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Original Research Paper

Quantitative analysis of agglomerates levitated from particle layers in a strong electric field

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ABSTRACT

The electrification, agglomeration, and levitation of particles in a strong electric field were analyzed experimentally and theoretically. Particle layers of glass, alumina, and ferrite were formed on a plate electrode and an external voltage was applied. Microscopic observations of the agglomerates levitated from the particle layers revealed that the number of primary particles constituting an agglomerate is affected by particle diameter and electrical resistance, but not by the applied electric field. The electric field distributions in the system were calculated by considering the charges and geometries of the agglomerates formed on the particle layers. The charges of the agglomerates were obtained experimentally. All forces acting on the agglomerates (i.e., gravitational forces, Coulomb forces, interaction forces between polarized particles, image forces, and gradient forces) were analyzed under different conditions, including various electric field distributions and charges of agglomerates. Furthermore, the critical conditions for the levitation of the agglomerates were evaluated using a force balance.

Keywords:

Electrification; Agglomeration; Levitation; Particle layer; External electric field

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1. Introduction

In powder handling processes, the deposition of charged particles is a common occurrence. The accumulation of charged particles on surfaces typically reduces operability and leads to lower productivity. Additionally, electrostatic discharge from charged particles can cause fire and explosion hazards, meaning charged particles must be removed from surfaces. Fluid flow [1, 2] and vibration [3, 4] are effective methods for removing particles. Another effective method is the application of an external electric field, which enables remote control of the motion of particles [5–7].

Electrically conductive particles that come into contact with parallel plate electrodes are highly charged with the same polarity as the electrode. This phenomenon is called induction charging [8, 9] and can occur for both semiconductive and dielectric particles [10]. Consequently, sufficiently charged particles are levitated from the electrode by Coulomb forces and begin moving toward the counter electrode. When the particles come into contact with the counter electrode, their polarity is inverted by induction charging. Consequently, the particles move back to the first electrode and then oscillate between the parallel electrodes [9]. Highly conductive particles are charged immediately after contacting electrodes. As the conductivity of the particles decreases, the charging time increases [10–13] and the period of the oscillation cycle increases. However, if electrical resistance is extremely high, induction charging will not occur. Instead, contact charging will dominate [14, 15]. Because the quantity of charge transferred by one contact charging event is typically small, particles cannot be levitated.

In the particle oscillation system described above, there are both positive and negative charged particles between the electrodes. One method for extracting particles with a unipolar charge from the parallel electrodes is to replace one of the plate electrodes with a mesh electrode [16], which allows particles to pass through the openings [17]. It is worth noting that unipolar

charged particles experience electrostatic repulsion, meaning this technique is mostly applicable to dispersions [18, 19].

Dielectric particles are polarized in an electric field and tend to form chain agglomerates along the electric field direction based on the interactions between polarized particles [20–23]. Electrorheological (ER) fluids, which are suspensions of dielectric particles dispersed in insulating liquids, change their apparent viscosity by forming chain-like structures of particles aligned along the electric field [24]. Although the flow behavior of ER fluids has been studied thoroughly, the induction charging and behavior of dielectric particles in gases have not been studied in detail.

Shoyama and Matsusaka [25] conducted experiments on particle levitation in gases using a parallel electrode system consisting of an upper mesh electrode and lower plate electrode. When an electric field was applied between the electrodes, chain agglomerates levitated from the particle layers. Particle charges were calculated based on motion analysis of the agglomerates in the electric field. The separation forces for particle levitation were also analyzed. Additionally, the mechanisms of particle charging and the agglomeration of particles were elucidated based on experimental results and theoretical analysis. Shoyama and Matsusaka [26] also elucidated the mechanism of the disintegration of agglomerates based on rotation in a non-uniform electric field around the upper mesh electrode. However, quantitative analysis of agglomeration, levitation, and disintegration under various conditions has not been performed.

In this study, we used an experimental setup similar to that in our previous studies [25, 26]. The effects of particle diameter, particle electrical resistance, and applied electric field on electrification, agglomeration, and levitation were analyzed. The various forces acting on the agglomerates were also identified. Furthermore, a force balance was used to evaluate the critical conditions for the levitation of agglomerates.

