most of the patients, Test 1
more significantly activated the UT than the other tests in both planes. Considering the

selective activation of the periscapular muscles, it is better to routinely avoid Test 1. For

349	the SA, Test 4 showed significantly higher activation than Tests 2 and 3 in both planes.
350	Similarly, for the MT, Test 3 demonstrated significantly higher activation than Tests 2
351	and 4 in both planes. In view of the statistical comparison, Test 4 for the SA and Test 3
352	for the MT should be reasonable options for strengthening.
353	
354	This study is a first step in examining the most effective movement for activating the
355	SA, MT, and LT without hyperactivating the UT. We demonstrated that the horizontal
356	adduction exercise might effectively strengthen the SA muscle, even at low arm angles,
357	and that the horizontal abduction exercise at the $90^\circ$ elevated position might also be
358	effective for the MT. However, we found no appropriate procedure for the LT. The
359	difficulty achieving proper activation of the LT persists, which might suggest the need
360	to reconsider the efficacy of already known LT exercises. Further studies including
361	multi-joint exercises focusing on the kinetic chain are needed for LT exercise. Our
362	results will contribute to rehabilitation programs for improving scapular muscle strength
363	or treating imbalances such as scapular dyskinesis.
364	

365 Limitation

348

366	This study has some limitations. First, the sample size of 11 subjects was small and
367	the data in Tables I-IV included high standard deviation values. We demonstrated the
368	standard deviation in each cell as well as the mean value to prevent readers from
369	overestimating our data. In all tables, however, many situations of extraordinary value
370	were evident in the surveyed muscles, and it seems not to be difficult to avoid
371	non-active exercise patterns.
372	Second, we researched SA activity as described in previous studies <sup>4,13,20</sup> ; in reality,
373	this method recorded only activation of the lower part of the SA instead of the entire SA.
374	This is because our pilot study demonstrated that the recording of the middle and upper
375	SA must be affected by the latissimus dorsi or pectoralis major muscles; this
376	phenomenon is known as cross-talk in surface EMG <sup>30</sup> . Considering that the long
377	thoracic nerve ramifies into more branches in the lower part of the SA <sup>28</sup> , activation of
378	the lower SA can represent the entire SA. Third, we studied a sample of young, healthy
379	adults. Clinical patients may have different characteristics than those of our subjects,
380	and we cannot tell whether the muscle activation of the healthy volunteers in this study
381	would be generated in the patients. However, the basic functions of the periscapular
382	muscles such as the upward rotator should be maintained, and we could expect the
383	functional recovery of such patients by applying the accomplishments to our daily

384 practice. To prove the clinical efficiency of our method, further clinical studies are385 needed.

386

# 388 Conclusion

- 389 Here we investigated surface EMG findings of the lower SA, UT, MT, and LT
- 390 muscles during several shoulder muscle force tests. We found that horizontal adduction
- 391 should effectively improve SA strength. For the MT, muscle horizontal abduction at  $90^{\circ}$
- 392 of arm elevation should be the most effective. However, we found no ideal exercise for
- the LT muscle, so future studies are warranted.
- 394

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# 492 Figure and Table Legends

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Figure 1. Tests 1–4 performed in the sitting position. Arrows indicate directions of the
applied forces. (A) In the frontal plane (at the 90° elevated position). (B) In the sagittal
plane (at the 90° elevated position).