2. Experimental setup and procedure

Fig. 1 shows the experimental setup used in this study. A removable upper electrode (external dimensions: 60×60 mm, wire diameter: 0.60 mm, opening: 3.63 mm, material: stainless steel, JIS-SUS304) was placed in parallel with a lower plate electrode (external dimensions: 60×60 mm, material: JIS-SUS304) at a distance of 10 mm. A small number of particles were deposited by gravity onto the lower electrode and particle layers with a thickness of 1 mm were formed. The lower electrode was then connected to a power supply (10/10B-HS, Trek, Inc.) and the upper electrode was grounded. The voltage applied to the lower electrode was set to $V_L = 4, 5$, or 6 kV, where particles can be stably levitated by induction charging, generating an electric field between the electrodes with upward directionality. The behavior of the particles levitated from the particle layers was recorded by a high-speed camera (FASTCAM Mini UX100, Photron, Ltd.) with a high-magnification zoom lens (VSZ-10100, VS Technology Corporation) at a 5000 fps. A metal halide lamp (LS-M250, Sumita Optical Glass, Inc.) was used as a light source.

Fig. 2 shows scanning electron microscopy (SEM) images of three types of sample particles: a glass bead, alumina particle, and ferrite particle. These particles have different surface roughness characteristics but are all approximately spherical. Table 1 lists the properties of the samples (i.e., the mass median diameter D_{p50} , geometric standard deviation of particle diameter σ_g , electrical resistance ρ_v , and particle density ρ_p). The electrical resistance of the packed particles was measured under a normal stress of 5 MPa.

The particles were dried at 120 °C for 12 h and cooled to room temperature in a desiccator prior to experimentation. All experiments were conducted under ambient conditions at a room temperature of 25 ± 5 °C and relative humidity of $30 \pm 5\%$.

3. Image analysis of levitated particles

Fig. 3 shows captured images of particles levitated from different particle layers at $V_L = 5$ kV. For the glass beads (Fig. 3a) and alumina particles (Fig. 3b), large numbers of both single particles and chain agglomerates were levitated. This state is similar to that observed in our previous study [25]. In contrast, for the ferrite particles (Fig. 3c), very few chain agglomerates were levitated. This difference implies that the state of particle levitation depends on material characteristics. To analyze the states and motions of particles, clearly focused objects were selected.

Fig. 4 shows the cumulative distributions of the number of primary (single) particles constituting an agglomerate and single particles levitated from the different particle layers at $V_L = 5$ kV. The sample size for each statistical analysis is 300 that is the number of particles for each material. The ratio of single particle levitation to total levitation is approximately 60% for the glass beads ($D_{p50} = 61 \mu\text{m}$). As the number of primary particles in an agglomerate increases, the ratio of agglomerates decreases. For the ferrite particles ($D_{p50} = 63 \mu\text{m}$), the ratio of single particle levitation is approximately 90%. The ratio of single particle levitation for the alumina particles ($D_{p50} = 80 \mu\text{m}$) lies in the intermediate region between the other two types of particles. These differences are likely caused by differences in the electrical resistances of the particles. Low electrical resistance material is immediately charged up by induction, while high electrical resistance one takes time [10–13]. For the former, even single particles can be easily levitated because of excessive charges on the surfaces.

Fig. 5 shows the effects of primary particle diameter on agglomeration. Experiments were carried out at $V_L = 5$ kV using three different sizes of glass beads. For $D_{p50} = 44 \mu\text{m}$, the ratio of single particle levitation to total levitation is approximately 40%. For $D_{p50} = 104 \mu\text{m}$, the ratio is approximately 70%. The ratio for $D_{p50} = 61 \mu\text{m}$ lies in the intermediate region between the two values above. These experimental results also demonstrate that the number of primary particles

decreases with an increase in primary particle diameter because the gravitational force acting on the particles become relatively larger than the interaction forces for agglomerate formation.

Fig. 6 shows the effects of applied voltage on agglomeration. Experiments were carried out using glass beads with $D_{p50} = 61 \mu\text{m}$ at $V_L = 4, 5, \text{ and } 6 \text{ kV}$. The experimental results demonstrate that applied voltage has little effect on the number of primary particles. Therefore, the critical force balance for particle levitation is nearly constant with different applied voltages.

4. Motion analysis of charged particles

In this section, we describe a theoretical approach for analyzing the motion of charged agglomerates in an electric field based on the findings of previous studies [19, 25, 26]. A straight-chain agglomerate moving in an electric field experiences a drag force $\mathbf{F}_d$, gravitational force $\mathbf{F}_g$, and electrostatic force $\mathbf{F}_e$. The equation of motion of an agglomerate is expressed as

$$nm_p \frac{d\mathbf{v}_a}{dt} = \mathbf{F}_d + \mathbf{F}_g + \mathbf{F}_e, \quad (1)$$

where n is the number of the primary particles constituting the agglomerate, m_p is the mass of each primary particle, $\mathbf{v}_a$ is the velocity of the agglomerate, and t is time. The mass of each particle is defined as

$$m_p = \frac{\pi D_p^3 \rho_p}{6}, \quad (2)$$

where D_p is the particle diameter and ρ_p is the particle density. For a stationary fluid, $\mathbf{F}_d$ is given by [27,28]

$$\mathbf{F}_d = 3\pi\mu D_a \mathbf{v}_a \kappa, \quad (3)$$

where μ is the viscosity of the fluid and D_a is the volume equivalent diameter of the agglomerate, which is defined as

$$D_a = n^{\frac{1}{3}} D_p. \quad (4)$$

κ is a dynamic shape factor defined as

$$\kappa = \begin{cases} 1.00 & (n=1) \\ 1.03 & (n=2) \\ 1.07 & (n=3) \end{cases}. \quad (5)$$

The gravitational force of the agglomerate is defined as

$$\mathbf{F}_g = n m_p \mathbf{g}, \quad (6)$$

where $\mathbf{g}$ is the gravitational acceleration. $\mathbf{F}_e$ is the electrostatic force consisting of the Coulomb force in the external electric field $\mathbf{F}_{ex}$, image force $\mathbf{F}_i$, interaction force between polarized particles $\mathbf{F}_p$, and gradient force in a non-uniform electric field $\mathbf{F}_{grad}$, which is defined as

$$\mathbf{F}_e = \mathbf{F}_{ex} + \mathbf{F}_i + \mathbf{F}_p + \mathbf{F}_{grad}. \quad (7)$$

Here, $\mathbf{F}_{ex}$ is expressed as

$$\mathbf{F}_{ex} = \sum_{i=1}^n \mathbf{F}_{qi}, \quad (8)$$

where $\mathbf{F}_{qi}$ is the Coulomb force acting on the i -th particle in the chain agglomerate, which is defined as

$$\mathbf{F}_{qi} = q_i \mathbf{E}_{ex}, \quad (9)$$

where q_i is the charge of the i -th particle and $\mathbf{E}_{ex}$ is the external electric field. $\mathbf{F}_i$ is expressed as [29]

$$\mathbf{F}_i = \frac{1}{16\pi\epsilon_{rf}\epsilon_0} \cdot \frac{\epsilon_{rs} - \epsilon_{rf}}{\epsilon_{rs} + \epsilon_{rf}} \sum_{i=1}^n \frac{q_i^2}{z_{pi}^2}, \quad (10)$$

where ϵ_0 is the vacuum permittivity, ϵ_{rf} is the relative permittivity of the fluid, ϵ_{rs} is the relative permittivity of the particle layers, and z_{pi} is the position of the i -th particle on the top surface of the particle layers in the z direction. When the axis of the chain agglomerate is parallel to the external electric field, $\mathbf{F}_p$ is expressed as [24]

$$\mathbf{F}_p = \frac{3}{8} \pi \varepsilon_0 \varepsilon_{rf} \left(\frac{\varepsilon_{rp} - 1}{\varepsilon_{rp} + 2} \right)^2 \left(\frac{D_p}{d} \right)^4 D_p^2 \mathbf{E}_{ex}^2, \quad (11)$$

where ε_{rp} is the relative permittivity of the particle.