Isometric activation of the periscapular muscles

	Te (%)	Test 1		Test 2			Test 3			Test 4			Angle	Test	Interaction
	Mean	VIV	SD	Mean	VIV	SD	Mean	IVI V I	SD	Mean		SD	<i>P</i> -value	<i>P</i> -value	<i>P</i> -value
Serratus anterior															
30° humeral elevation	87.0	±	43.6	43.6	±	31.7	45.7	±	55.5	70.5	±	24.8			
60° humeral elevation	109.7	±	49.9	48.6	±	46.4	40.3	±	51.9	86.1	±	43.9			
90° humeral elevation	133.1	±	82.5	38.9	±	36.7	35.2	±	55.3	77.9	±	35.9	.713	<.001	.417
120° humeral elevation	124.1	±	57.3	34.9	±	35.3	27.3	±	41.8	60.0	±	38.2			
150° humeral elevation	111.4	±	48.3	32.9	±	34.0	58.6	±	56.9	46.3	±	31.0			
Upper Trapezius															
30° humeral elevation	78.6	±	25.5	3.7	±	1.1	34.4	±	19.0	22.7	±	18.3			
60° humeral elevation	87.4	±	13.1	6.8	±	7.5	51.8	±	21.8	16.8	±	17.5			
90° humeral elevation	89.3	±	18.7	6.2	±	8.4	55.7	±	18.1	13.9	±	15.0	.037	<.001	<.001
120° humeral elevation	82.0	±	17.3	6.1	±	10.4	72.9	±	23.7	13.6	±	19.4			
150° humeral elevation	82.9	±	21.2	5.9	±	9.0	88.8	±	19.6	5.1	±	6.3			
Middle Trapezius															
30° humeral elevation	66.8	±	21.2	15.8	±	8.7	61.1	±	18.3	24.4	±	12.0			
60° humeral elevation	69.2	±	21.0	17.8	±	9.4	69.7	±	20.8	13.6	±	6.0			
90° humeral elevation	61.0	±	24.8	13.0	±	6.0	80.2	±	29.6	8.2	±	4.5	.229	<.001	<.001
120° humeral elevation	44.1	±	23.0	9.8	±	6.5	78.9	±	26.7	7.1	±	5.7			
150° humeral elevation	35.6	±	22.6	16.1	±	12.0	93.3	±	27.7	6.1	±	3.7			
Lower Trapezius															
30° humeral elevation	52.8	±	25.3	25.4	±	17.5	33.4	±	19.4	43.3	±	13.3			
60° humeral elevation	59.6	±	25.4	26.4	±	22.7	44.1	±	27.0	24.6	±	13.9			
90° humeral elevation	53.3	±	20.7	16.6	±	11.2	50.4	±	35.0	13.4	±	8.0	.743	<.001	<.001
120° humeral elevation	61.9	±	32.3	17.8	±	12.1	64.7	±	33.6	10.5	±	8.9			
150° humeral elevation	40.2	±	19.1	20.9	±	12.2	85.9	±	40.5	7.6	±	3.9			

501 Table I. Electromyographic activities in the frontal plane

502 Test 1, applied force to the arm from the upper to lower side; Test 2, from the lower to upper side; Test 3, from the lateral to medial

side; *Test 4*, from the medial to lateral side. MVIC, maximal voluntary isometric contraction; SD, standard deviation

	Те (%)	est ′	1 IC)	Te (%)	est : MV/	2 IC)	Те (%)	est 3	3 C)	Te (%)	est 4 MV/	4 IC)	Angle	Test	Interaction
	Mean		SD	Mean	VIV	SD	Mean		SD	Mean		SD	P-value	<i>P</i> -value	P-value
Serratus anterior															
30° humeral elevation	89.1	±	34.2	47.2	±	44.8	44.4	±	56.3	62.5	±	26.4			
60° humeral elevation	104.7	±	43.1	45.9	±	47.3	32.6	±	34.5	91.8	±	41.9			
90° humeral elevation	110.0	±	63.6	27.9	±	26.6	20.4	±	17.3	80.8	±	45.6	.683	<.001	.082
120° humeral elevation	95.1	±	35.4	24.8	±	18.6	20.1	±	17.4	81.9	±	41.5			
150° humeral elevation	84.2	±	59.4	23.5	±	14.9	16.3	±	12.5	123.3	±	81.6			
Upper Trapezius															
30° humeral elevation	57.5	±	10.0	17.1	±	11.7	57.2	±	15.5	9.1	±	8.0			
60° humeral elevation	73.5	±	15.1	8.5	±	8.8	53.9	±	16.5	14.6	±	18.2			
90° humeral elevation	88.8	±	16.2	3.4	±	2.9	39.1	±	19.1	24.6	±	24.7	.162	<.001	<.001
120° humeral elevation	93.4	±	22.0	5.2	±	4.8	30.8	±	17.2	37.6	±	20.0			
150° humeral elevation	93.6	±	24.6	7.7	±	10.0	14.3	±	14.5	57.3	±	25.7			
Middle Trapezius															
30° humeral elevation	47.2	±	13.4	39.1	±	17.8	82.9	±	23.9	8.4	±	4.0			
60° humeral elevation	52.1	±	20.3	26.2	±	15.0	90.4	±	27.2	7.9	±	4.0			
90° humeral elevation	62.3	±	23.8	17.5	±	16.6	75.8	±	27.4	8.9	±	6.5	.0499	<.001	<.001
120° humeral elevation	76.8	±	23.2	9.2	±	6.6	57.0	±	19.6	8.9	±	3.7			
150° humeral elevation	81.0	±	25.5	8.9	±	8.6	36.4	±	24.3	12.1	±	2.9			
Lower Trapezius															
30° humeral elevation	54.2	±	34.3	29.0	±	23.8	50.5	±	37.4	21.1	±	24.5			
60° humeral elevation	59.2	±	29.3	16.5	±	10.9	58.1	±	45.1	10.4	±	7.5			
90° humeral elevation	58.0	±	21.1	15.0	±	11.8	51.6	±	29.5	13.8	±	11.7	.953	<.001	.009
120° humeral elevation	85.5	±	36.3	9.4	±	6.0	37.4	±	18.0	9.2	±	6.2			
150° humeral elevation	84.7	±	40.3	10.8	±	8.4	37.0	±	24.6	14.3	±	13.1			