$\mathbf{F}_{grad}$ is expressed as [30]

$$\mathbf{F}_{grad} = \frac{1}{4} \pi \varepsilon_0 \varepsilon_{rf} \frac{\varepsilon_{rp} - 1}{\varepsilon_{rp} + 2} D_a^3 \text{grad} \mathbf{E}_{ex}^2. \quad (12)$$

5. Electric field calculation

To analyze the motion of agglomerates under various electrostatic conditions, electric field strengths were calculated in a 3D Cartesian coordinate system (x, y, z) using the finite element method (COMSOL Multiphysics, AB/COMSOL, Inc.). The governing equations were solved using the Galerkin method

Fig. 7 shows a simulation model that is used to calculate an electric field between electrodes with 1-mm-thick particle layers, as well as an enlarged view around the top surfaces of the particle layers. The calculation domain is set to $5 D_p \times 3 \sqrt{3} D_p$ for the horizontal cross section and 10 mm for the height, which is the same as the vertical distance between the electrodes in our experimental setup. The origin (i.e., $(x, y, z) = (0, 0, 0)$) is positioned at the center of the bottom surface. The top and bottom boundaries are set to zero and a given voltage, respectively. Periodic boundary conditions are applied at the side boundaries. The number of elements was more than 600,000. The grid size is set to 0.1 mm on the particle layer surfaces and increased toward the top of the domain to reduce the total calculation load.

For the conditions of $V_L = 5$ kV, $\varepsilon_{rp} = 7$, and $D_p = 60$ μm , the electric field strength in the z direction, denoted E_{exz} , is 540 kV/m in the free space. This calculated value is 8% larger than 500 kV/m, which is the value with no particle layers between the electrodes. This disparity of 8% is consistent, regardless of the applied voltage. When the values of ε_{rp} and D_p are changed within

the experimental range, the variation of E_{exz} remains within a few percent.

6. Evaluation of particle charges and forces acting on agglomerates

Fig. 8a shows a series of images of particle agglomeration ($t \leq 0$) and levitation ($t > 0$) from a 1-mm-thick particle layer (glass beads, $D_p = 100 \mu\text{m}$). A chain agglomerate consisting of three primary particles levitates upward. Fig. 8b shows the experimental data (open circles) for the position of the levitated agglomerate in the z direction. The solid and broken lines in this figure are theoretical particle trajectories. They were calculated using Eq. (1) with $E_{\text{exz}} = 540 \text{ kV/m}$ which was obtained in section 5. The experimental data agree well with the broken line, which was calculated with a charge of 0.135 pC . The charges of the other agglomerates were determined in the same manner.

Fig. 9 shows the relationships between the experimental values of $\sum q_i$, which represent the charges of levitated agglomerates ($n = 2$ or 3) or individual particles ($n = 1$), and D_{p50} . Experiments were carried out using glass beads at $V_L = 5 \text{ kV}$. The $\sum q_i$ values increase with an increase in D_{p50} and/or n values.

Fig. 10 shows the effects of V_L on $\sum q_i$. The values of $\sum q_i$ are constant, regardless of V_L , in a range of $4\text{--}6 \text{ kV}$. The charge of a primary particle q_i in an agglomerate decreases with an increase in n .

To analyze the electrostatic forces acting on agglomerates just prior to their separation from particle layers, both the electric field around the particles and the charges of the agglomerates must be determined. Fig. 11a shows a simulation of a chain agglomerate ($D_p = 60 \mu\text{m}$ and $n = 3$) on the top surface of the particle layers shown in Fig. 7. The charges of the primary particles in the agglomerate and the top particle layer are assumed to be $q = 0.017 \text{ pC}$ based on the experimental result for $n = 3$ at $V_L = 5 \text{ kV}$ (see Fig. 9). Fig. 11b shows the calculated electric field

strength in the z direction, denoted E_{exz} , along the axis of the chain agglomerate at $V_L = 5$ kV and $\varepsilon_{\text{rp}} = 7$. The charge of the top particle layer weakens the electric field in the region of $z < 1$ mm. Consequently, the E_{exz} value is nearly zero, although there are small fluctuations caused by particle arrangements. This implies that the particles in this region do not have charges in an electrostatic equilibrium state, meaning induction charging occurs only on the top particle layer and agglomerates ($n = 3$). In contrast, the E_{exz} values within the agglomerate ($z = 1-1.18$ mm) fluctuate significantly and are relatively high at the particle contact points and on the top surface of the agglomerate. The E_{exz} value in the free space ($z > 1.3$ mm) is constant and is 3.6% greater than the value with no agglomerate.

Fig. 12a shows the volume average, which is calculated in the volume of a particle, of the electric field strength in the z direction $\langle E_{\text{exz}} \rangle$ for each primary particle ($n_p = 1-3$) in the agglomerate and the top particles in the particle layers ($n_p = 0$) at $V_L = 5$ kV, where n_p is the ordinal number of particles, which is related to particle height. For the top and bottom particles of the agglomerate, $n_p = 3$ and 1, respectively. For $n_p = 0$, the $\langle E_{\text{exz}} \rangle$ values are smaller than 100 kV/m, while for $n_p = 1-3$, the $\langle E_{\text{exz}} \rangle$ values are larger than 200 kV/m. The effect of D_p on $\langle E_{\text{exz}} \rangle$ is relatively small. Fig. 12b shows the effect of V_L on $\langle E_{\text{exz}} \rangle$. Although the relationship between $\langle E_{\text{exz}} \rangle$ and n_p is similar to that shown in Fig. 12a, $\langle E_{\text{exz}} \rangle$ increases with an increase in V_L . A remarkable feature is that the agglomerate exists in a larger electric field, meaning it is expected that the agglomerate will experience larger electrostatic forces.

To clarify the conditions for the formation and levitation of an agglomerate ($n = 3$), the forces acting on the bottom particle ($n_p = 1$) of the agglomerate just prior to its separation from the particle layers were calculated using the equations presented in Section 4. Here, the gravitational force F_g and electrostatic attractive forces between particles (i.e., the image force F_i and interaction forces between polarized particles F_p) act downward, while the Coulomb force F_{ex} and

gradient force F_{grad} act upward. Because interparticle forces, such as van der Waals forces, are relatively small under dynamic conditions during agglomeration and levitation, we excluded these forces from our analysis.

Fig. 13 shows the relationship between the forces acting on an agglomerate ($n = 3$) just prior to its separation from the particle layers and D_{p50} . F_g is dominant among the downward forces (F_g , F_i , and F_p : closed symbols) and F_{ex} is dominant among the upward forces (F_{ex} , F_{grad} : open symbols). The difference between the upward and downward forces becomes larger for smaller particle diameters. The total upward force must exceed the total downward force to levitate an agglomerate. The charge transferred to the particles by induction can increase based on a time lag in the responses following the establishment of the force balance of particle levitation. However, the reverse state of the force balance can also be observed for larger particles ($D_{p50} = 104 \mu\text{m}$). This is likely caused by low charge transfer per unit time and unit surface area, as well as experimental and statistical errors.

Fig. 14 shows the effect of V_L on the forces acting on an agglomerate just prior to its separation from the particle layers with $D_{p50} = 61 \mu\text{m}$. F_{ex} and F_g are dominant as downward and upward forces, respectively, and the F_{ex} values are larger than the F_g values. As the value of V_L increases, the F_{ex} value also increases. Additionally, F_p increases to balance the upward and downward forces. At $V_L = 6 \text{ kV}$, the ratio of F_p to F_g is as large as 18%. F_p should also be considered for force balancing at higher applied voltages because F_p is proportional to E_{ex}^2 (Eq. (11)), while F_{ex} is proportional to E_{ex} (Eqs. (8) and (9)).

7. Conclusions

The electrification, agglomeration, and levitation of particles in a strong electric field were studied experimentally and theoretically with a focus on the effects of particle diameter, particle

electrical resistance, and applied electric voltage or applied electric field. The results obtained can be summarized as follows:

- (1) Particles with relatively high electrical resistance tend to form chain agglomerates on particle layers in a strong electric field. These agglomerates are then levitated. In contrast, particles with lower electrical resistance are levitated as single particles without agglomeration.
- (2) The number of primary particles constituting an agglomerate, denoted n , increases with a decrease in primary particle diameter, denoted D_p , but is not affected by the applied electric field strength when it is sufficiently strong.
- (3) The charge of levitated agglomerates increases with an increase in D_p and/or n , but is not affected by the electric field strength. The charge of a primary particle in an agglomerate decreases with an increase in n .
- (4) The gravitational force F_g , image force F_i , and polarization force F_p act downward, while the Coulomb force F_{ex} and gradient force F_{grad} act upward. The dominant forces are F_g and F_{ex} . In a strong electric field, F_p should also be considered.
- (5) To levitate agglomerates, the total upward force must exceed the total downward force. The difference between the upward and downward forces becomes larger for smaller particle diameters.