505 Table II. Electromyographic activities in the sagittal plane

	Test 1			Test 2				Test 3				Test 4			
	Mean		SD	Mean		SD		Mean		SD		Mean		SD	
UT/SA															
30° humeral elevation	110.3	±	66.5	14.4	±	9.6		206.2	±	181.1		35.0	±	32.1	
60° humeral elevation	89.7	±	30.6	57.6	±	117.1		321.7	±	236.7		20.4	±	22.2	
90° humeral elevation	82.6	±	39.3	48.3	±	98.1		450.5	±	383.7		22.1	±	22.5	
120° humeral elevation	84.9	±	48.9	56.9	±	140.0		747.9	±	629.4		24.9	±	30.7	
150° humeral elevation	102.2	±	82.5	43.4	±	75.5		394.6	±	423.1		18.9	±	32.8	
UT/MT															
30° humeral elevation	124.7	±	41.2	28.8	±	15.6		61.3	±	39.6		88.8	±	49.4	
60° humeral elevation	135.1	±	36.6	58.5	±	96.5		77.6	±	29.3		104.9	±	72.5	
90° humeral elevation	176.3	±	105.1	40.5	±	31.5		79.3	±	39.9		160.6	±	111.5	
120° humeral elevation	223.2	±	102.6	50.4	±	37.3		112.7	±	83.2		158.4	±	133.7	
150° humeral elevation	305.7	±	199.3	36.7	±	29.8		104.7	±	45.4		85.7	±	52.0	
UT/LT															
30° humeral elevation	202.3	±	191.4	22.7	±	19.0		139.4	±	110.5		49.1	±	34.6	
60° humeral elevation	180.5	±	97.6	51.3	±	88.6		149.6	±	84.5		67.8	±	55.3	
90° humeral elevation	203.2	±	115.5	33.4	±	19.9		145.5	±	71.5		106.7	±	92.7	
120° humeral elevation	181.1	±	116.9	32.1	±	27.8		148.8	±	90.8		167.0	±	305.5	
150° humeral elevation	357.7	±	457.8	29.2	±	26.1		125.0	±	61.0		59.1	±	29.6	

509 Table III. The balance ratio in the frontal plane

	Test 1			Test 2				Test 3					Test 4		
	Mean		SD	Mean		SD		Mean		SD		Mean		SD	
UT/SA															
30° humeral elevation	76.1	±	40.0	83.4	±	94.5		334.0	±	264.9		15.3	±	11.1	
60° humeral elevation	80.3	±	34.5	28.9	±	17.9		359.6	±	358.2		15.1	±	15.0	
90° humeral elevation	95.4	±	39.5	16.5	±	11.2		338.4	±	278.8		30.6	±	27.5	
120° humeral elevation	111.5	±	50.5	25.7	±	26.8		231.6	±	162.9		50.1	±	29.5	
150° humeral elevation	141.3	±	65.0	40.1	±	41.4		150.5	±	157.8		72.8	±	51.4	
UT/MT															
30° humeral elevation	128.7	±	30.0	54.1	±	55.6		72.1	±	21.2		130.8	±	146.8	
60° humeral elevation	155.6	±	51.5	32.9	±	20.1		61.3	±	17.0		199.0	±	230.0	
90° humeral elevation	162.9	±	68.5	25.5	±	13.2		58.1	±	38.0		280.5	±	212.2	
120° humeral elevation	129.7	±	40.6	55.7	±	30.9		58.9	±	35.7		441.8	±	218.9	
150° humeral elevation	125.3	±	46.0	94.0	±	80.1		39.6	±	21.4		472.7	±	202.0	
UT/LT															
30° humeral elevation	133.3	±	60.5	89.1	±	79.8		155.9	±	101.6		100.5	±	148.3	
60° humeral elevation	165.5	±	103.3	55.2	±	47.6		130.3	±	96.5		141.9	±	147.7	
90° humeral elevation	169.6	±	59.4	31.2	±	33.1		89.5	±	59.5		182.3	±	138.4	
120° humeral elevation	127.3	±	56.5	54.7	±	37.6		108.6	±	111.0		463.5	±	285.1	
150° humeral elevation	127.6	±	49.6	70.5	±	55.2		39.4	±	21.2		603.0	±	353.1	

514 Table IV. The balance ratio in the sagittal plane