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Nomenclature

D_a	volume equivalent diameter of an agglomerate (m)
D_p	particle diameter (m)
D_{p50}	mass median diameter (m)
d	distance between particle centroids (m)
E_{ex}	external electric field (V/m)
E_{exz}	external electric field strength in the z direction (V/m)
$\langle E_{exz} \rangle$	volume average of external electric field strength in the z direction (V/m)
$F(n)$	discrete cumulative distribution (–)
F_d	drag force (N)
F_e	electrostatic force (N)
F_{ex}	Coulomb force in an external electric field (N)
F_g	gravitational force (N)
F_{grad}	gradient force in a non-uniform electric field (N)
F_i	image force (N)
F_p	interaction force between polarized particles (N)
F_{qi}	Coulomb force acting on the i -th particle in a chain agglomerate (N)
g	gravitational acceleration (m/s^2)
m_p	mass of a primary particle (kg)
n	number of primary particles constituting an agglomerate or single particle (–)
n_p	ordinal number of particles, which is related to particle height in an agglomerate (–)
q	particle charge (C)
t	time (s)

$\mathbf{v}_a$	velocity of an agglomerate (m/s)
V_L	voltage applied to the lower electrode (V)
x	horizontal coordinate (m)
y	horizontal coordinate perpendicular to the x coordinate (m)
z	vertical coordinate (m)
z_p	particle position in the z direction (m)
ϵ_0	vacuum permittivity (F/m)
ϵ_{rf}	relative permittivity of a fluid (—)
ϵ_{rp}	relative permittivity of a particle (—)
ϵ_{rs}	relative permittivity of particle layers (—)
κ	dynamic shape factor (—)
μ	viscosity of a fluid (Pa·s)
ρ_p	particle density (kg/m ³)
ρ_v	volume resistivity of samples ($\Omega \cdot m$)
σ_g	geometric standard deviation of particle diameter (—)

subscripts

i	i -th particle in a chain agglomerate
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Table. 1 Properties of sample particles

Material	D_{p50} (μm)	σ_g (—)	P_v ($\Omega \cdot \text{m}$)	P_p (kg/m^3)
Glass	44	1.07	3.1×10^6	2300
	61	1.06	10.7×10^6	
	104	1.04	28.3×10^6	
Alumina	80	1.20	0.3×10^6	3950
Ferrite	63	1.18	0.8	4850

Fig. 1. Experimental setup.

Fig. 2. SEM images of sample particles.

Fig. 3. Images of particles levitated from particle layers at $V_L = 5$ kV: (a) glass $D_{p50} = 61\mu\text{m}$, (b) alumina $D_{p50} = 80\mu\text{m}$, and (c) ferrite $D_{p50} = 63\mu\text{m}$.

Fig. 4. Cumulative distributions of the number of primary particles constituting an agglomerate or single particle levitated from particle layers consisting of different materials.

Fig. 5. Effect of primary particle diameter on the degree of agglomeration.

Fig. 6. Effect of applied voltage on the degree of agglomeration.

Fig. 7. Simulation model for calculating the electric field between electrodes with 1-mm-thick particle layers.

Fig. 8. Estimation of the charge of an agglomerate: (a) a series of images of the agglomeration of particles ($t \leq 0$) and levitation ($t > 0$) from a 1-mm-thick particle layer (glass beads, $D_p = 100\mu\text{m}$) and (b) fitting of the experimental data related to levitation.

Fig. 9. Effect of particle diameter on the charge of an agglomerate or single particle.

Fig. 10. Effect of applied voltage on the charge of particles constituting an agglomerate or single particle.

Fig. 11. Calculation of electric field strength: (a) simulation model and (b) calculated results in the z direction along the axis of the chain agglomerate.

Fig. 12. Volume average of electric field strength in the z direction for each particle in the chain

agglomerate ($n_p = 1-3$) and the top particles in the particle layers ($n_p = 0$), where n_p is the ordinal number of particles, which is related to particle height: (a) effect of primary particle diameter D_p and (b) effect of applied voltage V_L .

Fig. 13. Relationship between the forces acting on an agglomerate just prior to its separation from a particle layer and particle diameter.

Fig. 14. Effect of applied voltage on the forces acting on an agglomerate just prior to its separation from a particle layer.

Fig. 1. Experimental setup.

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(b) Alumina

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(a)

(b)

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